| Fo  | rm 5500-SF   | Short Form Annual Return/Report of Small Employed  |                            |   |              | OMB Nos. 1210-0110<br>1210-0089            |                          |  |  |  |
|---|--|--|----------------------------|---|--------------|--|--------------------------|--|--|--|
| Department of the Treasury<br>Internal Revenue Service  |  | Benefit Plan<br>This form is required to be filed under sections 104 and 4065 of the Employe   |                            |   | е            | 2  | 013                      |  |  |  |
| Employee I  | Department of Labor<br>Benefits Security Administration<br>Benefit Guaranty Corporation  | ment of Labor         Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 605           s Security Administration         the Internal Revenue Code (the Code). |                            |   |              | This Form is                               | s Open to Public pection |  |  |  |
| Part I  | Annual Report Id   |  |                            |   | 0-3F.        |  |                          |  |  |  |
| Part I         Annual Report Identification Information           For calendar plan year 2013 or fiscal plan year beginning         01/01/2013         and ending         12/31/2013  |  |  |                            |   |              |  |                          |  |  |  |
| Δ This re   | aturn/report is for:   | X a single-employer plan   | a multiple-employer p      | lan (not multiemplover)                 |              | a one-particip                             | ant plan                 |  |  |  |
|   | This return/report is for:       Image: a single-employer plan       Image: a multiple-employer plan       I   |  |                            |   |              |  |                          |  |  |  |
| DINSIE  |  |  | •                          | n/non-out (loop them 10 m               |              |  |                          |  |  |  |
| -   |  |  | 1 9                        | n/report (less than 12 mo               | ontns)       | _  |                          |  |  |  |
| C Check   | C Check box if filing under:   |  |                            |   |              |  | DFVC program             |  |  |  |
|   |  | special extension (enter description   | ו)                         |   |              |  |                          |  |  |  |
| Part II   | Basic Plan Inform  | mation—enter all requested informa   | tion                       |   |              |  |                          |  |  |  |
| <b>1a</b> Name of plan<br>VR LEDDY MD PC 401(K) PROFIT SHARING PLAN & TRUST   |  |  |                            |   | 1b           | Three-digit<br>plan number                 | 224                      |  |  |  |
|   |  |  |                            |   | 4            | (PN) ►                                     | 001                      |  |  |  |
|   |  |  |                            |   | 10           | Effective date of 01/01/                   | •                        |  |  |  |
| 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) VR LEDDY MD PC   |  |  |                            |   |              | Employer Identif<br>(EIN) 26-258           |                          |  |  |  |
| 160 FOUR  | TH ST  | 160 FOURTH   | ST                         |   | 2c           | Sponsor's telept<br>631-273                |                          |  |  |  |
| BRENTWOOD, NY 11717 BRENTWOOD, NY 11717   |  |  |                            |   | 2d           | Business code (see instructions)<br>621111 |                          |  |  |  |
| 3a Plana  | administrator's name and   | address XSame as Plan Sponsor Na   | ame Same as Plar           | n Sponsor Address                       | 3b           | Administrator's EIN                        |                          |  |  |  |
|   |  |  |                            |   |              |  | elephone number          |  |  |  |
|   |  | blan sponsor has changed since the la  | st return/report filed for | or this plan, enter the                 | 4b EIN       |  |                          |  |  |  |
|   |  | per from the last return/report.   |                            |   | <b>4c</b> PN |  |                          |  |  |  |
| a Sponsor's name<br>5a Total number of participants at the beginning of the plan year   |  |  |                            |   | 5a           |  | 18                       |  |  |  |
| <b>b</b> Total number of participants at the end of the plan year   |  |  |                            |   | 5b           |  | 19                       |  |  |  |
| <ul> <li>C Number of participants with account balances as of the end of the plan year (defined benefit plans do not</li> </ul>   |  |  |                            |   | 30           |  | 13                       |  |  |  |
|   |  |  |                            |   | 5c           |  | 3                        |  |  |  |
| <b>b</b> Are y unde   | 6a       Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan's assets during the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan's assets during the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan's assets during the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan's assets during the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan's assets during the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instructions.)       Image: Comparison of the plan year invested in eligible assets? (See instr |  |                            |   |              |  |                          |  |  |  |
| -   |  | plan, is it covered under the PBGC ins   |                            |   | _            |  | Not determined           |  |  |  |
|   |  |  |                            |   |              |  |                          |  |  |  |
| Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.<br>Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. |  |  |                            |   |              |  |                          |  |  |  |
| SIGN  | Filed with authorized/va   | lid electronic signature.  | 07/08/2015                 | VINCENT LEDDY                           |              |  |                          |  |  |  |
| HERE  | Signature of plan adm  | ninistrator  | Date                       | Enter name of individu                  | ual sig      | ining as plan adm                          | ninistrator              |  |  |  |
| SIGN  |  | alid electronic signature.   | 07/08/2015                 | VINCENT LEDDY                           |              |  |                          |  |  |  |
| HERE  |  | 0  | Date                       |   |              | ining as omployed                          |                          |  |  |  |
| Preparer's  | Signature of employe<br>name (including firm name  | me, if applicable) and address; include  |                            | Enter name of individuer (optional)     |              |  | number (optional)        |  |  |  |
|   | , <u>.</u>   |  |                            | ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) | - 4          |  | (-1)                     |  |  |  |

| 7 Plan Assets and Liabilities  |  | (a) Beginning of Year  |  |             | (b) End of Year   |        |       |  |  |
|--|--|--|--|-------------|---|--------|-------|--|--|
| a Total plan assets  | 7a   |  | 1659   |             | 1393  |        |       |  |  |
| <b>b</b> Total plan liabilities  | 7b   |  | 0  |             |   | 0      |       |  |  |
| C Net plan assets (subtract line 7b from line 7a)  | 7c   | 165  | 13939  |             |   |        |       |  |  |
| 8 Income, Expenses, and Transfers for this Plan Year   |  | (a) Amount   |  | (b) Tota    |   | tal    |       |  |  |
| a Contributions received or receivable from:   |  |  |  |             |   |        |       |  |  |
| (1) Employers  | 8a(1)  | 0  |  |             |   |        |       |  |  |
| (2) Participants   | 8a(2)  | 10762  |  |             |   |        |       |  |  |
| (3) Others (including rollovers)   | 8a(3)  | 0  |  |             |   |        |       |  |  |
| <b>b</b> Other income (loss)   | 8b<br>8c   | 1518   |  |             |   |        |       |  |  |
| <b>C</b> Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  |  |  |  | 12280       |   |        | 12280 |  |  |
| d Benefits paid (including direct rollovers and insurance premiums to provide benefits)  | 8d   | 8d 0   |  |             |   |        |       |  |  |
| e Certain deemed and/or corrective distributions (see instructions)  | 8e   | 0  |  |             |   |        |       |  |  |
| f Administrative service providers (salaries, fees, commissions)   | 8f   | 0  |  |             |   |        |       |  |  |
| g Other expenses   | 8g   |  | 0  |             |   |        |       |  |  |
| h Total expenses (add lines 8d, 8e, 8f, and 8g)  | 8h   |  |  |             |   |        | 0     |  |  |
| i Net income (loss) (subtract line 8h from line 8c)  | 8i   |  |  |             |   |        | 12280 |  |  |
| i Transfers to (from) the plan (see instructions)  | 8j   |  | 0  |             |   |        |       |  |  |
| Part IV Plan Characteristics   | oj   |  | 0  |             |   |        |       |  |  |
| Part V Compliance Questions  |  |  |  |             |   |        |       |  |  |
| 10 During the plan year:   |  |  |  |             | No  | Amount |       |  |  |
| <ul> <li>a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)</li> </ul>   |  |  |  |             | x   |        |       |  |  |
| b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  |  |  |  |             | x   |        |       |  |  |
| <b>C</b> Was the plan covered by a fidelity bond?  |  | C Was the plan covered by a fidelity bond?   |  |             |   |        |       |  |  |
| <b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud   |  |  |  |             | Х   |        |       |  |  |
| or disnonesty?   | •  | that was caused by fraud   | 10c<br>10d   |             | x<br>x  |        |       |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all o instructions.)</li> </ul>   | er persons b<br>of the benefi  | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See     |  |             |   |        |       |  |  |
| e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all of   | er persons b<br>of the benefi  | that was caused by fraud<br>by an insurance carrier,<br>ts under the plan? (See    | 10d  |             | Х   |        |       |  |  |
| <b>e</b> Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all o instructions.)  | er persons b<br>of the benefi<br>n?  | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See     | 10d<br>10e<br>10f                                  |             | x<br>x  |        |       |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all o instructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> <li>h If this is an individual account plan, was there a blackout period? (</li> </ul>   | er persons b<br>of the benefi<br>n?<br>s of year end<br>See instructi  | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See     | 10d<br>10e   |             | x<br>x<br>x   |        |       |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all o instructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> </ul>  | er persons b<br>of the benefi<br>n?<br>s of year end<br>See instruction<br>re required n   | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See<br> | 10d<br>10e<br>10f<br>10g                           |             | x<br>x<br>x<br>x  |        |       |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all o instructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> <li>h If this is an individual account plan, was there a blackout period? (2520.101-3.)</li> <li>i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101</li> </ul>  | er persons b<br>of the benefi<br>n?<br>s of year end<br>See instruction<br>re required n   | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See<br> | 10d<br>10e<br>10f<br>10g<br>10h                    |             | x<br>x<br>x<br>x  |        |       |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all o instructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> <li>h If this is an individual account plan, was there a blackout period? (2520.101-3.)</li> <li>i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101</li> <li>Part VI Pension Funding Compliance</li> </ul>  | er persons b<br>of the benefi<br>a?<br>s of year end<br>See instruction<br>e required n<br>I-3   | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See<br> | 10d<br>10e<br>10f<br>10g<br>10h<br>10i             |             | X<br>X<br>X<br>X<br>X   |        | Yes X |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all orinstructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> <li>h If this is an individual account plan, was there a blackout period? (2520.101-3.)</li> <li>i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101</li> <li>Part VI Pension Funding Compliance</li> <li>11 Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)</li> </ul>   | er persons b<br>of the benefi<br>a?<br>s of year end<br>See instructi<br>e required n<br>I-3<br>ents? (If "Ye  | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See<br> | 10d<br>10e<br>10f<br>10g<br>10h<br>10h             | <u></u>     | X<br>X<br>X<br>X<br>X   |        | Yes X |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all orinstructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> <li>h If this is an individual account plan, was there a blackout period? (2520.101-3.)</li> <li>i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101</li> <li>Part VI Pension Funding Compliance</li> <li>11 Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)</li> </ul>   | er persons b<br>of the benefi<br>s of year end<br>See instruction<br>e required n<br>I-3   | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See<br> | 10d<br>10e<br>10f<br>10g<br>10h<br>10i             | 1           | X<br>X<br>X<br>X<br>X<br>Ule SB   | ······ | Yes X |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all orinstructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> <li>h If this is an individual account plan, was there a blackout period? (2520.101-3.)</li> <li>i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101</li> <li>Part VI Pension Funding Compliance</li> <li>11 Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)</li> <li>11a Enter the unpaid minimum required contribution for current year from the second s</li></ul> | er persons b<br>of the benefi<br>a?<br>s of year end<br>See instruction<br>required n<br>l-3   | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See<br> | 10d<br>10e<br>10f<br>10g<br>10h<br>10i             | 1           | X<br>X<br>X<br>X<br>X<br>Ule SB   | ······ |       |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all or instructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> <li>h If this is an individual account plan, was there a blackout period? (2520.101-3.)</li> <li>i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101</li> <li>Part VI Pension Funding Compliance</li> <li>11 Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)</li> </ul>  | er persons b<br>of the benefi<br>n?<br>s of year end<br>See instructi<br>e required n<br>I-3<br>ents? (If "Ye<br>om Schedule<br>requirement<br>as applicabl<br>g amortized | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See<br> | 10d<br>10e<br>10f<br>10g<br>10h<br>10i<br>e or sec | 1<br>tion 3 | X<br>X<br>X<br>X<br>X<br>Ule SB<br>Ule SB   | ERISA? | Yes X |  |  |
| <ul> <li>e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all or instructions.)</li> <li>f Has the plan failed to provide any benefit when due under the plan</li> <li>g Did the plan have any participant loans? (If "Yes," enter amount as</li> <li>h If this is an individual account plan, was there a blackout period? (2520.101-3.)</li> <li>i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101</li> <li>Part VI Pension Funding Compliance</li> <li>11 Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)</li> <li>11a Enter the unpaid minimum required contribution for current year from the second contribution plan subject to the minimum funding (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,</li> <li>a If a waiver of the minimum funding standard for a prior year is bein</li> </ul>  | er persons b<br>of the benefi<br>n?<br>s of year end<br>See instructi<br>e required n<br>I-3<br>ents? (If "Ye<br>om Schedule<br>requirement<br>as applicabl<br>g amortized | that was caused by fraud<br>y an insurance carrier,<br>ts under the plan? (See<br> | 10d<br>10e<br>10f<br>10g<br>10h<br>10i<br>e or sec | 1<br>tion 3 | X<br>X<br>X<br>X<br>X<br>Ule SB<br>Ule SB<br>Ule SB<br>Ule SB<br>Ule SB<br>Ule SB<br>Ule SB<br>Ule SB<br>Ule SB | ERISA? | Yes X |  |  |

| C   | Enter the amount contributed by the employer to the plan for this plan year   | 12c    |                 |                     |  |  |  |
|---|---|--------|-----------------|---------------------|--|--|--|
| d   | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)     | 12d    |                 |                     |  |  |  |
| е   | Will the minimum funding amount reported on line 12d be met by the funding deadline?  |        | Yes             | No N/A              |  |  |  |
| Part VII Plan Terminations and Transfers of Assets  |   |        |                 |                     |  |  |  |
| 13a   | Has a resolution to terminate the plan been adopted in any plan year?   | Ye     | es X No         |                     |  |  |  |
|   | If "Yes," enter the amount of any plan assets that reverted to the employer this year   | 13a    |                 |                     |  |  |  |
| b   | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the c of the PBGC? | ontrol |                 | Yes X No            |  |  |  |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) |   |        |                 |                     |  |  |  |
| 13c(1) Name of plan(s):   |   |        | l(s)            | <b>13c(3)</b> PN(s) |  |  |  |
|   |   |        |                 |                     |  |  |  |
|   |   |        |                 |                     |  |  |  |
| Part  | VIII Trust Information (optional)   |        | 1               |                     |  |  |  |
| 14a Name of trust   |   |        | 14b Trust's EIN |                     |  |  |  |
|   |   |        |                 |                     |  |  |  |
|   |   |        |                 |                     |  |  |  |