

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 2013 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>10/01/2013</u> and ending <u>09/30/2014</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>ALLISON TRANSMISSION HOURLY-RATE EMPLOYEES PENSION PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>004</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>08/07/2007</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>26-0413897</u></td> </tr> <tr> <td colspan="2">2c Sponsor's telephone number <u>317-242-3561</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>336300</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>004</u>	1c Effective date of plan <u>08/07/2007</u>		2b Employer Identification Number (EIN) <u>26-0413897</u>		2c Sponsor's telephone number <u>317-242-3561</u>		2d Business code (see instructions) <u>336300</u>	
1b Three-digit plan number (PN) ▶	<u>004</u>										
1c Effective date of plan <u>08/07/2007</u>											
2b Employer Identification Number (EIN) <u>26-0413897</u>											
2c Sponsor's telephone number <u>317-242-3561</u>											
2d Business code (see instructions) <u>336300</u>											
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>ALLISON TRANSMISSION, INC.</u> <u>DOUG R. BOLTON</u> <u>ONE ALLISON WAY</u> <u>INDIANAPOLIS, IN 46222-3271</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature. Signature of plan administrator	07/08/2015 Date	DOUG R. BOLTON Enter name of individual signing as plan administrator
SIGN HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 1180
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).	
a Active participants	6a 922
b Retired or separated participants receiving benefits	6b 133
c Other retired or separated participants entitled to future benefits.....	6c 107
d Subtotal. Add lines 6a , 6b , and 6c	6d 1162
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	6e 16
f Total. Add lines 6d and 6e	6f 1178
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1B 3H	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 09/30/2014

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>ALLISON TRANSMISSION HOURLY-RATE EMPLOYEES PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>004</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information
1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2013</u>	
2 Assets:	
a Market value	2a <u>57350938</u>
b Actuarial value	2b <u>57350938</u>
3 Funding target/participant count breakdown:	
a For retired participants and beneficiaries receiving payment.....	3a <u>83</u> <u>3508757</u>
b For terminated vested participants.....	3b <u>106</u> <u>1450296</u>
c For active participants:	
(1) Non-vested benefits	3c(1) <u>2861000</u>
(2) Vested benefits	3c(2) <u>34074354</u>
(3) Total active.....	3c(3) <u>991</u> <u>36935354</u>
d Total.....	3d <u>1180</u> <u>41894407</u>
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐	
a Funding target disregarding prescribed at-risk assumptions	4a
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b
5 Effective interest rate	5 <u>6.37 %</u>
6 Target normal cost.....	6 <u>4682631</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		<u>07/06/2015</u>
	Signature of actuary	Date
<u>GARY D. DICKSON, FSA, EA, MAAA</u>		<u>14-03764</u>
	Type or print name of actuary	Most recent enrollment number
<u>MERCER</u>		<u>513-632-2614</u>
	Firm name	Telephone number (including area code)
<u>525 VINE STREET, SUITE 1600</u> <u>CINCINNATI, OH 45202-3124</u>		
	Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2013
v. 130118

Part II Beginning of Year Carryover and Prefunding Balances		
	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>6.33</u> %	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		5200131
b Interest on (a) using prior year's effective interest rate of <u>7.08</u> % except as otherwise provided (see instructions)		368169
c Total available at beginning of current plan year to add to prefunding balance		5568300
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages		
14 Funding target attainment percentage	14	136.89 %
15 Adjusted funding target attainment percentage	15	136.89 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	152.47 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
07/15/2014	1200000	0			
10/15/2014	1200000	0			
			Totals ►	18(b)	2400000 18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	2268593
20 Quarterly contributions and liquidity shortfalls:		
a Did the plan have a "funding shortfall" for the prior year?	☐ Yes ☒ No	
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	☐ Yes ☐ No	
c If line 20a is "Yes," see instructions and complete the following table as applicable:		

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.94%	2nd segment: 6.15%	3rd segment: 6.76 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 0
22 Weighted average retirement age				22 60
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6).....	31a	4682631	
b Excess assets, if applicable, but not greater than line 31a	31b	4682631	
32 Amortization installments:	Outstanding Balance		Installment
a Net shortfall amortization installment.....	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	0	0
36 Additional cash requirement (line 34 minus line 35).....	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	2268593	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	2268593	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42		
43 Excess installment acceleration amount to be carried over to future plan years	43		

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 09/30/2014

A Name of plan <u>ALLISON TRANSMISSION HOURLY-RATE EMPLOYEES PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>004</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

DOUGLAS C. LANE & ASSOCIATES, INC.

13-3776773

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 49	NONE	86362	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

LUTHER KING CAPITAL MANAGEMENT

75-1630300

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 18	NONE	50423	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK NA

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 15	NONE	40644	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO FUNDS MANAGEMENT, LLC

71-0828842

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 52	NONE	20651	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

PIMCO

33-0629048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

MANNING AND NAPIER ADVISORS, INC.

16-0995736

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PIMCO	52	0
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> (d) Enter name and EIN (address) of source of indirect compensation PIMCO LONG-TERM CREDIT FUND 26-4238159 </div> <div style="width: 40%;"> (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. 0.55% INVESTMENT MGMT FEE </div> </div>		
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> (a) Enter service provider name as it appears on line 2 PIMCO </div> <div style="width: 20%; text-align: center;"> (b) Service Codes (see instructions) 52 </div> <div style="width: 20%; text-align: center;"> (c) Enter amount of indirect compensation 0 </div> </div>		
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> (d) Enter name and EIN (address) of source of indirect compensation PIMCO EXTENDED DURATION FUND 20-5337597 </div> <div style="width: 40%;"> (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. 0.50% INVESTMENT MGMT FEE </div> </div>		
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> (a) Enter service provider name as it appears on line 2 PIMCO </div> <div style="width: 20%; text-align: center;"> (b) Service Codes (see instructions) 52 </div> <div style="width: 20%; text-align: center;"> (c) Enter amount of indirect compensation 0 </div> </div>		
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> (d) Enter name and EIN (address) of source of indirect compensation PIMCO INV GRADE CORP BOND FUND 33-0881892 </div> <div style="width: 40%;"> (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. 0.50% INVESTMENT MGMT FEE </div> </div>		

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MANNING & NAPIER ADVISORS, INC.	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
M & N FUND INC. OVERSEAS SERIES 01-0731484	0.70% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
WELLS FARGO FUNDS MANAGEMNET, LLC	51 52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WELLS FARGO TREASURY PLUS MONEY MKT 71-0828842	0.20% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning <u>10/01/2013</u> and ending <u>09/30/2014</u>		
A Name of plan <u>ALLISON TRANSMISSION HOURLY-RATE EMPLOYEES PENSION PLAN</u>	B Three-digit plan number (PN) ►	<u>004</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>	

Part I	Asset and Liability Statement		
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	1200000
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	19285	19787
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	1863999	3008864
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	19230895	19954695
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	36236759	42488075
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	57350938	66671421

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	57350938	66671421
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	2400000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		2400000

b Earnings on investments:**(1) Interest:**

(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	260	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		260

(2) Dividends: (A) Preferred stock	2b(2)(A)	0	
(B) Common stock	2b(2)(B)	280805	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	1658946	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		1939751

(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	18969912	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	18986073	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-16161

(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	
(B) Other	2b(5)(B)	1888234	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		1888234

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		3813791
c Other income.....	2c		7105
d Total income. Add all income amounts in column (b) and enter total.....	2d		10032980

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	500591	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		500591
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	0	
(2) Contract administrator fees.....	2i(2)	40644	
(3) Investment advisory and management fees.....	2i(3)	157437	
(4) Other.....	2i(4)	13825	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		211906
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		712497

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d.....	2k		9320483
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BKD LLP**

(2) EIN: **44-0160260**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☒ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2013</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 09/30/2014		
A Name of plan ALLISON TRANSMISSION HOURLY-RATE EMPLOYEES PENSION PLAN		B Three-digit plan number (PN) ▶ 004
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.		D Employer Identification Number (EIN) 26-0413897
Part I Distributions		
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 26-6189386		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....		3 0
Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)		
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?..... ☐ Yes ☐ No ☒ N/A		
If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____		
If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....		6a
b Enter the amount contributed by the employer to the plan for this plan year.....		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?..... ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?..... ☐ Yes ☐ No ☒ N/A		
Part III Amendments		
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☒ No		
Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.		
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)..... ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?..... ☐ Yes ☐ No		
For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.		
Schedule R (Form 5500) 2013 v. 130118		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 44.0% Investment-Grade Debt: 53.0% High-Yield Debt: _____% Real Estate: _____% Other: 3.0%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☒ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☒ Modified duration ☐ Other (specify): _____

Allison Transmission Hourly-Rate Employees Pension Plan

EIN 26-0413897 PN 004

Auditor's Report and Financial Statements

September 30, 2014 and 2013

Allison Transmission Hourly-Rate Employees Pension Plan

September 30, 2014 and 2013

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Independent Auditor's Report

Plan Administrator
Allison Transmission Hourly-Rate Employees Pension Plan
Indianapolis, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Allison Transmission Hourly-Rate Employees Pension Plan, which comprise the statements of net assets available for benefits as of September 30, 2014 and 2013, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements, and the statements of accumulated plan benefits as of October 1, 2013 and 2012 and the related statements of changes in accumulated plan benefits for the years then ended.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*, the Plan Administrator instructed us not to perform, and we did not perform, auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained a certification from the trustee as of and for the years ended September 30, 2014 and 2013, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules listed in the table of contents are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974* and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report of Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*.

BKD, LLP

Indianapolis, Indiana
July 6, 2015

Federal Employer Identification Number: 44-0160260

Allison Transmission Hourly-Rate Employees Pension Plan

Statements of Net Assets Available for Benefits

September 30, 2014 and 2013

Assets

	<u>2014</u>	<u>2013</u>
Investments , at fair value	<u>\$ 65,451,634</u>	<u>\$ 57,331,653</u>
Receivables		
Employer contributions	1,200,000	-
Accrued interest income	<u>19,787</u>	<u>19,285</u>
	<u>1,219,787</u>	<u>19,285</u>
Net Assets Available for Benefits	<u><u>\$ 66,671,421</u></u>	<u><u>\$ 57,350,938</u></u>

Allison Transmission Hourly-Rate Employees Pension Plan

Statements of Changes in Net Assets Available for Benefits

Years Ended September 30, 2014 and 2013

	<u>2014</u>	<u>2013</u>
Additions		
Investment Income		
Net appreciation in fair value of investments	\$ 5,685,864	\$ 1,452,198
Interest and dividends	1,922,221	1,773,743
Other income	24,895	18,719
Net investment income	<u>7,632,980</u>	<u>3,244,660</u>
Employer Contribution	<u>2,400,000</u>	<u>5,400,000</u>
Total additions	<u>10,032,980</u>	<u>8,644,660</u>
Deductions		
Benefits paid to participants	500,591	194,679
Pension Benefit Guaranty Corporation premium	13,825	77,035
Administrative expenses	<u>198,081</u>	<u>161,884</u>
Total deductions	<u>712,497</u>	<u>433,598</u>
Net Increase	9,320,483	8,211,062
Net Assets Available for Benefits, Beginning of Year	<u>57,350,938</u>	<u>49,139,876</u>
Net Assets Available for Benefits, End of Year	<u><u>\$ 66,671,421</u></u>	<u><u>\$ 57,350,938</u></u>

Allison Transmission Hourly-Rate Employees Pension Plan

Statements of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits October 1, 2013 and 2012

Statements of Accumulated Plan Benefits

	October 1,	
	2013	2012
Vested benefits		
Participants and beneficiaries currently receiving payments	\$ 3,405,231	\$ 1,001,379
Other participants	34,520,625	28,905,712
	<u>37,925,856</u>	<u>29,907,091</u>
Nonvested benefits	<u>2,792,105</u>	<u>2,651,654</u>
Total actuarial present value of accumulated plan benefits	<u>\$ 40,717,961</u>	<u>\$ 32,558,745</u>

Statements of Changes in Accumulated Plan Benefits

	Years Ended October 1,	
	2013	2012
Actuarial present value of accumulated plan benefits, beginning of year	<u>\$ 32,558,745</u>	<u>\$ 43,323,419</u>
Decrease during the year and period attributable to		
Benefits accumulated	4,135,112	3,828,544
Increase for interest due to the decrease in the discount period	2,271,731	1,881,198
Benefits paid	(194,679)	(55,103)
Change in actuarial assumptions (interest and mortality)	1,947,052	-
Transfer of benefit obligation to General Motors Hourly-Rate Employees Pension Plan	<u>-</u>	<u>(16,419,313)</u>
Net increase (decrease)	<u>8,159,216</u>	<u>(10,764,674)</u>
Actuarial present value of accumulated plan benefits, end of year	<u>\$ 40,717,961</u>	<u>\$ 32,558,745</u>

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements

September 30, 2014 and 2013

Note 1: Description of the Plan

The following description of the Plan provides only general information. Additional information about the vesting and benefit provisions and the Pension Benefit Guarantee Corporation's (PBGC) benefit guarantee is contained in the Plan Document and *Summary Plan Description*, which are available from the Plan Administrator. Any inconsistencies between the Plan Document and the *Summary Plan Description* would be governed by the Plan Document.

General

The Plan was established August 7, 2007 as a result of the spin-off of Allison Transmission, Inc. (Company) from General Motors Corporation (GM). All employees of the Company who had worked for GM prior to August 7, 2007 and were participants in the GM Hourly-Rate Employees Pension Plan (GM Plan) maintained their credited service with the GM Plan and will be paid benefits for such prior service from the GM Plan. The Plan will pay benefits to participants based on credited service earned with the Company on and after August 7, 2007. Service with GM will be counted by the Plan for vesting purposes and to determine eligibility to retire for Transferred Employees. Service with GM will be counted for vesting, eligibility to retire and the amount of pension benefit for special Unvested Participants. It was the intent of the Company at the time of spin off from GM that the Plan generally contain the same provisions as the GM Plan, such that employees who transferred from GM to the Company would maintain the same benefit, in aggregate, that would have been provided had the spin-off not occurred and the employees had continued working for GM.

The Plan is a noncontributory defined-benefit retirement plan for eligible hourly-rate employees who are covered by the collective bargaining agreement between the Company and the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America (Union). The Plan is subject to provisions of the *Employee Retirement Income Security Act of 1974* (ERISA). Wells Fargo Bank, N.A. is the trustee of the Plan.

Contributions

The Company has agreed to contribute such amounts as are necessary to provide assets sufficient to meet the benefits to be paid to Plan participants. The Company's present intention is to make contributions in amounts sufficient to annually fund the Plan's current service cost and the initial past-service costs plus interest on them over a period of seven years. The Plan has met the minimum funding requirements established by the federal government.

Pension Benefits

Monthly benefits under the Plan are based on the participant's years of credited service multiplied by a basic benefit rate ranging from \$55.70 to \$56.45 per year of credited service based on the participant's benefit class code, as defined in the Plan Document, pursuant to the collective bargaining agreement. Participants who have ceased active employment are entitled to annual pension benefits beginning at the first of the month following the date the participant turns age 65, and actually retires.

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements September 30, 2014 and 2013

The Plan permits the following early retirement options: (1) an employee who has attained age 60 but not age 65, and who has 10 or more years of credited service (including service with GM), (2) an employee who has attained age 55 but not age 60, and whose combined years of age and years of credited service (including service with GM) total 85 or more, or (3) any employee who has 30 or more years of credited service (including service with GM). Total and Permanent Disability or Early Retirement participants may also be eligible for a Pension supplement (an Early Retirement Supplement or an Interim Supplement based upon the circumstances).

In addition, an employee who has attained age 55 but not age 65 (or is between ages 50 and 65, if the participant is laid off as a result of a plant closing where no other Allison plants are in the same geographical area), has 10 or more years of credited service (including service with GM), and is either disabled or laid off under certain special circumstances, may retire under mutually satisfactory conditions. In addition to unreduced Early Retirement benefits, such participants may receive a temporary monthly benefit in these circumstances. The monthly temporary benefit is calculated by multiplying the number of years of credited service by a rate ranging from \$50.80 to \$53.45. The maximum number of years of credited service is 30. The monthly temporary benefit is payable until the employee attains age 62 and one month or until the age at which the employee becomes or could have become eligible for a Federal Social Security Benefit for disability or an unreduced Federal Social Security Benefit because of age.

Several optional forms of benefit payments are available to participants including a life annuity, a joint and 65% survivor annuity, a joint and 75% survivor annuity, or a contingent annuity in 5% increments up to 100% of the participant's monthly basic benefit after redetermination at age 62 and one month, if applicable. Payments made in any form other than a life annuity are actuarially adjusted for the option selected.

Based on an agreement with GM, all hourly employees with unbroken seniority at the Company as of June 29, 2007 who were vested in the GM Plan as of that date and who retire from the Company after October 1, 2011, will be entitled to payment from the Plan. Lifetime retirement benefits for those who retire or return to GM on or before October 1, 2011 will be paid from the GM Plan. The Plan started making benefit payments in November 2011.

In August 2014, an arbitrator concluded the Plan Sponsor was to fund additional benefits related to supplemental benefit rates to Plan participants under the age of 62 years and 1 month. The union had filed a grievance related to the determination of the Company's responsibility arising from supplemental benefit rates. The Plan Sponsor paid approximately \$133,000 of retroactive benefit payments in December 2014 to inactive participants with immediate benefits.

Death Benefits

Prior to eligibility for retirement, the spouse of a participant who has been married to the participant for at least one year will receive a 50% survivor annuity, as defined in the Plan, upon the death of the participant. After eligibility for retirement, and provided the participant has been married for at least one year, the surviving spouse annuity is equal to 65% of the participant's monthly benefit.

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements

September 30, 2014 and 2013

Vesting

Upon the earlier of (1) the participant turning age 65, or (2) upon the participant's completion of five years of vesting service, a participant will be vested in his or her benefits under the Plan.

Plan Termination

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- a. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under plan provisions in effect at any time during the five years preceding plan termination.
- b. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) up to the applicable limitations discussed below.
- c. Vested benefits not insured by the PBGC.
- d. All nonvested benefits.

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan Sponsor and the level of benefits guaranteed by the PBGC. Any residual assets of the Plan will be distributed to the Company if all liabilities of the Plan to its participants and beneficiaries have been satisfied and the distribution does not contravene any provision of law.

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements September 30, 2014 and 2013

Transfer to General Motors Hourly-Rate Employees Pension Plan

The benefit payments and related assets associated with employees who retired from Allison Transmission or returned to General Motors as of October 1, 2011 were transferred to the General Motors Hourly-Rate Employees Pension Plan (GM Plan) in accordance with the Memorandum of Understanding dated June 29, 2007. On March 8, 2012, a preliminary transfer of \$17,000,000 was made to the GM Plan. A final transfer was made on June 29, 2012 in the amount of \$1,827,757. The impact on the statements of accumulated plan benefits and changes in accumulated plan benefits was equal to the amount of the total assets transferred.

Subsequent Events

Subsequent events have been evaluated through the date of the Independent Auditor's Report, which is the date the financial statements were available to be issued.

Note 2: Summary of Significant Accounting Policies

Method of Accounting

The accompanying financial statements are prepared on the accrual method of accounting.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets and changes in net assets available for benefits, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Valuation of Investments and Income Recognition

The money market fund is reported at fair value, which approximates its cost basis. Equity securities are stated at fair value. Securities traded on a national securities exchange are valued at the last reported sales price on the last business day of the Plan year. Mutual funds are valued at the net asset value (NAV) of shares held by the Plan at year end. Purchases and sale of securities are recorded on a trade-date basis. Interest income is recorded on an accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements September 30, 2014 and 2013

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

Administrative Expenses

Administrative expenses may be paid by the employer or the Plan, at the employer's discretion.

Accumulated Plan Benefits

Accumulated plan benefits (see Statements of Accumulated Plan Benefits, Statements of Changes in Accumulated Plan Benefits and Note 4) are those estimated future periodic payments, including lump-sum distributions, which are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to:

- a. Retired or terminated employees or their beneficiaries
- b. Present employees or their beneficiaries

Benefits under the Plan are based on employees' credited service. Benefits payable under all circumstances — retirement, death, disability and termination of employment — are included, to the extent they are deemed attributable to employee service rendered to the valuation date. Benefits to be provided via annuity contracts excluded from Plan assets are excluded from accumulated plan benefits.

Plan Tax Status

The Plan obtained its latest determination letter on June 23, 2014, in which the Internal Revenue Service (IRS) stated that the Plan and related trust, as then designed, were in compliance with the applicable requirements of the Internal Revenue Code and, therefore, not subject to tax. The Plan has been amended since receiving the determination letter. However, the Plan Administrator believes that the Plan and related trust are currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. The current determination letter expires on January 31, 2018. With a few exceptions, the Plan is no longer subject to U.S. federal, state and local or non-U.S. income tax examinations by tax authorities for Plan years ending on or before 2011.

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements September 30, 2014 and 2013

Note 3: Investments

The Plan's investments are held by a fiduciary trust company. The Plan's investments (including investments bought, sold and held during the year) appreciated in fair value as follows:

		2014	
		Net Appreciation in Fair Value During Year	Fair Value at End of Year
Investments at fair value as determined by the trustee			
Money market fund	\$	-	\$ 3,008,864
Equity securities		1,872,073	19,954,695
Mutual funds		3,813,791	42,488,075
		<u>5,685,864</u>	<u>65,451,634</u>
	\$		\$
		2013	
		Net Appreciation (Depreciation) in Fair Value During Year	Fair Value at End of Year
Investments at fair value as determined by the trustee			
Money market fund	\$	-	\$ 1,863,999
Equity securities		4,120,281	19,230,895
Mutual funds		(2,668,083)	36,236,759
		<u>1,452,198</u>	<u>57,331,653</u>
	\$		\$

Interest and dividends realized on the Plan's investments for the years ended 2014 and 2013 was \$1,922,221 and \$1,773,743, respectively.

The fair values of individual investments that represented 5% or more of the Plan's assets were as follows:

	2014	2013
Manning & Napier Overseas Fund	\$ 5,855,604	\$ 5,997,511
PIMCO Extended Duration Institutional Fund	11,929,543	6,795,645
PIMCO Long-Term Credit Institutional Fund	24,702,928	23,443,603

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements September 30, 2014 and 2013

Note 4: Accumulated Plan Benefits

An actuary from Mercer determines the actuarial present value of accumulated plan benefits as of the beginning of the Plan year, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

Significant assumptions underlying the actuarial computations are:

Assumed rate of return of investments	6.6 percent, compounded annually (7 percent for 2013)
Mortality basis	Mercer MRP-2007 Mortality Table for combined healthy employees and annuitants with blue collar adjustment and projected generational mortality improvements according to Scale AA for healthy lives (RP-2000 for 2013) 1985 Pension Disability Mortality for disabled lives
Retirement age	Varies by age and service Age 65 for deferred vested participants Average age of retirees is age 60
Asset valuation	Market

Plan contributions and the actuarial present value of accumulated plan benefits are prepared based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the Plan's financial statements. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Note 5: Disclosures About Fair Value of Assets

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value measurements must maximize the use of observable inputs and minimize the use of unobservable inputs. There is a hierarchy of three levels of inputs that may be used to measure fair value:

- Level 1** Quoted prices in active markets for identical assets or liabilities
- Level 2** Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities
- Level 3** Unobservable inputs supported by little or no market activity and are significant to the fair value of the assets or liabilities

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements September 30, 2014 and 2013

Recurring Measurements

The following tables present the fair value measurements of assets recognized in the accompanying statements of net assets available for benefits measured at fair value on a recurring basis and the level within the fair value hierarchy in which the fair value measurements fall at September 30, 2014 and 2013:

		2014		
		Fair Value Measurements Using		
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money Market Fund	\$ 3,008,864	\$ 3,008,864	\$ -	\$ -
Equity Securities				
Materials industry	1,553,275	1,553,275	-	-
Industrials	3,658,299	3,658,299	-	-
Consumer discretionary	2,746,546	2,746,546	-	-
Consumer staples	540,123	540,123	-	-
Energy	801,168	801,168	-	-
Financials	1,714,248	1,714,248	-	-
Information technology	3,781,764	3,781,764	-	-
Health care	2,601,012	2,601,012	-	-
Other industries	2,558,260	2,558,260	-	-
	<u>19,954,695</u>	<u>19,954,695</u>	<u>-</u>	<u>-</u>
Mutual Funds				
Fixed income - long government	11,929,543	11,929,543	-	-
Fixed income - long-term bond	24,702,928	24,702,928	-	-
International	5,855,604	5,855,604	-	-
	<u>42,488,075</u>	<u>42,488,075</u>	<u>-</u>	<u>-</u>
	<u>\$ 65,451,634</u>	<u>\$ 65,451,634</u>	<u>\$ -</u>	<u>\$ -</u>

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements September 30, 2014 and 2013

		2013		
		Fair Value Measurements Using		
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money Market Fund	\$ 1,863,999	\$ 1,863,999	\$ -	\$ -
Equity Securities				
Materials industry	1,135,676	1,135,676	-	-
Industrials	3,230,187	3,230,187	-	-
Consumer discretionary	3,538,688	3,538,688	-	-
Consumer staples	503,010	503,010	-	-
Energy	557,639	557,639	-	-
Financials	2,518,607	2,518,607	-	-
Information technology	3,177,125	3,177,125	-	-
Health care	2,009,538	2,009,538	-	-
Telecommunication services	98,018	98,018	-	-
Other industries	2,462,407	2,462,407	-	-
	19,230,895	19,230,895	-	-
Mutual Funds				
Fixed income - long government	6,795,645	6,795,645	-	-
Fixed income - long-term bond	23,443,603	23,443,603	-	-
International	5,997,511	5,997,511	-	-
	36,236,759	36,236,759	-	-
	\$ 57,331,653	\$ 57,331,653	\$ -	\$ -

Following is a description of the valuation methodologies and inputs used for assets measured at fair value on a recurring basis and recognized in the accompanying statements of net assets available for benefits, as well as the general classification of such assets pursuant to the valuation hierarchy. There have been no significant changes in the valuation techniques during the year ended September 30, 2014. The Plan had no liabilities measured at fair value on a recurring basis. In addition, the Plan had no assets or liabilities measured at fair value on a nonrecurring basis.

Investments

Where quoted market prices are available in an active market, securities are classified within Level 1 of the valuation hierarchy. Level 1 securities include a money market fund, equity securities and mutual funds. If quoted market prices are not available, then fair values are estimated by using quoted prices of securities with similar characteristics or independent asset pricing services and pricing models, the inputs of which are market-based or independently sourced market parameters, including, but not limited to, yield curves, interest rates, volatilities, prepayments, defaults, cumulative loss projections and cash flows. Such securities are classified in Level 2 of the valuation hierarchy. In certain cases where Level 1 or Level 2 inputs are not available, securities are classified within Level 3 of the hierarchy. There are no Level 2 or Level 3 investments in the Plan.

Allison Transmission Hourly-Rate Employees Pension Plan

Notes to Financial Statements

September 30, 2014 and 2013

Note 6: Party-in-Interest Transactions

Party-in-interest transactions include those with fiduciaries or employees of the Plan, any person who provides services to the Plan, an employer whose employees are covered by the Plan, an employee organization whose members are covered by the Plan, a person who owns 50 percent or more of such an employer or employee association, or relatives of such persons.

The Plan paid PBGC premiums totaling \$13,825 and \$77,035 during 2014 and 2013, respectively. The Plan paid \$40,613 and \$31,975 of administrative fees to the trustee during 2014 and 2013, respectively. The Plan paid \$157,436 and \$127,539 of investment management fees during 2014 and 2013, respectively. Other administrative expenses paid during 2014 and 2013 by the Plan were \$32 and \$2,370, respectively. The Company provides certain administrative services at no cost to the Plan.

Note 7: Risk and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Supplementary Schedules

Allison Transmission Hourly-Rate Employees Pension Plan

EIN 26-0413897 PN 004

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) September 30, 2014

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Money Market Fund			
*Wells Fargo Advantage Treasury Plus Money Market Fund	3,008,864 shares	\$ 3,008,864	\$ 3,008,864
Equity Securities			
Accenture, PLC	3,000 shares	173,400	243,960
Core Laboratories N.V.	1,000 shares	155,700	146,350
Danone	13,100 shares	165,872	175,540
Diageo, PLC	2,000 shares	171,270	230,800
Eaton Corporation	3,800 shares	197,241	240,806
Luxottica Group SpA	5,000 shares	137,143	260,400
Nestle SA	2,700 shares	145,621	198,802
Novo Nordisk	5,000 shares	219,769	238,100
Sanofi-Aventis	4,400 shares	159,429	248,292
Schlumberger, Ltd.	1,000 shares	62,303	101,690
Unilever, N.V.	4,000 shares	121,095	158,720
Air Products & Chemicals, Inc.	2,200 shares	167,914	286,396
Alcoa, Inc.	27,000 shares	265,859	434,430
Allegheny Technologies, Inc.	2,955 shares	100,060	109,630
Ecolab, Inc.	2,000 shares	100,607	229,660
Martin Marietta Materials, Inc.	1,145 shares	136,313	147,637
Polyne, Inc.	3,185 shares	91,610	113,322
Praxair, Inc.	1,800 shares	168,544	232,200
Acuity Brands, Inc.	1,120 shares	89,178	131,836
BE Aerospace, Inc.	1,535 shares	60,660	128,848
Belden, Inc.	1,880 shares	74,927	120,358
Danaher Corporation	4,200 shares	190,385	319,115
Delta Air Lines, Inc.	14,000 shares	142,787	506,100
Emerson Electric Company	4,100 shares	186,722	256,578
Expeditors International of Washington, Inc.	4,600 shares	195,423	186,668
General Electric Company	10,500 shares	178,107	269,010
Hexcel Corporation	2,360 shares	57,176	93,692
Hillenbrand, Inc.	4,465 shares	139,161	137,924
Honeywell International, Inc.	2,200 shares	207,426	204,864
Kirby Corporation	1,410 shares	87,970	166,169
Middleby Corporation	1,665 shares	67,979	146,736
Teledyne Technologies	1,695 shares	153,809	159,346
United Continental Holdings, Inc.	6,500 shares	194,279	304,135
Verisk Analytics	4,000 shares	266,164	243,560
3M Company	2,000 shares	169,573	283,360
Borg Warner, Inc.	5,000 shares	165,194	263,050
Coach, Inc.	5,000 shares	260,000	178,050
Comcast Corporation	5,000 shares	89,923	267,500

Allison Transmission Hourly-Rate Employees Pension Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2014

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Deckers Outdoor Corporation	4,780 shares	\$ 118,183	\$ 121,475
Ford Motor Company	21,400 shares	233,658	316,506
Gannett Company, Inc.	3,580 shares	120,071	106,219
General Motors Company	8,600 shares	206,897	274,684
Kate Spade	4,525 shares	66,672	118,690
La Quinta Holdings, Inc.	6,680 shares	134,826	126,854
MWI Veterinary Supply, Inc.	675 shares	72,603	100,170
Polaris Industries, Inc.	1,005 shares	86,727	150,539
Pool Corporation	1,625 shares	87,788	87,620
Starbucks Corporation	3,500 shares	143,437	264,110
Tractor Supply Company	980 shares	33,938	60,280
Ulta Salon, Cosmetics & Fragrance, Inc.	620 shares	61,565	73,265
Yum Brands, Inc.	3,300 shares	219,746	237,534
PepsiCo, Inc.	2,200 shares	143,563	204,798
WhiteWave Foods Company	3,985 shares	114,863	144,775
Whole Foods Market, Inc.	5,000 shares	198,624	190,550
Athlon Energy, Inc.	4,085 shares	132,293	237,870
Chevron Corporation	800 shares	62,747	95,456
Laredo Petroleum, Inc.	4,460 shares	122,621	99,949
Matador Resources Co.	4,980 shares	130,250	128,732
Memorial Resource Development Corporation	4,555 shares	106,558	123,486
Valero Energy Corporation	2,500 shares	141,866	115,675
Affiliated Managers Group, Inc.	610 shares	53,991	122,220
American Express Company	3,600 shares	168,674	315,144
BancorpSouth, Inc.	2,935 shares	70,086	59,110
Comerica, Inc.	2,700 shares	83,767	134,622
E*Trade Group	6,560 shares	102,074	148,190
Genworth Financial, Inc.	7,790 shares	87,143	102,049
Jones Lang LaSalle, Inc.	1,130 shares	127,487	142,765
Prologics, Inc.	4,500 shares	140,111	169,650
Prosperity Bancshares, Inc.	1,545 shares	56,803	88,328
Raymond James Financial, Inc.	1,910 shares	99,434	102,337
Texas Cap Bancshares, Inc.	1,945 shares	83,621	112,188
Ventas, Inc.	2,500 shares	128,435	154,875
Zions Bancorp	2,160 shares	52,992	62,770
ACI Worldwide, Inc.	7,445 shares	105,293	139,668
Aspen Technology, Inc.	1,460 shares	34,353	55,072
Automatic Data Processing, Inc.	3,200 shares	150,759	265,855
Ciena Corporation	4,660 shares	77,335	77,915
Cisco Systems, Inc.	12,000 shares	233,304	302,040
Corning, Inc.	16,000 shares	251,209	309,440
Equinex, Inc.	1,000 shares	192,339	212,480
Euronet Worldwide, Inc.	1,755 shares	92,218	83,872
F5 Networks, Inc.	1,140 shares	131,709	135,364
Google, Inc. Class A Stock	325 shares	100,739	191,233
Google, Inc. Class C Stock	325 shares	100,417	187,642

Allison Transmission Hourly-Rate Employees Pension Plan

EIN 26-0413897 PN 004

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2014

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Intel Corporation	4,200 shares	\$ 85,912	\$ 146,244
Knowles Corporation	1,875 shares	60,912	49,688
MasterCard, Inc.	4,250 shares	98,616	314,160
Microsoft Corporation	7,000 shares	196,265	324,520
QUALCOMM, Inc.	3,800 shares	185,171	284,126
Rambus, Inc.	11,585 shares	141,712	144,580
Sapient Corporation	6,185 shares	105,318	86,590
Take-Two Interactive Software, Inc.	2,820 shares	65,676	65,058
Trimble Nav Ltd	2,825 shares	63,639	86,162
Visa, Inc.	1,500 shares	111,896	320,055
Acadia Healthcare Company, Inc.	2,660 shares	126,223	129,010
Akorn, Inc.	6,940 shares	110,980	251,714
Align Technology, Inc.	2,450 shares	133,367	126,616
Amgen, Inc.	2,000 shares	107,180	280,920
Bristol-Myers Squibb Company	4,100 shares	115,190	209,838
Celgene Corporation	3,000 shares	235,004	284,340
Cerner Corporation	4,800 shares	159,170	285,935
Cyberonics, Inc.	2,230 shares	55,993	57,300
DexCom, Inc.	2,635 shares	109,602	105,374
Merck & Company, Inc.	4,000 shares	143,758	237,120
PerkinElmer, Inc.	2,935 shares	131,571	127,965
Team Health Holdings, Inc.	3,040 shares	78,818	176,290
Thermo Fisher Scientific, Inc.	2,700 shares	132,848	328,590
The Blackstone Group, L.P.	10,000 shares	143,906	314,800
		<u>14,079,110</u>	<u>19,954,695</u>
Mutual Funds			
Manning & Napier Overseas Fund	233,663 shares	5,455,024	5,855,604
PIMCO Extended Duration Institutional Fund	1,582,167 shares	12,468,746	11,929,543
PIMCO Long-Term Credit Institutional Fund	1,948,181 shares	23,959,278	24,702,928
		<u>41,883,048</u>	<u>42,488,075</u>
		<u>\$ 58,971,022</u>	<u>\$ 65,451,634</u>

* Parties-in-interest

Allison Transmission Hourly-Rate Employees Pension Plan

EIN 26-0413897 PN 004

Schedule H, Line 4j - Schedule of Reportable Transactions Year Ended September 30, 2014

Identity	Description	Purchase Price	Selling Price	Lease Rental	Expenses Incurred With Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Loss
Issuer	Wells Fargo Advantage Treasury Plus Money Market Fund							
	Purchases	\$ 12,875,892				\$ 12,875,892	\$ 12,875,892	
	Sales		\$ 11,731,027			11,731,027	11,731,027	\$ -
Issuer	PIMCO Long-Term Credit Institutional Fund							
	Purchases	3,736,109				3,736,109	3,736,109	
	Sales		3,955,755			4,049,871	3,955,755	(94,116)
Issuer	PIMCO Extended Duration Institutional Fund							
	Purchases	3,882,076				3,882,076	3,882,076	
	Sales		302,466			367,882	302,466	(65,416)

Schedule SB, line 26 — Schedule of Active Participant Data

Attained age	Years of credited service										
	Under 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & up	Total
Under 25											
25–29			11								11
30–34			13	3	1						17
35–39			12	13	4						29
40–44			17	27	11	1					56
45–49			9	11	11	5					36
50–54			5	16	9	6	10	19	57		122
55–59			5	14	5	7	16	50	261	28	386
60–64			1	6	3	2	9	29	118	60	228
65–69						3	3	18	25	39	88
70 & up						1	1	3	2	11	18
Total			73	90	44	25	39	119	463	138	991

In each cell, the top number is the count of active participants for each age/service combination.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Actuarial Assumptions for October 1, 2013 Funding Valuation**

Discount rate sponsor elections		<u>10/1/2013</u>	<u>10/1/2013</u>	
<ul style="list-style-type: none">Segment rates or full yield curveLook-back months	Segment rates	Segment rates		
		0	0	
		<u>Stabilized rates</u>	<u>Nonstabilized rates</u>	
<ul style="list-style-type: none">First 5 yearsNext 15 yearsOver 20 years		4.94%	1.35%	
		6.15%	4.05%	
		6.76%	5.05%	
Rationale: Interest rate prescribed by IRS				
Mortality sponsor elections				
<ul style="list-style-type: none">Healthy participantsDisabled participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement based on Scale AA.			
	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement based on Scale AA.			
Other economic assumptions				
<ul style="list-style-type: none">Flat-dollar benefit increasesInflationExpenses	None assumed			
	None assumed			
	\$240,000 added to current year normal cost Rationale: Expenses are assumed to be equal to a best estimate of Plan Year admin expenses plus expected PBGC Premium rounded to nearest \$10,000.			
Demographic assumptions				
Withdrawal	GM 5-year select and ultimate withdrawal rates for hourly employees. See table of sample rates.			
Disability incidence	GM disability rates for hourly employees. See table of sample rates.			
Retirement age	GM retirement rates for hourly employees. See table of sample rates.			
Benefit commencement age for				
<ul style="list-style-type: none">Future vested deferredCurrent vested deferred	65			
	65			
Spouse assumptions	<u>Male participants</u>	<u>Female participants</u>		
<ul style="list-style-type: none">Percentage marriedSpouse age difference	80%	25%		
	3 years younger	3 years older		

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Form of payment	<u>Single life</u>	<u>65% J&S</u>	<u>50% J&S</u>
• Active retirements	100% of unmarried	100% of married	N/A
• Future vested deferred	100%	0%	N/A
• Future disabilities	100% of unmarried	100% of married	N/A
• Future deaths	N/A	100% of married retirement eligible	100% of married not eligible
• Current vested deferred	100%	0%	N/A
Unpredictable contingent event assumptions	Not applicable		

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***Table of Sample Rates***

Attained age	Withdrawal					
	Service 0-1	Service 1-2	Service 2-3	Service 3-4	Service 4-5	Ultimate
20	22.13%	7.13%	4.46%	4.08%	3.45%	5.19%
25	21.85%	6.68%	4.11%	3.82%	3.50%	3.78%
30	22.49%	6.31%	3.80%	3.57%	2.92%	2.83%
35	14.97%	7.41%	3.68%	3.56%	2.71%	2.35%
40	9.18%	7.62%	3.50%	2.99%	2.28%	1.51%
45	7.04%	6.27%	3.16%	2.57%	1.92%	0.76%
50	5.64%	5.38%	3.13%	2.43%	1.78%	0.33%
55						

Attained Age	Retirement		
	SVC<30	30-32	SVC≥32
48		15.00%	
49		15.00%	
50		15.00%	
51		15.00%	8.00%
52		15.00%	8.00%
53		15.00%	8.00%
54		16.00%	8.00%
55		19.00%	11.00%
56	0.50%	19.00%	11.00%
57	1.00%	19.00%	11.00%
58	2.00%	19.00%	12.00%
59	4.00%	21.00%	12.00%
60	7.00%	23.00%	13.00%
61	9.50%	24.00%	15.00%
62	16.00%	26.00%	20.00%
63	16.00%	23.00%	18.00%
64	16.00%	20.00%	16.00%
65	30.50%	30.50%	30.50%
66	22.00%	22.00%	22.00%
67	20.50%	20.50%	20.50%
68	20.50%	20.50%	20.50%
69	20.50%	20.50%	20.50%
70+	100.00%	100.00%	100.00%

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Actuarial Methods

Asset Methods

The asset valuation method is the fair market value, plus any discounted receivable contributions.

Participant Methods

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all employees as of the valuation date, but only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contract.

Minimum Funding Methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.



Allison Transmission Hourly-Rate Employees Pension Plan (EIN/PN: 26-0413897/004)
 Attachments to Schedule H (2013 Form 5500)
 Schedule H, Line 4j - Schedule of Reportable Transactions

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**REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	BEGINNING MARKET VALUE		57,350,937.61				
	COMPARATIVE VALUE (5%)		2,867,546.88				

*** NO TRANSACTIONS QUALIFIED ***

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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BEGINNING MARKET VALUE	57,350,937.61
COMPARATIVE VALUE (5%)	2,867,546.88

ISSUE: VP7000061 - WF ADV TREAS PLUS MM FD-INST #793

22831100	01/15/14 B	6,783	1.000	0	6,783-	6,783	
22831100	01/17/14 B	130,000	1.000	0	130,000-	130,000	
22831100	03/04/14 B	386	1.000	0	386-	386	
22831100	07/15/14 B	1,200,000	1.000	0	1,200,000-	1,200,000	
22831101	10/01/13 B	1,466	1.000	0	1,466-	1,466	
22831101	10/02/13 B	122	1.000	0	122-	122	
22831101	10/03/13 B	134	1.000	0	134-	134	
22831101	10/15/13 B	485	1.000	0	485-	485	
22831101	10/17/13 B	53,875	1.000	0	53,875-	53,875	
22831101	10/25/13 B	99,354	1.000	0	99,354-	99,354	
22831101	11/01/13 B	142	1.000	0	142-	142	
22831101	11/05/13 B	17,902	1.000	0	17,902-	17,902	
22831101	11/06/13 B	30,941	1.000	0	30,941-	30,941	
22831101	11/12/13 B	414,769	1.000	0	414,769-	414,769	
22831101	11/15/13 B	247	1.000	0	247-	247	
22831101	11/19/13 B	14,421	1.000	0	14,421-	14,421	
22831101	11/20/13 B	16,119	1.000	0	16,119-	16,119	
22831101	11/26/13 B	10,392	1.000	0	10,392-	10,392	
22831101	11/27/13 B	339	1.000	0	339-	339	
22831101	11/29/13 B	132	1.000	0	132-	132	
22831101	12/02/13 B	1	1.000	0	1-	1	
22831101	12/03/13 B	156	1.000	0	156-	156	
22831101	12/11/13 B	790	1.000	0	790-	790	
22831101	12/16/13 B	565	1.000	0	565-	565	
22831101	12/17/13 B	39,869	1.000	0	39,869-	39,869	
22831101	12/19/13 B	7,019	1.000	0	7,019-	7,019	
22831101	01/02/14 B	1,437	1.000	0	1,437-	1,437	
22831101	01/03/14 B	702	1.000	0	702-	702	
22831101	01/09/14 B	256	1.000	0	256-	256	
22831101	01/13/14 B	71,709	1.000	0	71,709-	71,709	
22831101	01/14/14 B	1,810	1.000	0	1,810-	1,810	
22831101	01/14/14 B	113,822	1.000	0	113,822-	113,822	
22831101	01/15/14 B	188,865	1.000	0	188,865-	188,865	
22831101	01/17/14 B	4,498	1.000	0	4,498-	4,498	
22831101	01/21/14 B	9,596	1.000	0	9,596-	9,596	
22831101	01/23/14 B	132,949	1.000	0	132,949-	132,949	
22831101	01/29/14 B	67,054	1.000	0	67,054-	67,054	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831101	01/30/14 B	23,475	1.000	0	23,475-	23,475	
22831101	02/03/14 B	334	1.000	0	334-	334	
22831101	02/10/14 B	38,069	1.000	0	38,069-	38,069	
22831101	02/12/14 B	45,708	1.000	0	45,708-	45,708	
22831101	02/12/14 B	276,376	1.000	0	276,376-	276,376	
22831101	02/18/14 B	399	1.000	0	399-	399	
22831101	02/21/14 B	55,714	1.000	0	55,714-	55,714	
22831101	02/26/14 B	58,235	1.000	0	58,235-	58,235	
22831101	02/27/14 B	132	1.000	0	132-	132	
22831101	03/03/14 B	2	1.000	0	2-	2	
22831101	03/05/14 B	31,694	1.000	0	31,694-	31,694	
22831101	03/07/14 B	36,345	1.000	0	36,345-	36,345	
22831101	03/10/14 B	18,350	1.000	0	18,350-	18,350	
22831101	03/11/14 B	2,492	1.000	0	2,492-	2,492	
22831101	03/14/14 B	405	1.000	0	405-	405	
22831101	03/17/14 B	461	1.000	0	461-	461	
22831101	03/19/14 B	690	1.000	0	690-	690	
22831101	03/20/14 B	63,973	1.000	0	63,973-	63,973	
22831101	03/26/14 B	7,750	1.000	0	7,750-	7,750	
22831101	03/27/14 B	18,434	1.000	0	18,434-	18,434	
22831101	04/01/14 B	1,399	1.000	0	1,399-	1,399	
22831101	04/02/14 B	112	1.000	0	112-	112	
22831101	04/08/14 B	32,762	1.000	0	32,762-	32,762	
22831101	04/11/14 B	26,368	1.000	0	26,368-	26,368	
22831101	04/14/14 B	28,738	1.000	0	28,738-	28,738	
22831101	04/15/14 B	3,381	1.000	0	3,381-	3,381	
22831101	04/21/14 B	502	1.000	0	502-	502	
22831101	04/23/14 B	9,991	1.000	0	9,991-	9,991	
22831101	04/24/14 B	51,781	1.000	0	51,781-	51,781	
22831101	04/25/14 B	40,308	1.000	0	40,308-	40,308	
22831101	05/01/14 B	315	1.000	0	315-	315	
22831101	05/02/14 B	128,267	1.000	0	128,267-	128,267	
22831101	05/09/14 B	100	1.000	0	100-	100	
22831101	05/12/14 B	57,712	1.000	0	57,712-	57,712	
22831101	05/21/14 B	5,671	1.000	0	5,671-	5,671	
22831101	05/27/14 B	163	1.000	0	163-	163	
22831101	05/29/14 B	117	1.000	0	117-	117	
22831101	06/02/14 B	3	1.000	0	3-	3	
22831101	06/05/14 B	35,731	1.000	0	35,731-	35,731	
22831101	06/06/14 B	35,419	1.000	0	35,419-	35,419	
22831101	06/13/14 B	558	1.000	0	558-	558	
22831101	06/16/14 B	482	1.000	0	482-	482	
22831101	06/18/14 B	63,490	1.000	0	63,490-	63,490	
22831101	06/24/14 B	55,060	1.000	0	55,060-	55,060	
22831101	06/25/14 B	92,244	1.000	0	92,244-	92,244	
22831101	06/30/14 B	1,131	1.000	0	1,131-	1,131	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831101	07/01/14 B	1,502	1.000	0	1,502-	1,502	
22831101	07/02/14 B	345	1.000	0	345-	345	
22831101	07/16/14 B	25,846	1.000	0	25,846-	25,846	
22831101	07/17/14 B	5,220	1.000	0	5,220-	5,220	
22831101	07/21/14 B	201,981	1.000	0	201,981-	201,981	
22831101	07/31/14 B	31,835	1.000	0	31,835-	31,835	
22831101	08/07/14 B	167,944	1.000	0	167,944-	167,944	
22831101	08/14/14 B	56,158	1.000	0	56,158-	56,158	
22831101	08/18/14 B	176,052	1.000	0	176,052-	176,052	
22831101	08/27/14 B	358	1.000	0	358-	358	
22831101	08/28/14 B	27,934	1.000	0	27,934-	27,934	
22831101	08/29/14 B	21,678	1.000	0	21,678-	21,678	
22831101	09/09/14 B	575,000	1.000	0	575,000-	575,000	
22831101	09/12/14 B	91,376	1.000	0	91,376-	91,376	
22831101	09/18/14 B	192,766	1.000	0	192,766-	192,766	
22831101	09/24/14 B	58,138	1.000	0	58,138-	58,138	
22831102	10/01/13 B	2,177	1.000	0	2,177-	2,177	
22831102	10/02/13 B	1,501	1.000	0	1,501-	1,501	
22831102	10/07/13 B	1,892	1.000	0	1,892-	1,892	
22831102	10/07/13 B	263,826	1.000	0	263,826-	263,826	
22831102	10/08/13 B	1,386	1.000	0	1,386-	1,386	
22831102	10/09/13 B	3,030	1.000	0	3,030-	3,030	
22831102	10/15/13 B	2,096	1.000	0	2,096-	2,096	
22831102	10/23/13 B	3,156	1.000	0	3,156-	3,156	
22831102	10/25/13 B	2,162	1.000	0	2,162-	2,162	
22831102	10/31/13 B	1,862	1.000	0	1,862-	1,862	
22831102	11/01/13 B	3,771	1.000	0	3,771-	3,771	
22831102	11/04/13 B	2,990	1.000	0	2,990-	2,990	
22831102	11/08/13 B	1,144	1.000	0	1,144-	1,144	
22831102	11/12/13 B	503,774	1.000	0	503,774-	503,774	
22831102	11/15/13 B	1,665	1.000	0	1,665-	1,665	
22831102	11/18/13 B	2,232	1.000	0	2,232-	2,232	
22831102	11/25/13 B	2,255	1.000	0	2,255-	2,255	
22831102	11/26/13 B	960	1.000	0	960-	960	
22831102	11/29/13 B	910	1.000	0	910-	910	
22831102	12/02/13 B	3,126	1.000	0	3,126-	3,126	
22831102	12/03/13 B	600	1.000	0	600-	600	
22831102	12/04/13 B	251,682	1.000	0	251,682-	251,682	
22831102	12/06/13 B	940	1.000	0	940-	940	
22831102	12/10/13 B	3,004	1.000	0	3,004-	3,004	
22831102	12/12/13 B	3,230	1.000	0	3,230-	3,230	
22831102	12/13/13 B	2,944	1.000	0	2,944-	2,944	
22831102	12/16/13 B	3,160	1.000	0	3,160-	3,160	
22831102	12/19/13 B	1,330	1.000	0	1,330-	1,330	
22831102	12/31/13 B	3,073	1.000	0	3,073-	3,073	
22831102	01/02/14 B	2,795	1.000	0	2,795-	2,795	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831102	01/03/14 B	1,114	1.000	0	1,114-	1,114	
22831102	01/07/14 B	1,386	1.000	0	1,386-	1,386	
22831102	01/08/14 B	1,760	1.000	0	1,760-	1,760	
22831102	01/13/14 B	313	1.000	0	313-	313	
22831102	01/15/14 B	559,142	1.000	0	559,142-	559,142	
22831102	01/22/14 B	2,040	1.000	0	2,040-	2,040	
22831102	01/23/14 B	975	1.000	0	975-	975	
22831102	01/27/14 B	2,310	1.000	0	2,310-	2,310	
22831102	01/31/14 B	1,815	1.000	0	1,815-	1,815	
22831102	02/03/14 B	1,479	1.000	0	1,479-	1,479	
22831102	02/06/14 B	3,137	1.000	0	3,137-	3,137	
22831102	02/07/14 B	1,221	1.000	0	1,221-	1,221	
22831102	02/10/14 B	7,065	1.000	0	7,065-	7,065	
22831102	02/10/14 B	468	1.000	0	468-	468	
22831102	02/12/14 B	508,044	1.000	0	508,044-	508,044	
22831102	02/14/14 B	840	1.000	0	840-	840	
22831102	02/18/14 B	625	1.000	0	625-	625	
22831102	02/18/14 B	5,800	1.000	0	5,800-	5,800	
22831102	02/21/14 B	910	1.000	0	910-	910	
22831102	02/25/14 B	810	1.000	0	810-	810	
22831102	03/03/14 B	3,624	1.000	0	3,624-	3,624	
22831102	03/04/14 B	600	1.000	0	600-	600	
22831102	03/07/14 B	1,220	1.000	0	1,220-	1,220	
22831102	03/10/14 B	2,563	1.000	0	2,563-	2,563	
22831102	03/12/14 B	1,710	1.000	0	1,710-	1,710	
22831102	03/13/14 B	3,202	1.000	0	3,202-	3,202	
22831102	03/14/14 B	840	1.000	0	840-	840	
22831102	03/17/14 B	1,170	1.000	0	1,170-	1,170	
22831102	03/24/14 B	1,490	1.000	0	1,490-	1,490	
22831102	03/26/14 B	1,330	1.000	0	1,330-	1,330	
22831102	03/28/14 B	4,393	1.000	0	4,393-	4,393	
22831102	03/31/14 B	5,447	1.000	0	5,447-	5,447	
22831102	04/01/14 B	2,305	1.000	0	2,305-	2,305	
22831102	04/07/14 B	1,760	1.000	0	1,760-	1,760	
22831102	04/08/14 B	1,386	1.000	0	1,386-	1,386	
22831102	04/11/14 B	1,959	1.000	0	1,959-	1,959	
22831102	04/14/14 B	400	1.000	0	400-	400	
22831102	04/15/14 B	955	1.000	0	955-	955	
22831102	04/23/14 B	3,405	1.000	0	3,405-	3,405	
22831102	04/25/14 B	2,730	1.000	0	2,730-	2,730	
22831102	04/30/14 B	1,710	1.000	0	1,710-	1,710	
22831102	05/01/14 B	1,482	1.000	0	1,482-	1,482	
22831102	05/02/14 B	1,221	1.000	0	1,221-	1,221	
22831102	05/05/14 B	3,500	1.000	0	3,500-	3,500	
22831102	05/09/14 B	1,296	1.000	0	1,296-	1,296	
22831102	05/12/14 B	1,694	1.000	0	1,694-	1,694	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831102	05/16/14 B	2,857	1.000	0	2,857-	2,857	
22831102	05/23/14 B	910	1.000	0	910-	910	
22831102	05/27/14 B	2,300	1.000	0	2,300-	2,300	
22831102	05/30/14 B	5,028	1.000	0	5,028-	5,028	
22831102	06/02/14 B	7,176	1.000	0	7,176-	7,176	
22831102	06/03/14 B	600	1.000	0	600-	600	
22831102	06/06/14 B	7,014	1.000	0	7,014-	7,014	
22831102	06/10/14 B	3,609	1.000	0	3,609-	3,609	
22831102	06/11/14 B	3,357	1.000	0	3,357-	3,357	
22831102	06/12/14 B	5,009	1.000	0	5,009-	5,009	
22831102	06/16/14 B	2,642	1.000	0	2,642-	2,642	
22831102	06/18/14 B	625	1.000	0	625-	625	
22831102	06/25/14 B	1,596	1.000	0	1,596-	1,596	
22831102	06/26/14 B	2,580	1.000	0	2,580-	2,580	
22831102	06/27/14 B	1,813	1.000	0	1,813-	1,813	
22831102	06/30/14 B	6,214	1.000	0	6,214-	6,214	
22831102	07/01/14 B	1,538	1.000	0	1,538-	1,538	
22831102	07/08/14 B	1,760	1.000	0	1,760-	1,760	
22831102	07/15/14 B	1,555	1.000	0	1,555-	1,555	
22831102	07/16/14 B	400	1.000	0	400-	400	
22831102	07/23/14 B	3,405	1.000	0	3,405-	3,405	
22831102	07/25/14 B	2,730	1.000	0	2,730-	2,730	
22831102	07/31/14 B	112,720	1.000	0	112,720-	112,720	
22831102	08/01/14 B	2,699	1.000	0	2,699-	2,699	
22831102	08/04/14 B	5,500	1.000	0	5,500-	5,500	
22831102	08/08/14 B	1,404	1.000	0	1,404-	1,404	
22831102	08/11/14 B	1,694	1.000	0	1,694-	1,694	
22831102	08/15/14 B	882	1.000	0	882-	882	
22831102	08/19/14 B	425	1.000	0	425-	425	
22831102	08/22/14 B	910	1.000	0	910-	910	
22831102	08/25/14 B	2,300	1.000	0	2,300-	2,300	
22831102	08/29/14 B	1,260	1.000	0	1,260-	1,260	
22831102	09/02/14 B	3,623	1.000	0	3,623-	3,623	
22831102	09/03/14 B	600	1.000	0	600-	600	
22831102	09/05/14 B	1,220	1.000	0	1,220-	1,220	
22831102	09/09/14 B	260,000	1.000	0	260,000-	260,000	
22831102	09/10/14 B	3,609	1.000	0	3,609-	3,609	
22831102	09/11/14 B	3,266	1.000	0	3,266-	3,266	
22831102	09/12/14 B	1,710	1.000	0	1,710-	1,710	
22831102	09/15/14 B	1,170	1.000	0	1,170-	1,170	
22831102	09/16/14 B	650	1.000	0	650-	650	
22831102	09/17/14 B	688	1.000	0	688-	688	
22831102	09/24/14 B	1,596	1.000	0	1,596-	1,596	
22831102	09/26/14 B	2,580	1.000	0	2,580-	2,580	
22831102	09/29/14 B	1,688	1.000	0	1,688-	1,688	
22831102	09/30/14 B	6,339	1.000	0	6,339-	6,339	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831103	11/13/13 B	337,000	1.000	0	337,000-	337,000	
22831103	02/12/14 B	22	1.000	0	22-	22	
22831103	09/09/14 B	140,000	1.000	0	140,000-	140,000	
22831104	11/12/13 B	1,163,000	1.000	0	1,163,000-	1,163,000	
22831104	11/13/13 B	337,000	1.000	0	337,000-	337,000	
22831104	12/02/13 B	1	1.000	0	1-	1	
22831104	01/17/14 B	975,000	1.000	0	975,000-	975,000	
22831104	02/03/14 B	1	1.000	0	1-	1	
22831104	02/12/14 B	1,008,221	1.000	0	1,008,221-	1,008,221	
22831104	09/09/14 B	575,000	1.000	0	575,000-	575,000	
SUB-TOTAL OF BUYS # 231				0	12,875,898	12,875,898	
22831100	10/01/13 S	26,345	1.000	0	26,345	26,345	0
22831100	10/04/13 S	18,411	1.000	0	18,411	18,411	0
22831100	10/09/13 S	782	1.000	0	782	782	0
22831100	10/10/13 S	486	1.000	0	486	486	0
22831100	10/18/13 S	397	1.000	0	397	397	0
22831100	10/21/13 S	7,559	1.000	0	7,559	7,559	0
22831100	11/01/13 S	27,581	1.000	0	27,581	27,581	0
22831100	11/04/13 S	20,679	1.000	0	20,679	20,679	0
22831100	11/19/13 S	8,288	1.000	0	8,288	8,288	0
22831100	11/21/13 S	13,825	1.000	0	13,825	13,825	0
22831100	12/02/13 S	28,961	1.000	0	28,961	28,961	0
22831100	12/13/13 S	19,598	1.000	0	19,598	19,598	0
22831100	01/02/14 S	28,961	1.000	0	28,961	28,961	0
22831100	01/10/14 S	3,476	1.000	0	3,476	3,476	0
22831100	01/13/14 S	10,033	1.000	0	10,033	10,033	0
22831100	01/21/14 S	7,930	1.000	0	7,930	7,930	0
22831100	01/23/14 S	590	1.000	0	590	590	0
22831100	01/24/14 S	20	1.000	0	20	20	0
22831100	02/03/14 S	33,594	1.000	0	33,594	33,594	0
22831100	02/14/14 S	21,754	1.000	0	21,754	21,754	0
22831100	02/19/14 S	1,122	1.000	0	1,122	1,122	0
22831100	02/20/14 S	1,898	1.000	0	1,898	1,898	0
22831100	02/21/14 S	8,416	1.000	0	8,416	8,416	0
22831100	03/03/14 S	35,495	1.000	0	35,495	35,495	0
22831100	03/06/14 S	386	1.000	0	386	386	0
22831100	03/07/14 S	12,880	1.000	0	12,880	12,880	0
22831100	03/10/14 S	1,287	1.000	0	1,287	1,287	0
22831100	03/13/14 S	1,054	1.000	0	1,054	1,054	0
22831100	03/19/14 S	544	1.000	0	544	544	0
22831100	03/26/14 S	6,975	1.000	0	6,975	6,975	0
22831100	04/01/14 S	36,608	1.000	0	36,608	36,608	0
22831100	04/02/14 S	711	1.000	0	711	711	0
22831100	04/15/14 S	3,053	1.000	0	3,053	3,053	0

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831100	04/21/14 S	8,666	1.000	0	8,666	8,666	0
22831100	04/22/14 S	551	1.000	0	551	551	0
22831100	05/01/14 S	38,742	1.000	0	38,742	38,742	0
22831100	05/02/14 S	1,249	1.000	0	1,249	1,249	0
22831100	05/12/14 S	918	1.000	0	918	918	0
22831100	05/14/14 S	1,345	1.000	0	1,345	1,345	0
22831100	05/16/14 S	28,878	1.000	0	28,878	28,878	0
22831100	06/02/14 S	41,630	1.000	0	41,630	41,630	0
22831100	06/06/14 S	12,761	1.000	0	12,761	12,761	0
22831100	06/11/14 S	1,593	1.000	0	1,593	1,593	0
22831100	06/16/14 S	678	1.000	0	678	678	0
22831100	07/01/14 S	43,448	1.000	0	43,448	43,448	0
22831100	07/10/14 S	1,673	1.000	0	1,673	1,673	0
22831100	07/18/14 S	373	1.000	0	373	373	0
22831100	07/21/14 S	10,280	1.000	0	10,280	10,280	0
22831100	08/01/14 S	46,192	1.000	0	46,192	46,192	0
22831100	08/13/14 S	751	1.000	0	751	751	0
22831100	08/14/14 S	4,715	1.000	0	4,715	4,715	0
22831100	08/14/14 S	22,283	1.000	0	22,283	22,283	0
22831100	08/15/14 S	1,559	1.000	0	1,559	1,559	0
22831100	09/02/14 S	49,743	1.000	0	49,743	49,743	0
22831100	09/09/14 S	400,000	1.000	0	400,000	400,000	0
22831100	09/17/14 S	3,096	1.000	0	3,096	3,096	0
22831100	09/18/14 S	1,073	1.000	0	1,073	1,073	0
22831101	10/22/13 S	33,746	1.000	0	33,746	33,746	0
22831101	10/31/13 S	31,212	1.000	0	31,212	31,212	0
22831101	11/01/13 S	74,366	1.000	0	74,366	74,366	0
22831101	11/08/13 S	66,438	1.000	0	66,438	66,438	0
22831101	11/12/13 S	563,000	1.000	0	563,000	563,000	0
22831101	11/13/13 S	10,822	1.000	0	10,822	10,822	0
22831101	12/20/13 S	3,120	1.000	0	3,120	3,120	0
22831101	12/23/13 S	26,279	1.000	0	26,279	26,279	0
22831101	12/26/13 S	24,096	1.000	0	24,096	24,096	0
22831101	12/27/13 S	8,049	1.000	0	8,049	8,049	0
22831101	01/16/14 S	54,222	1.000	0	54,222	54,222	0
22831101	01/17/14 S	325,000	1.000	0	325,000	325,000	0
22831101	01/27/14 S	7,083	1.000	0	7,083	7,083	0
22831101	01/28/14 S	25,871	1.000	0	25,871	25,871	0
22831101	02/05/14 S	95,641	1.000	0	95,641	95,641	0
22831101	02/13/14 S	173,895	1.000	0	173,895	173,895	0
22831101	02/19/14 S	33,098	1.000	0	33,098	33,098	0
22831101	02/20/14 S	11,388	1.000	0	11,388	11,388	0
22831101	03/04/14 S	7,717	1.000	0	7,717	7,717	0
22831101	03/12/14 S	34,359	1.000	0	34,359	34,359	0
22831101	03/13/14 S	31,155	1.000	0	31,155	31,155	0
22831101	03/21/14 S	37,534	1.000	0	37,534	37,534	0

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831101	03/24/14 S	47,794	1.000	0	47,794	47,794	0
22831101	03/25/14 S	135,192	1.000	0	135,192	135,192	0
22831101	03/31/14 S	19,691	1.000	0	19,691	19,691	0
22831101	04/04/14 S	65,688	1.000	0	65,688	65,688	0
22831101	04/09/14 S	64,514	1.000	0	64,514	64,514	0
22831101	04/17/14 S	61,638	1.000	0	61,638	61,638	0
22831101	04/22/14 S	20,461	1.000	0	20,461	20,461	0
22831101	05/16/14 S	31,241	1.000	0	31,241	31,241	0
22831101	05/19/14 S	54,553	1.000	0	54,553	54,553	0
22831101	05/20/14 S	2,845	1.000	0	2,845	2,845	0
22831101	05/22/14 S	29,133	1.000	0	29,133	29,133	0
22831101	05/28/14 S	60,860	1.000	0	60,860	60,860	0
22831101	06/03/14 S	85,699	1.000	0	85,699	85,699	0
22831101	06/04/14 S	114,634	1.000	0	114,634	114,634	0
22831101	06/10/14 S	35,553	1.000	0	35,553	35,553	0
22831101	06/17/14 S	17,600	1.000	0	17,600	17,600	0
22831101	06/23/14 S	76,010	1.000	0	76,010	76,010	0
22831101	08/01/14 S	62,185	1.000	0	62,185	62,185	0
22831101	08/08/14 S	51,213	1.000	0	51,213	51,213	0
22831101	08/11/14 S	49,831	1.000	0	49,831	49,831	0
22831101	08/12/14 S	61,025	1.000	0	61,025	61,025	0
22831101	08/13/14 S	15,578	1.000	0	15,578	15,578	0
22831101	08/15/14 S	221,967	1.000	0	221,967	221,967	0
22831101	08/19/14 S	27,423	1.000	0	27,423	27,423	0
22831101	09/02/14 S	8,589	1.000	0	8,589	8,589	0
22831101	09/03/14 S	12,565	1.000	0	12,565	12,565	0
22831101	09/04/14 S	1,097	1.000	0	1,097	1,097	0
22831101	09/05/14 S	93,900	1.000	0	93,900	93,900	0
22831101	09/09/14 S	30,507	1.000	0	30,507	30,507	0
22831101	09/10/14 S	61,565	1.000	0	61,565	61,565	0
22831101	09/15/14 S	19,066	1.000	0	19,066	19,066	0
22831101	09/16/14 S	42,754	1.000	0	42,754	42,754	0
22831101	09/17/14 S	226,548	1.000	0	226,548	226,548	0
22831101	09/23/14 S	303,997	1.000	0	303,997	303,997	0
22831101	09/25/14 S	30,128	1.000	0	30,128	30,128	0
22831101	09/30/14 S	107,658	1.000	0	107,658	107,658	0
22831102	10/21/13 S	212,115	1.000	0	212,115	212,115	0
22831102	11/12/13 S	600,000	1.000	0	600,000	600,000	0
22831102	01/17/14 S	780,000	1.000	0	780,000	780,000	0
22831102	02/10/14 S	4,675	1.000	0	4,675	4,675	0
22831102	05/15/14 S	598,305	1.000	0	598,305	598,305	0
22831103	11/13/13 S	337,000	1.000	0	337,000	337,000	0
22831103	02/12/14 S	22	1.000	0	22	22	0
22831104	11/14/13 S	1,500,000	1.000	0	1,500,000	1,500,000	0
22831104	01/21/14 S	975,000	1.000	0	975,000	975,000	0
22831104	02/12/14 S	1,008,221	1.000	0	1,008,221	1,008,221	0

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831104	09/09/14 S	575,000	1.000	0	575,000	575,000	0
SUB-TOTAL OF SALES # 126				0	11,731,027	11,731,027	0
SUB-TOTAL				0	24,606,925	24,606,925	0
ISSUE: 72201F599 - PIMCO EXTENDED DURATION-INST FD 1890							
22831104	10/02/13 R	2,566	6.680	0	17,143-	17,143	
22831104	11/04/13 R	2,826	6.800	0	19,214-	19,214	
22831104	12/03/13 R	2,989	6.520	0	19,487-	19,487	
22831104	12/13/13 R	47,641	6.140	0	292,517-	292,517	
22831104	01/03/14 R	3,346	6.030	0	20,175-	20,175	
22831104	02/04/14 R	3,851	6.640	0	25,572-	25,572	
22831104	03/04/14 R	3,937	6.680	0	26,296-	26,296	
22831104	04/02/14 R	3,910	6.760	0	26,433-	26,433	
22831104	05/02/14 R	3,853	6.980	0	26,893-	26,893	
22831104	06/03/14 R	3,948	7.250	0	28,622-	28,622	
22831104	07/02/14 R	3,602	7.230	0	26,044-	26,044	
22831104	08/04/14 R	3,806	7.300	0	27,782-	27,782	
22831104	09/03/14 R	3,645	7.790	0	28,397-	28,397	
SUB-TOTAL OF REINVS # 13				0	584,575	584,575	
22831104	11/13/13 B	51,163	6.450	0	330,000-	330,000	
22831104	01/15/14 B	424,603	6.300	0	2,675,000-	2,675,000	
22831104	01/17/14 B	45,775	6.390	0	292,500-	292,500	
SUB-TOTAL OF BUYS # 3				0	3,297,500	3,297,500	
22831104	02/11/14 S	46,605	6.490	0	302,466	367,882	-65,416
SUB-TOTAL OF SALES # 1				0	302,466	367,882	-65,416
SUB-TOTAL				0	4,184,541	4,249,957	-65,416
ISSUE: 72201P647 - PIMCO LONG TERM CREDIT INST FD #1945							
22831104	10/02/13 R	7,237	11.920	0	86,264-	86,264	
22831104	11/04/13 R	8,440	12.190	0	102,881-	102,881	
22831104	12/03/13 R	8,737	12.040	0	105,189-	105,189	
22831104	12/13/13 R	51,009	11.690	0	596,290-	596,290	
22831104	01/03/14 R	9,459	11.650	0	110,203-	110,203	
22831104	02/04/14 R	9,990	12.000	0	119,876-	119,876	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
-----	-----	-----	-----	-----	-----	-----	-----
22831104	03/04/14 R	8,236	12.220	0	100,643-	100,643	
22831104	04/02/14 R	7,845	12.240	0	96,026-	96,026	
22831104	05/02/14 R	8,527	12.450	0	106,159-	106,159	
22831104	06/03/14 R	8,977	12.740	0	114,367-	114,367	
22831104	07/02/14 R	8,431	12.780	0	107,745-	107,745	
22831104	08/04/14 R	9,140	12.700	0	116,077-	116,077	
22831104	09/03/14 R	9,305	13.100	0	121,890-	121,890	
SUB-TOTAL OF REINVS # 13				0	1,883,610	1,883,610	
22831104	11/13/13 B	98,154	11.920	0	1,170,000-	1,170,000	
22831104	01/17/14 B	57,257	11.920	0	682,500-	682,500	
SUB-TOTAL OF BUYS # 2				0	1,852,500	1,852,500	
22831104	01/15/14 S	225,738	11.850	0	2,675,000	2,776,746	-101,746
22831104	02/11/14 S	58,960	11.970	0	705,755	724,520	-18,765
22831104	09/08/14 S	44,608	12.890	0	575,000	548,605	26,395
SUB-TOTAL OF SALES # 3				0	3,955,755	4,049,871	-94,116
SUB-TOTAL				0	7,691,865	7,785,981	-94,116
GRAND TOTAL				0	36,483,331	36,642,863	-159,532

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
 B = BUY TRANSACTION
 S = SELL TRANSACTION
 R = REINVESTMENT TRANSACTION



Allison Transmission Hourly-Rate Employees Pension Plan (EIN/PN: 26-0413897/004)
 Attachments to Schedule H (2013 Form 5500)
 Schedule H, Line 4j - Schedule of Reportable Transactions

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**REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
ACCOUNT NUMBER 22831199**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
		BEGINNING MARKET VALUE		57,350,937.61			
		COMPARATIVE VALUE (5%)		2,867,546.88			

*** NO TRANSACTIONS QUALIFIED FOR THIS REPORT ***

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

**SCHEDULE SB
(Form 5500)**Department of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security Administration
Pension Benefit Guaranty Corporation**Single-Employer Defined Benefit Plan
Actuarial Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2013**This Form is Open to Public
Inspection**For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 09/30/2014▶ **Round off amounts to nearest dollar.**▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ALLISON TRANSMISSION HOURLY-RATE EMPLOYEES PENSION PLAN		B Three-digit plan number (PN) ▶ 004
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ALLISON TRANSMISSION, INC.		D Employer Identification Number (EIN) 26-0413897
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I Basic Information

1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2013</u>			
2 Assets:			
a Market value		2a	57350938
b Actuarial value		2b	57350938
3 Funding target/participant count breakdown:			
		(1) Number of participants	(2) Funding Target
a For retired participants and beneficiaries receiving payment	3a	83	3508757
b For terminated vested participants	3b	106	1450296
c For active participants:			
(1) Non-vested benefits	3c(1)		2861000
(2) Vested benefits	3c(2)		34074354
(3) Total active	3c(3)	991	36935354
d Total	3d	1180	41894407
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐			
a Funding target disregarding prescribed at-risk assumptions		4a	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor		4b	
5 Effective interest rate		5	6.37%
6 Target normal cost		6	4682631

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<u>Gary D. Dickson</u> Signature of actuary	<u>7/6/15</u> Date
GARY D. DICKSON, FSA, EA, MAAA Type or print name of actuary		1403764 Most recent enrollment number
MERCER Firm name		513-632-2614 Telephone number (including area code)
525 VINE STREET, SUITE 1600 CINCINNATI OH 45202-3124 Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2013

Liquidity shortfall as of end of quarter of this plan year

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:			
a Segment rates:	1st segment: 4.94%	2nd segment: 6.15%	3rd segment: 6.76%
			☐ N/A, full yield curve used
b Applicable month (enter code).....			21b 0
22 Weighted average retirement age			22 60
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute			

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.		☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.		☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.		☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	4682631	
b Excess assets, if applicable, but not greater than line 31a	31b	4682631	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment.....	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) ..	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	0	0
36 Additional cash requirement (line 34 minus line 35).....	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	2268593	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	2268593	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011
42 Amount of acceleration adjustment	42
43 Excess installment acceleration amount to be carried over to future plan years	43

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 60¹.

(A) Retirement age	(B) Retirement Percent	(C) Lx	(D) Number of employees expected to retire (B) x (C)	(E) (A) x (D)
55	19.00%	10,000	19,000	1,045,000
56	19.00%	81,000	15,390	861,840
57	11.00%	65,610	7,217	411,369
58	12.00%	58,393	7,007	406,406
59	12.00%	51,386	6,166	363,794
60	13.00%	45,220	5,879	352,740
61	15.00%	39,341	5,901	359,961
62	20.00%	33,440	6,688	414,656
63	18.00%	26,752	4,815	303,345
64	16.00%	21,937	3,510	224,640
65	30.05%	18,427	5,620	365,300
66	22.00%	12,807	2,817	185,922
67	20.50%	9,990	2,048	137,216
68	20.50%	7,942	1,628	110,704
69	20.50%	6,314	1,294	89,286
70	100.00%	5,020	5,020	351,400
Total			100,000	5,983,579
Average				59.84

¹ Note that the different retirement assumptions apply to different participants groups based on service. A weighted average of each assumption was calculated using the method shown above. The weighted average of all assumptions based on the population at 10/1/2013 is 60. For purposes of showing the development of the retirement age, we have shown the calculation for a group hired at age 25 which produced a weighted average of 60.

Schedule SB, Part V — Summary of Plan Provisions**Summary of Major Plan Provisions**

Effective date and plan year	Original plan: August 7, 2007 Plan year: October 1 – September 30 (first plan year is 8/7/2007 – 9/30/2007)
Most recent amendment	3rd Amendment (effective November 20, 2009)

Definitions

▪ Covered employees	Hourly-rate employees covered by the collective bargaining agreement who have attained seniority
▪ Vesting service	Participants become vested at the earlier of 5 elapsed years (from date of hire) in which at least 750 hours are worked during the year or 5 years of credited service.
▪ Credited service	Participants receive 1/10th of a year of Credited Service for every 170 hours worked in a calendar year to a maximum annual credit of 1 year of Credited Service per year. Credited Service with GM counts toward eligibility and vesting, but not benefit accrual.

Normal retirement

▪ Eligibility	Age 65 with one year of service						
▪ Benefit	Applicable Job Classification Code multiplier times Credited Service						
	Benefit Class Code	8/7/2007 through 9/1/2007	10/1/2007 through 9/1/2008	10/1/2008 through 9/1/2009	10/1/2009 through 9/1/2010	10/1/2010 through 9/1/2011	10/1/2011 and after
	A	\$50.90	\$52.90	\$53.10	\$53.30	\$53.55	\$55.70
	B	\$51.15	\$53.15	\$53.35	\$53.55	\$53.80	\$55.95
	C	\$51.40	\$53.40	\$53.60	\$53.80	\$54.05	\$56.20
	D	\$51.65	\$53.65	\$53.85	\$54.05	\$54.30	\$56.45

Schedule SB, Part V — Summary of Plan Provisions**Early retirement**

▪ Eligibility		Earliest of age 60 with 5 years of service, age 55 with 85 age/service points, and 30 years of service					
▪ Benefit		<p>Normal Retirement Benefit reduced for retirement before age 62 (unreduced with 30 years of service)</p> <p>In addition to the Normal Retirement Benefit, a supplement is payable until age 62 and one month (or if the retiree is born in 1938 – 1950, until the retiree is eligible for 80% Social Security). For retirees with less than 30 years of service, the supplement is the applicable interim multiplier times years of service. For retirees with 30 years of service, the benefit is a fixed monthly amount (prorated based on service with GM).</p>					
		8/7/2007 through 9/1/2007	10/1/2007 through 9/1/2008	10/1/2008 through 9/1/2009	10/1/2009 through 9/1/2010	10/1/2010 through 9/1/2011	10/1/2011 and after
Interim Supplement							
	55	\$21.90	\$22.35	\$22.45	\$22.55	\$22.60	\$23.50
	56	\$25.85	\$26.35	\$26.50	\$26.60	\$26.70	\$27.75
	57	\$31.25	\$31.90	\$32.00	\$32.15	\$32.25	\$33.55
	58	\$36.60	\$37.35	\$37.50	\$37.65	\$37.80	\$39.30
	59	\$40.85	\$41.65	\$41.85	\$42.00	\$42.20	\$43.90
	60	\$47.30	\$48.25	\$48.45	\$48.65	\$48.85	\$50.80
	61	\$47.30	\$48.25	\$48.45	\$48.65	\$48.85	\$50.80
30 & Out Supplement		\$3,020	\$3,140	\$3,150	\$3,160	\$3,170	\$3,300

Schedule SB, Part V — Summary of Plan Provisions

Deferred vested						
▪ Eligibility	Vested upon termination					
▪ Benefit	Normal Retirement Benefit payable at Normal Retirement Age (reduced for early commencement)					
Disability						
▪ Eligibility	Disabled after 10 years of service					
▪ Benefit	Normal Retirement Benefit as of the date of disability payable immediately (without reduction). In addition, a temporary benefit is paid until age 62 and one month based on the temporary multiplier times years of service.					
	8/7/2007 through 9/1/2007	10/1/2007 through 9/1/2008	10/1/2008 through 9/1/2009	10/1/2009 through 9/1/2010	10/1/2010 through 9/1/2011	10/1/2011 and after
Temporary Benefit	\$49.80	\$50.80	\$51.00	\$51.20	\$51.40	\$53.45
Pre-retirement death						
▪ Eligibility	Vested upon Participant's death					
▪ Benefit prior to early retirement	50% of the Normal Retirement Benefit is paid to the surviving spouse commencing on the first of the month following the month in which the employee would have been eligible to retire at the option of the employee.					
▪ Benefit after early but before normal retirement	65% of the Normal Retirement Benefit is paid to the surviving spouse commencing on the first of the month following the month in which the employee would have been eligible to retire at the option of the employee.					
Form of benefits						
▪ Automatic form for unmarried participants	Life annuity					
▪ Automatic form for married participants	65% joint and survivor annuity					
	Benefit is 95% of the monthly basic benefit if the spouse's age is within 5 years of the participant's age. Such percentage is increased by one half of one percent (1/2%) (up to a maximum of 100%) for each 12 months in excess of 5 years that the spouse's age exceeds the participant's age and decreased by one-half of one percent (1/2%) for each 12 months in excess of 5 years that the spouse's age is less than the participant's age.					
▪ Optional forms	Contingent Annuitant annuity in any designated percentage (in 5% increments) up to 100%					
▪ Optional form conversion factors	Fixed factors based on the age difference between the participant and contingent beneficiary are used to convert the accrued benefit to the optional form					
Miscellaneous						
▪ Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2013, the limit is \$205,000.					

Schedule SB, Part V — Summary of Plan Provisions

Benefits Included or Excluded

Unless noted below, all benefits provided by the plan, as restated and amended through the 3rd Amendment, are included in this valuation.

- **Most recent plan amendments included:** 3rd Amendment (effective November 20, 2009).
- **Plan amendments excluded:** None.
- **Late retirement increases:**
 - *Active participants:* The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½. This valuation includes increases for current participants over age 70
 - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). Because of this plan's status as a collectively bargained plan, the plan can not be top-heavy.
- **IRC Section 436 benefit restrictions:**
 - *Unpredictable contingent event benefits:* This valuation excludes restricted contingent event benefits that occurred before the valuation date but includes contingent event benefits which are expected to occur on or after the valuation date regardless of anticipated funding-based limitations.
 - *Plan amendments:* See above.
 - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
 - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Scheduled benefit increases:** Scheduled benefit increases effective after the end of the current plan year are excluded from minimum funding requirements.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

Plan Provision Changes Since Prior Valuation

Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2012 to 2013.

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014

ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199

PAR VALUE/SHARES	DESCRIPTION	UNIT COST	REVALUED COST TOTAL COST	UNIT PRICE	MARKET VALUE TOTAL MARKET	UNREALIZED GAIN/LOSS ON REVALUED COST
CASH EQUIVALENTS						
PROPRIETARY FUNDS						
	WELLS FARGO ADVANTAGE TREASURY PLUS MONEY MARKET INST - #793 CUSIP VP7000061					
635,317.030	ALLISON HOURLY DOUGLAS C LANE	100.00	635,317.03	100.00	635,317.03	0.00
440,677.840	ALLISON HOURLY LUTHER KING CAP MGT.	100.00	440,677.84	100.00	440,677.84	0.00
140,022.450	ALLISON HOURLY MANNING & NAPIER	100.00	140,022.45	100.00	140,022.45	0.00
0.030	ALLISON TRANSMISSION H A PIMCO	100.00	0.03	100.00	0.03	0.00
1,792,842.410	ALLISON TRANSMISSION HOURLY DB	100.00	1,792,842.41	100.00	1,792,842.41	0.00
4.110	ALLISON TRANSMISSIONS H R PIMCO	100.00	4.11	100.00	4.11	0.00
3,008,863.870	TOTAL WF ADV TREAS PLUS MM FD-INST #793		3,008,863.87		3,008,863.87	0.00
TOTAL PROPRIETARY FUNDS			3,008,863.87		3,008,863.87	0.00
TOTAL CASH EQUIVALENTS			3,008,863.87		3,008,863.87	0.00
EQUITIES						
COMMON STOCKS						
	ACADIA HEALTHCARE CO INC CUSIP 00404A109					
2,660.000	ALLISON HOURLY LUTHER KING CAP MGT.	47.45	126,222.54	48.50	129,010.00	2,787.46
2,660.000	TOTAL ACADIA HEALTHCARE CO INC		126,222.54		129,010.00	2,787.46
	ACI WORLDWIDE INC CUSIP 004498101					
7,445.000	ALLISON HOURLY LUTHER KING CAP MGT.	18.21	135,572.29	18.76	139,668.20	4,095.91
7,445.000	TOTAL ACI WORLDWIDE INC		135,572.29		139,668.20	4,095.91
	ACUITY BRANDS (HOLDING COMPANY) INC RR. CUSIP 00508Y102					
1,120.000	ALLISON HOURLY LUTHER KING CAP MGT.	96.66	108,263.03	117.71	131,835.20	23,572.17
1,120.000	TOTAL ACUITY BRANDS (HOLDING CO) INC RR.		108,263.03		131,835.20	23,572.17

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
610.000	AFFILIATED MANAGERS GROUP, INC COM CUSIP 008252108					
610.000	ALLISON HOURLY LUTHER KING CAP MGT.	182.64	111,410.40	200.36	122,219.60	10,809.20
	TOTAL AFFILIATED MANAGERS GROUP, INC COM		111,410.40		122,219.60	10,809.20
2,200.000	AIR PRODS & CHEMS INC COM CUSIP 009158106					
2,200.000	ALLISON HOURLY DOUGLAS C LANE	106.57	234,454.00	130.18	286,396.00	51,942.00
	TOTAL AIR PRODS & CHEMS INC COM		234,454.00		286,396.00	51,942.00
6,940.000	AKORN INC COM CUSIP 009728106					
6,940.000	ALLISON HOURLY LUTHER KING CAP MGT.	21.16	146,860.54	36.27	251,713.80	104,853.26
	TOTAL AKORN INC		146,860.54		251,713.80	104,853.26
27,000.000	ALCOA INC COM CUSIP 013817101					
27,000.000	ALLISON HOURLY DOUGLAS C LANE	8.20	221,365.00	16.09	434,430.00	213,065.00
	TOTAL ALCOA INC		221,365.00		434,430.00	213,065.00
2,450.000	ALIGN TECHNOLOGY INC CUSIP 016255101					
2,450.000	ALLISON HOURLY LUTHER KING CAP MGT.	54.44	133,366.66	51.68	126,616.00	6,750.66-
	TOTAL ALIGN TECHNOLOGY INC		133,366.66		126,616.00	6,750.66-
2,955.000	ALLEGHENY TECHNOLOGIES INC CUSIP 01741R102					
2,955.000	ALLISON HOURLY LUTHER KING CAP MGT.	31.85	94,123.18	37.10	109,630.50	15,507.32
	TOTAL ALLEGHENY TECHNOLOGIES INC		94,123.18		109,630.50	15,507.32

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
3,600.000	AMERICAN EXPRESS CO CUSIP 025816109	75.52	271,872.00	87.54	315,144.00	43,272.00
3,600.000	ALLISON HOURLY DOUGLAS C LANE TOTAL AMERICAN EXPRESS CO		271,872.00		315,144.00	43,272.00
2,000.000	AMGEN INC CUSIP 031162100	111.92	223,850.00	140.46	280,920.00	57,070.00
2,000.000	ALLISON HOURLY DOUGLAS C LANE TOTAL AMGEN INC		223,850.00		280,920.00	57,070.00
1,460.000	ASPEN TECHNOLOGY INC COM CUSIP 045327103	35.04	51,152.89	37.72	55,071.20	3,918.31
1,460.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL ASPEN TECHNOLOGY INC COM		51,152.89		55,071.20	3,918.31
4,085.000	ATHLON ENERGY INC CUSIP 047477104	34.55	141,130.80	58.23	237,869.55	96,738.75
4,085.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL ATHLON ENERGY INC		141,130.80		237,869.55	96,738.75
3,200.000	AUTOMATIC DATA PROCESSING INC CUSIP 053015103	72.38	231,616.00	83.08	265,856.00	34,240.00
3,200.000	ALLISON HOURLY DOUGLAS C LANE TOTAL AUTOMATIC DATA PROCESSING INC		231,616.00		265,856.00	34,240.00
1,535.000	B/E AEROSPACE, INC. CUSIP 073302101	74.75	114,742.70	83.94	128,847.90	14,105.20
1,535.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL B/E AEROSPACE, INC.		114,742.70		128,847.90	14,105.20
2,935.000	BANCORPSOUTH INC CUSIP 059692103	23.88	70,085.92	20.14	59,110.90	10,975.02-
2,935.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL BANCORPSOUTH INC		70,085.92		59,110.90	10,975.02-



Allison Transmission Hourly-Rate Employees Pension Plan (EIN/PN: 26-0413897/004)
Attachments to Schedule H (2013 Form 5500)
Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

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ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014

ALLISON HOURLY CONSOLIDATED
ACCOUNT NUMBER 22831199

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	BELDEN INC COM CUSIP 077454106					
1,880.000	ALLISON HOURLY LUTHER KING CAP MGT.	64.15	120,604.16	64.02	120,357.60	246.56-
1,880.000	TOTAL BELDEN INC		120,604.16		120,357.60	246.56-
	BLACKSTONE GROUP LP/THE CUSIP 09253U108					
10,000.000	ALLISON HOURLY DOUGLAS C LANE	24.89	248,900.00	31.48	314,800.00	65,900.00
10,000.000	TOTAL BLACKSTONE GROUP LP/THE		248,900.00		314,800.00	65,900.00
	BORG WARNER INC. COM CUSIP 099724106					
5,000.000	ALLISON HOURLY DOUGLAS C LANE	50.69	253,475.00	52.61	263,050.00	9,575.00
5,000.000	TOTAL BORG WARNER INC.		253,475.00		263,050.00	9,575.00
	BRISTOL MYERS SQUIBB CO CUSIP 110122108					
4,100.000	ALLISON HOURLY DOUGLAS C LANE	46.28	189,748.00	51.18	209,838.00	20,090.00
4,100.000	TOTAL BRISTOL MYERS SQUIBB CO		189,748.00		209,838.00	20,090.00
	CELGENE CORP COM CUSIP 151020104					
3,000.000	ALLISON HOURLY DOUGLAS C LANE	78.33	235,004.12	94.78	284,340.00	49,335.88
3,000.000	TOTAL CELGENE CORP COM		235,004.12		284,340.00	49,335.88
	CERNER CORP COM CUSIP 156782104					
4,800.000	ALLISON HOURLY DOUGLAS C LANE	52.55	252,240.00	59.57	285,936.00	33,696.00
4,800.000	TOTAL CERNER CORP COM		252,240.00		285,936.00	33,696.00
	CHEVRON CORP CUSIP 166764100					
800.000	ALLISON HOURLY DOUGLAS C LANE	121.50	97,200.00	119.32	95,456.00	1,744.00-
800.000	TOTAL CHEVRON CORP		97,200.00		95,456.00	1,744.00-

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>REVALUED COST</u>		<u>MARKET VALUE</u>		<u>UNREALIZED GAIN/LOSS ON REVALUED COST</u>
		<u>UNIT COST</u>	<u>TOTAL COST</u>	<u>UNIT PRICE</u>	<u>TOTAL MARKET</u>	
4,660.000	CIENA CORP USD 0.01					
4,660.000	CUSIP 171779309					
	ALLISON HOURLY LUTHER KING CAP MGT.	25.01	116,541.94	16.72	77,915.20	38,626.74-
	TOTAL CIENA CORP USD 0.01		116,541.94		77,915.20	38,626.74-
12,000.000	CISCO SYSTEMS INC					
12,000.000	CUSIP 17275R102					
	ALLISON HOURLY DOUGLAS C LANE	23.43	281,172.00	25.17	302,040.00	20,868.00
	TOTAL CISCO SYSTEMS INC		281,172.00		302,040.00	20,868.00
5,000.000	COACH INC					
5,000.000	CUSIP 189754104					
	ALLISON HOURLY DOUGLAS C LANE	50.41	252,039.20	35.61	178,050.00	73,989.20-
	TOTAL COACH INC		252,039.20		178,050.00	73,989.20-
5,000.000	COMCAST CORP-SPECIAL CL A					
5,000.000	CUSIP 20030N200					
	ALLISON HOURLY DOUGLAS C LANE	43.35	216,750.00	53.50	267,500.00	50,750.00
	TOTAL COMCAST CORP-SPECIAL CL A		216,750.00		267,500.00	50,750.00
2,700.000	COMERICA INC					
2,700.000	CUSIP 200340107					
	ALLISON HOURLY LUTHER KING CAP MGT.	40.90	110,441.68	49.86	134,622.00	24,180.32
	TOTAL COMERICA INC		110,441.68		134,622.00	24,180.32
16,000.000	CORNING INC					
16,000.000	CUSIP 219350105					
	ALLISON HOURLY DOUGLAS C LANE	14.54	232,671.50	19.34	309,440.00	76,768.50
	TOTAL CORNING INC		232,671.50		309,440.00	76,768.50
1,120.000	CYBERONICS INC COM					
1,120.000	CUSIP 23251P102					
	ALLISON HOURLY LUTHER KING CAP MGT.	50.74	56,828.80	51.16	57,299.20	470.40
	TOTAL CYBERONICS INC COM		56,828.80		57,299.20	470.40

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
4,200.000	DANAHER CORP CUSIP 235851102					
4,200.000	ALLISON HOURLY DOUGLAS C LANE	69.32	291,144.00	75.98	319,116.00	27,972.00
	TOTAL DANAHER CORP		291,144.00		319,116.00	27,972.00
1,250.000	DECKERS OUTDOOR CORP CUSIP 243537107					
1,250.000	ALLISON HOURLY LUTHER KING CAP MGT.	96.90	121,123.01	97.18	121,475.00	351.99
	TOTAL DECKERS OUTDOOR CORP		121,123.01		121,475.00	351.99
14,000.000	DELTA AIR LINES INC CUSIP 247361702					
14,000.000	ALLISON HOURLY DOUGLAS C LANE	23.59	330,260.00	36.15	506,100.00	175,840.00
	TOTAL DELTA AIR LINES INC		330,260.00		506,100.00	175,840.00
2,635.000	DEXCOM INC CUSIP 252131107					
2,635.000	ALLISON HOURLY LUTHER KING CAP MGT.	41.59	109,602.18	39.99	105,373.65	4,228.53-
	TOTAL DEXCOM INC		109,602.18		105,373.65	4,228.53-
6,560.000	E*TRADE GROUP CUSIP 269246401					
6,560.000	ALLISON HOURLY LUTHER KING CAP MGT.	18.62	122,131.42	22.59	148,190.40	26,058.98
	TOTAL E*TRADE GROUP		122,131.42		148,190.40	26,058.98
2,000.000	ECOLAB INC CUSIP 278865100					
2,000.000	ALLISON HOURLY DOUGLAS C LANE	98.76	197,520.00	114.83	229,660.00	32,140.00
	TOTAL ECOLAB INC		197,520.00		229,660.00	32,140.00
4,100.000	EMERSON ELECTRIC CO CUSIP 291011104					
4,100.000	ALLISON HOURLY DOUGLAS C LANE	64.70	265,270.00	62.58	256,578.00	8,692.00-
	TOTAL EMERSON ELECTRIC CO		265,270.00		256,578.00	8,692.00-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014

ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	EQUINIX INC COM NEW CUSIP 29444U502					
1,000.000	ALLISON HOURLY DOUGLAS C LANE	192.34	192,338.70	212.48	212,480.00	20,141.30
1,000.000	TOTAL EQUINIX INC		192,338.70		212,480.00	20,141.30
	EURONET WORLDWIDE INC. CUSIP 298736109					
1,755.000	ALLISON HOURLY LUTHER KING CAP MGT.	52.55	92,217.75	47.79	83,871.45	8,346.30-
1,755.000	TOTAL EURONET WORLDWIDE INC.		92,217.75		83,871.45	8,346.30-
	EXPEDITORS INTL WASH INC CUSIP 302130109					
4,600.000	ALLISON HOURLY DOUGLAS C LANE	44.06	202,676.00	40.58	186,668.00	16,008.00-
4,600.000	TOTAL EXPEDITORS INTL WASH INC		202,676.00		186,668.00	16,008.00-
	FORD MOTOR COMPANY CUSIP 345370860					
21,400.000	ALLISON HOURLY DOUGLAS C LANE	16.87	361,018.00	14.79	316,506.00	44,512.00-
21,400.000	TOTAL FORD MOTOR COMPANY		361,018.00		316,506.00	44,512.00-
	F5 NETWORKS INC COM CUSIP 315616102					
1,140.000	ALLISON HOURLY LUTHER KING CAP MGT.	115.53	131,708.90	118.74	135,363.60	3,654.70
1,140.000	TOTAL F5 NETWORKS INC		131,708.90		135,363.60	3,654.70
	GANNETT INC CUSIP 364730101					
3,580.000	ALLISON HOURLY LUTHER KING CAP MGT.	33.54	120,071.45	29.67	106,218.60	13,852.85-
3,580.000	TOTAL GANNETT INC		120,071.45		106,218.60	13,852.85-

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
10,500.000	GENERAL ELECTRIC CO CUSIP 369604103					
10,500.000	ALLISON HOURLY DOUGLAS C LANE	23.89	250,845.00	25.62	269,010.00	18,165.00
	TOTAL GENERAL ELECTRIC CO		250,845.00		269,010.00	18,165.00
8,600.000	GENERAL MOTORS CO CUSIP 37045V100					
8,600.000	ALLISON HOURLY DOUGLAS C LANE	35.97	309,342.00	31.94	274,684.00	34,658.00-
	TOTAL GENERAL MOTORS CO		309,342.00		274,684.00	34,658.00-
7,790.000	GENWORTH FINL INC COM CL A CUSIP 37247D106					
7,790.000	ALLISON HOURLY LUTHER KING CAP MGT.	12.85	100,122.23	13.10	102,049.00	1,926.77
	TOTAL GENWORTH FINL INC		100,122.23		102,049.00	1,926.77
325.000	GOOGLE INC CL A CUSIP 38259P508					
325.000	ALLISON HOURLY DOUGLAS C LANE	875.91	284,670.75	588.41	191,233.25	93,437.50-
	TOTAL GOOGLE INC		284,670.75		191,233.25	93,437.50-
325.000	GOOGLE INC CLASS C CUSIP 38259P706					
325.000	ALLISON HOURLY DOUGLAS C LANE	0.00	0.00	577.36	187,642.00	187,642.00
	TOTAL GOOGLE INC-CL C		0.00		187,642.00	187,642.00
2,360.000	HEXCEL CORP NEW COM CUSIP 428291108					
2,360.000	ALLISON HOURLY LUTHER KING CAP MGT.	38.80	91,568.00	39.70	93,692.00	2,124.00
	TOTAL HEXCEL CORP NEW COM		91,568.00		93,692.00	2,124.00

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
4,465.000	HILLENBRAND INC CUSIP 431571108					
4,465.000	ALLISON HOURLY LUTHER KING CAP MGT.	31.17	139,160.85	30.89	137,923.85	1,237.00-
	TOTAL HILLENBRAND INC		139,160.85		137,923.85	1,237.00-
2,200.000	HONEYWELL INTERNATIONAL INC CUSIP 438516106					
2,200.000	ALLISON HOURLY DOUGLAS C LANE	94.28	207,425.90	93.12	204,864.00	2,561.90-
	TOTAL HONEYWELL INTERNATIONAL INC		207,425.90		204,864.00	2,561.90-
4,200.000	INTEL CORP COMM CUSIP 458140100					
4,200.000	ALLISON HOURLY DOUGLAS C LANE	22.92	96,268.20	34.82	146,244.00	49,975.80
	TOTAL INTEL CORP		96,268.20		146,244.00	49,975.80
1,130.000	JONES LANG LASALLE INC COM CUSIP 48020Q107					
1,130.000	ALLISON HOURLY LUTHER KING CAP MGT.	112.82	127,486.92	126.34	142,764.20	15,277.28
	TOTAL JONES LANG LASALLE INC		127,486.92		142,764.20	15,277.28
4,525.000	KATE SPADE & COMPANY CUSIP 485865109					
4,525.000	ALLISON HOURLY LUTHER KING CAP MGT.	34.84	157,658.54	26.23	118,690.75	38,967.79-
	TOTAL KATE SPADE & COMPANY		157,658.54		118,690.75	38,967.79-
1,410.000	KIRBY CORP CUSIP 497266106					
1,410.000	ALLISON HOURLY LUTHER KING CAP MGT.	89.27	125,865.72	117.85	166,168.50	40,302.78
	TOTAL KIRBY CORP		125,865.72		166,168.50	40,302.78

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
1,875.000	KNOWLES CORP CUSIP 49926D109	32.49	60,912.41	26.50	49,687.50	11,224.91-
1,875.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL KNOWLES CORP		60,912.41		49,687.50	11,224.91-
6,680.000	LA QUINTA HOLDINGS INC CUSIP 50420D108	20.18	134,825.86	18.99	126,853.20	7,972.66-
6,680.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL LA QUINTA HOLDINGS INC		134,825.86		126,853.20	7,972.66-
4,460.000	LAREDO PETROLEUM, INC. CUSIP 516806106	27.49	122,620.59	22.41	99,948.60	22,671.99-
4,460.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL LAREDO PETROLEUM, INC.		122,620.59		99,948.60	22,671.99-
1,145.000	MARTIN MARIETTA MATLS INC COM CUSIP 573284106	119.05	136,312.50	128.94	147,636.30	11,323.80
1,145.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL MARTIN MARIETTA MATLS INC COM		136,312.50		147,636.30	11,323.80
4,250.000	MASTERCARD INC CL A CUSIP 57636Q104	67.28	285,931.50	73.92	314,160.00	28,228.50
4,250.000	ALLISON HOURLY DOUGLAS C LANE TOTAL MASTERCARD INC		285,931.50		314,160.00	28,228.50
4,980.000	MATADOR RESOURCES CO CUSIP 576485205	26.15	130,250.26	25.85	128,733.00	1,517.26-
4,980.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL MATADOR RESOURCES CO		130,250.26		128,733.00	1,517.26-
4,555.000	MEMORIAL RESOURCE DEVELOPMENT CORP. CUSIP 58605Q109	23.39	106,558.00	27.11	123,486.05	16,928.05
4,555.000	ALLISON HOURLY LUTHER KING CAP MGT. TOTAL MEMORIAL RESOURCE DEVELOPMEN		106,558.00		123,486.05	16,928.05

**ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014**
**ALLISON HOURLY CONSOLIDATED
ACCOUNT NUMBER 22831199**

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>REVALUED COST</u>		<u>MARKET VALUE</u>		<u>UNREALIZED GAIN/LOSS ON REVALUED COST</u>
		<u>UNIT COST</u>	<u>TOTAL COST</u>	<u>UNIT PRICE</u>	<u>TOTAL MARKET</u>	
4,000.000	MERCK & CO INC NEW					
4,000.000	CUSIP 58933Y105					
	ALLISON HOURLY DOUGLAS C LANE	47.61	190,436.00	59.28	237,120.00	46,684.00
	TOTAL MERCK & CO INC NEW		190,436.00		237,120.00	46,684.00
7,000.000	MICROSOFT CORP					
7,000.000	CUSIP 594918104					
	ALLISON HOURLY DOUGLAS C LANE	33.38	233,674.65	46.36	324,520.00	90,845.35
	TOTAL MICROSOFT CORP		233,674.65		324,520.00	90,845.35
1,665.000	MIDDLEBY CORP					
1,665.000	COM					
	CUSIP 596278101					
	ALLISON HOURLY LUTHER KING CAP MGT.	71.70	119,374.79	88.13	146,736.45	27,361.66
	TOTAL MIDDLEBY CORP		119,374.79		146,736.45	27,361.66
675.000	MWI VETERINARY SUPPLY INC					
675.000	CUSIP 55402X105					
	ALLISON HOURLY LUTHER KING CAP MGT.	149.61	100,989.32	148.40	100,170.00	819.32-
	TOTAL MWI VETERINARY SUPPLY INC		100,989.32		100,170.00	819.32-
2,200.000	PEPSICO INC					
2,200.000	CUSIP 713448108					
	ALLISON HOURLY DOUGLAS C LANE	79.50	174,900.00	93.09	204,798.00	29,898.00
	TOTAL PEPSICO INC		174,900.00		204,798.00	29,898.00
2,935.000	PERKINELMER, INC					
2,935.000	CUSIP 714046109					
	ALLISON HOURLY LUTHER KING CAP MGT.	44.83	131,570.98	43.60	127,966.00	3,604.98-
	TOTAL PERKINELMER, INC		131,570.98		127,966.00	3,604.98-
1,005.000	POLARIS INDS INC COM					
1,005.000	CUSIP 731068102					
	ALLISON HOURLY LUTHER KING CAP MGT.	134.91	135,581.98	149.79	150,538.95	14,956.97
	TOTAL POLARIS INDS INC COM		135,581.98		150,538.95	14,956.97

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>REVALUED COST</u>		<u>MARKET VALUE</u>		<u>UNREALIZED GAIN/LOSS ON REVALUED COST</u>
		<u>UNIT COST</u>	<u>TOTAL COST</u>	<u>UNIT PRICE</u>	<u>TOTAL MARKET</u>	
3,185.000	POLYONE CORP					
3,185.000	CUSIP 73179P106					
	ALLISON HOURLY LUTHER KING CAP MGT.	31.57	100,563.43	35.58	113,322.30	12,758.87
	TOTAL POLYONE CORP		100,563.43		113,322.30	12,758.87
	POOL CORPORATION					
	COM					
1,625.000	CUSIP 73278L105					
1,625.000	ALLISON HOURLY LUTHER KING CAP MGT.	55.16	89,632.70	53.92	87,620.00	2,012.70-
	TOTAL POOL CORPORATION		89,632.70		87,620.00	2,012.70-
	PRAXAIR INC COM					
1,800.000	CUSIP 74005P104					
1,800.000	ALLISON HOURLY DOUGLAS C LANE	120.21	216,378.00	129.00	232,200.00	15,822.00
	TOTAL PRAXAIR INC COM		216,378.00		232,200.00	15,822.00
	PROLOGIS, INC.					
4,500.000	CUSIP 74340W103					
4,500.000	ALLISON HOURLY DOUGLAS C LANE	37.62	169,290.00	37.70	169,650.00	360.00
	TOTAL PROLOGIS INC		169,290.00		169,650.00	360.00
	PROSPERITY BANCSHARES INC					
	COM					
1,545.000	CUSIP 743606105					
1,545.000	ALLISON HOURLY LUTHER KING CAP MGT.	61.84	95,542.80	57.17	88,327.65	7,215.15-
	TOTAL PROSPERITY BANCSHARES INC		95,542.80		88,327.65	7,215.15-
	QUALCOMM INC					
3,800.000	CUSIP 747525103					
3,800.000	ALLISON HOURLY DOUGLAS C LANE	67.32	255,816.00	74.77	284,126.00	28,310.00
	TOTAL QUALCOMM INC		255,816.00		284,126.00	28,310.00

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
11,585.000	RAMBUS INC DEL COM CUSIP 750917106					
11,585.000	ALLISON HOURLY LUTHER KING CAP MGT.	12.23	141,712.22	12.48	144,580.80	2,868.58
	TOTAL RAMBUS INC DEL COM		141,712.22		144,580.80	2,868.58
1,910.000	RAYMOND JAMES FINL INC CUSIP 754730109					
1,910.000	ALLISON HOURLY LUTHER KING CAP MGT.	52.06	99,434.18	53.58	102,337.80	2,903.62
	TOTAL RAYMOND JAMES FINL INC		99,434.18		102,337.80	2,903.62
6,185.000	SAPIENT CORP COM CUSIP 803062108					
6,185.000	ALLISON HOURLY LUTHER KING CAP MGT.	17.03	105,318.15	14.00	86,590.00	18,728.15-
	TOTAL SAPIENT CORP COM		105,318.15		86,590.00	18,728.15-
3,500.000	STARBUCKS CORP COM CUSIP 855244109					
3,500.000	ALLISON HOURLY DOUGLAS C LANE	76.97	269,395.00	75.46	264,110.00	5,285.00-
	TOTAL STARBUCKS CORP COM		269,395.00		264,110.00	5,285.00-
2,820.000	TAKE-TWO INTERACTIVE SOFTWARE CUSIP 874054109					
2,820.000	ALLISON HOURLY LUTHER KING CAP MGT.	23.29	65,676.16	23.07	65,057.40	618.76-
	TOTAL TAKE-TWO INTERACTIVE SOFTWARE		65,676.16		65,057.40	618.76-
3,040.000	TEAM HEALTH HOLDINGS INC CUSIP 87817A107					
3,040.000	ALLISON HOURLY LUTHER KING CAP MGT.	39.82	121,045.04	57.99	176,289.60	55,244.56
	TOTAL TEAM HEALTH HOLDINGS INC		121,045.04		176,289.60	55,244.56
1,695.000	TELEDYNE TECHNOLOGIES INC CUSIP 879360105					
1,695.000	ALLISON HOURLY LUTHER KING CAP MGT.	91.45	155,004.86	94.01	159,346.95	4,342.09
	TOTAL TELEDYNE TECHNOLOGIES INC		155,004.86		159,346.95	4,342.09

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	TEXAS CAP BANCSHARES INC COM CUSIP 88224Q107					
1,945.000	ALLISON HOURLY LUTHER KING CAP MGT.	47.67	92,723.63	57.68	112,187.60	19,463.97
1,945.000	TOTAL TEXAS CAP BANCSHARES INC		92,723.63		112,187.60	19,463.97
	THERMO FISHER SCIENTIFIC INC CUSIP 883556102					
2,700.000	ALLISON HOURLY DOUGLAS C LANE	92.15	248,805.00	121.70	328,590.00	79,785.00
2,700.000	TOTAL THERMO FISHER SCIENTIFIC INC		248,805.00		328,590.00	79,785.00
	TRACTOR SUPPLY CO COM CUSIP 892356106					
980.000	ALLISON HOURLY LUTHER KING CAP MGT.	67.17	65,826.60	61.51	60,279.80	5,546.80-
980.000	TOTAL TRACTOR SUPPLY CO COM		65,826.60		60,279.80	5,546.80-
	TRIMBLE NAV LTD CUSIP 896239100					
2,825.000	ALLISON HOURLY LUTHER KING CAP MGT.	29.71	83,930.75	30.50	86,162.50	2,231.75
2,825.000	TOTAL TRIMBLE NAV LTD		83,930.75		86,162.50	2,231.75
	ULTA SALON COSMETICS & FRAGRAN CUSIP 90384S303					
620.000	ALLISON HOURLY LUTHER KING CAP MGT.	99.30	61,564.86	118.17	73,265.40	11,700.54
620.000	TOTAL ULTA SALON COSMETICS & FRAGRAN		61,564.86		73,265.40	11,700.54
	UNITED CONTINENTAL HOLDINGS, INC. CUSIP 910047109					
6,500.000	ALLISON HOURLY DOUGLAS C LANE	29.89	194,279.15	46.79	304,135.00	109,855.85
6,500.000	TOTAL UNITED CONTINENTAL HOLDINGS, INC.		194,279.15		304,135.00	109,855.85
	VALERO ENERGY CORP CUSIP 91913Y100					
2,500.000	ALLISON HOURLY DOUGLAS C LANE	56.75	141,866.25	46.27	115,675.00	26,191.25-
2,500.000	TOTAL VALERO ENERGY CORP		141,866.25		115,675.00	26,191.25-

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
2,500.000	VENTAS INC COM					
2,500.000	CUSIP 92276F100					
	ALLISON HOURLY DOUGLAS C LANE	61.50	153,750.00	61.95	154,875.00	1,125.00
	TOTAL VENTAS INC COM		153,750.00		154,875.00	1,125.00
4,000.000	VERISK ANALYTICS INC					
4,000.000	CUSIP 92345Y106					
	ALLISON HOURLY DOUGLAS C LANE	66.54	266,164.40	60.89	243,560.00	22,604.40-
	TOTAL VERISK ANALYTICS INC		266,164.40		243,560.00	22,604.40-
1,500.000	VISA INC-CLASS A SHRS					
1,500.000	CUSIP 92826C839					
	ALLISON HOURLY DOUGLAS C LANE	191.10	286,650.00	213.37	320,055.00	33,405.00
	TOTAL VISA INC-CLASS A SHRS		286,650.00		320,055.00	33,405.00
3,985.000	WHITEWAVE FOODS CO					
3,985.000	CUSIP 966244105					
	ALLISON HOURLY LUTHER KING CAP MGT.	28.82	114,863.10	36.33	144,775.05	29,911.95
	TOTAL WHITEWAVE FOODS CO		114,863.10		144,775.05	29,911.95
5,000.000	WHOLE FOODS MKT INC					
5,000.000	CUSIP 966837106					
	ALLISON HOURLY DOUGLAS C LANE	39.72	198,624.00	38.11	190,550.00	8,074.00-
	TOTAL WHOLE FOODS MKT INC		198,624.00		190,550.00	8,074.00-
3,300.000	YUM BRANDS INC					
3,300.000	COM					
	CUSIP 988498101					
	ALLISON HOURLY DOUGLAS C LANE	71.39	235,587.00	71.98	237,534.00	1,947.00
	TOTAL YUM BRANDS INC		235,587.00		237,534.00	1,947.00
2,160.000	ZIONS BANCORP					
2,160.000	CUSIP 989701107					
	ALLISON HOURLY LUTHER KING CAP MGT.	27.42	59,227.20	29.06	62,769.60	3,542.40
	TOTAL ZIONS BANCORP		59,227.20		62,769.60	3,542.40

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014

ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	3M CO COM CUSIP 88579Y101					
2,000.000	ALLISON HOURLY DOUGLAS C LANE	119.41	238,820.00	141.68	283,360.00	44,540.00
2,000.000	TOTAL 3M CO		238,820.00		283,360.00	44,540.00
	TOTAL COMMON STOCKS		15,898,629.19		17,711,235.60	1,812,606.41
	AMERICAN DEPOSITORY RECEIPTS					
	ACCENTURE PLC CUSIP G1151C101					
3,000.000	ALLISON HOURLY DOUGLAS C LANE	73.64	220,920.00	81.32	243,960.00	23,040.00
3,000.000	TOTAL ACCENTURE PLC		220,920.00		243,960.00	23,040.00
	CORE LABORATORIES N V COM CUSIP N22717107					
1,000.000	ALLISON HOURLY DOUGLAS C LANE	155.70	155,700.20	146.35	146,350.00	9,350.20-
1,000.000	TOTAL CORE LABORATORIES N V COM		155,700.20		146,350.00	9,350.20-
	DANONE CUSIP 23636T100					
13,100.000	ALLISON HOURLY DOUGLAS C LANE	15.04	197,024.00	13.40	175,540.00	21,484.00-
13,100.000	TOTAL DANONE ADR		197,024.00		175,540.00	21,484.00-
	DIAGEO PLC - ADR SPONSORED ADR CUSIP 25243Q205					
2,000.000	ALLISON HOURLY DOUGLAS C LANE	126.76	253,526.65	115.40	230,800.00	22,726.65-
2,000.000	TOTAL DIAGEO PLC - ADR		253,526.65		230,800.00	22,726.65-
	EATON CORP PLC CUSIP G29183103					
3,800.000	ALLISON HOURLY DOUGLAS C LANE	68.84	261,592.00	63.37	240,806.00	20,786.00-
3,800.000	TOTAL EATON CORP PLC		261,592.00		240,806.00	20,786.00-

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	LUXOTTICA GROUP S.P.A. - ADR SPONSORED ADR CUSIP 55068R202					
5,000.000	ALLISON HOURLY DOUGLAS C LANE	52.57	262,850.00	52.08	260,400.00	2,450.00-
5,000.000	TOTAL LUXOTTICA GROUP S.P.A. - ADR		262,850.00		260,400.00	2,450.00-
	NESTLE S.A. REGISTERED SHARES - ADR SPONSORED ADR CUSIP 641069406					
2,700.000	ALLISON HOURLY DOUGLAS C LANE	69.60	187,920.00	73.63	198,801.00	10,881.00
2,700.000	TOTAL NESTLE S.A. REGISTERED SHARES - ADR		187,920.00		198,801.00	10,881.00
	NOVO NORDISK A/S - ADR SPONSORED ADR CUSIP 670100205					
5,000.000	ALLISON HOURLY DOUGLAS C LANE	43.95	219,768.50	47.62	238,100.00	18,331.50
5,000.000	TOTAL NOVO NORDISK A/S - ADR		219,768.50		238,100.00	18,331.50
	SANOFI-AVENTIS CUSIP 80105N105					
4,400.000	ALLISON HOURLY DOUGLAS C LANE	50.63	222,772.00	56.43	248,292.00	25,520.00
4,400.000	TOTAL SANOFI-ADR		222,772.00		248,292.00	25,520.00
	SCHLUMBERGER LTD CUSIP 806857108					
1,000.000	ALLISON HOURLY DOUGLAS C LANE	88.36	88,360.00	101.69	101,690.00	13,330.00
1,000.000	TOTAL SCHLUMBERGER LTD		88,360.00		101,690.00	13,330.00
	UNILEVER N.V. - ADR SPONSORED ADR CUSIP 904784709					
4,000.000	ALLISON HOURLY DOUGLAS C LANE	37.72	150,880.00	39.68	158,720.00	7,840.00
4,000.000	TOTAL UNILEVER N.V. - ADR		150,880.00		158,720.00	7,840.00
	TOTAL AMERICAN DEPOSITORY RECEIPTS		2,221,313.35		2,243,459.00	22,145.65
	TOTAL EQUITIES		18,119,942.54		19,954,694.60	1,834,752.06

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014

ALLISON HOURLY CONSOLIDATED
 ACCOUNT NUMBER 22831199

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
MUTUAL FUNDS						
MUTUAL FUNDS- EQUITY						
	MANNING & NAPIER UND INC					
	CUSIP 563821503					
233,663.336	ALLISON HOURLY MANNING & NAPIER	26.54	6,200,914.19	25.06	5,855,603.20	345,310.99-
233,663.336	TOTAL MANNING & NAPIER OVERSEAS #121		6,200,914.19		5,855,603.20	345,310.99-
TOTAL MUTUAL FUNDS- EQUITY			6,200,914.19		5,855,603.20	345,310.99-
MUTUAL FUNDS- CORPORATE BONDS						
	PIMCO EXTENDED DURATION INSTL FUND					
	#1890					
	CUSIP 72201F599					
1,582,167.425	ALLISON TRANSMISSIONS H R PIMCO	6.56	10,372,641.32	7.54	11,929,542.38	1,556,901.06
1,582,167.425	TOTAL PIMCO EXTENDED DURATION-INST FD 1890		10,372,641.32		11,929,542.38	1,556,901.06
	PIMCO LONG TERM CREDIT INST FUND					
	#1945					
	CUSIP 72201P647					
1,948,180.581	ALLISON TRANSMISSIONS H R PIMCO	11.94	23,255,041.51	12.68	24,702,929.77	1,447,888.26
1,948,180.581	TOTAL PIMCO LONG TERM CREDIT INST FD #1945		23,255,041.51		24,702,929.77	1,447,888.26
TOTAL MUTUAL FUNDS- CORPORATE BONDS			33,627,682.83		36,632,472.15	3,004,789.32
TOTAL MUTUAL FUNDS			39,828,597.02		42,488,075.35	2,659,478.33
TOTAL ASSETS AND LIABILITIES			60,957,403.43		65,451,633.82	4,494,230.39
ACCRUED INCOME			19,787.12		19,787.12	
NET ADJUSTED ASSETS			60,977,190.55		65,471,420.94	4,494,230.39

Schedule SB, line 24 — Change in Actuarial Assumptions

Expense normal cost was updated from \$230,000 to \$240,000 to reflect our expectations for the current plan year.