Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2014

This Form is Open to Public Inspection

	eport Identification Information						
For calendar plan year 20	14 or fiscal plan year beginning 01/01/2	201 <u>4</u>	and ending 1	2/31/2014			
A This return/report is for) (Filers checking this ordance with the form	s box must attach a list instructions)					
	a one-participant plan	a foreign plan					
B This return/report is	the first return/report	the final return/report					
	an amended return/report	nended return/report a short plan year return/report (less than 12 months)					
C Check box if filing under		automatic extension		DFVC pro	ogram		
	special extension (enter desc	cription)					
Part II Basic Plan	n Information—enter all requested in	nformation		_			
1a Name of plan MARINA GAFANOVICH MD PC 401 K PROFIT SHARING PLAN TRUST				1b Three-digit plan number (PN) ▶	r 001		
	1c Effective date						
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) MARINA GAFANOVICH MD PC 1550 YORK AVENUE				2b Employer Identification Number (EIN) 26-1877940			
				2c Sponsor's telephone number 212-249-6218			
NEW YORK, NY 10028			2d Business code (see instructions) 621510				
3a Plan administrator's name and address Same as Plan Sponsor.			3b Administrator's EIN				
4 If the name and/or FI	N of the plan sponsor has changed since	the last return/report filed	for this plan enter the	4b EIN			
	plan number from the last return/report.	the last retain report mea	ioi tillo piati, cittor tilo	4c PN			
5a Total number of participants at the beginning of the plan year			5a	6			
b Total number of participants at the end of the plan year			5b	7			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)			5c	2			
d(1) Total number of active participants at the beginning of the plan year			5d(1)	5d(1)			
d(2) Total number of active participants at the end of the plan year			5d(2)	5d(2)			
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	C			
Under penalties of perjury	ne late or incomplete filing of this return and other penalties set forth in the instru- leted and signed by an enrolled actuary, and complete.	ictions, I declare that I have	e examined this return/r	eport, including, if ap	plicable, a Schedule		
SIGN	orized/valid electronic signature.	06/01/2015	ROBERT BERMAN	1			
HERE Signature of	plan administrator	Date	Enter name of indivi	lividual signing as plan administrator			
SIGN							
	employer/plan sponsor	Date		ndividual signing as employer or plan sponsor			
Preparer's name (including	g firm name, if applicable) and address (i	nclude room or suite numb	er) (optional)	Preparer's telepho	one number (optional)		

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.										
C	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	rogram (see ERISA section 40	21)?		Yes	No	X	lot det	ermir	ned
Par	t III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea		-		(b) Er	nd of			
	Total plan assets	7a	25	577						4613	
	Total plan liabilities	7b	25	0 577				4613			
	Net plan assets (subtract line 7b from line 7a)	7c)//	-					4013	
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				d)) Tot	aı		
	(1) Employers	8a(1)	0								
	(2) Participants	8a(2)	(2) 171								
	(3) Others (including rollovers)	8a(3)		0							
	Other income (loss)	8b	3	318							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c								2036	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)			0							
е	Certain deemed and/or corrective distributions (see instructions)	8e		0							
f	Administrative service providers (salaries, fees, commissions)	8f		0							
g	Other expenses	8g		0							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)									0	
	Net income (loss) (subtract line 8h from line 8c)	8i								2036	
_ J	Transfers to (from) the plan (see instructions)	8j		0							
b Part	If the plan provides welfare benefits, enter the applicable welfare for V Compliance Questions	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instru	iction	s:		
10	During the plan year:				Yes	No		Α	moun	t	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
	Was the plan covered by a fidelity bond?			10c	X					2	20000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		Χ					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?					X					
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X					
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i							
Part	Part VI Pension Funding Compliance										
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
<u>11a</u>	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?										
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		inder the control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust