

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 2013 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>10/01/2013</u> and ending <u>09/30/2014</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>003</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>10/01/2007</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>003</u>	1c Effective date of plan <u>10/01/2007</u>	
1b Three-digit plan number (PN) ▶	<u>003</u>				
1c Effective date of plan <u>10/01/2007</u>					
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>ALLISON TRANSMISSION, INC.</u> <u>DOUG R. BOLTON</u> <u>ONE ALLISON WAY</u> <u>INDIANAPOLIS, IN 46222-3271</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>26-0413897</u></td> </tr> <tr> <td>2c Sponsor's telephone number <u>317-242-3561</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>336300</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>26-0413897</u>	2c Sponsor's telephone number <u>317-242-3561</u>	2d Business code (see instructions) <u>336300</u>	
2b Employer Identification Number (EIN) <u>26-0413897</u>					
2c Sponsor's telephone number <u>317-242-3561</u>					
2d Business code (see instructions) <u>336300</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/08/2015	DOUG R. BOLTON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		3c Administrator's telephone number 4b EIN 4c PN	
5 Total number of participants at the beginning of the plan year		5	891
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).			
a Active participants		6a	532
b Retired or separated participants receiving benefits		6b	229
c Other retired or separated participants entitled to future benefits		6c	125
d Subtotal. Add lines 6a , 6b , and 6c		6d	886
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits		6e	5
f Total. Add lines 6d and 6e		6f	891
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1C 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 09/30/2014

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information
1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2013</u>	
2 Assets:	
a Market value	2a <u>52129387</u>
b Actuarial value	2b <u>52129387</u>
3 Funding target/participant count breakdown:	
a For retired participants and beneficiaries receiving payment.....	3a <u>206</u> <u>7326686</u>
b For terminated vested participants.....	3b <u>118</u> <u>1860395</u>
c For active participants:	
(1) Non-vested benefits	3c(1) <u>1210623</u>
(2) Vested benefits	3c(2) <u>28749529</u>
(3) Total active.....	3c(3) <u>567</u> <u>29960152</u>
d Total.....	3d <u>891</u> <u>39147233</u>
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐	
a Funding target disregarding prescribed at-risk assumptions	4a
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b
5 Effective interest rate	5 <u>6.41</u> %
6 Target normal cost	6 <u>4334685</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		<u>07/06/2015</u>
Signature of actuary		Date
<u>GARY D. DICKSON, FSA, EA, MAAA</u>		<u>14-03764</u>
Type or print name of actuary		Most recent enrollment number
<u>MERCER</u>		<u>513-632-2614</u>
Firm name		Telephone number (including area code)
<u>525 VINE STREET, SUITE 1600</u> <u>CINCINNATI, OH 45202-3124</u>		
Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2013
v. 130118

Part II Beginning of Year Carryover and Prefunding Balances		
	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>8.30</u> %	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		10525283
b Interest on (a) using prior year's effective interest rate of <u>7.12</u> % except as otherwise provided (see instructions)		749400
c Total available at beginning of current plan year to add to prefunding balance		11274683
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections		0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages		
14 Funding target attainment percentage	14	133.16 %
15 Adjusted funding target attainment percentage	15	133.16 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	129.06 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
07/15/2014	2200000	0			
10/15/2014	2200000	0			
			Totals ►	18(b)	4400000 18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	4157662
20 Quarterly contributions and liquidity shortfalls:		
a Did the plan have a "funding shortfall" for the prior year?	☐ Yes ☒ No	
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	☐ Yes ☐ No	
c If line 20a is "Yes," see instructions and complete the following table as applicable:		

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.94%	2nd segment: 6.15%	3rd segment: 6.76 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 0
22 Weighted average retirement age				22 62
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6).....	31a	4334685	
b Excess assets, if applicable, but not greater than line 31a	31b	4334685	
32 Amortization installments:			
a Net shortfall amortization installment.....	Outstanding Balance	Installment	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....			0
36 Additional cash requirement (line 34 minus line 35).....	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	4157662	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	4157662	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42		
43 Excess installment acceleration amount to be carried over to future plan years	43		

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 09/30/2014

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

DOUGLAS C. LANE & ASSOCIATES, INC.

13-3776773

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49	NONE	86184	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK, NA

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 15	NONE	41342	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

LUTHER KING CAPITAL MANAGEMENT

75-1630300

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 18	NONE	35162	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO FUNDS MANAGEMENT, LLC

71-0828842

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 52	NONE	17005	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

PIMCO

33-0629048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

MANNING & NAPIER ADVISORS, INC.

16-0995736

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PIMCO	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO LONG-TERM CREDIT FUND 26-4238159	0.55% INVESTMENT MGMT FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PIMCO	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO EXTENDED DURATION FUND 20-5337597	0.50% INVESTMENT MGMT FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MANNING & NAPIER ADVISORS, INC.	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
M & N FUNDS, INC. OVERSEAS SERIES 01-0731484	0.70% INVESTMENT MGMT FEES	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
WELLS FARGO FUNDS MANAGEMENT, LLC	51 52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WELLS FARGO TREASURY PLUS MONEY MKT 71-0828842	0.20% INVESTMENT MGMT FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2013 This Form is Open to Public Inspection
For calendar plan year 2013 or fiscal plan year beginning <u>10/01/2013</u> and ending <u>09/30/2014</u>		
A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>		B Three-digit plan number (PN) ► <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>		D Employer Identification Number (EIN) <u>26-0413897</u>

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	7000000	2200000
(2) Participant contributions.....	1b(2)		
(3) Other.....	1b(3)	18464	20988
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	1396075	4250013
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	17927366	21359756
(B) All other.....	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	25944457	34130937
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	52286362	61961694

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	52286362	61961694
---	-----------	----------	----------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	4400000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		4400000

b Earnings on investments:**(1) Interest:**

(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	355	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		355

(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)	315097	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	1123582	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		1438679

(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	28412073	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	28506580	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-94507

(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	
(B) Other	2b(5)(B)	1798517	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		1798517

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		3017814
c Other income	2c		7844
d Total income. Add all income amounts in column (b) and enter total	2d		10568702

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	676122	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		676122
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	41342	
(3) Investment advisory and management fees	2i(3)	138351	
(4) Other	2i(4)	37555	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		217248
j Total expenses. Add all expense amounts in column (b) and enter total	2j		893370

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		9675332
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BKD, LLP**

(2) EIN: **44-0160260**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

- a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)
- b** Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☒ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>		<div>Retirement Plan Information</div> <div>This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>		<div>OMB No. 1210-0110</div> <div>2013</div> <div>This Form is Open to Public Inspection.</div>	
For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 09/30/2014					
A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES				B Three-digit plan number (PN) ▶	003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.				D Employer Identification Number (EIN) 26-0413897	
Part I Distributions					
All references to distributions relate only to payments of benefits during the plan year.					
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....				1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 26-6103167					
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.					
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....				3	0
Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)					
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?..... ☐ Yes ☐ No ☒ N/A					
If the plan is a defined benefit plan, go to line 8.					
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____					
If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.					
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....				6a	
b Enter the amount contributed by the employer to the plan for this plan year.....				6b	
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)				6c	
If you completed line 6c, skip lines 8 and 9.					
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?..... ☐ Yes ☐ No ☐ N/A					
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?..... ☐ Yes ☐ No ☒ N/A					
Part III Amendments					
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☒ No					
Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.					
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No					
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No					
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)..... ☐ Yes ☐ No					
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?..... ☐ Yes ☐ No					
For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.					
Schedule R (Form 5500) 2013 v. 130118					

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

**Allison Transmission Retirement Program for
Salaried Employees**

EIN 26-0413897 PN 003

Auditor's Report and Financial Statements

September 30, 2014 and 2013

**Allison Transmission Retirement Program for
Salaried Employees
September 30, 2014 and 2013**

Contents

Independent Auditor's Report.....	1
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Financial Statements

Statements of Net Assets Available for Benefits.....	3
Statements of Changes in Net Assets Available for Benefits.....	4
Statements of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits	5
Notes to Financial Statements	6

Supplemental Schedules

Schedule H, Line 4i - Schedule of Assets (Held at End of Year).....	16
Schedule H, Line 4j - Schedule of Reportable Transactions	19

Independent Auditor's Report

Plan Administrator
Allison Transmission Retirement Program for
Salaried Employees
Indianapolis, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Allison Transmission Retirement Program for Salaried Employees, which comprise the statements of net assets available for benefits as of September 30, 2014 and 2013, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements, and the statements of accumulated plan benefits as of October 1, 2013 and 2012 and the related statements of changes in accumulated plan benefits for the years then ended.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*, the Plan Administrator instructed us not to perform, and we did not perform, auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of and for the years ended September 30, 2014 and 2013, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules listed in the table of contents are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974* and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report of Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*.

BKD, LLP

Indianapolis, Indiana
July 6, 2015

Federal Employer Identification Number: 44-0160260

Allison Transmission Retirement Program for Salaried Employees

Statements of Net Assets Available for Benefits September 30, 2014 and 2013

Assets

	<u>2014</u>	<u>2013</u>
Investments , at fair value	<u>\$ 59,740,706</u>	<u>\$ 45,267,898</u>
Receivables		
Employer contributions	2,200,000	7,000,000
Accrued income	<u>20,988</u>	<u>18,464</u>
	<u>2,220,988</u>	<u>7,018,464</u>
Net Assets Available for Benefits	<u><u>\$ 61,961,694</u></u>	<u><u>\$ 52,286,362</u></u>

Allison Transmission Retirement Program for Salaried Employees

Statements of Changes in Net Assets Available for Benefits Years Ended September 30, 2014 and 2013

	<u>2014</u>	<u>2013</u>
Additions		
Investment Income		
Net appreciation in fair value of investments	\$ 4,721,824	\$ 2,093,237
Interest and dividends	1,421,473	1,185,212
Other income	25,405	17,834
Net investment income	<u>6,168,702</u>	<u>3,296,283</u>
Employer Contributions	<u>4,400,000</u>	<u>11,300,000</u>
Total additions	<u>10,568,702</u>	<u>14,596,283</u>
Deductions		
Benefits paid to participants	676,122	463,497
Administrative expenses	179,693	149,611
Pension Benefit Guaranty Corporation premiums	37,555	31,373
Total deductions	<u>893,370</u>	<u>644,481</u>
Net Increase	9,675,332	13,951,802
Net Assets Available for Benefits, Beginning of Year	<u>52,286,362</u>	<u>38,334,560</u>
Net Assets Available for Benefits, End of Year	<u><u>\$ 61,961,694</u></u>	<u><u>\$ 52,286,362</u></u>

Allison Transmission Retirement Program for Salaried Employees

Statements of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits October 1, 2013 and 2012

Statements of Accumulated Plan Benefits

	October 1,	
	2013	2012
Vested benefits		
Participants and beneficiaries currently receiving payments	\$ 6,991,748	\$ 5,128,217
Other participants	<u>28,748,178</u>	<u>23,923,837</u>
	35,739,926	29,052,054
Nonvested benefits	<u>1,071,988</u>	<u>1,117,527</u>
Total actuarial present value of accumulated plan benefits	<u><u>\$ 36,811,914</u></u>	<u><u>\$ 30,169,581</u></u>

Statements of Changes in Accumulated Plan Benefits

	Years Ended October 1,	
	2013	2012
Actuarial present value of accumulated plan benefits, beginning of year	<u>\$ 30,169,581</u>	<u>\$ 23,315,718</u>
Increase (decrease) during the year and period attributable to		
Benefits accumulated	4,313,294	5,561,030
Increase for interest due to the decrease in the discount period	2,094,296	1,619,706
Benefits paid	(463,497)	(326,873)
Change in actuarial assumptions (interest and mortality)	<u>698,240</u>	<u>-</u>
Net increase	<u>6,642,333</u>	<u>6,853,863</u>
Actuarial present value of accumulated plan benefits, end of year	<u><u>\$ 36,811,914</u></u>	<u><u>\$ 30,169,581</u></u>

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Note 1: Description of the Plan

The following description of the Plan provides only general information. Additional information about the vesting and benefit provisions and the Pension Benefit Guarantee Corporation's (PBGC) benefit guarantee is contained in the Plan Document and *Summary Plan Description*, which are available from the Plan Administrator. Any inconsistencies between the Plan Document and the *Summary Plan Description* would be governed by the Plan Document.

General

The Plan was established October 1, 2007 (Effective Date) as a result of the spin-off of Allison Transmission, Inc. (Company) from General Motors Corporation (GM). The Plan is frozen as to participation as of the Effective Date, and no employee other than a participant on the Effective Date shall be eligible to be covered under the Plan.

The Plan defines two types of participants, Part A and Part C. Part A participants are those participants who had a hire date with GM prior to January 1, 2001, who became a salaried employee of the Company on August 7, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and are a salaried employee immediately prior to retirement or termination. Part C participants are those participants who had a hire date with GM on or after January 1, 2001 and before January 1, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the General Motors Retirement Program for Salaried Employees (GM Plan) and were not vested in such benefits as of August 7, 2007 and became a salaried employee of the Company on August 7, 2007, and are a salaried employee. It was the intent of the Company that the Plan generally contain the same provisions as the GM Plan, at the time of spin-off from GM, such that employees who transferred from GM to the Company would maintain the same benefit, in aggregate, that would have been provided had the spin-off not occurred and the employees had continued working for GM.

The Plan is a noncontributory defined-benefit retirement plan for eligible salaried employees. The Plan is subject to provisions of the *Employee Retirement Income Security Act of 1974* (ERISA). Wells Fargo Bank, N.A. is the trustee of the Plan.

Contributions

The Company has agreed to contribute such additional amounts as are necessary to provide assets sufficient to meet the benefits to be paid to Plan participants. The Company's present intention is to make contributions in amounts sufficient to annually fund the Plan's current service cost and the initial past-service costs plus interest on them over a period of seven years. The Plan has met the minimum funding requirements established by the federal government.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Pension Benefits

The monthly benefit under the Plan for Part A participants is equal to (1) 1.25% multiplied by the employee's average monthly base salary for each year of active participation during which the Part A participant's "home country" under the Company's Global Expatriate Program is the United States, plus (2)(a) for Part A participants and Part A participants, Addendum B: 1.25% multiplied by the employee's base salary from August 7, 2007 through October 1, 2007, divided by twelve, or (b) for Part A Addendum A participants - 1.25% multiplied by the employee's base salary from August 7, 2007 through September 30, 2007 divided by the number of months worked in that period. Effective January 1, 2008, enhanced variable pay (iComp) is included in the calculation of base salary. Participants are entitled to annual pension benefits beginning at the later of (1) normal retirement age (65) or (2) the 5th anniversary of the date the participant began participation in the GM Plan.

The Plan permits the following early retirement options: (1) an employee who has attained age 55 but not age 65, and who has 10 or more years of credited service, or (2) an employee who has a hire date with GM prior to January 1, 1988, who has not attained age 55, and has 30 or more years of credited service (including service with GM). In addition, participants who are totally and permanently disabled prior to attaining age 65 and who have at least 10 years of credited service (including service with GM) are eligible for a disability pension, payable without an age reduction for early commencement. Late retirement is also allowed.

Several optional forms of benefit payments are available to participants including a life annuity, a joint and 50% survivor annuity, a joint and 65% survivor annuity, or a contingent annuity in 5% increments up to 100% of the participant's monthly basic benefit. Payments made in any form other than a life annuity are actuarially adjusted for the option selected.

Cash Balance Benefits

As of October 2, 2007, an account balance was established for Part C participants which equaled the sum of pay and interest credits from Part C of the GM Plan as of July 31, 2007, plus interest credits as of August 31, 2007 and September 30, 2007 and monthly interest credits as of the end of each month thereafter (starting on October 31, 2007). Interest credits equal the account balance at the end of the previous month times the monthly interest credit percentage (or 0.2669% monthly). Interest credits for a month are determined by applying the applicable interest credit percentage for the month to the participant's account balance as of the beginning of the month. The applicable interest credit percentage for a month during a Plan year is the monthly equivalent of the annual interest credit percentage for the Plan year.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Participants are entitled to monthly pension benefits beginning on the earlier of (1) the first day of the month on or after the participant's attainment of normal retirement age (the later of age 65 or the 5th anniversary of beginning participation in the GM Plan) or (2) the first day of the month following participant's termination of employment and the Plan administrator's receipt of a request from the Part C participant. Late retirement is also allowed. The Plan allows for a life annuity, a joint and 50% survivor annuity, a joint and 75% survivor annuity, or a lump-sum distribution. If the value of a participant's account is at or below \$5,000, the benefit will be paid as a lump-sum. If the account value is between \$1,000 - \$5,000 and the participant does not request a direct payment or rollover, it will be automatically rolled over to an IRA.

Death Benefits

If a participant is vested and dies before benefits begin and has been married for at least one year, a participant's spouse may elect to receive (1) a monthly benefit for life with the same actuarial value as the participant's account or (2) a single lump-sum equal to the participant's vested account balance.

Vesting

A participant is vested upon the earlier of (1) age 65 (or for Part C benefits - the later of age 65 or a participant's 5th anniversary in the GM Plan) or (2) completion of three years of vesting service.

Plan Termination

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- a. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under plan provisions in effect at any time during the five years preceding plan termination.
- b. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) up to the applicable limitations discussed below.
- c. Vested benefits not insured by the PBGC.
- d. All nonvested benefits.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan Sponsor and the level of benefits guaranteed by the PBGC. Any residual assets of the Plan will be distributed to the Company if all liabilities of the Plan to its participants and beneficiaries have been satisfied and the distribution does not contravene any provision of law.

Subsequent Events

Subsequent events have been evaluated through the date of the Independent Auditor's Report, which is the date the financial statements were available to be issued.

Note 2: Summary of Significant Accounting Policies

Method of Accounting

The accompanying financial statements are prepared on the accrual method of accounting.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets and changes in net assets available for benefits, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Valuation of Investments and Income Recognition

The money market fund is reported at fair value, which approximates its cost basis. Equity securities are stated at fair value. Securities traded on a national securities exchange are valued at the last reported sales price on the last business day of the Plan year. Mutual funds are valued at the net asset value (NAV) of shares held by the Plan at year end. Purchases and sale of securities are recorded on a trade-date basis. Interest income is recorded on an accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

Administrative Expenses

Administrative expenses may be paid by the employer or the Plan, at the employer's discretion.

Accumulated Plan Benefits

Accumulated plan benefits (see Statements of Accumulated Plan Benefits, Statements of Changes in Accumulated Plan Benefits and Note 4) are those estimated future periodic payments, including lump-sum distributions, which are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to:

- a. Retired or terminated employees or their beneficiaries
- b. Present employees or their beneficiaries

Benefits under the Plan are based on employees' credited service. Benefits payable under all circumstances — retirement, death, disability and termination of employment — are included, to the extent they are deemed attributable to employee service rendered to the valuation date. Benefits to be provided via annuity contracts excluded from Plan assets are excluded from accumulated plan benefits.

Plan Tax Status

The Plan obtained its latest determination letter on October 23, 2014, in which the Internal Revenue Service (IRS) stated that the Plan and related trust, as then designed, were in compliance with the applicable requirements of the Internal Revenue Code and therefore not subject to tax. The current determination letter expires January 31, 2018. With a few exceptions, the Plan is no longer subject to U.S. federal, state and local or non-U.S. income tax examinations by tax authorities for Plan years ending on or before 2011.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Note 3: Investments

The Plan's investments are held by a fiduciary trust company. The Plan's investments (including investments bought, sold and held during the year) appreciated in fair value as follows:

		2014	
		Net Appreciation in Fair Value During Year	Fair Value at End of Year
Investments at fair value as determined by the trustee			
Money market fund	\$	-	\$ 4,250,013
Equity securities		1,704,010	21,359,756
Mutual funds		3,017,814	34,130,937
		<u>\$ 4,721,824</u>	<u>\$ 59,740,706</u>
		2013	
		Net Appreciation (Depreciation) in Fair Value During Year	Fair Value at End of Year
Investments at fair value as determined by the trustee			
Money market fund	\$	-	\$ 1,396,075
Equity securities		3,771,044	17,927,366
Mutual funds		(1,677,807)	25,944,457
		<u>\$ 2,093,237</u>	<u>\$ 45,267,898</u>

Interest and dividends realized on the Plan's investments for the years ended 2014 and 2013 was \$1,421,473 and \$1,185,212, respectively.

The fair values of individual investments that represented 5% or more of the Plan's assets were as follows:

	2014	2013
Manning & Napier Overseas Fund	\$ 6,783,018	\$ 6,192,813
PIMCO Extended Duration Institutional Fund	12,949,798	7,047,320
PIMCO Long-Term Credit Institutional Fund	14,398,121	12,704,325
Wells Fargo Advantage Treasury Plus Money Market Fund	4,250,013	*

* Balance at respective date did not exceed the 5% threshold

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Note 4: Accumulated Plan Benefits

An actuary from Mercer determines the actuarial present value of accumulated plan benefits as of the beginning of the Plan year, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

Significant assumptions underlying the actuarial computations are:

Assumed rate of return of investments	7.0 percent, compounded annually
Mortality basis	Mercer MRP-2007 Mortality Table for combined healthy employees and annuitants with no collar adjustment and projected generational mortality improvements according to Scale AA for healthy lives (RP-2000 for 2013) 1985 Pension Disability Mortality for disabled lives
Retirement age	Varies by age and service Age 65 for deferred vested participants Average age of retirees is age 62
Asset valuation	Market

Plan contributions and the actuarial present value of accumulated plan benefits are prepared based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the Plan's financial statements. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Note 5: Disclosures About Fair Value of Assets

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value measurements must maximize the use of observable inputs and minimize the use of unobservable inputs. There is a hierarchy of three levels of inputs that may be used to measure fair value:

- Level 1** Quoted prices in active markets for identical assets or liabilities
- Level 2** Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities
- Level 3** Unobservable inputs supported by little or no market activity and are significant to the fair value of the assets or liabilities

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Recurring Measurements

The following tables present the fair value measurements of assets recognized in the accompanying statements of net assets available for benefits measured at fair value on a recurring basis and the level within the fair value hierarchy in which the fair value measurements fall at September 30, 2014 and 2013:

	Fair Value	2014 Fair Value Measurements Using		
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money Market Fund	\$ 4,250,013	\$ 4,250,013	\$ -	\$ -
Equity Securities				
Materials industry	1,607,370	1,607,370	-	-
Industrials	3,845,994	3,845,994	-	-
Consumer discretionary	2,918,327	2,918,327	-	-
Consumer staples	586,589	586,589	-	-
Energy	846,675	846,675	-	-
Financials	1,861,062	1,861,062	-	-
Information technology	3,947,493	3,947,493	-	-
Health care	2,803,526	2,803,526	-	-
Telecommunication services	65,737	65,737	-	-
Other industries	2,876,983	2,876,983	-	-
	<u>21,359,756</u>	<u>21,359,756</u>	<u>-</u>	<u>-</u>
Mutual Funds				
Fixed income - long government	12,949,798	12,949,798	-	-
Fixed income - long-term bond	14,398,121	14,398,121	-	-
International	6,783,018	6,783,018	-	-
	<u>34,130,937</u>	<u>34,130,937</u>	<u>-</u>	<u>-</u>
	<u>\$ 59,740,706</u>	<u>\$ 59,740,706</u>	<u>\$ -</u>	<u>\$ -</u>

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

		2013 Fair Value Measurements Using		
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
	Fair Value			
Money Market Fund	\$ 1,396,075	\$ 1,396,075	\$ -	\$ -
Equity Securities				
Materials industry	1,035,268	1,035,268	-	-
Industrials	2,984,989	2,984,989	-	-
Consumer discretionary	3,253,141	3,253,141	-	-
Consumer staples	461,439	461,439	-	-
Energy	522,437	522,437	-	-
Financials	2,350,149	2,350,149	-	-
Information technology	2,938,171	2,938,171	-	-
Health care	1,931,608	1,931,608	-	-
Telecommunication services	93,350	93,350	-	-
Other industries	2,356,814	2,356,814	-	-
	<u>17,927,366</u>	<u>17,927,366</u>	<u>-</u>	<u>-</u>
Mutual Funds				
Fixed income - long government	7,047,320	7,047,320	-	-
Fixed income - long-term bond	12,704,324	12,704,324	-	-
International	6,192,813	6,192,813	-	-
	<u>25,944,457</u>	<u>25,944,457</u>	<u>-</u>	<u>-</u>
	<u>\$ 45,267,898</u>	<u>\$ 45,267,898</u>	<u>\$ -</u>	<u>\$ -</u>

Following is a description of the valuation methodologies and inputs used for assets measured at fair value on a recurring basis and recognized in the accompanying statements of net assets available for benefits, as well as the general classification of such assets pursuant to the valuation hierarchy. There have been no significant changes in the valuation techniques during the year ended September 30, 2014. The Plan had no liabilities measured at fair value on a recurring basis. In addition, the Plan had no assets or liabilities measured at fair value on a nonrecurring basis.

Investments

Where quoted market prices are available in an active market, securities are classified within Level 1 of the valuation hierarchy. Level 1 securities include a money market fund, equity securities and mutual funds. If quoted market prices are not available, then fair values are estimated by using quoted prices of securities with similar characteristics or independent asset pricing services and pricing models, the inputs of which are market-based or independently sourced market parameters, including, but not limited to, yield curves, interest rates, volatilities, prepayments, defaults, cumulative loss projections and cash flows. Such securities are classified in Level 2 of the valuation hierarchy. In certain cases where Level 1 or Level 2 inputs are not available, securities are classified within Level 3 of the hierarchy. There are no Level 2 or Level 3 investments in the Plan.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2014 and 2013

Note 6: Party-in-Interest Transactions

Party-in-interest transactions include those with fiduciaries or employees of the Plan, any person who provides services to the Plan, an employer whose employees are covered by the Plan, an employee organization whose members are covered by the Plan, a person who owns 50 percent or more of such an employer or employee association, or relatives of such persons.

The Plan paid PBGC premiums totaling \$37,555 and \$31,373 during 2014 and 2013, respectively. The Plan paid \$41,265 and \$31,791 of administrative fees to the trustee during 2014 and 2013, respectively. The Plan paid \$138,351 and \$113,786 of investment management fees during 2014 and 2013, respectively. Other administrative expenses paid during 2014 and 2013 by the Plan were \$77 and \$4,034, respectively. The Company provides certain administrative services at no cost to the Plan.

Note 7: Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Supplemental Schedules

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) September 30, 2014

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Money Market Fund			
*Wells Fargo Advantage Treasury Plus Money Market Fund	4,250,013 shares	\$ 4,250,013	\$ 4,250,013
Equity Securities			
Accenture, PLC	2,800 shares	161,261	227,696
Core laboratories N V COM	1,100 shares	171,270	160,985
Danone	15,000 shares	196,024	201,000
Diageo, PLC	2,200 shares	192,200	253,880
Eaton Corp PLC	4,000 shares	207,622	253,480
Luxottica Group SpA	5,000 shares	134,788	260,400
Nestle SA	3,000 shares	167,540	220,890
Novo Nordisk	5,500 shares	241,745	261,910
Sanofi-Aventis	5,000 shares	197,544	282,150
Schlumberger, Ltd.	1,000 shares	55,785	101,690
Unilever, N.V.	4,000 shares	118,106	158,720
Vodafone Group, PLC	5,454 shares	222,953	179,382
Air Products & Chemicals, Inc.	2,200 shares	162,166	286,396
Alcoa, Inc.	29,000 shares	298,108	466,610
Allegheny Technologies, Inc.	3,125 shares	107,329	115,938
Ecolab, Inc.	2,000 shares	96,668	229,659
Martin Marietta Matls, Inc.	1,215 shares	145,071	156,662
Polyone Corp.	3,370 shares	100,320	119,905
Praxair, Inc.	1,800 shares	165,202	232,200
Acuity Brands (Holding Company), Inc.	1,185 shares	99,946	139,486
BE Aerospace, Inc.	1,625 shares	78,273	136,403
Beldon, Inc.	1,990 shares	88,096	127,399
Danaher Corporation	4,500 shares	214,814	341,910
Delta Airlines, Inc.	15,000 shares	181,207	542,250
Emerson Electric Company	4,000 shares	181,961	250,320
Expeditors International of Washington, Inc.	5,000 shares	214,258	202,900
General Electric Company	12,000 shares	220,969	307,440
Hexcel Corporation	2,500 shares	68,686	99,250
Hillenbrand, Inc.	4,725 shares	147,116	145,955
Honeywell International Inc.	2,500 shares	235,711	232,800
Kirby Corp.	1,495 shares	105,319	176,186
Middleby Corp.	1,760 shares	79,632	155,109
Teledyne Technologies, Inc.	1,795 shares	164,086	168,748
United Continental Holdings, Inc.	6,000 shares	179,335	280,740
Verisk Analytics Inc.	4,200 shares	277,159	255,738
3M Company	2,000 shares	167,504	283,360
BorgWarner, Inc.	6,000 shares	183,170	315,660
Coach, Inc.	5,500 shares	276,842	195,855
Comcast Corporation	5,000 shares	85,090	267,500
Decker Outdoor Corp.	1,320 shares	127,898	128,278

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2014

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Ford Motor Company	23,000 shares	\$ 265,715	\$ 340,170
Gannett Inc.	3,785 shares	126,955	112,301
General Motors Company	10,000 shares	266,888	319,400
Kate Spade & Company	4,785 shares	87,849	125,511
La Quinta Holdings, Inc.	7,065 shares	142,604	134,164
MWI Veterinary Supply, Inc.	715 shares	78,797	106,106
Polaris Industries, Inc.	1,065 shares	92,426	159,526
Pool Corp.	1,720 shares	93,773	92,742
Starbucks Corporation	3,500 shares	141,632	264,110
Tractor Supply Company	1,035 shares	36,617	63,663
Ulta Salon Cosmetics & Fragran	655 shares	65,040	77,401
Yum Brands Inc.	3,000 shares	199,471	215,940
PepsiCo, Inc.	2,200 shares	143,306	204,798
Whitewave Fodds Co.	4,125 shares	122,177	153,131
Whole Foods MKT, Inc.	6,000 shares	238,349	228,660
Athlon Energy, Inc.	4,320 shares	143,820	251,554
Chevron Corporation	700 shares	49,258	83,524
Laredo Petroleum, Inc.	4,725 shares	130,329	105,887
Matador Resources Co.	5,270 shares	137,842	136,230
Memorial Resource Development Corp.	4,820 shares	112,768	130,670
Valero Energy Corp.	3,000 shares	170,240	138,810
Affiliated Managers Group, Inc.	645 shares	66,388	129,232
American Express Company	4,000 shares	205,573	350,160
Bancorpsouth, Inc.	3,110 shares	74,139	62,635
Comerica, Inc.	2,855 shares	93,041	142,350
E-Trade Group	6,940 shares	113,080	156,775
Genworth Financial, Inc.	8,240 shares	99,484	107,944
Jones Lang Lasalle, Inc.	1,195 shares	135,772	150,976
Prologics, Inc.	5,000 shares	160,854	188,500
Prosperity Bancshares, Inc.	1,635 shares	63,046	93,474
Raymond James Finl, Inc.	2,020 shares	105,150	108,232
Texas Cap Bancshares, Inc.	2,055 shares	90,865	118,532
Ventas, Inc.	3,000 shares	161,816	185,850
Zions Bancorp	2,285 shares	58,014	66,402
ACI Worldwide, Inc.	7,875 shares	113,618	147,735
Aspen Technology, Inc. Com	1,550 shares	40,576	58,466
Automatic Data Processing, Inc.	3,000 shares	137,806	249,240
Ciena Corp.	4,935 shares	84,233	82,513
Cisco Systems, Inc.	13,000 shares	269,603	327,210
Corning, Inc.	15,000 shares	238,129	290,100

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2014

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Equinix Inc.	1,100 shares	\$ 211,573	\$ 233,728
Euronet Worldwide Inc.	1,855 shares	97,464	88,650
F5 Networks Inc.	1,205 shares	139,154	143,082
Google, Inc.	300 shares	93,008	176,523
Google, Inc. Class C	400 shares	145,493	230,944
Intel Corporation	4,000 shares	81,277	139,280
Knowles Corp.	1,985 shares	64,486	52,603
Mastercard, Inc.	4,500 shares	118,148	332,640
Microsoft Corporation	8,000 shares	237,618	370,880
QUALCOMM, Inc.	4,000 shares	202,248	299,080
Rambus Inc. Del Com	12,260 shares	149,957	153,005
Sapient Corp Com	6,550 shares	111,370	91,700
Take-Two Interactive Software	2,985 shares	69,519	68,864
Trimble Nav Ltd.	2,990 shares	74,953	91,195
Visa, Inc.	1,500 shares	109,571	320,055
Abbott Laboratories	2,000 shares	45,319	83,180
Acadia Healthcare Co Inc.	2,815 shares	133,032	136,528
Akorn, Inc.	7,345 shares	129,131	266,403
Align Technology Inc.	2,590 shares	140,995	133,851
Amgen, Inc.	2,000 shares	107,390	280,920
Bristol-Myers Squibb Company	4,000 shares	109,555	204,720
Celgene Corp Com	3,200 shares	249,155	303,296
Cerner Corporation	5,000 shares	176,283	297,850
Cyberonics Inc. Com	1,190 shares	61,017	60,880
Dexcom Inc.	2,790 shares	116,614	111,572
Merck & Company, Inc.	4,000 shares	142,836	237,120
Perkinelmer, Inc	3,105 shares	138,847	135,378
Team Health Holdings, Inc.	3,220 shares	96,188	186,728
Thermo Fisher Scientific, Inc.	3,000 shares	145,289	365,100
Verizon Communications, Inc.	1,315 shares	63,271	65,737
The Blackstone Group, L.P.	10,000 shares	143,617	314,800
		15,580,195	21,359,756
Mutual Funds			
Manning & Napier Overseas Fund	270,671 shares	6,339,616	6,783,018
PIMCO Extended Duration Institutional Fund	1,717,480 shares	13,512,578	12,949,798
PIMCO Long-Term Credit Institutional Fund	1,135,499 shares	13,936,851	14,398,121
		33,789,045	34,130,937
		\$ 53,619,253	\$ 59,740,706

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4j - Schedule of Reportable Transactions Year Ended September 30, 2014

Identity	Description	Purchase Price	Selling Price	Lease Rental	Expenses Incurred With Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Loss
Issuer	Wells Fargo Advantage Treasury Plus Money Market Fund							
	Purchases	\$ 25,061,457				\$ 25,061,457	\$ 25,061,457	
	Sales		\$ 22,207,519			22,207,519	22,207,519	\$ -
Issuer	PIMCO Long-Term Credit Institutional Fund							
	Purchases	4,023,491				4,023,491	4,023,491	
	Sales		3,157,945			3,265,158	3,157,945	(107,213)
Issuer	PIMCO Extended Duration Institutional Fund							
	Purchases	4,949,195				4,949,195	4,949,195	
	Sales		712,018			867,545	712,018	(155,527)

Schedule SB, line 26 — Schedule of Active Participant Data

Attained age	Years of credited service										
	Under 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & up	Total
Under 25											
25–29			1								1
30–34			2	2							4
35–39			4	22	4						30
40–44			2	31	16	13	2				64
45–49			1	20	16	34	11	1			83
50–54			4	20	16	21	12	36	11		120
55–59				5	10	23	11	52	63	12	176
60–64			1	6	5	13	6	13	17	16	77
65–69				1		2		1	3	3	10
70 & up					1					1	2
Total			15	107	68	106	42	103	94	32	567

In each cell, the top number is the count of active participants for each age/service combination.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Actuarial Assumptions for October 1, 2013 Funding Valuation**

Discount rate sponsor elections	<u>10/1/2013</u>	<u>10/1/2013</u>
• Segment rates or full yield curve	Segment	Segment
• Look-back months	0	
	<u>Stabilized Rates</u>	<u>Nonstabilized Rates</u>
• First 5 years	4.94%	1.35%
• Next 15 years	6.15%	4.05%
• Over 20 years	6.76%	5.05%
	Rationale: Assumption Prescribed by IRS	
Mortality sponsor elections		
• Healthy participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement.	
• Disabled participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement.	
Other economic assumptions		
• Salary increases (base pay)	3.00% per year Rationale: Assumption set based on future expectations	
• iComp amount	In any given year, iComp may or may not be paid based on the company's and each individual employee's performance. For service cost calculation purposes, iComp has been estimated based on employee level as follows: <ul style="list-style-type: none">• Level 5 and below – 3.00% of base pay• Level 6 – 4.00% of base pay• Level 7 – 5.00% of base pay• Level 8 and above – 8.00% of base pay It is further assumed that 25% of participants will receive an additional 10% iComp payment above the amounts listed above (or 2.50% of total base pay). Rationale: Assumption set based on future expectations	
• Inflation	2.50% per year Rationale: Assumption set based on future expectations	
• Expenses	\$180,000 added to current year normal cost Rationale: Expenses are assumed to be equal to a best estimate of Plan Year admin expense plus expected PBGC Premium rounded to nearest \$10,000	

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Demographic assumptions			
• Withdrawal	GM 5-year select and ultimate rates for salaried employees. See table of sample rates.		
• Disability incidence	GM disability rates for salaried employees. See table of sample rates		
• Retirement age	GM rates for salaried employees. See table of sample rates.		
• Benefit commencement age for			
— Future vested deferred		65	
— Current vested deferred		65	
• Spouse assumptions	<u>Male participants</u>		<u>Female participants</u>
— Percentage married	85%		45%
— Spouse age difference	3 years younger		3 years older
Form of payment	<u>Single life</u>	<u>65% J&S</u>	<u>50% J&S</u>
(Part A participants)			
• Active retirements	100% of unmarried	100% of married	N/A
• Future vested deferred	100%	0%	N/A
• Future disabilities	100% of unmarried	100% of married	N/A
• Future deaths	N/A	100% of married retirement eligible	100% of married not eligible
• Current vested deferred	100%	0%	N/A
Form of payment	Life annuity		
(Part C participants)			
Unpredictable contingent event assumptions	Not applicable		

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Table of Sample Rates**

Attained age	Withdrawal					
	Service 0-1	Service 1-2	Service 2-3	Service 3-4	Service 4-5	Ultimate
20	20.61%	10.25%	7.80%	5.89%	4.96%	4.48%
25	13.46%	9.09%	8.01%	5.89%	4.89%	3.50%
30	8.13%	6.66%	7.65%	8.20%	6.37%	3.50%
35	6.25%	5.91%	5.02%	5.87%	5.26%	2.65%
40	6.27%	6.02%	4.02%	5.01%	4.83%	1.42%
45	6.29%	3.94%	4.19%	4.75%	4.12%	0.80%
50	5.73%	3.58%	4.94%	4.01%	2.87%	0.37%
55						

Attained Age	Retirement	
	SVC<30	SVC>30
48		10.00%
49		3.50%
50		4.00%
51		5.00%
52		6.50%
53		6.50%
54		9.50%
55		12.00%
56		15.50%
57	3.00%	15.00%
58	3.00%	19.00%
59	2.50%	20.00%
60	3.00%	20.50%
61	3.00%	17.50%
62	11.50%	25.50%
63	8.50%	16.00%
64	12.50%	13.00%
65	34.50%	34.50%
66	20.00%	20.00%
67	17.50%	17.50%
68	18.50%	18.50%
69	20.00%	20.00%
70+	100.00%	100.00%

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Actuarial Methods

Asset Methods

The asset valuation method is the fair market value, plus any discounted receivable contributions.

Participant Methods

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all employees as of the valuation date, but only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

Minimum Funding Methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2013 Form 5500)
Schedule H, Line 4j - Schedule of Reportable Transactions

PAGE 568

REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
		BEGINNING MARKET VALUE		45,286,362.54			
		COMPARATIVE VALUE (5%)		2,264,318.13			
22831200	ISSUE: VP7000061 - WF ADV TREAS PLUS MM FD-INST #793 04/15/14 B	3,000,000	1.000	0	3,000,000-*	3,000,000	
22831200	ISSUE: VP7000061 - WF ADV TREAS PLUS MM FD-INST #793 04/21/14 S	2,746,935	1.000	0	2,746,935 *	2,746,935	0
22831204	ISSUE: 72201F599 - PIMCO EXTENDED DURATION-INST FD 1890 01/15/14 B	363,127	6.300	0	2,287,700-*	2,287,700	
22831204	ISSUE: 72201P647 - PIMCO LONG TERM CREDIT INST FD #1945 01/15/14 S	193,055	11.850	0	2,287,700 *	2,373,770	-86,070
	GRAND TOTAL			0	10,322,335	10,408,405	-86,070

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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BEGINNING MARKET VALUE	45,286,362.54
COMPARATIVE VALUE (5%)	2,264,318.13

BROKER: MORGAN STANLEY & CO.

22831202	ISSUE: 172967424 - CITIGROUP INC. 10/01/13 S	5,000	48.908	254	244,284	168,909	75,375
22831202	ISSUE: 478366107 - JOHNSON CONTROLS INC 11/26/13 S	5,000	50.387	254	251,682	144,216	107,466
22831201	ISSUE: 431571108 - HILLENBRAND INC 04/16/14 B	375	30.821	15	11,573-	11,573	
22831202	ISSUE: N22717107 - CORE LABORATORIES N V COM 05/12/14 B	1,100	155.650	55	171,270-	171,270	
22831202	ISSUE: 025816109 - AMERICAN EXPRESS CO 05/12/14 B	500	89.700	25	44,875-	44,875	
22831202	ISSUE: 151020104 - CELGENE CORP COM 05/12/14 B	400	149.580	20	59,852-	59,852	
22831202	ISSUE: 156782104 - CERNER CORP COM 05/12/14 B	600	51.740	30	31,074-	31,074	
22831202	ISSUE: 17275R102 - CISCO SYSTEMS INC 05/12/14 B	2,000	23.150	100	46,400-	46,400	
22831202	ISSUE: 235851102 - DANAHER CORP 05/12/14 B	500	75.010	25	37,530-	37,530	
22831202	ISSUE: 25243Q205 - DIAGEO PLC - ADR 05/12/14 B	700	125.763	35	88,069-	88,069	
22831202	ISSUE: 302130109 - EXPEDITORS INTL WASH INC 05/12/14 B	800	43.820	40	35,096-	35,096	
22831202	ISSUE: 369604103 - GENERAL ELECTRIC CO 05/12/14 B	2,000	26.780	100	53,660-	53,660	
22831202	ISSUE: 452308109 - ILLINOIS TOOL WORKS INC 05/12/14 S	3,000	87.229	156	261,531	151,110	110,421
22831202	ISSUE: 594918104 - MICROSOFT CORP 05/12/14 B	1,000	39.945	50	39,995-	39,995	
22831202	ISSUE: 641069406 - NESTLE S.A. REGISTERED SHARES - ADR 05/12/14 B	500	78.750	25	39,400-	39,400	
22831202	ISSUE: 654902204 - NOKIA CORPORATION - ADR 05/12/14 S	12,000	7.395	602	88,137	100,969	-12,832
22831202	ISSUE: 92857W308 - VODAFONE GROUP PLC-SP ADR 05/12/14 B	2,727	37.880	136	103,435-	103,435	
22831202	ISSUE: 747525103 - QUALCOMM INC 05/12/14 B	500	79.947	25	39,999-	39,999	

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831202	ISSUE: 91913Y100 - VALERO ENERGY CORP 05/12/14 B	3,000	56.697	150	170,240-	170,240	
22831202	ISSUE: 92276F100 - VENTAS INC COM 05/12/14 B	500	66.898	25	33,474-	33,474	
22831202	ISSUE: 966837106 - WHOLE FOODS MKT INC 05/12/14 B	6,000	39.675	300	238,349-	238,349	
22831202	ISSUE: 191216100 - COCA COLA CO 05/12/14 S	2,400	40.809	122	97,820	68,059	29,761
22831202	ISSUE: 013817101 - ALCOA INC 05/12/14 B	4,000	13.760	200	55,240-	55,240	
22831202	ISSUE: 38259P706 - GOOGLE INC-CL C 05/12/14 B	100	527.780	5	52,783-	52,783	
22831202	ISSUE: 30231G102 - EXXON MOBIL CORPORATION 05/12/14 S	700	101.930	37	71,314	41,671	29,643
22831202	ISSUE: 438516106 - HONEYWELL INTERNATIONAL INC 05/12/14 B	2,500	94.235	125	235,711-	235,711	
22831202	ISSUE: 670100205 - NOVO NORDISK A/S - ADR 05/12/14 B	5,500	43.904	275	241,745-	241,745	
22831202	ISSUE: 345370860 - FORD MOTOR COMPANY 05/12/14 B	3,000	15.820	150	47,610-	47,610	
22831202	ISSUE: 189754104 - COACH INC 05/12/14 B	2,500	42.356	125	106,015-	106,015	
22831202	ISSUE: 46625H100 - JPMORGAN CHASE & CO 05/12/14 S	4,500	54.611	230	245,518	166,196	79,322
22831202	ISSUE: 23636T100 - DANONE ADR 05/12/14 B	3,000	14.550	237	43,887-	43,887	
22831202	ISSUE: 92345Y106 - VERISK ANALYTICS INC 05/12/14 B	1,200	61.350	60	73,680-	73,680	
22831202	ISSUE: 80105N105 - SANOFI-ADR 05/12/14 B	1,000	52.638	155	52,793-	52,793	
22831202	ISSUE: 29444U502 - EQUINIX INC 05/12/14 B	1,100	192.289	55	211,573-	211,573	
22831202	ISSUE: 37045V100 - GENERAL MOTORS CO 05/12/14 B	2,000	34.759	100	69,617-	69,617	
22831202	ISSUE: 74340W103 - PROLOGIS INC 05/12/14 B	500	41.380	25	20,715-	20,715	
22831202	ISSUE: 57636Q104 - MASTERCARD INC 05/12/14 B	500	74.750	25	37,400-	37,400	
22831202	ISSUE: 247361702 - DELTA AIR LINES INC 05/12/14 B	1,000	38.370	50	38,420-	38,420	
SUB-TOTAL				4,398	3,791,766	3,372,610	419,157
GRAND TOTAL				4,398	3,791,766	3,372,610	419,157



REPORTABLE TRANSACTIONS - SERIES / BY BROKER FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014					ALLISON SALARIED CONSOLIDATED ACCOUNT NUMBER 22831299		
TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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BEGINNING MARKET VALUE	45,286,362.54
COMPARATIVE VALUE (5%)	2,264,318.13

ISSUE: VP7000061 - WF ADV TREAS PLUS MM FD-INST #793

22831200	10/23/13 B	2,000,000	1.000	0	2,000,000-	2,000,000	
22831200	01/13/14 B	176	1.000	0	176-	176	
22831200	01/15/14 B	5,356	1.000	0	5,356-	5,356	
22831200	01/16/14 B	2,000,000	1.000	0	2,000,000-	2,000,000	
22831200	04/15/14 B	3,000,000	1.000	0	3,000,000-*	3,000,000	
22831200	04/24/14 B	307	1.000	0	307-	307	
22831200	07/15/14 B	2,200,000	1.000	0	2,200,000-	2,200,000	
22831201	10/01/13 B	1,384	1.000	0	1,384-	1,384	
22831201	10/02/13 B	114	1.000	0	114-	114	
22831201	10/03/13 B	125	1.000	0	125-	125	
22831201	10/15/13 B	460	1.000	0	460-	460	
22831201	10/17/13 B	50,655	1.000	0	50,655-	50,655	
22831201	10/25/13 B	93,811	1.000	0	93,811-	93,811	
22831201	11/01/13 B	132	1.000	0	132-	132	
22831201	11/05/13 B	17,956	1.000	0	17,956-	17,956	
22831201	11/06/13 B	30,157	1.000	0	30,157-	30,157	
22831201	11/12/13 B	391,132	1.000	0	391,132-	391,132	
22831201	11/15/13 B	234	1.000	0	234-	234	
22831201	11/20/13 B	13,096	1.000	0	13,096-	13,096	
22831201	11/27/13 B	316	1.000	0	316-	316	
22831201	11/29/13 B	125	1.000	0	125-	125	
22831201	12/02/13 B	1	1.000	0	1-	1	
22831201	12/03/13 B	146	1.000	0	146-	146	
22831201	12/11/13 B	736	1.000	0	736-	736	
22831201	12/16/13 B	533	1.000	0	533-	533	
22831201	12/17/13 B	37,876	1.000	0	37,876-	37,876	
22831201	12/19/13 B	6,839	1.000	0	6,839-	6,839	
22831201	01/02/14 B	1,352	1.000	0	1,352-	1,352	
22831201	01/03/14 B	664	1.000	0	664-	664	
22831201	01/09/14 B	238	1.000	0	238-	238	
22831201	01/13/14 B	67,882	1.000	0	67,882-	67,882	
22831201	01/14/14 B	1,810	1.000	0	1,810-	1,810	
22831201	01/14/14 B	55,577	1.000	0	55,577-	55,577	
22831201	01/15/14 B	168,269	1.000	0	168,269-	168,269	
22831201	01/17/14 B	4,798	1.000	0	4,798-	4,798	
22831201	01/21/14 B	8,696	1.000	0	8,696-	8,696	
22831201	01/23/14 B	173,629	1.000	0	173,629-	173,629	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831201	01/29/14 B	55,396	1.000	0	55,396-	55,396	
22831201	01/30/14 B	27,387	1.000	0	27,387-	27,387	
22831201	02/03/14 B	329	1.000	0	329-	329	
22831201	02/10/14 B	35,046	1.000	0	35,046-	35,046	
22831201	02/12/14 B	43,186	1.000	0	43,186-	43,186	
22831201	02/12/14 B	276,376	1.000	0	276,376-	276,376	
22831201	02/18/14 B	378	1.000	0	378-	378	
22831201	02/21/14 B	59,564	1.000	0	59,564-	59,564	
22831201	02/26/14 B	48,635	1.000	0	48,635-	48,635	
22831201	02/27/14 B	125	1.000	0	125-	125	
22831201	03/03/14 B	2	1.000	0	2-	2	
22831201	03/05/14 B	29,990	1.000	0	29,990-	29,990	
22831201	03/07/14 B	24,714	1.000	0	24,714-	24,714	
22831201	03/10/14 B	17,040	1.000	0	17,040-	17,040	
22831201	03/11/14 B	2,240	1.000	0	2,240-	2,240	
22831201	03/14/14 B	383	1.000	0	383-	383	
22831201	03/17/14 B	434	1.000	0	434-	434	
22831201	03/19/14 B	736	1.000	0	736-	736	
22831201	03/20/14 B	78,920	1.000	0	78,920-	78,920	
22831201	03/26/14 B	7,304	1.000	0	7,304-	7,304	
22831201	03/27/14 B	18,505	1.000	0	18,505-	18,505	
22831201	04/01/14 B	1,347	1.000	0	1,347-	1,347	
22831201	04/02/14 B	106	1.000	0	106-	106	
22831201	04/08/14 B	30,861	1.000	0	30,861-	30,861	
22831201	04/11/14 B	24,675	1.000	0	24,675-	24,675	
22831201	04/14/14 B	27,301	1.000	0	27,301-	27,301	
22831201	04/15/14 B	3,381	1.000	0	3,381-	3,381	
22831201	04/21/14 B	475	1.000	0	475-	475	
22831201	04/21/14 B	697,218	1.000	0	697,218-	697,218	
22831201	04/23/14 B	9,579	1.000	0	9,579-	9,579	
22831201	04/24/14 B	48,779	1.000	0	48,779-	48,779	
22831201	04/25/14 B	38,319	1.000	0	38,319-	38,319	
22831201	05/01/14 B	306	1.000	0	306-	306	
22831201	05/02/14 B	121,160	1.000	0	121,160-	121,160	
22831201	05/09/14 B	94	1.000	0	94-	94	
22831201	05/12/14 B	54,505	1.000	0	54,505-	54,505	
22831201	05/21/14 B	4,124	1.000	0	4,124-	4,124	
22831201	05/27/14 B	154	1.000	0	154-	154	
22831201	05/29/14 B	115	1.000	0	115-	115	
22831201	06/02/14 B	7	1.000	0	7-	7	
22831201	06/05/14 B	24,212	1.000	0	24,212-	24,212	
22831201	06/06/14 B	22,770	1.000	0	22,770-	22,770	
22831201	06/13/14 B	576	1.000	0	576-	576	
22831201	06/16/14 B	456	1.000	0	456-	456	
22831201	06/18/14 B	60,013	1.000	0	60,013-	60,013	
22831201	06/24/14 B	58,506	1.000	0	58,506-	58,506	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831201	06/25/14 B	97,970	1.000	0	97,970-	97,970	
22831201	06/30/14 B	1,196	1.000	0	1,196-	1,196	
22831201	07/01/14 B	1,590	1.000	0	1,590-	1,590	
22831201	07/02/14 B	366	1.000	0	366-	366	
22831201	07/16/14 B	27,513	1.000	0	27,513-	27,513	
22831201	07/17/14 B	5,651	1.000	0	5,651-	5,651	
22831201	07/21/14 B	214,052	1.000	0	214,052-	214,052	
22831201	07/31/14 B	33,833	1.000	0	33,833-	33,833	
22831201	08/07/14 B	171,842	1.000	0	171,842-	171,842	
22831201	08/14/14 B	63,425	1.000	0	63,425-	63,425	
22831201	08/18/14 B	185,481	1.000	0	185,481-	185,481	
22831201	08/27/14 B	378	1.000	0	378-	378	
22831201	08/28/14 B	29,557	1.000	0	29,557-	29,557	
22831201	08/29/14 B	26,699	1.000	0	26,699-	26,699	
22831201	09/09/14 B	600,000	1.000	0	600,000-	600,000	
22831201	09/12/14 B	96,960	1.000	0	96,960-	96,960	
22831201	09/18/14 B	202,730	1.000	0	202,730-	202,730	
22831201	09/24/14 B	61,392	1.000	0	61,392-	61,392	
22831202	10/01/13 B	1,978	1.000	0	1,978-	1,978	
22831202	10/02/13 B	1,873	1.000	0	1,873-	1,873	
22831202	10/07/13 B	1,720	1.000	0	1,720-	1,720	
22831202	10/07/13 B	244,284	1.000	0	244,284-	244,284	
22831202	10/08/13 B	1,260	1.000	0	1,260-	1,260	
22831202	10/09/13 B	2,841	1.000	0	2,841-	2,841	
22831202	10/15/13 B	1,913	1.000	0	1,913-	1,913	
22831202	10/23/13 B	2,845	1.000	0	2,845-	2,845	
22831202	10/25/13 B	2,000	1.000	0	2,000-	2,000	
22831202	10/31/13 B	1,710	1.000	0	1,710-	1,710	
22831202	11/01/13 B	3,573	1.000	0	3,573-	3,573	
22831202	11/04/13 B	2,760	1.000	0	2,760-	2,760	
22831202	11/08/13 B	1,045	1.000	0	1,045-	1,045	
22831202	11/12/13 B	1,562	1.000	0	1,562-	1,562	
22831202	11/15/13 B	1,830	1.000	0	1,830-	1,830	
22831202	11/18/13 B	2,083	1.000	0	2,083-	2,083	
22831202	11/25/13 B	2,094	1.000	0	2,094-	2,094	
22831202	11/26/13 B	900	1.000	0	900-	900	
22831202	11/29/13 B	910	1.000	0	910-	910	
22831202	12/02/13 B	2,902	1.000	0	2,902-	2,902	
22831202	12/03/13 B	600	1.000	0	600-	600	
22831202	12/04/13 B	251,682	1.000	0	251,682-	251,682	
22831202	12/06/13 B	940	1.000	0	940-	940	
22831202	12/10/13 B	2,861	1.000	0	2,861-	2,861	
22831202	12/12/13 B	3,230	1.000	0	3,230-	3,230	
22831202	12/13/13 B	2,751	1.000	0	2,751-	2,751	
22831202	12/16/13 B	3,012	1.000	0	3,012-	3,012	
22831202	12/19/13 B	1,225	1.000	0	1,225-	1,225	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831202	12/31/13 B	3,073	1.000	0	3,073-	3,073	
22831202	01/02/14 B	2,704	1.000	0	2,704-	2,704	
22831202	01/03/14 B	1,013	1.000	0	1,013-	1,013	
22831202	01/07/14 B	1,260	1.000	0	1,260-	1,260	
22831202	01/08/14 B	1,760	1.000	0	1,760-	1,760	
22831202	01/13/14 B	313	1.000	0	313-	313	
22831202	01/15/14 B	96,924	1.000	0	96,924-	96,924	
22831202	01/22/14 B	1,870	1.000	0	1,870-	1,870	
22831202	01/23/14 B	975	1.000	0	975-	975	
22831202	01/27/14 B	2,200	1.000	0	2,200-	2,200	
22831202	01/31/14 B	1,810	1.000	0	1,810-	1,810	
22831202	02/03/14 B	1,443	1.000	0	1,443-	1,443	
22831202	02/06/14 B	2,852	1.000	0	2,852-	2,852	
22831202	02/07/14 B	1,110	1.000	0	1,110-	1,110	
22831202	02/10/14 B	6,767	1.000	0	6,767-	6,767	
22831202	02/10/14 B	440	1.000	0	440-	440	
22831202	02/12/14 B	508,044	1.000	0	508,044-	508,044	
22831202	02/14/14 B	800	1.000	0	800-	800	
22831202	02/18/14 B	1,190	1.000	0	1,190-	1,190	
22831202	02/18/14 B	5,800	1.000	0	5,800-	5,800	
22831202	02/21/14 B	910	1.000	0	910-	910	
22831202	02/25/14 B	750	1.000	0	750-	750	
22831202	02/28/14 B	11	1.000	0	11-	11	
22831202	03/03/14 B	3,404	1.000	0	3,404-	3,404	
22831202	03/04/14 B	600	1.000	0	600-	600	
22831202	03/04/14 B	0	1.000	0	0-	0	
22831202	03/04/14 B	24,640	1.000	0	24,640-	24,640	
22831202	03/07/14 B	1,220	1.000	0	1,220-	1,220	
22831202	03/10/14 B	2,861	1.000	0	2,861-	2,861	
22831202	03/12/14 B	1,710	1.000	0	1,710-	1,710	
22831202	03/13/14 B	3,202	1.000	0	3,202-	3,202	
22831202	03/14/14 B	840	1.000	0	840-	840	
22831202	03/17/14 B	1,170	1.000	0	1,170-	1,170	
22831202	03/24/14 B	1,568	1.000	0	1,568-	1,568	
22831202	03/26/14 B	1,225	1.000	0	1,225-	1,225	
22831202	03/28/14 B	4,213	1.000	0	4,213-	4,213	
22831202	03/31/14 B	5,246	1.000	0	5,246-	5,246	
22831202	04/01/14 B	2,178	1.000	0	2,178-	2,178	
22831202	04/07/14 B	1,760	1.000	0	1,760-	1,760	
22831202	04/08/14 B	1,260	1.000	0	1,260-	1,260	
22831202	04/11/14 B	1,959	1.000	0	1,959-	1,959	
22831202	04/14/14 B	400	1.000	0	400-	400	
22831202	04/15/14 B	1,000	1.000	0	1,000-	1,000	
22831202	04/21/14 B	1,209,987	1.000	0	1,209,987-	1,209,987	
22831202	04/23/14 B	3,215	1.000	0	3,215-	3,215	
22831202	04/25/14 B	2,600	1.000	0	2,600-	2,600	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831202	04/30/14 B	1,710	1.000	0	1,710-	1,710	
22831202	05/01/14 B	2,146	1.000	0	2,146-	2,146	
22831202	05/02/14 B	1,110	1.000	0	1,110-	1,110	
22831202	05/05/14 B	3,500	1.000	0	3,500-	3,500	
22831202	05/09/14 B	1,245	1.000	0	1,245-	1,245	
22831202	05/12/14 B	1,694	1.000	0	1,694-	1,694	
22831202	05/16/14 B	2,833	1.000	0	2,833-	2,833	
22831202	05/23/14 B	910	1.000	0	910-	910	
22831202	05/27/14 B	2,318	1.000	0	2,318-	2,318	
22831202	05/30/14 B	4,718	1.000	0	4,718-	4,718	
22831202	06/02/14 B	6,961	1.000	0	6,961-	6,961	
22831202	06/03/14 B	600	1.000	0	600-	600	
22831202	06/06/14 B	6,487	1.000	0	6,487-	6,487	
22831202	06/10/14 B	4,077	1.000	0	4,077-	4,077	
22831202	06/11/14 B	3,075	1.000	0	3,075-	3,075	
22831202	06/12/14 B	5,289	1.000	0	5,289-	5,289	
22831202	06/16/14 B	2,770	1.000	0	2,770-	2,770	
22831202	06/18/14 B	750	1.000	0	750-	750	
22831202	06/25/14 B	1,680	1.000	0	1,680-	1,680	
22831202	06/26/14 B	3,000	1.000	0	3,000-	3,000	
22831202	06/27/14 B	2,175	1.000	0	2,175-	2,175	
22831202	06/30/14 B	6,447	1.000	0	6,447-	6,447	
22831202	07/01/14 B	1,442	1.000	0	1,442-	1,442	
22831202	07/08/14 B	1,760	1.000	0	1,760-	1,760	
22831202	07/15/14 B	1,720	1.000	0	1,720-	1,720	
22831202	07/16/14 B	400	1.000	0	400-	400	
22831202	07/23/14 B	3,595	1.000	0	3,595-	3,595	
22831202	07/25/14 B	3,090	1.000	0	3,090-	3,090	
22831202	07/31/14 B	107,352	1.000	0	107,352-	107,352	
22831202	08/01/14 B	3,249	1.000	0	3,249-	3,249	
22831202	08/04/14 B	5,500	1.000	0	5,500-	5,500	
22831202	08/07/14 B	6,834	1.000	0	6,834-	6,834	
22831202	08/08/14 B	1,535	1.000	0	1,535-	1,535	
22831202	08/11/14 B	1,694	1.000	0	1,694-	1,694	
22831202	08/15/14 B	1,280	1.000	0	1,280-	1,280	
22831202	08/19/14 B	468	1.000	0	468-	468	
22831202	08/22/14 B	910	1.000	0	910-	910	
22831202	08/25/14 B	2,438	1.000	0	2,438-	2,438	
22831202	08/29/14 B	1,350	1.000	0	1,350-	1,350	
22831202	09/02/14 B	3,779	1.000	0	3,779-	3,779	
22831202	09/03/14 B	600	1.000	0	600-	600	
22831202	09/05/14 B	1,220	1.000	0	1,220-	1,220	
22831202	09/09/14 B	500,000	1.000	0	500,000-	500,000	
22831202	09/10/14 B	3,594	1.000	0	3,594-	3,594	
22831202	09/11/14 B	3,546	1.000	0	3,546-	3,546	
22831202	09/12/14 B	1,710	1.000	0	1,710-	1,710	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831202	09/15/14 B	1,170	1.000	0	1,170-	1,170	
22831202	09/16/14 B	780	1.000	0	780-	780	
22831202	09/17/14 B	825	1.000	0	825-	825	
22831202	09/24/14 B	1,680	1.000	0	1,680-	1,680	
22831202	09/26/14 B	3,000	1.000	0	3,000-	3,000	
22831202	09/29/14 B	1,856	1.000	0	1,856-	1,856	
22831202	09/30/14 B	6,766	1.000	0	6,766-	6,766	
22831203	01/24/14 B	289,000	1.000	0	289,000-	289,000	
22831203	02/03/14 B	0	1.000	0	0-	0	
22831203	02/12/14 B	27,692	1.000	0	27,692-	27,692	
22831203	03/03/14 B	0	1.000	0	0-	0	
22831203	04/01/14 B	0	1.000	0	0-	0	
22831203	04/21/14 B	156,587	1.000	0	156,587-	156,587	
22831203	05/01/14 B	0	1.000	0	0-	0	
22831203	06/02/14 B	0	1.000	0	0-	0	
22831203	07/01/14 B	0	1.000	0	0-	0	
22831203	08/01/14 B	0	1.000	0	0-	0	
22831203	09/02/14 B	0	1.000	0	0-	0	
22831203	09/09/14 B	500,000	1.000	0	500,000-	500,000	
22831204	11/12/13 B	2,162,480	1.000	0	2,162,480-	2,162,480	
22831204	12/02/13 B	1	1.000	0	1-	1	
22831204	01/17/14 B	800,000	1.000	0	800,000-	800,000	
22831204	01/24/14 B	1,340,000	1.000	0	1,340,000-	1,340,000	
22831204	02/03/14 B	2	1.000	0	2-	2	
22831204	02/12/14 B	1,582,263	1.000	0	1,582,263-	1,582,263	
22831204	03/03/14 B	3	1.000	0	3-	3	
22831204	04/01/14 B	5	1.000	0	5-	5	
22831204	04/21/14 B	683,143	1.000	0	683,143-	683,143	
22831204	05/01/14 B	5	1.000	0	5-	5	
22831204	06/02/14 B	5	1.000	0	5-	5	
22831204	07/01/14 B	5	1.000	0	5-	5	
22831204	08/01/14 B	5	1.000	0	5-	5	
22831204	09/02/14 B	5	1.000	0	5-	5	
22831204	09/09/14 B	200,000	1.000	0	200,000-	200,000	
SUB-TOTAL OF BUYS # 255				0	25,061,446	25,061,446	
22831200	10/01/13 S	46,654	1.000	0	46,654	46,654	0
22831200	10/04/13 S	16,209	1.000	0	16,209	16,209	0
22831200	10/09/13 S	1,579	1.000	0	1,579	1,579	0
22831200	10/10/13 S	525	1.000	0	525	525	0
22831200	10/21/13 S	7,277	1.000	0	7,277	7,277	0
22831200	11/01/13 S	48,753	1.000	0	48,753	48,753	0
22831200	11/04/13 S	18	1.000	0	18	18	0
22831200	11/04/13 S	19,326	1.000	0	19,326	19,326	0
22831200	11/12/13 S	1,702,480	1.000	0	1,702,480	1,702,480	0

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831200	11/21/13 S	37,555	1.000	0	37,555	37,555	0
22831200	12/02/13 S	48,898	1.000	0	48,898	48,898	0
22831200	12/13/13 S	17,303	1.000	0	17,303	17,303	0
22831200	01/02/14 S	48,880	1.000	0	48,880	48,880	0
22831200	01/17/14 S	1,462	1.000	0	1,462	1,462	0
22831200	01/21/14 S	8,223	1.000	0	8,223	8,223	0
22831200	01/24/14 S	536	1.000	0	536	536	0
22831200	01/24/14 S	1,629,000	1.000	0	1,629,000	1,629,000	0
22831200	02/03/14 S	51,310	1.000	0	51,310	51,310	0
22831200	02/06/14 S	310	1.000	0	310	310	0
22831200	02/14/14 S	21,234	1.000	0	21,234	21,234	0
22831200	03/03/14 S	51,624	1.000	0	51,624	51,624	0
22831200	03/19/14 S	2,365	1.000	0	2,365	2,365	0
22831200	03/26/14 S	5,757	1.000	0	5,757	5,757	0
22831200	04/01/14 S	54,074	1.000	0	54,074	54,074	0
22831200	04/15/14 S	2,263	1.000	0	2,263	2,263	0
22831200	04/21/14 S	8,935	1.000	0	8,935	8,935	0
22831200	04/21/14 S	2,746,935	1.000	0	2,746,935 *	2,746,935	0
22831200	04/22/14 S	1,707	1.000	0	1,707	1,707	0
22831200	04/28/14 S	307	1.000	0	307	307	0
22831200	05/01/14 S	58,039	1.000	0	58,039	58,039	0
22831200	05/16/14 S	27,755	1.000	0	27,755	27,755	0
22831200	06/02/14 S	58,043	1.000	0	58,043	58,043	0
22831200	06/06/14 S	12,065	1.000	0	12,065	12,065	0
22831200	06/11/14 S	669	1.000	0	669	669	0
22831200	07/01/14 S	58,844	1.000	0	58,844	58,844	0
22831200	07/09/14 S	1,519	1.000	0	1,519	1,519	0
22831200	07/10/14 S	712	1.000	0	712	712	0
22831200	07/21/14 S	8,981	1.000	0	8,981	8,981	0
22831200	08/01/14 S	61,156	1.000	0	61,156	61,156	0
22831200	08/14/14 S	5,570	1.000	0	5,570	5,570	0
22831200	08/14/14 S	24,058	1.000	0	24,058	24,058	0
22831200	09/02/14 S	66,718	1.000	0	66,718	66,718	0
22831200	09/09/14 S	1,800,000	1.000	0	1,800,000	1,800,000	0
22831200	09/18/14 S	3,407	1.000	0	3,407	3,407	0
22831201	10/22/13 S	31,637	1.000	0	31,637	31,637	0
22831201	10/31/13 S	28,950	1.000	0	28,950	28,950	0
22831201	11/01/13 S	68,107	1.000	0	68,107	68,107	0
22831201	11/08/13 S	61,874	1.000	0	61,874	61,874	0
22831201	11/12/13 S	460,000	1.000	0	460,000	460,000	0
22831201	11/13/13 S	9,585	1.000	0	9,585	9,585	0
22831201	12/20/13 S	5,270	1.000	0	5,270	5,270	0
22831201	12/23/13 S	25,177	1.000	0	25,177	25,177	0
22831201	12/26/13 S	22,724	1.000	0	22,724	22,724	0
22831201	12/27/13 S	7,554	1.000	0	7,554	7,554	0
22831201	01/16/14 S	51,404	1.000	0	51,404	51,404	0

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831201	01/17/14 S	300,000	1.000	0	300,000	300,000	0
22831201	01/27/14 S	6,667	1.000	0	6,667	6,667	0
22831201	01/28/14 S	24,608	1.000	0	24,608	24,608	0
22831201	02/05/14 S	90,236	1.000	0	90,236	90,236	0
22831201	02/13/14 S	164,602	1.000	0	164,602	164,602	0
22831201	02/19/14 S	32,239	1.000	0	32,239	32,239	0
22831201	02/20/14 S	10,743	1.000	0	10,743	10,743	0
22831201	03/04/14 S	7,535	1.000	0	7,535	7,535	0
22831201	03/12/14 S	32,584	1.000	0	32,584	32,584	0
22831201	03/13/14 S	29,680	1.000	0	29,680	29,680	0
22831201	03/21/14 S	35,458	1.000	0	35,458	35,458	0
22831201	03/24/14 S	45,035	1.000	0	45,035	45,035	0
22831201	03/25/14 S	159,847	1.000	0	159,847	159,847	0
22831201	03/31/14 S	18,558	1.000	0	18,558	18,558	0
22831201	04/04/14 S	62,214	1.000	0	62,214	62,214	0
22831201	04/09/14 S	61,005	1.000	0	61,005	61,005	0
22831201	04/17/14 S	58,282	1.000	0	58,282	58,282	0
22831201	04/22/14 S	17,556	1.000	0	17,556	17,556	0
22831201	05/15/14 S	161,810	1.000	0	161,810	161,810	0
22831201	05/16/14 S	39,857	1.000	0	39,857	39,857	0
22831201	05/19/14 S	75,670	1.000	0	75,670	75,670	0
22831201	05/20/14 S	22,015	1.000	0	22,015	22,015	0
22831201	05/22/14 S	131,101	1.000	0	131,101	131,101	0
22831201	05/23/14 S	157,351	1.000	0	157,351	157,351	0
22831201	05/28/14 S	64,577	1.000	0	64,577	64,577	0
22831201	06/03/14 S	87,124	1.000	0	87,124	87,124	0
22831201	06/04/14 S	137,583	1.000	0	137,583	137,583	0
22831201	06/10/14 S	133,967	1.000	0	133,967	133,967	0
22831201	06/17/14 S	18,480	1.000	0	18,480	18,480	0
22831201	06/23/14 S	80,286	1.000	0	80,286	80,286	0
22831201	08/01/14 S	65,745	1.000	0	65,745	65,745	0
22831201	08/08/14 S	61,747	1.000	0	61,747	61,747	0
22831201	08/11/14 S	61,250	1.000	0	61,250	61,250	0
22831201	08/12/14 S	64,303	1.000	0	64,303	64,303	0
22831201	08/13/14 S	16,345	1.000	0	16,345	16,345	0
22831201	08/15/14 S	235,704	1.000	0	235,704	235,704	0
22831201	08/19/14 S	29,151	1.000	0	29,151	29,151	0
22831201	09/02/14 S	9,137	1.000	0	9,137	9,137	0
22831201	09/03/14 S	14,387	1.000	0	14,387	14,387	0
22831201	09/04/14 S	1,158	1.000	0	1,158	1,158	0
22831201	09/05/14 S	99,233	1.000	0	99,233	99,233	0
22831201	09/09/14 S	32,423	1.000	0	32,423	32,423	0
22831201	09/10/14 S	65,040	1.000	0	65,040	65,040	0
22831201	09/15/14 S	20,465	1.000	0	20,465	20,465	0
22831201	09/16/14 S	45,183	1.000	0	45,183	45,183	0
22831201	09/17/14 S	247,677	1.000	0	247,677	247,677	0

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831201	09/23/14 S	318,245	1.000	0	318,245	318,245	0
22831201	09/25/14 S	31,885	1.000	0	31,885	31,885	0
22831201	09/30/14 S	123,688	1.000	0	123,688	123,688	0
22831202	10/21/13 S	158,600	1.000	0	158,600	158,600	0
22831202	01/17/14 S	500,000	1.000	0	500,000	500,000	0
22831202	02/10/14 S	4,400	1.000	0	4,400	4,400	0
22831202	05/15/14 S	1,754,306	1.000	0	1,754,306	1,754,306	0
22831203	01/28/14 S	289,000	1.000	0	289,000	289,000	0
22831203	02/12/14 S	29	1.000	0	29	29	0
22831203	04/22/14 S	156,587	1.000	0	156,587	156,587	0
22831204	11/13/13 S	2,162,480	1.000	0	2,162,480	2,162,480	0
22831204	01/21/14 S	800,000	1.000	0	800,000	800,000	0
22831204	01/28/14 S	1,340,000	1.000	0	1,340,000	1,340,000	0
22831204	02/12/14 S	1,008,221	1.000	0	1,008,221	1,008,221	0
22831204	04/22/14 S	683,143	1.000	0	683,143	683,143	0
SUB-TOTAL OF SALES # 116				0	22,207,519	22,207,519	0
SUB-TOTAL				0	47,268,965	47,268,965	0
ISSUE: 72201F599 - PIMCO EXTENDED DURATION-INST FD 1890							
22831204	10/02/13 R	2,661	6.680	0	17,778-	17,778	
22831204	11/04/13 R	2,930	6.800	0	19,926-	19,926	
22831204	12/03/13 R	3,218	6.520	0	20,980-	20,980	
22831204	12/13/13 R	52,279	6.140	0	320,994-	320,994	
22831204	01/03/14 R	3,671	6.030	0	22,139-	22,139	
22831204	02/04/14 R	4,121	6.640	0	27,362-	27,362	
22831204	03/04/14 R	4,209	6.680	0	28,118-	28,118	
22831204	04/02/14 R	4,133	6.760	0	27,939-	27,939	
22831204	05/02/14 R	4,105	6.980	0	28,656-	28,656	
22831204	06/03/14 R	4,285	7.250	0	31,069-	31,069	
22831204	07/02/14 R	3,910	7.230	0	28,271-	28,271	
22831204	08/04/14 R	4,131	7.300	0	30,158-	30,158	
22831204	09/03/14 R	3,957	7.790	0	30,826-	30,826	
SUB-TOTAL OF REINVS # 13				0	634,216	634,216	
22831204	11/12/13 B	117,892	6.420	0	756,868-	756,868	
22831204	01/15/14 B	363,127	6.300	0	2,287,700-*	2,287,700	
22831204	01/17/14 B	56,338	6.390	0	360,000-	360,000	
22831204	01/27/14 B	92,485	6.520	0	603,000-	603,000	
22831204	04/21/14 B	44,747	6.870	0	307,414-	307,414	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
SUB-TOTAL OF BUYS # 5				0	4,314,982	4,314,982	
22831204	02/11/14 S	109,710	6.490	0	712,018	867,545	-155,527
SUB-TOTAL OF SALES # 1				0	712,018	867,545	-155,527
SUB-TOTAL				0	5,661,216	5,816,743	-155,527
ISSUE: 72201P647 - PIMCO LONG TERM CREDIT INST FD #1945							
22831204	10/02/13 R	3,922	11.920	0	46,747-	46,747	
22831204	11/04/13 R	4,574	12.190	0	55,752-	55,752	
22831204	12/03/13 R	4,914	12.040	0	59,169-	59,169	
22831204	12/13/13 R	29,236	11.690	0	341,774-	341,774	
22831204	01/03/14 R	5,422	11.650	0	63,165-	63,165	
22831204	02/04/14 R	5,616	12.000	0	67,387-	67,387	
22831204	03/04/14 R	4,619	12.220	0	56,441-	56,441	
22831204	04/02/14 R	4,348	12.240	0	53,220-	53,220	
22831204	05/02/14 R	4,766	12.450	0	59,340-	59,340	
22831204	06/03/14 R	5,115	12.740	0	65,167-	65,167	
22831204	07/02/14 R	4,804	12.780	0	61,394-	61,394	
22831204	08/04/14 R	5,208	12.700	0	66,141-	66,141	
22831204	09/03/14 R	5,302	13.100	0	69,454-	69,454	
SUB-TOTAL OF REINVS # 13				0	1,065,151	1,065,151	
22831204	11/12/13 B	118,318	11.880	0	1,405,612-	1,405,612	
22831204	01/17/14 B	36,913	11.920	0	440,000-	440,000	
22831204	01/27/14 B	61,933	11.900	0	737,000-	737,000	
22831204	04/21/14 B	30,448	12.340	0	375,729-	375,729	
SUB-TOTAL OF BUYS # 4				0	2,958,341	2,958,341	
22831204	01/15/14 S	193,055	11.850	0	2,287,700 *	2,373,770	-86,070
22831204	02/11/14 S	72,702	11.970	0	870,245	891,388	-21,143
SUB-TOTAL OF SALES # 2				0	3,157,945	3,265,158	-107,213
SUB-TOTAL				0	7,181,437	7,288,650	-107,213
GRAND TOTAL				0	60,111,618	60,374,358	-262,740



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2013 Form 5500)
Schedule H, Line 4j - Schedule of Reportable Transactions

PAGE 582

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION



REPORTABLE TRANSACTIONS - SINGLE / BY BROKER FOR THE PERIOD OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014					ALLISON SALARIED CONSOLIDATED ACCOUNT NUMBER 22831299		
TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE			45,286,362.54				
COMPARATIVE VALUE (5%)			2,264,318.13				

*** NO TRANSACTIONS QUALIFIED FOR THIS REPORT ***

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

**SCHEDULE SB
(Form 5500)**Department of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

**Single-Employer Defined Benefit Plan
Actuarial Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2013**This Form is Open to Public
Inspection**For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 09/30/2014▶ **Round off amounts to nearest dollar.**▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES		B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ALLISON TRANSMISSION, INC.		D Employer Identification Number (EIN) 26-0413897
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I Basic Information

1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2013</u>	
2 Assets:	
a Market value	2a <u>52129387</u>
b Actuarial value	2b <u>52129387</u>
3 Funding target/participant count breakdown:	
	(1) Number of participants (2) Funding Target
a For retired participants and beneficiaries receiving payment.....	3a <u>206</u> <u>7326686</u>
b For terminated vested participants.....	3b <u>118</u> <u>1860395</u>
c For active participants:	
(1) Non-vested benefits	3c(1) <u>1210623</u>
(2) Vested benefits	3c(2) <u>28749529</u>
(3) Total active.....	3c(3) <u>567</u> <u>29960152</u>
d Total.....	3d <u>891</u> <u>39147233</u>
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐	
a Funding target disregarding prescribed at-risk assumptions	4a
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b
5 Effective interest rate	5 <u>6.41%</u>
6 Target normal cost	6 <u>4334685</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

**SIGN
HERE**Gary D. Dickson
Signature of actuary7/6/15
Date

GARY D. DICKSON, FSA, EA, MAAA

1403764

Type or print name of actuary

Most recent enrollment number

MERCER

513-632-2614

Firm name

Telephone number (including area code)

525 VINE STREET, SUITE 1600

CINCINNATI OH 45202-3124

Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2013

Part II Beginning of Year Carryover Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>8.30%</u>	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		10525283
b Interest on (a) using prior year's effective interest rate of <u>7.12%</u> except as otherwise provided (see instructions)		749400
c Total available at beginning of current plan year to add to prefunding balance		11274683
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections		0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	133.16%
15 Adjusted funding target attainment percentage	15	133.16%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	129.06%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and liquidity shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
07/15/2014	2200000				
10/15/2014	2200000				
Totals ▶			18(b)	4400000	18(c) style="text-align: right;">0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	
b Contributions made to avoid restrictions adjusted to valuation date	19b	
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	4157662

20 Quarterly contributions and liquidity shortfalls:**a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No**b** If 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No**c** If 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost**21** Discount rate:**a** Segment rates:1st segment:
4.94%2nd segment:
6.15%3rd segment:
6.76%☐ N/A, full yield curve used**b** Applicable month (enter code).....**21b**

0

22 Weighted average retirement age**22**

62

23 Mortality table(s) (see instructions)☐

Prescribed - combined

☐

Prescribed - separate

☐

Substitute

Part VI Miscellaneous Items**24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment**27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years**28**

0

29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)**29****30** Remaining amount of unpaid minimum required contributions (line 28 minus line 29)**30**

0

Part VIII Minimum Required Contribution For Current Year**31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6)**31a**

4334685

b Excess assets, if applicable, but not greater than line 31a**31b**

4334685

32 Amortization installments:

Outstanding Balance

Installment

a Net shortfall amortization installment

0

0

b Waiver amortization installment

0

0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount**33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) ..**34**

0

Carryover balance

Prefunding balance

Total balance

35 Balances elected for use to offset funding requirement

0

36 Additional cash requirement (line 34 minus line 35)**36**

0

37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)**37**

4157662

38 Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36)**38a**

4157662

b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances**38b**

0

39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)**39**

0

40 Unpaid minimum required contributions for all years**40**

0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)**41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected☐

2 plus 7 years

☐

15 years

b Eligible plan year(s) for which the election in line 41a was made☐

2008

☐

2009

☐

2010

☐

2011

42 Amount of acceleration adjustment**42****43** Excess installment acceleration amount to be carried over to future plan years**43**

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 62¹¹.

(A) Retirement age	(B) Retirement Percent	(C) Lx	(D) Number of employees expected to retire (B) x (C)	(E) (A) x (D)
57	3.00%	10,000	3,000	171,000
58	3.00%	97,000	2,910	168,780
59	20.00%	94,090	18,818	1,110,262
60	20.50%	75,272	15,431	925,860
61	17.50%	59,841	10,472	638,792
62	25.50%	49,369	12,589	780,518
63	16.00%	36,780	5,885	370,755
64	13.00%	30,895	4,016	257,024
65	34.50%	26,879	9,273	602,745
66	20.00%	17,606	3,521	232,386
67	17.50%	14,085	2,465	165,155
68	18.50%	11,620	2,150	146,200
69	20.00%	9,470	1,894	130,686
70	100.00%	7,576	7,576	530,320
Total			100,000	6,230,483
Average				62.30

¹¹ Note that different retirement assumptions apply to different participant groups based on service. A weighted average of each assumption was calculated using the method shown above. The weighted average of all assumptions based on the population at 10/1/2013 is 62. For purposes of showing the development of the retirement age, we have shown the calculation for a group hired at age 29 which produced a weighted average of 62.

Schedule SB, Part V — Summary of Plan Provisions**Summary of Major Plan Provisions**

Effective date and plan year	Original plan: October 1, 2007 Plan year: October 1 – September 30
Significant events that occurred during the year	None
Most recent amendment	Addendum B: Participants were granted a benefit enhancement effective October 1, 2010
Type of Plan	Defined benefit Part A: Career Average Plan Part C: Account Balance Plan
Miscellaneous	
<ul style="list-style-type: none">Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2013, the limit is \$205,000.

Separate descriptions follow for Part A: Career Average Plan and Part C: Account Balance Plan.

Schedule SB, Part V — Summary of Plan Provisions***Part A: Career Average Plan*****Definitions**

• Covered employees	Salaried employees who are Participants with a Length of Service date with GM prior to January 1, 2001 and who were accruing a benefit under Part D of the GM Plan immediately prior to August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Credited service	Number of years (to the nearest 1/12) beginning August 7, 2007 during which an employee in regular employment receives compensation. Credited Service prior to August 7, 2007 with GM counts toward eligibility and vesting, but not benefit accrual.
• Compensation considered	Base Salary (including elective 401(k) and 401(m) deferrals and employee elections). Incentive compensation pay (iComp Program) is included effective January 1, 2008.

Normal retirement

• Eligibility	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.
• Benefit	1.25% multiplied by Average Monthly Base Salary for each Plan Year.
• Pre-Effective Date Benefit	Effective as of October 2, 2007, all Part A Participants shall be entitled to a monthly benefit (in addition to the normal benefit) equal to 1.25% multiplied by the Part A Participant's Base Salary from August 7, 2007 through October 1, 2007, divided by 12.

Schedule SB, Part V — Summary of Plan Provisions

Early retirement	
• Eligibility	Age 55 with 10 years of service (or 30 or more years of service and hired prior to January 1, 1988)
• Benefit	Normal Retirement Benefit reduced for retirement prior to age 62 if : <ol style="list-style-type: none"> 1. Age 60 2. Age 55 with 85 age and service points (and hired prior to 1/1/1988) 3. 30 or more years of service (and hired prior to 1/1/1988) Normal Retirement Benefit reduced for retirement prior to age 65 if: <ol style="list-style-type: none"> 1. Age 55 but not age 60 with less than 85 age and service points Less than age 60 (and hired on or after 1/1/1988)
Deferred vested	
• Eligibility	Vested upon termination
• Benefit	Accrued benefit as of the Termination Date is payable at the Normal Retirement Age (or a reduced benefit is payable after age 55).
Disability	
• Eligibility	Disabled prior to age 65 with 10 years of service or more
• Benefit	The Normal Retirement Benefit as of the date of disability is payable immediately (without reduction).
Pre-retirement death	
• Eligibility	Vested upon Participant's death
• Benefit prior to early retirement	50% of the deferred benefit otherwise payable under the plan is paid to the surviving spouse.
• Benefit after early but before normal retirement	65% of the benefit otherwise payable at the Participant's Early Retirement Benefit date is paid to the surviving spouse.

Schedule SB, Part V — Summary of Plan Provisions

Form of benefits	
• Automatic form for unmarried participants	Life annuity
• Automatic form for married participants	65% joint and survivor annuity (immediate commencements) 50% joint and survivor annuity (deferred commencements)
	Benefit is 95% of the monthly basic benefit if the spouse's age is within 5 years of the participant's age. Such percentage is increased by one half of one percent (1/2%) (up to a maximum of 100%) for each 12 months in excess of 5 years that the spouse's age exceeds the participant's age and decreased by one-half of one percent (1/2%) for each 12 months in excess of 5 years that the spouse's age is less than the participant's age.
• Optional forms	Contingent Annuitant annuity in any designated percentage (in 5% increments) up to 100%
• Optional form conversion factors	Fixed factors based on the age difference between the participant and contingent beneficiary are used to convert the accrued benefit to the optional form
Addendum A enhancements (Effective 12/1/2008)	
• Eligibility	Part A Participants (named in the plan document) who, as of early 2009, had: (1) retired or terminated and requested a benefit calculation; or (2) retired or terminated and are in pay status
• Benefit	Normal retirement benefit, but if the Addendum A Participant retires voluntarily with a Length of Service Date prior to January 1, 1988 with either 30 years of Credited Service or whose combined years of age and years of Credited Service (to the nearest 1/12 in each case) at retirement total 85 or more, the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62. In addition, in lieu of the Pre-Effective Date Benefit to which participants in general are entitled, Addendum A Participants shall be entitled to a monthly benefit equal to 1.25% multiplied by the Addendum A Participant's Base Salary from August 7, 2007 through September 30, 2007, divided by the number of months worked by the Addendum A Participant during this period

Schedule SB, Part V — Summary of Plan Provisions

Addendum B enhancements	(Effective 10/1/2010)
▪ Eligibility	Part A Participants who, (1) retire voluntarily with a Length of Service Date on or before January 1, 1988, with 30 or more years of Credited Service or combined years of age and years of Credited Service (to the nearest 1/12th in each case) at retirement totaling 85 or more; (2) retire and begin receiving benefits on or before November 1, 2009; and (3) are not listed on Addendum A as Addendum A Participants.
▪ Benefit	Normal retirement benefit, but the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62. "Average Monthly Base Salary" means Base Salary for the year divided by 12.

Schedule SB, Part V — Summary of Plan Provisions**Part C: Account Balance Plan**

Definitions	
▪ Covered employees	A salaried employee who is a participant and whose Length of Service Date with GM is on or after January 1, 2001 and before January 1, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the GM Plan and was not vested in such benefits as of August 7, 2007.
▪ Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
▪ Notional account balance	As of October 2, 2007 an account balance equal to the sum of the participant's pay credits and interest credits earned under Part C of the GM Salaried Retirement Program as of July 31, 2007 plus interest credits as of August 31, 2007 and for each month thereafter until October 1, 2007 based on the interest credit definition in the plan, using October 1, 2006 as the first day of the Plan Year under the GM Plan for which these interest credits are being calculated.
▪ Pay credits	No "pay credits" shall be credited to a Part C Participant's account balance under the Plan.
▪ Interest credits	Each Part C Participant's account balance is credited with interest credits as of the end of each month beginning October 31, 2007. Interest credits equal the account balance as of the end of the previous month times the monthly interest credit percentage. The account balance as of the end of the previous month shall be determined after any interest credits have been credited for such previous months. The monthly interest credit percentage is equal to $[(1 + \text{the annual interest rate})^{\frac{1}{12}} - 1]$. The annual interest rate is the greater of 3.25% or the annual rate of interest on 30-year Treasury securities for the third full month prior to the first day of the Plan Year during which interest credits are being credited.
▪ Account balance after separation	If vested, a Part C Participant's account balance continues to be credited with interest credits until the end of the month preceding the benefit commencement date.
▪ Actuarial value	Calculated based on the "applicable mortality table" and the "applicable interest rate" for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e).
▪ Normal retirement age	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.

Schedule SB, Part V — Summary of Plan Provisions

Benefit commencement	
▪ Eligibility	Earlier of : <ol style="list-style-type: none"> 1. Normal Retirement Age 2. First of the month following separation from service following a request to commence benefits.
▪ Benefit	Either of the following (depending on the elected form of benefit): <ol style="list-style-type: none"> 1. A monthly annuity benefit beginning on the benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the benefit commencement date equal to the vested account balance as of that date.
Pre-retirement death	
▪ Eligibility	If account is vested, then upon the Participant's death
▪ Benefit prior to benefit commencement (if married for at least one year at the time of death)	Either of the following (depending on the elected form of benefit): <ol style="list-style-type: none"> 1. A monthly annuity benefit beginning on the surviving spouse's benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the surviving spouse's benefit commencement date equal to the vested account balance as of that date.
▪ Benefit prior to benefit commencement (if not married or married for less than one year at the time of death)	The designated beneficiary or designated beneficiaries or estate shall receive a lump-sum payment payable as of the first day of the month following the date of death. The lump-sum payment shall equal the vested account balance as of that date.
Form of benefits	
▪ Automatic form for unmarried participants	Life annuity
▪ Automatic form for married participants	50% joint and survivor annuity
▪ Optional forms	75% joint and survivor annuity (for married participants) Lump sum payment
▪ Optional form conversion factors	Calculated based on the Actuarial Value

Schedule SB, Part V — Summary of Plan Provisions

Benefits Included or Excluded

Unless noted below, all benefits provided by the plan, as restated and amended through the first amendment, are included in this valuation.

- **Most recent plan amendments included:** Addendum B Participants were granted a benefit enhancement effective October 1, 2010
- **Plan amendments excluded:** None
- **Late retirement increases:**
 - *Active participants:* The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½. This valuation includes increases for current participants over age 70.
 - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.
- **IRC Section 436 benefit restrictions:**
 - *Unpredictable contingent event benefits:* This valuation excludes restricted contingent event benefits that occurred before the valuation date but includes contingent event benefits which are expected to occur on or after the valuation date regardless of anticipated funding-based limitations.
 - *Plan amendments:* See above.
 - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
 - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

Plan Provision Changes Since Prior Valuation

Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2012 to 2013.

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
CASH EQUIVALENTS						
PROPRIETARY FUNDS						
	WELLS FARGO ADVANTAGE TREASURY PLUS MONEY MARKET INST - #793 CUSIP VP7000061					
957,862.800	ALLISON SALARIED DOUGLAS C LANE	100.00	957,862.80	100.00	957,862.80	0.00
464,371.450	ALLISON SALARIED LUTHER KING CAP MGT	100.00	464,371.45	100.00	464,371.45	0.00
527,693.540	ALLISON SALARIED MANNING & NAPIER	100.00	527,693.54	100.00	527,693.54	0.00
1,525,991.630	ALLISON TRANSMISSION SALARIED DB	100.00	1,525,991.63	100.00	1,525,991.63	0.00
774,093.090	ALLISON TRANSMISSION SALARIED PIMCO	100.00	774,093.09	100.00	774,093.09	0.00
4,250,012.510	TOTAL WF ADV TREAS PLUS MM FD-INST #793		4,250,012.51		4,250,012.51	0.00
	TOTAL PROPRIETARY FUNDS		4,250,012.51		4,250,012.51	0.00
	TOTAL CASH EQUIVALENTS		4,250,012.51		4,250,012.51	0.00
EQUITIES						
COMMON STOCKS						
	ABBOTT LABS CUSIP 002824100					
2,000.000	ALLISON SALARIED DOUGLAS C LANE	33.19	66,380.00	41.59	83,180.00	16,800.00
2,000.000	TOTAL ABBOTT LABS		66,380.00		83,180.00	16,800.00
	ACADIA HEALTHCARE CO INC CUSIP 00404A109					
2,815.000	ALLISON SALARIED LUTHER KING CAP MGT	47.26	133,031.92	48.50	136,527.50	3,495.58
2,815.000	TOTAL ACADIA HEALTHCARE CO INC		133,031.92		136,527.50	3,495.58
	ACI WORLDWIDE INC CUSIP 004498101					
7,875.000	ALLISON SALARIED LUTHER KING CAP MGT	18.15	142,910.80	18.76	147,735.00	4,824.20
7,875.000	TOTAL ACI WORLDWIDE INC		142,910.80		147,735.00	4,824.20

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	ACUITY BRANDS (HOLDING COMPANY) INC RR. CUSIP 00508Y102					
1,185.000	ALLISON SALARIED LUTHER KING CAP MGT	99.42	117,812.18	117.71	139,486.35	21,674.17
1,185.000	TOTAL ACUITY BRANDS (HOLDING CO) INC RR.		117,812.18		139,486.35	21,674.17
	AFFILIATED MANAGERS GROUP, INC COM CUSIP 008252108					
645.000	ALLISON SALARIED LUTHER KING CAP MGT	184.32	118,886.67	200.36	129,232.20	10,345.53
645.000	TOTAL AFFILIATED MANAGERS GROUP, INC COM		118,886.67		129,232.20	10,345.53
	AIR PRODS & CHEMS INC COM CUSIP 009158106					
2,200.000	ALLISON SALARIED DOUGLAS C LANE	106.57	234,454.00	130.18	286,396.00	51,942.00
2,200.000	TOTAL AIR PRODS & CHEMS INC COM		234,454.00		286,396.00	51,942.00
	AKORN INC COM CUSIP 009728106					
7,345.000	ALLISON SALARIED LUTHER KING CAP MGT	21.96	161,297.26	36.27	266,403.15	105,105.89
7,345.000	TOTAL AKORN INC		161,297.26		266,403.15	105,105.89
	ALCOA INC COM CUSIP 013817101					
29,000.000	ALLISON SALARIED DOUGLAS C LANE	8.98	260,365.00	16.09	466,610.00	206,245.00
29,000.000	TOTAL ALCOA INC		260,365.00		466,610.00	206,245.00
	ALIGN TECHNOLOGY INC CUSIP 016255101					
2,590.000	ALLISON SALARIED LUTHER KING CAP MGT	54.44	140,995.24	51.68	133,851.20	7,144.04-
2,590.000	TOTAL ALIGN TECHNOLOGY INC		140,995.24		133,851.20	7,144.04-

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
3,125.000	ALLEGHENY TECHNOLOGIES INC CUSIP 01741R102	32.78	102,426.67	37.10	115,937.50	13,510.83
3,125.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL ALLEGHENY TECHNOLOGIES INC		102,426.67		115,937.50	13,510.83
4,000.000	AMERICAN EXPRESS CO CUSIP 025816109	77.30	309,195.00	87.54	350,160.00	40,965.00
4,000.000	ALLISON SALARIED DOUGLAS C LANE TOTAL AMERICAN EXPRESS CO		309,195.00		350,160.00	40,965.00
2,000.000	AMGEN INC CUSIP 031162100	111.92	223,850.00	140.46	280,920.00	57,070.00
2,000.000	ALLISON SALARIED DOUGLAS C LANE TOTAL AMGEN INC		223,850.00		280,920.00	57,070.00
1,550.000	ASPEN TECHNOLOGY INC COM CUSIP 045327103	35.99	55,787.09	37.72	58,466.00	2,678.91
1,550.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL ASPEN TECHNOLOGY INC COM		55,787.09		58,466.00	2,678.91
4,320.000	ATHLON ENERGY INC CUSIP 047477104	35.20	152,075.99	58.23	251,553.60	99,477.61
4,320.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL ATHLON ENERGY INC		152,075.99		251,553.60	99,477.61
3,000.000	AUTOMATIC DATA PROCESSING INC CUSIP 053015103	72.38	217,140.00	83.08	249,240.00	32,100.00
3,000.000	ALLISON SALARIED DOUGLAS C LANE TOTAL AUTOMATIC DATA PROCESSING INC		217,140.00		249,240.00	32,100.00
1,625.000	B/E AEROSPACE, INC. CUSIP 073302101	77.77	126,382.42	83.94	136,402.50	10,020.08
1,625.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL B/E AEROSPACE, INC.		126,382.42		136,402.50	10,020.08



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2013 Form 5500)
Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 549

ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
3,110.000	BANCORPSOUTH INC					
3,110.000	CUSIP 059692103					
	ALLISON SALARIED LUTHER KING CAP MGT	23.84	74,139.25	20.14	62,635.40	11,503.85-
	TOTAL BANCORPSOUTH INC		74,139.25		62,635.40	11,503.85-
	BELDEN INC					
	COM					
1,990.000	CUSIP 077454106					
1,990.000	ALLISON SALARIED LUTHER KING CAP MGT	64.94	129,223.74	64.02	127,399.80	1,823.94-
	TOTAL BELDEN INC		129,223.74		127,399.80	1,823.94-
	BLACKSTONE GROUP LP/THE					
	CUSIP 09253U108					
10,000.000	ALLISON SALARIED DOUGLAS C LANE	24.89	248,900.00	31.48	314,800.00	65,900.00
10,000.000	TOTAL BLACKSTONE GROUP LP/THE		248,900.00		314,800.00	65,900.00
	BORG WARNER INC. COM					
	CUSIP 099724106					
6,000.000	ALLISON SALARIED DOUGLAS C LANE	50.69	304,170.00	52.61	315,660.00	11,490.00
6,000.000	TOTAL BORG WARNER INC.		304,170.00		315,660.00	11,490.00
	BRISTOL MYERS SQUIBB CO					
	CUSIP 110122108					
4,000.000	ALLISON SALARIED DOUGLAS C LANE	46.28	185,120.00	51.18	204,720.00	19,600.00
4,000.000	TOTAL BRISTOL MYERS SQUIBB CO		185,120.00		204,720.00	19,600.00
	CELGENE CORP COM					
	CUSIP 151020104					
3,200.000	ALLISON SALARIED DOUGLAS C LANE	77.86	249,154.88	94.78	303,296.00	54,141.12
3,200.000	TOTAL CELGENE CORP COM		249,154.88		303,296.00	54,141.12
	CERNER CORP COM					
	CUSIP 156782104					
5,000.000	ALLISON SALARIED DOUGLAS C LANE	52.46	262,294.00	59.57	297,850.00	35,556.00
5,000.000	TOTAL CERNER CORP COM		262,294.00		297,850.00	35,556.00

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
700.000	CHEVRON CORP CUSIP 166764100	121.50	85,050.00	119.32	83,524.00	1,526.00-
700.000	ALLISON SALARIED DOUGLAS C LANE TOTAL CHEVRON CORP		85,050.00		83,524.00	1,526.00-
4,935.000	CIENA CORP USD 0.01 CUSIP 171779309	24.41	120,484.47	16.72	82,513.20	37,971.27-
4,935.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL CIENA CORP USD 0.01		120,484.47		82,513.20	37,971.27-
13,000.000	CISCO SYSTEMS INC CUSIP 17275R102	23.40	304,140.60	25.17	327,210.00	23,069.40
13,000.000	ALLISON SALARIED DOUGLAS C LANE TOTAL CISCO SYSTEMS INC		304,140.60		327,210.00	23,069.40
5,500.000	COACH INC CUSIP 189754104	49.02	269,605.00	35.61	195,855.00	73,750.00-
5,500.000	ALLISON SALARIED DOUGLAS C LANE TOTAL COACH INC		269,605.00		195,855.00	73,750.00-
5,000.000	COMCAST CORP-SPECIAL CL A CUSIP 20030N200	43.35	216,750.00	53.50	267,500.00	50,750.00
5,000.000	ALLISON SALARIED DOUGLAS C LANE TOTAL COMCAST CORP-SPECIAL CL A		216,750.00		267,500.00	50,750.00
2,855.000	COMERICA INC CUSIP 200340107	41.30	117,903.06	49.86	142,350.30	24,447.24
2,855.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL COMERICA INC		117,903.06		142,350.30	24,447.24
15,000.000	CORNING INC CUSIP 219350105	14.54	218,125.00	19.34	290,100.00	71,975.00
15,000.000	ALLISON SALARIED DOUGLAS C LANE TOTAL CORNING INC		218,125.00		290,100.00	71,975.00

**ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
1,190.000	CYBERONICS INC COM CUSIP 23251P102					
1,190.000	ALLISON SALARIED LUTHER KING CAP MGT	51.86	61,714.12	51.16	60,880.40	833.72-
	TOTAL CYBERONICS INC COM		61,714.12		60,880.40	833.72-
4,500.000	DANAHER CORP CUSIP 235851102					
4,500.000	ALLISON SALARIED DOUGLAS C LANE	69.96	314,810.00	75.98	341,910.00	27,100.00
	TOTAL DANAHER CORP		314,810.00		341,910.00	27,100.00
1,320.000	DECKERS OUTDOOR CORP CUSIP 243537107					
1,320.000	ALLISON SALARIED LUTHER KING CAP MGT	96.89	127,897.95	97.18	128,277.60	379.65
	TOTAL DECKERS OUTDOOR CORP		127,897.95		128,277.60	379.65
15,000.000	DELTA AIR LINES INC CUSIP 247361702					
15,000.000	ALLISON SALARIED DOUGLAS C LANE	24.58	368,680.00	36.15	542,250.00	173,570.00
	TOTAL DELTA AIR LINES INC		368,680.00		542,250.00	173,570.00
2,790.000	DEXCOM INC CUSIP 252131107					
2,790.000	ALLISON SALARIED LUTHER KING CAP MGT	41.80	116,614.05	39.99	111,572.10	5,041.95-
	TOTAL DEXCOM INC		116,614.05		111,572.10	5,041.95-
6,940.000	E*TRADE GROUP CUSIP 269246401					
6,940.000	ALLISON SALARIED LUTHER KING CAP MGT	19.02	131,985.14	22.59	156,774.60	24,789.46
	TOTAL E*TRADE GROUP		131,985.14		156,774.60	24,789.46
2,000.000	ECOLAB INC CUSIP 278865100					
2,000.000	ALLISON SALARIED DOUGLAS C LANE	98.76	197,520.00	114.83	229,660.00	32,140.00
	TOTAL ECOLAB INC		197,520.00		229,660.00	32,140.00



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2013 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 552

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
4,000.000	EMERSON ELECTRIC CO CUSIP 291011104					
4,000.000	ALLISON SALARIED DOUGLAS C LANE	64.70	258,800.00	62.58	250,320.00	8,480.00-
	TOTAL EMERSON ELECTRIC CO		258,800.00		250,320.00	8,480.00-
1,100.000	EQUINIX INC COM NEW CUSIP 29444U502					
1,100.000	ALLISON SALARIED DOUGLAS C LANE	192.34	211,572.57	212.48	233,728.00	22,155.43
	TOTAL EQUINIX INC		211,572.57		233,728.00	22,155.43
1,855.000	EURONET WORLDWIDE INC. CUSIP 298736109					
1,855.000	ALLISON SALARIED LUTHER KING CAP MGT	52.54	97,463.93	47.79	88,650.45	8,813.48-
	TOTAL EURONET WORLDWIDE INC.		97,463.93		88,650.45	8,813.48-
5,000.000	EXPEDITORS INTL WASH INC CUSIP 302130109					
5,000.000	ALLISON SALARIED DOUGLAS C LANE	44.03	220,148.00	40.58	202,900.00	17,248.00-
	TOTAL EXPEDITORS INTL WASH INC		220,148.00		202,900.00	17,248.00-
23,000.000	FORD MOTOR COMPANY CUSIP 345370860					
23,000.000	ALLISON SALARIED DOUGLAS C LANE	16.74	385,010.00	14.79	340,170.00	44,840.00-
	TOTAL FORD MOTOR COMPANY		385,010.00		340,170.00	44,840.00-
1,205.000	F5 NETWORKS INC COM CUSIP 315616102					
1,205.000	ALLISON SALARIED LUTHER KING CAP MGT	115.48	139,154.16	118.74	143,081.70	3,927.54
	TOTAL F5 NETWORKS INC		139,154.16		143,081.70	3,927.54

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
3,785.000	GANNETT INC					
3,785.000	CUSIP 364730101					
	ALLISON SALARIED LUTHER KING CAP MGT	33.54	126,955.20	29.67	112,300.95	14,654.25-
	TOTAL GANNETT INC		126,955.20		112,300.95	14,654.25-
12,000.000	GENERAL ELECTRIC CO					
12,000.000	CUSIP 369604103					
	ALLISON SALARIED DOUGLAS C LANE	24.38	292,560.00	25.62	307,440.00	14,880.00
	TOTAL GENERAL ELECTRIC CO		292,560.00		307,440.00	14,880.00
10,000.000	GENERAL MOTORS CO					
10,000.000	CUSIP 37045V100					
	ALLISON SALARIED DOUGLAS C LANE	35.74	357,377.00	31.94	319,400.00	37,977.00-
	TOTAL GENERAL MOTORS CO		357,377.00		319,400.00	37,977.00-
8,240.000	GENWORTH FINL INC					
8,240.000	COM CL A					
	CUSIP 37247D106					
	ALLISON SALARIED LUTHER KING CAP MGT	13.48	111,084.80	13.10	107,944.00	3,140.80-
	TOTAL GENWORTH FINL INC		111,084.80		107,944.00	3,140.80-
300.000	GOOGLE INC					
300.000	CL A					
	CUSIP 38259P508					
	ALLISON SALARIED DOUGLAS C LANE	875.91	262,773.00	588.41	176,523.00	86,250.00-
	TOTAL GOOGLE INC		262,773.00		176,523.00	86,250.00-
400.000	GOOGLE INC CLASS C					
400.000	CUSIP 38259P706					
	ALLISON SALARIED DOUGLAS C LANE	131.96	52,783.00	577.36	230,944.00	178,161.00
	TOTAL GOOGLE INC-CL C		52,783.00		230,944.00	178,161.00

**ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
2,500.000	HEXCEL CORP NEW COM					
2,500.000	CUSIP 428291108					
	ALLISON SALARIED LUTHER KING CAP MGT	39.38	98,452.52	39.70	99,250.00	797.48
	TOTAL HEXCEL CORP NEW COM		98,452.52		99,250.00	797.48
4,725.000	HILLENBRAND INC					
4,725.000	CUSIP 431571108					
	ALLISON SALARIED LUTHER KING CAP MGT	31.14	147,115.73	30.89	145,955.25	1,160.48-
	TOTAL HILLENBRAND INC		147,115.73		145,955.25	1,160.48-
2,500.000	HONEYWELL INTERNATIONAL INC					
2,500.000	CUSIP 438516106					
	ALLISON SALARIED DOUGLAS C LANE	94.28	235,711.25	93.12	232,800.00	2,911.25-
	TOTAL HONEYWELL INTERNATIONAL INC		235,711.25		232,800.00	2,911.25-
4,000.000	INTEL CORP					
4,000.000	COMM					
	CUSIP 458140100					
	ALLISON SALARIED DOUGLAS C LANE	22.92	91,684.00	34.82	139,280.00	47,596.00
	TOTAL INTEL CORP		91,684.00		139,280.00	47,596.00
1,195.000	JONES LANG LASALLE INC					
1,195.000	COM					
	CUSIP 480200107					
	ALLISON SALARIED LUTHER KING CAP MGT	113.62	135,771.83	126.34	150,976.30	15,204.47
	TOTAL JONES LANG LASALLE INC		135,771.83		150,976.30	15,204.47
4,785.000	KATE SPADE & COMPANY					
4,785.000	CUSIP 485865109					
	ALLISON SALARIED LUTHER KING CAP MGT	35.04	167,661.76	26.23	125,510.55	42,151.21-
	TOTAL KATE SPADE & COMPANY		167,661.76		125,510.55	42,151.21-

**ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
1,495.000	KIRBY CORP CUSIP 497266106	92.19	137,818.35	117.85	176,185.75	38,367.40
1,495.000	ALLISON SALARIED LUTHER KING CAP MGT		137,818.35		176,185.75	38,367.40
	TOTAL KIRBY CORP					
1,985.000	KNOWLES CORP CUSIP 49926D109	32.49	64,486.10	26.50	52,602.50	11,883.60-
1,985.000	ALLISON SALARIED LUTHER KING CAP MGT		64,486.10		52,602.50	11,883.60-
	TOTAL KNOWLES CORP					
7,065.000	LA QUINTA HOLDINGS INC CUSIP 50420D108	20.18	142,603.66	18.99	134,164.35	8,439.31-
7,065.000	ALLISON SALARIED LUTHER KING CAP MGT		142,603.66		134,164.35	8,439.31-
	TOTAL LA QUINTA HOLDINGS INC					
4,725.000	LAREDO PETROLEUM, INC. CUSIP 516806106	27.58	130,328.89	22.41	105,887.25	24,441.64-
4,725.000	ALLISON SALARIED LUTHER KING CAP MGT		130,328.89		105,887.25	24,441.64-
	TOTAL LAREDO PETROLEUM, INC.					
1,215.000	MARTIN MARIETTA MATLS INC COM CUSIP 573284106	119.40	145,071.07	128.94	156,662.10	11,591.03
1,215.000	ALLISON SALARIED LUTHER KING CAP MGT		145,071.07		156,662.10	11,591.03
	TOTAL MARTIN MARIETTA MATLS INC COM					
4,500.000	MASTERCARD INC CL A CUSIP 57636Q104	68.11	306,512.00	73.92	332,640.00	26,128.00
4,500.000	ALLISON SALARIED DOUGLAS C LANE		306,512.00		332,640.00	26,128.00
	TOTAL MASTERCARD INC					
5,270.000	MATADOR RESOURCES CO CUSIP 576485205	26.16	137,841.83	25.85	136,229.50	1,612.33-
5,270.000	ALLISON SALARIED LUTHER KING CAP MGT		137,841.83		136,229.50	1,612.33-
	TOTAL MATADOR RESOURCES CO					

**ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	MEMORIAL RESOURCE DEVELOPMENT CORP. CUSIP 586050109					
4,820.000	ALLISON SALARIED LUTHER KING CAP MGT	23.40	112,768.46	27.11	130,670.20	17,901.74
4,820.000	TOTAL MEMORIAL RESOURCE DEVELOPMEN		112,768.46		130,670.20	17,901.74
	MERCK & CO INC NEW CUSIP 58933Y105					
4,000.000	ALLISON SALARIED DOUGLAS C LANE	47.61	190,436.00	59.28	237,120.00	46,684.00
4,000.000	TOTAL MERCK & CO INC NEW		190,436.00		237,120.00	46,684.00
	MICROSOFT CORP CUSIP 594918104					
8,000.000	ALLISON SALARIED DOUGLAS C LANE	34.30	274,384.30	46.36	370,880.00	96,495.70
8,000.000	TOTAL MICROSOFT CORP		274,384.30		370,880.00	96,495.70
	MIDDLEBY CORP COM CUSIP 596278101					
1,760.000	ALLISON SALARIED LUTHER KING CAP MGT	72.25	127,154.55	88.13	155,108.80	27,954.25
1,760.000	TOTAL MIDDLEBY CORP		127,154.55		155,108.80	27,954.25
	MWI VETERINARY SUPPLY INC CUSIP 55402X105					
715.000	ALLISON SALARIED LUTHER KING CAP MGT	147.41	105,395.90	148.40	106,106.00	710.10
715.000	TOTAL MWI VETERINARY SUPPLY INC		105,395.90		106,106.00	710.10
	PEPSICO INC CUSIP 713448108					
2,200.000	ALLISON SALARIED DOUGLAS C LANE	79.50	174,900.00	93.09	204,798.00	29,898.00
2,200.000	TOTAL PEPSICO INC		174,900.00		204,798.00	29,898.00
	PERKINELMER, INC CUSIP 714046109					
3,105.000	ALLISON SALARIED LUTHER KING CAP MGT	44.72	138,847.39	43.60	135,378.00	3,469.39-
3,105.000	TOTAL PERKINELMER, INC		138,847.39		135,378.00	3,469.39-

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>REVALUED COST</u>		<u>MARKET VALUE</u>		<u>UNREALIZED GAIN/LOSS ON REVALUED COST</u>
		<u>UNIT COST</u>	<u>TOTAL COST</u>	<u>UNIT PRICE</u>	<u>TOTAL MARKET</u>	
1,065.000	POLARIS INDS INC COM					
1,065.000	CUSIP 731068102					
	ALLISON SALARIED LUTHER KING CAP MGT	134.90	143,665.72	149.79	159,526.35	15,860.63
	TOTAL POLARIS INDS INC COM		143,665.72		159,526.35	15,860.63
3,370.000	POLYONE CORP					
3,370.000	CUSIP 73179P106					
	ALLISON SALARIED LUTHER KING CAP MGT	32.28	108,790.01	35.58	119,904.60	11,114.59
	TOTAL POLYONE CORP		108,790.01		119,904.60	11,114.59
1,720.000	POOL CORPORATION					
1,720.000	COM					
	CUSIP 73278L105					
	ALLISON SALARIED LUTHER KING CAP MGT	55.52	95,501.28	53.92	92,742.40	2,758.88-
	TOTAL POOL CORPORATION		95,501.28		92,742.40	2,758.88-
1,800.000	PRAXAIR INC COM					
1,800.000	CUSIP 74005P104					
	ALLISON SALARIED DOUGLAS C LANE	120.21	216,378.00	129.00	232,200.00	15,822.00
	TOTAL PRAXAIR INC COM		216,378.00		232,200.00	15,822.00
5,000.000	PROLOGIS, INC.					
5,000.000	CUSIP 74340W103					
	ALLISON SALARIED DOUGLAS C LANE	38.00	190,005.00	37.70	188,500.00	1,505.00-
	TOTAL PROLOGIS INC		190,005.00		188,500.00	1,505.00-
1,635.000	PROSPERITY BANCSHARES INC					
1,635.000	COM					
	CUSIP 743606105					
	ALLISON SALARIED LUTHER KING CAP MGT	61.36	100,321.65	57.17	93,472.95	6,848.70-
	TOTAL PROSPERITY BANCSHARES INC		100,321.65		93,472.95	6,848.70-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
4,000.000	QUALCOMM INC CUSIP 747525103					
4,000.000	ALLISON SALARIED DOUGLAS C LANE	68.90	275,618.50	74.77	299,080.00	23,461.50
	TOTAL QUALCOMM INC		275,618.50		299,080.00	23,461.50
12,260.000	RAMBUS INC DEL COM CUSIP 750917106					
12,260.000	ALLISON SALARIED LUTHER KING CAP MGT	12.23	149,957.25	12.48	153,004.80	3,047.55
	TOTAL RAMBUS INC DEL COM		149,957.25		153,004.80	3,047.55
2,020.000	RAYMOND JAMES FINL INC CUSIP 754730109					
2,020.000	ALLISON SALARIED LUTHER KING CAP MGT	52.05	105,150.10	53.58	108,231.60	3,081.50
	TOTAL RAYMOND JAMES FINL INC		105,150.10		108,231.60	3,081.50
6,550.000	SAPIENT CORP COM CUSIP 803062108					
6,550.000	ALLISON SALARIED LUTHER KING CAP MGT	17.00	111,370.29	14.00	91,700.00	19,670.29-
	TOTAL SAPIENT CORP COM		111,370.29		91,700.00	19,670.29-
3,500.000	STARBUCKS CORP COM CUSIP 855244109					
3,500.000	ALLISON SALARIED DOUGLAS C LANE	76.97	269,395.00	75.46	264,110.00	5,285.00-
	TOTAL STARBUCKS CORP COM		269,395.00		264,110.00	5,285.00-
2,985.000	TAKE-TWO INTERACTIVE SOFTWARE CUSIP 874054109					
2,985.000	ALLISON SALARIED LUTHER KING CAP MGT	23.29	69,519.14	23.07	68,863.95	655.19-
	TOTAL TAKE-TWO INTERACTIVE SOFTWARE		69,519.14		68,863.95	655.19-
3,220.000	TEAM HEALTH HOLDINGS INC CUSIP 87817A107					
3,220.000	ALLISON SALARIED LUTHER KING CAP MGT	41.21	132,697.90	57.99	186,727.80	54,029.90
	TOTAL TEAM HEALTH HOLDINGS INC		132,697.90		186,727.80	54,029.90

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
1,795.000	TELEDYNE TECHNOLOGIES INC CUSIP 879360105	92.03	165,186.51	94.01	168,747.95	3,561.44
1,795.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL TELEDYNE TECHNOLOGIES INC		165,186.51		168,747.95	3,561.44
2,055.000	TEXAS CAP BANCSHARES INC COM CUSIP 882240107	48.23	99,117.45	57.68	118,532.40	19,414.95
2,055.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL TEXAS CAP BANCSHARES INC		99,117.45		118,532.40	19,414.95
3,000.000	THERMO FISHER SCIENTIFIC INC CUSIP 883556102	92.15	276,450.00	121.70	365,100.00	88,650.00
3,000.000	ALLISON SALARIED DOUGLAS C LANE TOTAL THERMO FISHER SCIENTIFIC INC		276,450.00		365,100.00	88,650.00
1,035.000	TRACTOR SUPPLY CO COM CUSIP 892356106	67.17	69,520.95	61.51	63,662.85	5,858.10-
1,035.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL TRACTOR SUPPLY CO COM		69,520.95		63,662.85	5,858.10-
2,990.000	TRIMBLE NAV LTD CUSIP 896239100	30.89	92,367.85	30.50	91,195.00	1,172.85-
2,990.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL TRIMBLE NAV LTD		92,367.85		91,195.00	1,172.85-
655.000	ULTA SALON COSMETICS & FRAGRAN CUSIP 90384S303	99.30	65,039.51	118.17	77,401.35	12,361.84
655.000	ALLISON SALARIED LUTHER KING CAP MGT TOTAL ULTA SALON COSMETICS & FRAGRAN		65,039.51		77,401.35	12,361.84
6,000.000	UNITED CONTINENTAL HOLDINGS, INC. CUSIP 910047109	29.89	179,334.60	46.79	280,740.00	101,405.40
6,000.000	ALLISON SALARIED DOUGLAS C LANE TOTAL UNITED CONTINENTAL HOLDINGS, INC.		179,334.60		280,740.00	101,405.40

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>REVALUED COST</u>		<u>MARKET VALUE</u>		<u>UNREALIZED GAIN/LOSS ON REVALUED COST</u>
		<u>UNIT COST</u>	<u>TOTAL COST</u>	<u>UNIT PRICE</u>	<u>TOTAL MARKET</u>	
3,000.000	VALERO ENERGY CORP CUSIP 91913Y100					
3,000.000	ALLISON SALARIED DOUGLAS C LANE	56.75	170,239.50	46.27	138,810.00	31,429.50-
	TOTAL VALERO ENERGY CORP		170,239.50		138,810.00	31,429.50-
3,000.000	VENTAS INC COM CUSIP 92276F100					
3,000.000	ALLISON SALARIED DOUGLAS C LANE	62.41	187,224.00	61.95	185,850.00	1,374.00-
	TOTAL VENTAS INC COM		187,224.00		185,850.00	1,374.00-
4,200.000	VERISK ANALYTICS INC CUSIP 92345Y106					
4,200.000	ALLISON SALARIED DOUGLAS C LANE	65.99	277,159.26	60.89	255,738.00	21,421.26-
	TOTAL VERISK ANALYTICS INC		277,159.26		255,738.00	21,421.26-
1,315.000	VERIZON COMMUNICATIONS CUSIP 92343V104					
1,315.000	ALLISON SALARIED DOUGLAS C LANE	0.00	0.00	49.99	65,736.85	65,736.85
	TOTAL VERIZON COMMUNICATIONS		0.00		65,736.85	65,736.85
1,500.000	VISA INC-CLASS A SHRS CUSIP 92826C839					
1,500.000	ALLISON SALARIED DOUGLAS C LANE	191.10	286,650.00	213.37	320,055.00	33,405.00
	TOTAL VISA INC-CLASS A SHRS		286,650.00		320,055.00	33,405.00
4,215.000	WHITEWAVE FOODS CO CUSIP 966244105					
4,215.000	ALLISON SALARIED LUTHER KING CAP MGT	28.99	122,177.19	36.33	153,130.95	30,953.76
	TOTAL WHITEWAVE FOODS CO		122,177.19		153,130.95	30,953.76
6,000.000	WHOLE FOODS MKT INC CUSIP 966837106					
6,000.000	ALLISON SALARIED DOUGLAS C LANE	39.72	238,348.80	38.11	228,660.00	9,688.80-
	TOTAL WHOLE FOODS MKT INC		238,348.80		228,660.00	9,688.80-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2013 Form 5500)
Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 561

ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	YUM BRANDS INC COM CUSIP 988498101					
3,000.000	ALLISON SALARIED DOUGLAS C LANE	71.39	214,170.00	71.98	215,940.00	1,770.00
3,000.000	TOTAL YUM BRANDS INC		214,170.00		215,940.00	1,770.00
	ZIONS BANCORP CUSIP 989701107					
2,285.000	ALLISON SALARIED LUTHER KING CAP MGT	27.70	63,285.18	29.06	66,402.10	3,116.92
2,285.000	TOTAL ZIONS BANCORP		63,285.18		66,402.10	3,116.92
	3M CO COM CUSIP 88579Y101					
2,000.000	ALLISON SALARIED DOUGLAS C LANE	119.41	238,820.00	141.68	283,360.00	44,540.00
2,000.000	TOTAL 3M CO		238,820.00		283,360.00	44,540.00
	TOTAL COMMON STOCKS		16,961,359.39		18,797,572.95	1,836,213.56
	AMERICAN DEPOSITORY RECEIPTS					
	ACCENTURE PLC CUSIP G1151C101					
2,800.000	ALLISON SALARIED DOUGLAS C LANE	73.64	206,192.00	81.32	227,696.00	21,504.00
2,800.000	TOTAL ACCENTURE PLC		206,192.00		227,696.00	21,504.00
	CORE LABORATORIES N V COM CUSIP N22717107					
1,100.000	ALLISON SALARIED DOUGLAS C LANE	155.70	171,270.22	146.35	160,985.00	10,285.22-
1,100.000	TOTAL CORE LABORATORIES N V COM		171,270.22		160,985.00	10,285.22-
	DANONE CUSIP 23636T100					
15,000.000	ALLISON SALARIED DOUGLAS C LANE	14.96	224,367.30	13.40	201,000.00	23,367.30-
15,000.000	TOTAL DANONE ADR		224,367.30		201,000.00	23,367.30-

**ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014**
**ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299**

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>REVALUED COST</u>		<u>MARKET VALUE</u>		<u>UNREALIZED GAIN/LOSS ON REVALUED COST</u>
		<u>UNIT COST</u>	<u>TOTAL COST</u>	<u>UNIT PRICE</u>	<u>TOTAL MARKET</u>	
	DIAGEO PLC - ADR SPONSORED ADR CUSIP 25243Q205					
<u>2,200.000</u>	ALLISON SALARIED DOUGLAS C LANE	126.68	<u>278,689.31</u>	115.40	<u>253,880.00</u>	<u>24,809.31-</u>
<u>2,200.000</u>	TOTAL DIAGEO PLC - ADR		<u>278,689.31</u>		<u>253,880.00</u>	<u>24,809.31-</u>
	EATON CORP PLC CUSIP G29183103					
<u>4,000.000</u>	ALLISON SALARIED DOUGLAS C LANE	68.84	<u>275,360.00</u>	63.37	<u>253,480.00</u>	<u>21,880.00-</u>
<u>4,000.000</u>	TOTAL EATON CORP PLC		<u>275,360.00</u>		<u>253,480.00</u>	<u>21,880.00-</u>
	LUXOTTICA GROUP S.P.A. - ADR SPONSORED ADR CUSIP 55068R202					
<u>5,000.000</u>	ALLISON SALARIED DOUGLAS C LANE	52.57	<u>262,850.00</u>	52.08	<u>260,400.00</u>	<u>2,450.00-</u>
<u>5,000.000</u>	TOTAL LUXOTTICA GROUP S.P.A. - ADR		<u>262,850.00</u>		<u>260,400.00</u>	<u>2,450.00-</u>
	NESTLE S.A. REGISTERED SHARES - ADR SPONSORED ADR CUSIP 641069406					
<u>3,000.000</u>	ALLISON SALARIED DOUGLAS C LANE	71.13	<u>213,400.00</u>	73.63	<u>220,890.00</u>	<u>7,490.00</u>
<u>3,000.000</u>	TOTAL NESTLE S.A. REGISTERED SHARES - ADR		<u>213,400.00</u>		<u>220,890.00</u>	<u>7,490.00</u>
	NOVO NORDISK A/S - ADR SPONSORED ADR CUSIP 670100205					
<u>5,500.000</u>	ALLISON SALARIED DOUGLAS C LANE	43.95	<u>241,745.35</u>	47.62	<u>261,910.00</u>	<u>20,164.65</u>
<u>5,500.000</u>	TOTAL NOVO NORDISK A/S - ADR		<u>241,745.35</u>		<u>261,910.00</u>	<u>20,164.65</u>
	SANOFI-AVENTIS CUSIP 80105N105					
<u>5,000.000</u>	ALLISON SALARIED DOUGLAS C LANE	51.06	<u>255,313.08</u>	56.43	<u>282,150.00</u>	<u>26,836.92</u>
<u>5,000.000</u>	TOTAL SANOFI-ADR		<u>255,313.08</u>		<u>282,150.00</u>	<u>26,836.92</u>

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2014**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
1,000.000	SCHLUMBERGER LTD CUSIP 806857108	88.36	88,360.00	101.69	101,690.00	13,330.00
1,000.000	ALLISON SALARIED DOUGLAS C LANE					
	TOTAL SCHLUMBERGER LTD		88,360.00		101,690.00	13,330.00
4,000.000	UNILEVER N.V. - ADR SPONSORED ADR CUSIP 904784709	37.72	150,880.00	39.68	158,720.00	7,840.00
4,000.000	ALLISON SALARIED DOUGLAS C LANE					
	TOTAL UNILEVER N.V. - ADR		150,880.00		158,720.00	7,840.00
5,454.000	VODAFONE GROUP PLC CUSIP 92857W308	41.07	223,990.36	32.89	179,382.06	44,608.30-
5,454.000	ALLISON SALARIED DOUGLAS C LANE					
	TOTAL VODAFONE GROUP PLC-SP ADR		223,990.36		179,382.06	44,608.30-
	TOTAL AMERICAN DEPOSITORY RECEIPTS		2,592,417.62		2,562,183.06	30,234.56-
	TOTAL EQUITIES		19,553,777.01		21,359,756.01	1,805,979.00
	MUTUAL FUNDS					
	MUTUAL FUNDS- EQUITY					
270,671.113	MANNING & NAPIER UND INC CUSIP 563821503	26.51	7,175,863.43	25.06	6,783,018.09	392,845.34-
270,671.113	ALLISON SALARIED MANNING & NAPIER					
	TOTAL MANNING & NAPIER OVERSEAS #121		7,175,863.43		6,783,018.09	392,845.34-
	TOTAL MUTUAL FUNDS- EQUITY		7,175,863.43		6,783,018.09	392,845.34-
	MUTUAL FUNDS- CORPORATE BONDS					
1,717,479.828	PIMCO EXTENDED DURATION INSTL FUND #1890 CUSIP 72201F599	6.57	11,278,089.14	7.54	12,949,797.90	1,671,708.76
1,717,479.828	ALLISON TRANSMISSION SALARIED PIMCO					
	TOTAL PIMCO EXTENDED DURATION-INST FD 1890		11,278,089.14		12,949,797.90	1,671,708.76



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2013 Form 5500)
Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 564

ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2014

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	PIMCO LONG TERM CREDIT INST FUND #1945 CUSIP 72201P647					
1,135,498.528	ALLISON TRANSMISSION SALARIED PIMCO	11.94	13,562,375.63	12.68	14,398,121.34	835,745.71
1,135,498.528	TOTAL PIMCO LONG TERM CREDIT INST FD #1945		13,562,375.63		14,398,121.34	835,745.71
	TOTAL MUTUAL FUNDS- CORPORATE BONDS		24,840,464.77		27,347,919.24	2,507,454.47
	TOTAL MUTUAL FUNDS		32,016,328.20		34,130,937.33	2,114,609.13
	TOTAL ASSETS AND LIABILITIES		55,820,117.72		59,740,705.85	3,920,588.13
	ACCRUED INCOME		20,987.76		20,987.76	
	NET ADJUSTED ASSETS		55,841,105.48		59,761,693.61	3,920,588.13

Schedule SB, line 24 — Change in Actuarial Assumptions

Actuarial Assumption Changes Since Prior Valuation

Expense normal cost changed from \$150,000 to \$180,000 to reflect our expectations for the current plan year.

The salary scale assumption was updated from 4.00% to 3.00%