## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I	Annual Repor	<u>rt Identification Information</u>	ı						
For calend	dar plan year 2014 or	fiscal plan year beginning 01/01/2	2014	and ending 12	2/31/2014				
A This re	eturn/report is for:	a single-employer plan	r) (Filers checking this box must attach a list ordance with the form instructions)						
		a one-participant plan	a foreign plan	a foreign plan					
<b>B</b> This ret	turn/report is	the first return/report	the final return/report						
		an amended return/report	t a short plan year return/report (less than 12 months)						
C Check	box if filing under:	Form 5558	automatic extension		rogram				
		special extension (enter desc	cription)						
Part II	Basic Plan Inf	ormation—enter all requested in	nformation						
1a Name of plan THE ENDOCRINE GROUP, LLP PROFIT SHARING PLAN				1b Three-digit plan numb (PN) ▶					
					1c Effective d	ate of plan 03/01/1985			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) THE ENDOCRINE GROUP, LLP					2b Employer Identification Number (EIN) 14-1767130				
1365 WASHINGTON AVENUE					<b>2c</b> Sponsor's telephone number 518-489-4704				
SUITE 300 ALBANY, NY 12206-1035					<b>2d</b> Business code (see instructions) 621111				
3a Plan a	administrator's name	and address XSame as Plan Spor	nsor.		<b>3b</b> Administrator's EIN				
		he plan sponsor has changed since	e the last return/report filed f	or this plan, enter the	4b EIN				
name, EIN, and the plan number from the last return/report. <b>a</b> Sponsor's name			4c PN						
5a Total number of participants at the beginning of the plan year					5a				
<b>b</b> Total number of participants at the end of the plan year					. 5b				
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				5c					
d(1) Total number of active participants at the beginning of the plan year			5d(1)						
d(2) Total number of active participants at the end of the plan year				5d(2)					
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0					
		e or incomplete filing of this retu			use is establishe	d.			
Under per SB or Sch	nalties of perjury and	other penalties set forth in the instru and signed by an enrolled actuary,	uctions, I declare that I have	examined this return/re	port, including, if a	pplicable, a Schedule			
SIGN HERE		d/valid electronic signature.	04/10/2015	LAWRENCE ROBINS	SON				
	Signature of plan	plan administrator Date Enter name of indiv			vidual signing as plan administrator				
SIGN HERE	Filed with authorize	d/valid electronic signature.	04/10/2015	LAWRENCE ROBINS	ROBINSON				
	Signature of emp	gnature of employer/plan sponsor Date Enter name of indiv			idual signing as employer or plan sponsor				
Preparer's	s name (including firm	name, if applicable) and address (	include room or suite numbe	er) (optional)	Preparer's telep	hone number (optional)			

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)				nt (IQPA) X Yes				
С	if the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40	21)?		Yes	No	Not det	ermined
Par	t III Financial Information	1							
7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End		
	Total plan assets	7a	128014	6	12965559				
	Total plan liabilities	7b	12901/	12801481		12965559			5550
	Net plan assets (subtract line 7b from line 7a)	7c		10 1			<i>(</i> ) T		3339
	Income, Expenses, and Transfers for this Plan Year  Contributions received or receivable from:		(a) Amount				(b) T	otai	
	(1) Employers	8a(1)	5256	525641					
	(2) Participants	8a(2)	960	96091					
	(3) Others (including rollovers)	8a(3)							
<u>b</u>	Other income (loss)	8b	6454	193					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1267	7225
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	10633	1063379					
	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f	397	39768					
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						1103	3147
i	Net income (loss) (subtract line 8h from line 8c)	8i				164078			
j	Transfers to (from) the plan (see instructions)	8j							
Par	t IV Plan Characteristics								
	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions								
10	During the plan year:				Yes	No		Amoun	t
	<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			
	Were there any nonexempt transactions with any party-in-interest on line 10a.)	·····		10b		X			
С	Was the plan covered by a fidelity bond?			10c	X				500000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X			
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X			
f	f Has the plan failed to provide any benefit when due under the plan?					X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)								44310
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X			
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
Part	Part VI Pension Funding Compliance								
11									
11a	Enter the unpaid minimum required contribution for current year fr					11a			
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)		1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		<b>13c(2)</b> EI	N(s)	<b>13c(3)</b> PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust