## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

**Annual Report Identification Information** For calendar plan year 2014 or fiscal plan year beginning and ending 12/31/2014 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the final return/report **B** This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan **1b** Three-digit NORTHWEST SURGICAL GROUP, P.C. EMPLOYEE PROFIT SHARING PLAN plan number (PN) ▶ 004 1c Effective date of plan 01/01/2009 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) **2b** Employer Identification Number NORTHWEST SURGICAL GROUP, P.C. (EIN) 86-0575860 Sponsor's telephone number 360-754-1029 3920 CAPITAL MALL DR. SW SUITE 201 OLYMPIA, WA 98502-8702 Business code (see instructions) 621111 3b Administrator's EIN **3a** Plan administrator's name and address XSame as Plan Sponsor. **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name Total number of participants at the beginning of the plan year ..... 5a 5 **b** Total number of participants at the end of the plan year..... 5b 5 Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c 5 complete this item) d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 3 d(2) Total number of active participants at the end of the plan year..... 5d(2) 4 e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete Filed with authorized/valid electronic signature. 06/30/2015 WILLIAM WILLIARD, M.D. **SIGN HERE** Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN **HERE** Enter name of individual signing as employer or plan sponsor Signature of employer/plan sponsor Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional) Preparer's telephone number (optional) DON HENDERSON 602-312-0411 HENDERSON RETIREMENT SERVICES, INC. 3877 E. TAURUS PLACE

CHANDLER, AZ 85249

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b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lif you answered "No" to either line 6a or line 6b, the plan cannot be a considerable with the considerable with th	an indeper and conditi	dent qualified public accounta	int (IQ	PA)				X Y	es [	No No
C	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	rogram (see ERISA section 40	21)?		Yes	No		lot det	ermir	ned
Par	t III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) E	nd of	Year		
<u>a</u>	Total plan assets	7a	550						6	0929	
	Total plan liabilities	7b		0						0	
	Net plan assets (subtract line 7b from line 7a)	7c	550	)28	-				6	0929	
	ncome, Expenses, and Transfers for this Plan Year		(a) Amount				(k	) Tot	al		
	Contributions received or receivable from: (1) Employers	8a(1)	44	156							
	2) Participants	8a(2)		0							
	(3) Others (including rollovers)	8a(3)		0							
b	Other income (loss)	8b	72	208							
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							1	1664	
	Benefits paid (including direct rollovers and insurance premiums or provide benefits)	8d	57	763							
	Certain deemed and/or corrective distributions (see instructions)	8e		0							
	Administrative service providers (salaries, fees, commissions)	8f		0							
	Other expenses	8g		0							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								5763	
i	Net income (loss) (subtract line 8h from line 8c)	8i								5901	
j	Transfers to (from) the plan (see instructions)	8j		0							
9a b Part	If the plan provides pension benefits, enter the applicable pension 2A 2E 3D  If the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable pension 2A 2E 3D										
10	During the plan year:				Yes	No		Α	moun	t	
	Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidure)	ciary Corr	ection Program)	10a		Χ					
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		Χ					
С	Was the plan covered by a fidelity bond?			10c	X					25	0000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.)	of the bene	efits under the plan? (See	10e		X					
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	nd.)	10g		X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part	VI Pension Funding Compliance										
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Y	es	No
11a	Enter the unpaid minimum required contribution for current year fr	om Sched	ule SB (Form 5500) line 39			11a					
12	Is this a defined contribution plan subject to the minimum funding	requireme	nts of section 412 of the Code	or se	ection	302 of	ERISA'	?	Y	es X	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,										
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	-			, and 6	enter tl Day			letter ear	rulino	<u>}</u>

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lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to lin	e 13.				
b	Enter the minimum required contribution for this plan year			12b			
С	Enter the amount contributed by the employer to the plan for this plan year			12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)			12d			
е	Will the minimum funding amount reported on line 12d be met by the funding	deadline?			Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?			Y	es X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer the	nis year		13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		•	ontrol		Yes	( No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	in to another plan(s), ide	ntify the plan(s) to	)			
1	3c(1) Name of plan(s):		130	c(2) EI	N(s)	<b>13c(3)</b> P	N(s)
			1			l	

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2014

This Form is Open to Public Inspection

Part I	Annual Report	Identification	Information					· <u>-</u> -			
For calend	ar plan year 2014 or fi	scal plan year begir	nning	01/01/2014		and ending	12,	/31/2014			
A This ref	turn/report is for:	x a single-emplo		of participating		an (not multiemployer) er information in acco		king this box must attach a list the form instructions)			
<b>D</b>		a one-participa		a foreign plan							
B This retu	urn/report is	the first return/	•	the final return	-						
	an amended return/report a short plan year return/report (less than 12 months)										
C Check I	box if filing under:	Form 5558	ion (ootos documin	automatic extension	ension			FVC program			
		i special extensi	ion (enter descrip	Juon)							
Part II	Basic Plan Info	rmation—enter a	all requested info	rmation							
1a Name Northwe	ofplan est Surgical (	Group, P.C.	Employee F	Profit Shar	ing P	lan	1b Three plan	number 004			
								ctive date of plan /01/2009			
<b>2a</b> Plans Northwe	ponsor's name and ad est Surgical (	ldress; include room Group, P.C.	or suite number	(employer, if for	single-	employer plan)		loyer Identification Number ) 86-0575860			
3920 Ca	apital Mall D	r. SW Suite	201				2c Sponsor's telephone number 360 - 754 - 1029				
07	_		22522 2522					ness code (see instructions)			
Olympia			98502-8702					111			
Ja Plan a	dministrator's name ar	nd address XSame	e as Plan Sponso	or.			3D Adm	inistrator's EIN			
							3c Administrator's telephone number				
4		· · · · · · · · · · · · · · · · · · ·					ļ.,				
	name and/or EIN of the , EIN, and the plan nu			ie last return/repo	t filed fo	r this plan, enter the	4b EIN				
	or's name	moer from the last i	стититероп.				4c PN				
<del>`</del> <del>,</del>	number of participants	at the beginning of	the plan year					5			
<b>b</b> Total i	number of participants	at the end of the pl	an year	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	**********			5			
	er of participants with	•	•								
comple	ete this item)						5c	5			
<b>d(1)</b> Tot	al number of active pa	rticipants at the beg	inning of the pla	n year			5d(1)	۱ .			
<b>d(2)</b> Tot	al number of active pa	rticipants at the end	l of the plan vear				5d(2)	4			
	er of participants that to						, ,				
	an 100% vested						5e	0			
Caution: A	penalty for the late	or incomplete filin	g of this return/	report will be as:	essed u	ınless reasonable ca	use is estal	olished.			
SB or Sche		nd signed by an enr						ng, if applicable, a Schedule best of my knowledge and			
SIGN HERE	100	llu_		6/261	16	William Willi	lard, M.	D.			
146176						dual signing as plan administrator					
SIGN	7	llw-		6/261	76	William Willi	ard, M.	D.			
HERE	Signature of emplo	yer/plan sponsor		Date		Enter name of individ	dual signing	as employer or plan sponsor			
-	name (including firm r	name, if applicable)	and address (inc	lude room or suite	number	r) (optional)	Preparer's	s telephone number (optional)			
	nderson		T					602-312-0411			
	son Retirement	•	inc.								
30// E.	. Taurus Place	=									
Chandle	er	AZ	85249								

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b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan's assets during the plan year invested in eligible and the plan's assets during the plan year invested in eligible and the plan's assets during the plan year invested in eligible and the plan year invested in the plan year i	an indeper and conditi ot use Fo	(See instructions.)dent qualified public accounta ons.)	nt (IQ	PA) Form	5500.		X Yes	s No
	If the plan is a defined benefit plan, is it covered under the PBGC in:  t III Financial Information	surance p	rogram (see ERISA section 40	21)?		Yes	No L	Not deter	rmined
7	Plan Assets and Liabilities		(a) Denimalian of Ven		<u> </u>		/b) F	-f V	
<u>'</u>	Total plan assets	7a	(a) Beginning of Yea	i <b>r</b> 5502	2.8		(b) End	or rear	60929
	Total plan liabilities	7a 7b		3302	0				00323
	Net plan assets (subtract line 7b from line 7a)	7c		5502	28				60929
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) T	otal	
	Contributions received or receivable from:		(4)	4.45			(-, -		
	(1) Employers	8a(1)		445					
	(2) Participants	8a(2)			0				
	(3) Others (including rollovers)	8a(3)		700	0				
	Other income (loss)	8b		720	8				11.00
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  Benefits paid (including direct rollovers and insurance premiums	8c			_				11664
	to provide benefits)	8d		576	3				
	Certain deemed and/or corrective distributions (see instructions)	8e			0				
f	Administrative service providers (salaries, fees, commissions)	8f			0				
g	Other expenses	8g			0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							5763
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							5901
<u>j</u>	Transfers to (from) the plan (see instructions)	8j			0				
9a b	If the plan provides pension benefits, enter the applicable pension to 2A 2E 3D  If the plan provides welfare benefits, enter the applicable welfare fe								
-	V Compliance Questions				ı	1			
10	During the plan year:				Yes	No		Amount	
	Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu Were there any nonexempt transactions with any party-in-interest	iciary Corr	ection Program)	10a		Х			
	on line 10a.)	·····	·	10b		X			
c				10c	X				250000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		Х			
e	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.)	of the ben	efits under the plan? (See	10e		Х			
f	Has the plan failed to provide any benefit when due under the plan?					X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X			
h	If this is an individual account plan, was there a blackout period? ( 2520.101-3.)	•		10h		Х			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.101	•		10i					
Part	VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirements 500) and line 11a below)							Yes	s No

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lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	rm 5500), and skip to line 13.	_		
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	`	12d		
<u>e</u>	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?			Yes X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferr of the PBGC?		e control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this play which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify the plan(	s) to		
	13c(1) Name of plan(s):		13c(2) E	IN(s)	<b>13c(3)</b> PN(s)
Part	VIII Truct Information (ontional)				
	VIII Trust Information (optional)		14h ±	rust's EIN	
144	Name of trust		140	IUSES EIN	