Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code)

Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2014

This Form is Open to Public Inspection

Part	I Annual Repor	t Identification Information							
For cal	or calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014								
A This	a single-employer plan a multiple-employer plan (not multiemployer) a multiple-employer plan (not multiemployer) a figure of participating employer information in account of the participating employer plan (not multiemployer) and the participating employer information in account of participating employer plan (not multiemployer) and the participating employer plan (not multiemployer) and the participating employer information in account of participating employer information in account of the participation in the participati				r) (Filers checking this box must attach a list ordance with the form instructions)				
		a one-participant plan	a foreign plan						
B This	return/report is	the first return/report	the final return/report	t					
		an amended return/report	a short plan year retu	urn/report (less than 12 m	months)				
C Che	C Check box if filling under: Form 5558 automatic extension				DFVC program				
	special extension (enter description)								
Part	II Basic Plan Inf	ormation—enter all requested info	ormation						
1a Name of plan MARC S LEMCHEN DMD PC 401 K PROFIT SHARING PLAN TRUST					1b Three-digit plan number (PN) ▶	001			
					1c Effective dat	te of plan			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) MARC S LEMCHEN DMD PC					2b Employer Identification Number (EIN) 13-3019915				
WINTER DELINION EN DINO 1 G					2c Sponsor's telephone number				
553 PARK AVE NEW YORK, NY 10065-8108					212-755-2333 2d Business code (see instructions)				
2					621399 3b Administrator's EIN				
Ja Pla	an administrator's name	and address XSame as Plan Spons	Or.		Administrator's EIN				
					3c Administrator's telephone number				
Tallimentator of totophone mainbor									
4 If 1	he name and/or FIN of t	he plan sponsor has changed since t	the last return/report filed	for this plan, enter the	4h FIN				
		he plan sponsor has changed since t umber from the last return/report.	the last return/report filed	for this plan, enter the	4b EIN				
na a Sp	ame, EIN, and the plan n onsor's name	number from the last return/report.	•	·	4c PN				
na a Sp	ame, EIN, and the plan n onsor's name		•	·	4c PN 5a	36			
a Sp 5a To	ame, EIN, and the plan nonsor's name otal number of participan	number from the last return/report.			4c PN				
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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)				QPA) X Yes No				lo lo	
С	if the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40)21)?		Yes	No X	Not det	ermined	
Par	t III Financial Information	1								
_7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End			_
	Total plan assets	7a	8171		_			89	4325	
	Total plan liabilities	7b	0474	0	-			00	0	
	Net plan assets (subtract line 7b from line 7a)	7c	8171	171	-				4325	
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount	(a) Amount		(b) Total				
	(1) Employers	8a(1)		0						
	(2) Participants	8a(2)	401	139						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	546	618						
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						9	4757	
	Benefits paid (including direct rollovers and insurance premiums	0.1	176	303						
	to provide benefits)	8d	170	0						_
	Certain deemed and/or corrective distributions (see instructions)	8e 8f		0						
	Administrative service providers (salaries, fees, commissions) Other expenses			0						_
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8g 8h						1	7603	_
	Net income (loss) (subtract line 8h from line 8c)	8i							7154	_
	Transfers to (from) the plan (see instructions)	8i		0						
Par	, , , , , , , , , , , , , , , , , , , ,	oj oj	<u> </u>							_
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions									
10	During the plan year:				Yes	No		Amoun	t	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
c	Was the plan covered by a fidelity bond?			10c	X				8171	7
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				854	6
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									
Part VI Pension Funding Compliance										
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
<u>11a</u>	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)		1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		inder the control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust