Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

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Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I Annual Report	Identification Information						
For calendar plan year 2014 or fis	scal plan year beginning 01/01/20	014 and ending 12	/31/2014				
A This return/report is for:	a single-employer plan a one-participant plan	a multiple-employer plan (not multiemployer) of participating employer information in according a foreign plan					
B This return/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 m	onths)				
C Check box if filing under:	Form 5558 special extension (enter descr	automatic extension	DFVC prog	ram			
Part II Basic Plan Info	rmation—enter all requested inf	formation					
1a Name of plan STOCKHOLM OBSTETRICS & GY	/NECOLOGICAL SERVICES, PC	401(K) SAVINGS PLAN	1b Three-digit plan number (PN) ▶	001			
			1c Effective date 06/0	of plan 01/1996			
2a Plan sponsor's name and add STOCKHOLM OBSTETRICS & GY	dress; include room or suite number NECOLOGICAL SERVICES, PC	er (employer, if for a single-employer plan)	2b Employer Identification Number (EIN) 11-3318298				
374 STOCKHOLM STREET BROOKLYN, NY 11237			2c Sponsor's telephone number 718-963-7331				
·			2d Business code 621 3b Administrator's	111			
	nd address ⊠Same as Plan Spons			s telephone number			
4 If the name and/or EIN of the name, EIN, and the plan nur	4b EIN						
a Sponsor's name	·		4c PN				
5a Total number of participants	at the beginning of the plan year		5a	15			
b Total number of participants	at the end of the plan year		5b	16			
		the plan year (defined benefit plans do not	5c	7			
		an year	5d(1)	14			
d(2) Total number of active par	rticipants at the end of the plan yea	ar	5d(2)	15			
·		olan year with accrued benefits that were	5e	(
		n/report will be assessed unless reasonable cau					
	nd signed by an enrolled actuary, a	ctions, I declare that I have examined this return/repas well as the electronic version of this return/report					
	valid electronic signature.						

Date

Date

Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional)

Signature of plan administrator

Signature of employer/plan sponsor

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number (optional)

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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann	an independ	lent qualified public accounta	nt (IQ	PA) 				ш П	es 🗌	No
C	f the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pro	gram (see ERISA section 40	21)? .		Yes	No	_ N	lot de	termine	d
Par	t III Financial Information				1						
7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) Er	d of			
<u>a</u>	Total plan assets	. 7a	10468	13					97	3268	
	Total plan liabilities	. 7b	40.400	40	-				07	2000	
	Net plan assets (subtract line 7b from line 7a)	. 7c	10468	13						3268	
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Tot	al		
	(1) Employers	. 8a(1)									
	(2) Participants	. 8a(2)	899	45							
	(3) Others (including rollovers)	. 8a(3)									
b	Other income (loss)	. 8b	-1459	63							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c							-5	6018	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d									
	Certain deemed and/or corrective distributions (see instructions)	. 8e	102	38							
f	Administrative service providers (salaries, fees, commissions)	. 8f	72	89							
	Other expenses										
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h							1	7527	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i							-7	3545	
j	Transfers to (from) the plan (see instructions)	· 8j									
b Part	If the plan provides welfare benefits, enter the applicable welfare for V Compliance Questions	eature codes	s from the List of Plan Charac	cterist	c Cod	des in t	he instru	ction	s:		
10	During the plan year:				Yes	No		Α	moun	t	_
а	Was there a failure to transmit to the plan any participant contribution 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide			10a		X					
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X					
с	Was the plan covered by a fidelity bond?			10c	Χ					1100)00
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	·······		10d		X					
<u>e</u>	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.)	of the benef	its under the plan? (See	10e		X					
f	Has the plan failed to provide any benefit when due under the pla	an?		10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	as of year en	d.)	10g		X					
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part	VI Pension Funding Compliance							_			
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Υ	es X	No
_11a	Enter the unpaid minimum required contribution for current year for	rom Schedul	e SB (Form 5500) line 39			11a		1			
12	Is this a defined contribution plan subject to the minimum funding	g requiremen	ts of section 412 of the Code	or se	ction	302 of	ERISA?		Υ	es X	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below		•				<u> </u>		1		
а	If a waiver of the minimum funding standard for a prior year is being ranting the waiver.	-			and e	_	ne date d		letter ear _	ruling	_

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lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to lin	e 13.				
b	Enter the minimum required contribution for this plan year			12b			
С	Enter the amount contributed by the employer to the plan for this plan year			12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)			12d			
е	Will the minimum funding amount reported on line 12d be met by the funding	deadline?			Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?			Y	es X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer the		13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?						Yes	(No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	in to another plan(s), ide	ntify the plan(s) to)			
1	3c(1) Name of plan(s):		130	c(2) EI	N(s)	13c(3) P	N(s)
			1			l	

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust

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the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2014

This Form is Open to Public Inspection

	Pension Benefit Guaranty Corporation	► Complete all entries in ac		uctions to the Form 550	0-SF.				
		Identification Information							
For	calendar plan year 2014 or fis	scal plan year beginning	01/01/2014	and ending	12/31/20:	14			
	This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions) a one-participant plan the first return/report an amended return/report a short plan year return/report (less than 12 months)								
С	Check box if filing under:	Form 5558	automatic extension		DFVC p	rogram			
_		special extension (enter descri	iption)						
		ormation enter all requested	information						
1a	Name of plan	1b Three-digit							
	Stockholm Obstetric	cs & Gynecological Serv	ices, PC 401(k) S	avings Plan	plan numb (PN) ► 1c Effective d	001			
					06/01/1				
2a	Plan sponsor's name and ac Stockholm Obstetric	ddress; include room or suite numbe cs & Gynecological Serv:	er (employer, if for a singlices, PC	e-employer plan)		dentification Number -3318298			
	274 95-21-1-9				2c Sponsor's telephone number (718) 963-7331				
	374 Stockholm Street US Brooklyn NY 11237				2d Business code (see instructions) 621111				
<u>3a</u>		nd address X Same as Plan Spo	onsor Name		3b Administrator's EIN				
4		e plan sponsor has changed since t	he last return/report filed	for this plan, enter the	3c Administra 4b EIN	tor's telephone number			
а	Sponsor's name	·			4c PN				
5a	Total number of participants	at the beginning of the plan year	***************************************		5a	15			
b		at the end of the plan year			5b	16			
С		account balances as of the end of the			5c	7			
d(Total number of active part	ticipants at the beginning of the plan	n year	***************************************	5d(1)	14			
d(2) Total number of active part	ticipants at the end of the plan year		***************************************	5d(2)	15			
<u>е</u>	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0			
Ca	ution: A penalty for the late	or incomplete filing of this return	n/report will be assessed	l uniess reasonable cau	se is established	l			
SB		ther penalties set forth in the instruc and signed by an enrolled actuary, a plete.							
SI	GN ////	4	UMIS	Frank Vutrano					
Н	ERE Signature of plan adm	inistrator	Date	Enter name of individua	ual signing as plan administrator				
10000000	GN								
	ERE Signature of employer	· · · · · · · · · · · · · · · · · · ·	Date		dual signing as employer or plan sponsor				
Pre	eparer's name (including firm r	name, if applicable) and address; in	ciude room or suite numb	er (optional)		one number (optional)			

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6a	Were all of the plan's assets during the plan year invested in eligible	assets? (See instructions)				XYes N		
b	Are you claiming a waiver of the annual examination and report of a	•	•	(IQP	A)	*********		10	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a	-	•	•	•	••••••	Yes N	lo	
	If you answered "No" to either line 6a or line 6b, the plan canno	t use For	m 5500-SF and must instead เ						
С	If the plan is a defined benefit plan, is it covered under the PBGC ins	surance pr	ogram (see ERISA section 402	1)?		Ye	s No Not determ	ined	
P	art III Financial Information	,							
7	Plan Assets and Liabilities		(a) Beginning of Year	•			(b) End of Year		
а	Total plan assets	7a	1,046,8	13			973,268		
b	Total plan liabilities	7b							
<u>c</u>	Net plan assets (subtract line 7b from line 7a)	7c	1,046,8	13			973,268		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total		
а	Contributions received or receivable from: (1) Employers	8a(1)					Titeren		
	(2) Participants	8a(2)	89,9	45			nn <u>(2</u> 80)))))))		
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	(145,96	3)			74		
c	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					(56,018)		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d					The second second second		
e	Certain deemed and/or corrective distributions (see instructions)	8e	10,2	38					
f	Administrative service providers (salaries, fees, commissions)	8f	7,2	39					
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					17,527		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i	2226.4297.1		(73,5				
لم	Transfers to (from) the plan (see instructions)	8j					7.00		
	rt IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension fe	ature code	es from the List of Plan Characte	eristic	Code	s in th	e instructions:		
\dashv	2E 2F 2G 2J 2R 3D							—	
p	If the plan provides welfare benefits, enter the applicable welfare fea	ture codes	from the List of Plan Character	istic (Codes	in the	instructions:		
B	rt V Compliance Questions							—	
10	During the plan year:				Yes	No	Amount		
a		ons within	the time period described in		168	NO	Alliount		
_	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduc	iary Corre	ction Program)	10a		х			
b	Were there any nonexempt transactions with any party-in-interest?		-	40h		x			
	on line 10a.)			10b 10c	х	-	110,0		
d				100			110,0		
	or dishonesty?			10d		х			
е									
	insurance service, or other organization that provides some or all c instructions.)			10e		х			
f	The state of the s			10f		x			
				10g		х		—	
9			,	iog					
	2520.101-3.)			10h		х	The second secon		
i	If 10h was answered "Yes," check the box if you either provided the								
	exceptions to providing the notice applied under 29 CFR 2520.101	-3		10i			Zillille Zerove		
Pa	t VI Pension Funding Compliance						····		
11	Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)							No	
11	Enter the unpaid minimum required contribution for current year fro			•••••					
12	Is this a defined contribution plan subject to the minimum funding re	equiremen	its of section 412 of the Code or	sect	ion 30	2 of E	RISA? Yes 🗓	No	
						- 1			
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, If a waiver of the minimum funding standard for a prior year is being							—	