| Form 5500-SF | | Short Form Annual Return/Report of Small Emplo Benefit Plan | | | oyee | e | OMB Nos. 1210-0110 1210-0089 | | |
|--|---|---|--|---------------------------|---------|--|---|--|--|
| Department of the Treasury Internal Revenue Service | | This form is required to be filed | under sections 104 and 4 | | | | 2014 | | |
| Employee B | epartment of Labor enefits Security Administration | Income Security Act of 1974 (E | ERISA), and sections 605 Revenue Code (the Code | | Intern | This F | This Form is Open to Public Inspection | | |
| | enefit Guaranty Corporation | Complete all entries in ac | cordance with the instr | ructions to the Form 55 | 500-SF | | | | |
| For calend | Annual Report lo ar plan year 2014 or fisc | dentification Information cal plan year beginning 01/01/2014 | Δ | and ending 12/ | (31/20) | 14 | | | |
| 101001010 | | X a single-employer plan | | lan (not multiemployer) (| | | ox must attach a list | | |
| A This ret | turn/report is for: | a one-participant plan | | yer information in accord | | - | | | |
| B This retu | urn/report is | the first return/report | the final return/report | | | | | | |
| | | an amended return/report | months) | | | | | | |
| C Check | box if filing under: | Form 5558 | automatic extension | | | DFVC progra | am | | |
| | - | special extension (enter descript | tion) | | | | | | |
| Part II | Basic Plan Infor | mation—enter all requested infor | mation | | | | | | |
| 1a Name | • | · · · · | | | 1b | Three-digit | | | |
| BLUE BOX | GROUP, INC. 401(K) PI | LAN | | | | plan number (PN) ▶ | 001 | | |
| | | | | | 1c | Effective date of | | | |
| 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) BLUE BOX GROUP, INC. | | | | -employer plan) | 2b | Employer Ident | er Identification Number 46-1246226 | | |
| | | | | | 2c | Sponsor's telep | phone number | | |
| 119 PINE ST | ⁻ STE 200 /A 98101-1540 | | | | 24 | | 13-4305 | | |
| SEATTLE, 11 | A 90101-1340 | | | | 20 | Business code 5415 | (see instructions) | | |
| 3a Plan a | dministrator's name and | d address XSame as Plan Sponsor | ſ. | | 3b | Administrator's | EIN | | |
| | | | | | | | telephone number | | |
| name | , EIN, and the plan num | plan sponsor has changed since the ber from the last return/report. | e last return/report filed to | or this plan, enter the | 4b EIN | | | | |
| | or's name BLUE BOX G | | | | 4c | | | | |
| | | at the beginning of the plan year | | | 5 | | 59 | | |
| b Total number of participants at the end of the plan yearc Number of participants with account balances as of the end of the plan year (defined benefit plans do not | | | | | 5 | b | 65 | | |
| comple | ete this item) | | | | 5 | | 34 | | |
| d(1) Total number of active participants at the beginning of the plan year | | | | | 5d(| - | 50 | | |
| d(2) Total number of active participants at the end of the plan year | | | | | 5d | (2) | 59 | | |
| | | minated employment during the pla | | | 5 | e | 0 | | |
| | | r incomplete filing of this return/r | | | | | | | |
| SB or Sche | | er penalties set forth in the instruction d signed by an enrolled actuary, as lete. | | | | | | | |
| SIGN | | alid electronic signature. | 04/16/2015 | DAN KALTENBACH | Н | | | | |
| HERE | Signature of plan ad | ministrator | Date | Enter name of individu | ual sig | ning as plan ad | ministrator | | |
| SIGN HERE | | alid electronic signature. | 04/16/2015 | DAN KALTENBACH | | | | | |
| | Signature of employ | rer/plan sponsor ame, if applicable) and address (inclu | Date | | | al signing as employer or plan sponsor Preparer's telephone number (optional) | | | |
| i loparoi s | | | | | | | | | |

| - | Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility | an indepe | ndent qualified public accounta | nt (IC | PA) | | | | es 🗌 No es 🗌 No | |
|-------------|---|--|----------------------------------|---------|---------|-----------------|---------------|---------|--------------------|----------|
| | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | | | | | | | | | |
| С | If the plan is a defined benefit plan, is it covered under the PBGC ir | nsurance p | program (see ERISA section 40 | 21)? | | Yes | No | Not det | ermined | |
| Par | t III Financial Information | | - | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Yea | r | | (b) End of Year | | | | |
| а | Total plan assets | . 7a | 5075 | 645 | | | | 69 | 6339 | |
| b | Total plan liabilities | . 7b | | 0 | | | 0 | | | |
| С | Net plan assets (subtract line 7b from line 7a) | | | | | | 696339 | | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | | | | | (b) To | otal | | |
| | Contributions received or receivable from: | | 1028 | 52 | | | | | | |
| | (1) Employers | . 8a(1) | 1814 | | | | | | | |
| | (2) Participants | . 8a(2) | | - | | | | | | |
| - | (3) Others (including rollovers) | . 8a(3) | | 062 | _ | | | | | |
| | income (loss) | | 517 | _ | | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | . 8c | | | _ | | | 32 | 4139 | _ |
| | Benefits paid (including direct rollovers and insurance premiums o provide benefits) | | 1348 | 134855 | | | | | | |
| | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | | |
| | Administrative service providers (salaries, fees, commissions) | | | 90 | | | | | | |
| | Other expenses | 1 | | 0 | | | | | | _ |
| | | | | | | | | 13 | 5345 | - |
| | Total expenses (add lines 8d, 8e, 8f, and 8g) | | | | | 188794 | | | | |
| | Net income (loss) (subtract line 8h from line 8c) 8i Fransfers to (from) the plan (see instructions) | | | 0 | | | | | | _ |
| - | | . 8j | | 0 | | | | | | |
| Par 9a | t IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension | footuro oo | idea from the List of Plan Char | otori | otio Co | doo in | the instruct | 000 | | |
| 34 | 2E 2F 2G 2J 2T 3D | leature co | | acteri | | | | 0115. | | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | les from the List of Plan Charac | cterist | ic Coc | les in t | he instructio | ns: | | |
| | | | | | | | | | | |
| Part | V Compliance Questions | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amoun | t | |
| а | Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu | | • | 10a | | х | | | | |
| b | Were there any nonexempt transactions with any party-in-interest | 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | | х | | | | |
| с | Was the plan covered by a fidelity bond? | | | 10c | Х | | | | 50000 | 0 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's | | | 100 | ~ | | | | | <u> </u> |
| ŭ | or dishonesty? | | | 10d | | Х | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) | of the ben | efits under the plan? (See | 10e | × | | | | 39; | 03 |
| f | instructions.) Has the plan failed to provide any benefit when due under the plan? | | | 10f | | Х | | | | |
| g | | | | 10g | | Х | | | | |
| | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR | | | ivg | | | | | | |
| | 2520.101-3.) | | | 10h | | X | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | | | | | | | |
| Part | Part VI Pension Funding Compliance | | | | | | | | | |
| 11 | 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) Yes No | | | | | | | | | |
| <u>11</u> a | 1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 | | | | | 11a | | | | |
| 12 | 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? | | | | | | 0 | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | | |

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| If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | | |
|---|----------|----------|---------------------|--|--|--|--|
| b Enter the minimum required contribution for this plan year | | 12b | | | | | |
| | | | | | | | |
| C Enter the amount contributed by the employer to the plan for this plan year | | 12c | | | | | |
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left onegative amount) | 12d | | | | | | |
| e Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | | | |
| Part VII Plan Terminations and Transfers of Assets | | | | | | | |
| 13a Has a resolution to terminate the plan been adopted in any plan year? | · 🗆 ۲ | Yes X No | | | | | |
| If "Yes," enter the amount of any plan assets that reverted to the employer this year | . 13a | | | | | | |
| b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought u of the PBGC? | control | | Yes 🗙 No | | | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | |
| 13c(1) Name of plan(s): | 3c(2) El | IN(s) | 13c(3) PN(s) | | | | |
| | | | | | | | |
| | | | | | | | |
| Part VIII Trust Information (optional) | | | | | | | |
| 14a Name of trust | | | 14b Trust's EIN | | | | |