## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**HERE** 

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

**Annual Report Identification Information** For calendar plan year 2014 or fiscal plan year beginning and ending 12/31/2014 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the first return/report the final return/report **B** This return/report is an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan **1b** Three-digit CHUNG H. KIM, MD, PC 401(K) PROFIT SHARING PLAN plan number (PN) ▶ 001 1c Effective date of plan 01/01/2002 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number CHUNG H. KIM, MD, PC 16-1603294 (EIN) Sponsor's telephone number 585-381-1860 TOBEY VILLAGE OFFICE PARK 130 OFFICE PKWY SUITE B Business code (see instructions) PITTSFORD, NY 14534 621111 Administrator's EIN **3a** Plan administrator's name and address XSame as Plan Sponsor. **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. a Sponsor's name 4c PN Total number of participants at the beginning of the plan year ..... 5a 9 **b** Total number of participants at the end of the plan year..... 5b Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c complete this item) d(1) Total number of active participants at the beginning of the plan year..... 5d(1) d(2) Total number of active participants at the end of the plan year..... 5d(2) e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete Filed with authorized/valid electronic signature 06/09/2015 **INSUNG KIM SIGN HERE** Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN

Preparer's name (including firm name, if applicable) and address (include room or suite number ) (optional)

Signature of employer/plan sponsor

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number (optional)

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)										
С	if the plan is a defined benefit plan, is it covered under the PBGC in	surance p	rogram (see ERISA section 40	21)?		Yes	No	Not dete	rmined		
Par	t III Financial Information		1								
	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End				
	Total plan assets	7a 	14286	559	-			1611	/1/		
	Total plan liabilities	7b	14286	350				1611	717		
	Net plan assets (subtract line 7b from line 7a)	7c		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				7 17			
	Income, Expenses, and Transfers for this Plan Year  Contributions received or receivable from:		(a) Amount		(b) Total						
	(1) Employers	8a(1)	11665								
	(2) Participants	8a(2)	591	137							
	(3) Others (including rollovers)	8a(3)	4400	050							
	Other income (loss)	8b	1122	250				400	050		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  Benefits paid (including direct rollovers and insurance premiums	8c						183	058		
	to provide benefits)	8d									
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e									
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f									
	Other expenses	8g									
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						183	0		
	Net income (loss) (subtract line 8h from line 8c)  Transfers to (from) the plan (see instructions)	8i						103	000		
Par	, , , , , ,	8j									
b	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions										
10	During the plan year:			1	Yes	No		Amount			
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X					
	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					Χ					
c	Was the plan covered by a fidelity bond?			10c	X				143000		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					Χ					
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X					
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end.)	10g		X					
h 	this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part VI Pension Funding Compliance											
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							Yes	s No		
<u>11a</u>	Enter the unpaid minimum required contribution for current year fr	om Sched	lule SB (Form 5500) line 39			11a	<u> </u>				
12	Is this a defined contribution plan subject to the minimum funding			or se	ection (	302 of	ERISA?	Yes	s X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,		·	otions	ا ادم	nto- 1	no doto ef th	o lo#== :	ulina		
d	<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Day Year										

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If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
b	Enter the minimum required contribution for this plan year		12b	)							
С	Enter the amount contributed by the employer to the plan for this plan year		120	;							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 120	ı							
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A						
Part	VII Plan Terminations and Transfers of Assets										
13a	Has a resolution to terminate the plan been adopted in any plan year?			Yes X No							
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a								
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?	nt under the contro	ol	Yes X No							
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify	the plan(s) to								
1	3c(1) Name of plan(s):		13c(2)	EIN(s)	<b>13c(3)</b> PN(s)						

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust