Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2014 or fiscal plan year beginning and ending 12/31/2014 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the first return/report the final return/report **B** This return/report is an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit C L GROUP LLC 401 K PROFIT SHARING PLAN TRUST plan number (PN) ▶ 001 Effective date of plan 01/01/2007 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number C & L GROUP LLC (EIN) 86-1156253 Sponsor's telephone number 646-257-5531 380 LEXINGTON AVE, 22ND FLOOR NEW YORK, NY 10168 Business code (see instructions) 561300 **3a** Plan administrator's name and address XSame as Plan Sponsor. Administrator's EIN **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name Total number of participants at the beginning of the plan year 5a 32 Total number of participants at the end of the plan year..... 5b Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c 26 complete this item) d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 28 d(2) Total number of active participants at the end of the plan year..... 5d(2) 23 e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested.

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

belief, it is t	true, correct, and complete.					
SIGN HERE	Filed with authorized/valid electronic signature.	07/09/2015	INGRID LOPEZ			
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN						
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			
Preparer's	name (including firm name, if applicable) and address (include r	r) (optional)	Preparer's telephone number (optional)			

	Form 5500-SF 2014		Page 2					
b	Were all of the plan's assets during the plan year invested in eligible Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lift you answered "No" to either line 6a or line 6b, the plan cannot be a contracted to the plan cannot are the plan cannot be a contracted to the plan cannot	an indeper and condit ot use Fo	ndent qualified public accounta ions.) rm 5500-SF and must instead	nt (IQ	PA) Form	5500.	X	es No
	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40	21)? .		Yes	☐ No X Not det	ermined
Par	t III Financial Information		1					
7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End of Year	
<u>a</u>	Total plan assets	7a	3615			418349		
	Total plan liabilities	7b		0		0		
C	Net plan assets (subtract line 7b from line 7a)	7c	3615	581	418349			
8	ncome, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total		
	Contributions received or receivable from: (1) Employers	8a(1)	261	90				
	2) Participants	8a(2)	500)44				
		8a(3)		0				
-	3) Others (including rollovers)	8b	227					
	Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b)						Q.	8945
	Benefits paid (including direct rollovers and insurance premiums	8c						3343
	o provide benefits)	8d	411	22				
е	Certain deemed and/or corrective distributions (see instructions)	8e		0				
f	Administrative service providers (salaries, fees, commissions)	8f	10)55				
g	Other expenses	8g		0				
h ·	Fotal expenses (add lines 8d, 8e, 8f, and 8g)	8h					4.	2177
	Net income (loss) (subtract line 8h from line 8c)	8i					5	6768
	Fransfers to (from) the plan (see instructions)	8i		0				
Par	IV Plan Characteristics	٠,	l					
	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions							
10	During the plan year:				Yes	No	Amoun	t
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X		
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)	·····	'	10b		X		
c	Was the plan covered by a fidelity bond?			10c		X		
d 	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X		
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X		
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year e	end.)	10g	Χ			19219
h	f this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10h		X		
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.101			10i				
Part	Part VI Pension Funding Compliance							
11								
11a	Enter the unpaid minimum required contribution for current year fro					11a		
12								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							

	Form 5500-SF 2014	Page 3 - 1					
lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year		12b				
С	Enter the amount contributed by the employer to the plan for this plan year		12c				
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)		

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust