Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2014 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the final return/report **B** This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan **1b** Three-digit PHOENIX ENVIRONMENTAL 401(K) PLAN plan number (PN) ▶ 001 1c Effective date of plan 01/01/2004 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number PHOENIX ENVIRONMENTAL SERVICES, INC (EIN) 91-2022353 Sponsor's telephone number 253-779-8474 2212 PORT OF TACOMA ROAD TACOMA, WA 98421 Business code (see instructions) 562000 **3a** Plan administrator's name and address XSame as Plan Sponsor. Administrator's EIN **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name Total number of participants at the beginning of the plan year 5a 16 **b** Total number of participants at the end of the plan year..... 5b 17 Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c complete this item) d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 15 d(2) Total number of active participants at the end of the plan year..... 5d(2) 16 e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

belief, it is t	rue, correct, and complete.					
SIGN HERE	Filed with authorized/valid electronic signature.	06/22/2015	CHRISTINE BRIDGES			
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN						
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			
Preparer's	Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional) Preparer's tele					

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_	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cannot be a second or line 6b.	an indepe and condit	ndent qualified public accountations.)	ınt (IQ	PA)			2	Yes Yes		
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	program (see ERISA section 40	21)?		Yes	No	No	t deter	mined	
Pai	t III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	ır			(b) Er	nd of \	/ear		
<u>a</u>	Total plan assets	. 7a	2609	904					3398	37	
b	Total plan liabilities	. 7b									
<u> </u>	Net plan assets (subtract line 7b from line 7a)	. 7с	2609	260904			339837				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(a) Amount			(b) Total				
а	Contributions received or receivable from: (1) Employers	. 8a(1)	108	10842							
	(2) Participants	. 8a(2)	490	49068							
	(3) Others (including rollovers)										
	Other income (loss)	. 8b	316	661							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				9157			71		
	Benefits paid (including direct rollovers and insurance premiums	1									
	to provide benefits)	. 8d									
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e	122								
f	Administrative service providers (salaries, fees, commissions)	. 8f	4	121							
g	Other expenses	. 8g									
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h							126		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)								789	33	
j	Transfers to (from) the plan (see instructions)	· 8j									
Par	t IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D 2T	feature co	odes from the List of Plan Char	acteris	stic Co	odes in	the inst	ruction	s:		
b			lan from the Lint of Diag Chave	_4 _ u! _4	:- 0	laa !a 4	h a !.a a4m.				
b	If the plan provides welfare benefits, enter the applicable welfare f	eature coc	les from the List of Plan Chara	ciensi	ic Coc	ies in t	ne instru	ictions	•		
Par	V Compliance Questions										
10	During the plan year:				Yes	No		Am	ount		
a	Was there a failure to transmit to the plan any participant contribu	utions withi	n the time period described in								
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fid		0 /	10a		X					
b	Were there any nonexempt transactions with any party-in-interes on line 10a.)		-	10b		X					
	,			100							
<u>c</u>				10c	X					10000	
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other										
	insurance service, or other organization that provides some or all	of the ber	efits under the plan? (See			V					
	instructions.)			10e		X					
f	Has the plan failed to provide any benefit when due under the plan?			10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X					
h	1 ,			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10i							
Part VI Pension Funding Compliance											
11											
	5500) and line 11a below)	•					•		Yes	X No	
11a	Enter the unpaid minimum required contribution for current year f	rom Sched	dule SB (Form 5500) line 39	<u></u>		11a					
12	Is this a defined contribution plan subject to the minimum funding	requirem	ents of section 412 of the Code	or se	ection	302 of	ERISA?] [Yes	X No	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below										
a	If a waiver of the minimum funding standard for a prior year is bei			ctions	and a	antar th	atch a	of the I	ottor ru	ling	

. Month

Day

Year

granting the waiver.

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If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year		12b				
С	Enter the amount contributed by the employer to the plan for this plan year		12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)		1 124				
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to				
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)		

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust