## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

SIGN **HERE** 

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

**Annual Report Identification Information** For calendar plan year 2014 or fiscal plan year beginning and ending 12/31/2014 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the first return/report the final return/report **B** This return/report is an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan **1b** Three-digit **BIOLIFE 401(K) PLAN** plan number (PN) ▶ 001 1c Effective date of plan 06/01/2004 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) **2b** Employer Identification Number BIOLIFE, LLC (EIN) 65-0959147 Sponsor's telephone number 941-360-1300 8163 25TH COURT EAST SARASOTA, FL 34243-2800 Business code (see instructions) 325410 **3a** Plan administrator's name and address XSame as Plan Sponsor. Administrator's EIN **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. a Sponsor's name 4c PN Total number of participants at the beginning of the plan year ..... 5a 33 **b** Total number of participants at the end of the plan year..... 5b Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c 23 complete this item) d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 30 d(2) Total number of active participants at the end of the plan year..... 5d(2) 32 e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete Filed with authorized/valid electronic signature 06/16/2015 TIMOTHY KELLY **SIGN HERE** Signature of plan administrator Date Enter name of individual signing as plan administrator

Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional)

Signature of employer/plan sponsor

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number (optional)

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					) X Yes No				
С	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40	21)?		Yes	No	Not dete	rmined	
Par	t III Financial Information	1	1							
	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End		4.47	
	Total plan assets	7a	11606	597	+			1143	147	
	Total plan liabilities	7b	11606	397	+			1143	147	
	Net plan assets (subtract line 7b from line 7a)	7c		701	+		/b) T		177	
	Income, Expenses, and Transfers for this Plan Year  Contributions received or receivable from:		(a) Amount				(b) T	otai		
	(1) Employers	8a(1)								
	(2) Participants	8a(2)	993	328						
	(3) Others (including rollovers)	8a(3)	- 10							
	Other income (loss)	8b	543	330						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1536	558	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1711	109						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		99						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						1712	208	
	Net income (loss) (subtract line 8h from line 8c)	8i						-17	550	
	Transfers to (from) the plan (see instructions)	8j								
b	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X				150000	
d	or dishonesty?	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				Χ				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X		5605			
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year	end.)	10g	X				284	
h	this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 520.101-3.)			10h	X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	X					
Part										
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							Yes	s No	
_11a	Enter the unpaid minimum required contribution for current year fr	om Sched	dule SB (Form 5500) line 39			11a				
12	Is this a defined contribution plan subject to the minimum funding	requirem	ents of section 412 of the Code	or se	ection :	302 of	ERISA?	Yes	X No	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,		·				<u> </u>			
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	-			, and 6 	enter th Day		ie letter ru Year	uling	

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lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to lin	e 13.				
b	Enter the minimum required contribution for this plan year			12b			
С	Enter the amount contributed by the employer to the plan for this plan year			12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)			12d			
е	Will the minimum funding amount reported on line 12d be met by the funding	deadline?			Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?			Y	es X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer the	nis year		13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		•	ontrol		Yes	( No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	in to another plan(s), ide	ntify the plan(s) to	)			
1	3c(1) Name of plan(s):		130	c(2) EI	N(s)	<b>13c(3)</b> P	N(s)
			1			l	

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public inspection

	Report Identification Information				0011			
For calendar plan year	2014 or fiscal plan year beginning	01/01/2014	and ending	12/31/				
A This return/report is	a single-employer plan		an (not multiemployer) (F er information in accorda					
	a one-participant plan	a foreign plan						
B This return/report is	the first return/report	the final return/report						
	an amended return/report	report (less than 12 mor	nths)					
C Check box if filing t		DFVC program						
Part II Basic F	Plan Information—enter all requested in	nformation						
·	Tall Illiotillation—enter all requested i	mormation		1b Three-digi				
1a Name of plan BIOLIFE 401 (K	PLAN			plan numb	•			
				1c Effective d 06/01/				
2a Plan sponsor's na BIOLIFE, LLC	me and address; include room or suite num	ber (employer, if for a single-	employer plan)	2b Employer Identification Number				
BIODIFE, DEC			-	(EIN) 65-0959147  2c Spansor's telephone number				
8163 25TH COU	RT EAST			941-36	•			
0103 25111 000			-		code (see instructions)			
SARASOTA	FL 34243-28	00		325410	•			
3a Plan administrator	's name and address XSame as Plan Spo	nsor.		3b Administrator's EIN				
					ltor's lelephone number			
				46				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				4b EIN				
a Sponsor's name	te pish homoer wom the last return eport.			4c PN				
	articipants at the beginning of the plan year			5a				
	-	5b						
b Total number of participants at the end of the plan year					23			
	)f active participants at the beginning of the			5d(1)				
d(2) Total number of active participants at the end of the plan year					32			
e Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					(			
	r the late or incomplete filing of this retu			e is establishe	d.			
Under penalties of per	ury and other penalties set forth in the instri	uctions. I declare that I have e	examined this return/repo	ort, including, if	applicable, a Schedule			
SB or Schedule MB co helief, it is true, correct	mpleted and signed by an enrolled actuary, and completes	as well as the electronic vers	non or this returniteport,	and to die dest	or my knowledge and			
SIGN TO		6-15-15	TIMOTHY KELLY					
UEDE 7	of plan administrator	Date	Enter name of individua	individual signing as plan administrator				
1	r de bran administrator		TIMOTHY KELLY	m aidinii aa bia				
SIGN HERE	4-4	Dale						
Signature		ployer or plan sponsor hone number (optional)						
reparers name (inclu	ding firm name, if applicable) and address (	incide toom of suite number	, (optional)	, reparar a raidh	mond number (opnorial)			
\								
1								

e.	Form 5500-SF 2014		Page 2						
b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility at the your answered "No" to either line 6a or line 6b, the plan cannot the plan is a defined benefit plan, is it covered under the PBGC in	an indeper and condit ot use Fo	ndent qualified public accounta ions.)rm 5500-SF and must instead	nt (IQ d use	PA)  For <u>m</u>	5500.		_	☐ No
							<u> </u>		
		Γ .	(-) Designation of Yes				(h) End	of Voor	
7_	Plan Assets and Liabilities	<u> </u>	(a) Beginning of Yea	069	7		(b) End		143147
_	Total plan assets	7a	114	3003	+				14314
	Total plan liabilities	7b	114	5069	-			1	143147
	Net plan assets (subtract line 7b from line 7a)	7c		,00,	+		/L\ T		11311
8	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount		+		(b) T	otai	
a	(1) Employers	8a(1)							
	(2) Participants	8a(2)		932	8				
	(3) Others (including rollovers)	8a(3)							-
b	Other income (loss)	8b		5433	0				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							153658
	Benefits paid (including direct rollovers and insurance premiums								
	to provide benefits)	8d	1	7110	9				
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f		9	9				
g	Other expenses	8g			_				
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			<b>_</b>				171208
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							-17550
j	Transfers to (from) the plan (see instructions)	8j							
Pa	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the instruc	lions:	
	2E 2F 2G 2J 2K 3D						h - 144		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Charac	tenst	ic Coa	es in t	ne instructi	ons:	
Par	t V Compliance Questions						-		
10			***************************************		Yes	No	l	Amount	
	During the plan year:  Was there a failure to transmit to the plan any participant contribu	tione withi	n the time period described in		100			Amount	
a	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu	iciary Con	rection Program)	10a		Х			
b	Were there any nonexempt transactions with any party-in-interest	? (Do not	include transactions reported			х			
	on line 10a.)			10b		<u> </u>			
C	Was the plan covered by a fidelity bond?			10c	Х				150000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	•		10d		x			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	х				5605
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		х			
	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	х				284
_	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h	х				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne require	d notice or one of the	10ii	х				
Part		. •					l		
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)						3 (Form	∏ Yes	∏No
118	Enter the unpaid minimum required contribution for current year fr					11a			

Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?...

a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling

(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)

granting the waiver. ......Month

Yes X No

Year

Day