Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I		t identification informatio	<u>n</u>						
For calend	lar plan year 2014 or	fiscal plan year beginning 01/01/	<u>′2014</u>	and ending 12	2/31/2014				
A This re	turn/report is for:	plan (not multiemployer) loyer information in accor							
		a one-participant plan	a foreign plan						
B This ret	urn/report is	the first return/report	the final return/report	t					
	•	an amended return/report a short plan year return/report (less than 12 months)							
C Check	box if filing under:	Form 5558	automatic extension	l	DFVC prog	gram			
		special extension (enter des	cription)						
Part II	Basic Plan Inf	formation—enter all requested i	information						
1a Name					1b Three-digit				
D'ARRIGO & BONELLI, D.D.S. 401(K) PROFIT SHARING PLAN					plan number				
					(PN) >	001			
					1c Effective date 01/	of plan 01/1984			
	2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 'ARRIGO & BONELLI, D.D.S., L.L.P.				2b Employer Identification Number (EIN) 20-2091567				
					2c Sponsor's telephone number				
1475 ASTOR					718-653-2411				
BRONX, NY	BRONX, NY 10469				2d Business code (see instructions) 621210				
3a Plan a	administrator's name	and address XSame as Plan Spo	nsor.		3b Administrator's EIN				
		_							
					3C Administrator	s telephone number			
4 If the	name and/or EIN of t	he plan sponsor has changed sinc	e the last return/report filed	for this plan, enter the	4b EIN				
	e, EIN, and the plan n sor's name	umber from the last return/report.			4c PN				
		ts at the beginning of the plan year	·		5a				
_	5a Total number of participants at the beginning of the plan yearb Total number of participants at the end of the plan year				5b	6			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not									
compl	lete this item)				5c	3			
d(1) Total number of active participants at the beginning of the plan year				5d(1)	5				
d(2) Total number of active participants at the end of the plan year				5d(2)	3				
		terminated employment during the			5e	(
Caution: A	A penalty for the late	e or incomplete filing of this retu	ırn/report will be assesse	d unless reasonable ca	use is established.				
SB or Sche	edule MB completed	other penalties set forth in the instr and signed by an enrolled actuary							
belief, it is	true, correct, and cor		00/00/0045	DUIL ID DARRIOG					
SIGN HERE		d/valid electronic signature.	06/09/2015	PHILIP DARRIGO	de-1-1-te-r				
	Signature of plan	administrator	Date	Enter name of individ	iuai signing as pian a	aministrator			
SIGN HERE	Signature of emp	lover/plan sponsor	Date	Enter name of individ	dual signing as emplo	ver or plan sponsor			
SIGN HERE		loyer/plan sponsor	Date (include room or suite numb	Enter name of individual					
SIGN HERE		loyer/plan sponsor name, if applicable) and address				yer or plan sponsor ne number (optional)			
SIGN HERE									

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b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lift you answered "No" to either line 6a or line 6b, the plan cannul lift the plan is a defined benefit plan, is it covered under the PBGC in	an indepe and condit ot use Fo	ndent qualified public accounta tions.) orm 5500-SF and must instea	int (IQ d d use	PA) Form	5500.		 N	X Yes X Yes	ш
Pai	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Yea	ır			(b) F	nd of	Voar	
<u>.</u>	Total plan assets	7a	(a) Beginning of Tea				(6) =	iia oi	17784	16
	Total plan liabilities	7b		0						0
	Net plan assets (subtract line 7b from line 7a)	7c	17453	341					17784	16
8	Income, Expenses, and Transfers for this Plan Year	70	(a) Amount				()) Tota	.i	
	Contributions received or receivable from:		(a) Amount				,,	, 101		
	(1) Employers	8a(1)	70	009						
	(2) Participants	8a(2)	429	909						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	558	307						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							1057	25
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	• • • • • • • • • • • • • • • • • • • •								
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f	76	684						
g	Other expenses	8g		0						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							726	50
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							330	75
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0						
Par	t IV Plan Characteristics									
9a b	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2J 2K 3D If the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable pension 2A 2E									
Par					Vaa	Na	1			
10	During the plan year:	tiono withi	in the time period described in		Yes	No		Ar	nount	
а	Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu		•	10a		Χ				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
	Was the plan covered by a fidelity bond?			10c	Χ					150000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud			10d		X				100000
е	or dishonesty? Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X				
<u>.</u>	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g						
	2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part	VI Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes	X No
11a	Enter the unpaid minimum required contribution for current year fr					11a				
12	Is this a defined contribution plan subject to the minimum funding		,				ERISA'	2	Yes	X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,			50						
	If a waiver of the minimum funding standard for a prior year is being			ctions	and a	antar th	o data	of the	lottor ru	ling

.. Month

Day

Year

granting the waiver.

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lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and s	skip to line 13.					
b	Enter the minimum required contribution for this plan year				12b			
С	Enter the amount contributed by the employer to the plan for this plan year .				12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)				12d			
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?				Yes	No N/A	
Part	VII Plan Terminations and Transfers of Assets							
13a	a Has a resolution to terminate the plan been adopted in any plan year?							
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year			13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under th of the PBGC?					e control		
С	If during this plan year, any assets or liabilities were transferred from this play which assets or liabilities were transferred. (See instructions.)	an to another pl	lan(s), identify t	he plan(s)	to			
1	3c(1) Name of plan(s):			1	3c(2) Ell	V(s)	13c(3) PN(s)	
Part VIII Trust Information (optional)								
	Name of trust RIGO & BONELLI, D.D.S. 401(K) P					ust's EIN 02091567		