Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I		rt Identification Information							
For calend	lar plan year 2014 or	fiscal plan year beginning 01/01/2	2014	and ending 12	2/31/2014				
A This re	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions)						
		a one-participant plan	a foreign plan						
B This ret	urn/report is	the first return/report	the final return/report	nal return/report					
		an amended return/report a short plan year return/report (less than 12 months)							
C Check	box if filing under:	Form 5558	automatic extension		DFVC pr	ogram			
		special extension (enter des	cription)						
Part II	Basic Plan In	formation—enter all requested in	nformation						
1a Name of plan SOUND OXYGEN SERVICE 401(K) P/S PLAN				1b Three-digit					
				plan numbe (PN) ▶	r 001				
					1c Effective da				
					0	1/01/2009			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) SOUND OXYGEN SERVICE				e-employer plan)	2b Employer Identification Number				
SOUND OX	TGEN SERVICE				()	5-0849846			
4400 D DI A4	OF NW OTE D					elephone number 3-303-1892			
4108 B PLACE NW STE B AUBURN, WA 98001					2d Business code (see instructions)				
						21610			
3a Plan a	administrator's name	and address Same as Plan Spor	nsor.		3b Administrate				
SOUND OX	YGEN SERVICE		PLACE NW STE B N, WA 98001		55-0849846 3c Administrator's telephone number				
		the plan sponsor has changed since	e the last return/report filed	for this plan, enter the	4b EIN				
name, EIN, and the plan number from the last return/report. a Sponsor's name				4c PN					
5a Total number of participants at the beginning of the plan year					5a				
b Total number of participants at the end of the plan year					5b	62			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				5c					
d(1) Total number of active participants at the beginning of the plan year					5d(1)				
d(2) To	tal number of active	participants at the end of the plan ye	ear		5d(2)	48			
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	5					
		e or incomplete filing of this retu			use is established	_			
Under pen SB or Sch	nalties of perjury and edule MB completed	other penalties set forth in the instru and signed by an enrolled actuary,	uctions, I declare that I hav	e examined this return/re	port, including, if ap	plicable, a Schedule			
SIGN		ue, correct, and complete. Filed with authorized/valid electronic signature. 06/18/2015 RANDY WESTWOOD							
HERE	Signature of plan	administrator	Date	Enter name of individ	nter name of individual signing as plan administrator				
SIGN									
HERE		oloyer/plan sponsor	Date	Enter name of individual signing as employer or plan spor					
Preparer's	name (including firm	n name, if applicable) and address (inciuae room or suite numl	per) (optional)	Preparer's teleph	one number (optional)			

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b .	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								
	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40)21)? .		Yes	No Not determined		
Par	III Financial Information	I							
7	Plan Assets and Liabilities		(a) Beginning of Yea			(b) End of Year			
	Total plan assets			192529			260488		
	Total plan liabilities			0			0		
	Net plan assets (subtract line 7b from line 7a)			529	-		260488		
	Income, Expenses, and Transfers for this Plan Year (a) Amount						(b) Total		
	Contributions received or receivable from: 1) Employers	8a(1)	242	243					
	2) Participants	8a(2)	378	357					
	3) Others (including rollovers)	8a(3)		0					
	Other income (loss)	8b	167	7 67					
	Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					78867		
	Benefits paid (including direct rollovers and insurance premiums								
t	o provide benefits)	8d	93	341					
_ e (Certain deemed and/or corrective distributions (see instructions)	8e		0					
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f	15	67					
<u>g</u> (Other expenses	8g		0					
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					10908		
	Net income (loss) (subtract line 8h from line 8c)	8i					67959		
_ J	Fransfers to (from) the plan (see instructions)	8j							
	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
a b	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			
	on line 10a.)	`	•	10b		X			
С	Was the plan covered by a fidelity bond?			10c	X		40000		
d						X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X			
f	f Has the plan failed to provide any benefit when due under the plan?					X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
Part	VI Pension Funding Compliance								
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
<u>11a</u>	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39								
12									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year								

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year		12b				
С	Enter the amount contributed by the employer to the plan for this plan year		12c				
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a				
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)		

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust