Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

4c PN

5a

5b

5c

5d(1)

5d(2)

5e

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2014 or fiscal plan year beginning and ending 12/31/2014 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the first return/report the final return/report **B** This return/report is an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan **1b** Three-digit LIVERPOOL VILLAGE ANIMAL HOSPITAL, LLC 401(K) PLAN plan number (PN) ▶ 001 1c Effective date of plan 01/01/2009 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number LIVERPOOL VILLAGE ANIMAL HOSPITAL, LLC (EIN) 16-1549050 Sponsor's telephone number 315-451-5444 6770 ONONDAGA LAKE PARKWAY LIVERPOOL, NY 13088 Business code (see instructions) 541940 **3a** Plan administrator's name and address XSame as Plan Sponsor. Administrator's EIN **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Total number of participants at the beginning of the plan year

Number of participants with account balances as of the end of the plan year (defined benefit plans do not

complete this item) d(1) Total number of active participants at the beginning of the plan year.....

d(2) Total number of active participants at the end of the plan year.....

e Number of participants that terminated employment during the plan year with accrued benefits that were

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

helief it is true correct and complete

less than 100% vested.

a Sponsor's name

name, EIN, and the plan number from the last return/report.

b Total number of participants at the end of the plan year.....

SIGN HERE	Filed with authorized/valid electronic signature.	07/21/2015	ALLISON BENE				
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	07/21/2015	ALLISON BENE				
HERE	Signature of employer/plan sponsor	Date	Enter name of inc	dividual signing as employer or plan sponsor			
Preparer's	name (including firm name, if applicable) and address (incl	ude room or suite numb	mber) (optional) Preparer's telephone number (option				

34

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0

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b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lift you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot b	an indepe and condit ot use Fo	ndent qualified public accounta ions.) rm 5500-SF and must instea	nt (IQ	PA) Form	5500.			X Yes X Yes	No No	
С	If the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40	21)?		Yes	No	No	ot detern	nined	
Par	t III Financial Information	•			1						
7	Plan Assets and Liabilities		(a) Beginning of Yea	ır			(b) Eı	nd of \	Year		
a	Total plan assets	7a	14313	374					169625	57	
b	Total plan liabilities	7b		0				0			
C	Net plan assets (subtract line 7b from line 7a)	7c	14313	1431374					169625	57	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(a) Amount			(b) Total				
	Contributions received or receivable from:	0 (1)	53501								
	(1) Employers	8a(1)		137261							
	(2) Participants	8a(2)	1072	0							
	(3) Others (including rollovers)	8a(3)	819								
	Other income (loss)	8b	018	000					70		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							27267	70	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	77	787							
e	Certain deemed and/or corrective distributions (see instructions)	8e		0							
	Administrative service providers (salaries, fees, commissions)	8f		0							
	Other expenses	8g		0							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							778	37	
	Net income (loss) (subtract line 8h from line 8c)	8i							26488	33	
	Transfers to (from) the plan (see instructions)	8i		0							
Par	t IV Plan Characteristics		l								
9a b Part											
10	During the plan year:				Yes	No		Δn	nount		
	Was there a failure to transmit to the plan any participant contribut					X			iount		
b	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu Were there any nonexempt transactions with any party-in-interest		<u> </u>	10a		^					
	on line 10a.)			10b		X					
<u>c</u>				10c	X					25000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		Χ					
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i				10ii							
Part	Part VI Pension Funding Compliance										
11											
112	a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12											
14	2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
	If a waiver of the minimum funding standard for a prior year is being		•	rtions	and e	ntar th	ne date (of the l	lottor rul	ina	

......Month

Day

Year

granting the waiver.

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lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and s	kip to line 13.							
b	Enter the minimum required contribution for this plan year		12b						
С	Enter the amount contributed by the employer to the plan for this plan year		12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus negative amount)	12d							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A					
Part	Part VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?	🔲 Y							
	If "Yes," enter the amount of any plan assets that reverted to the employer this year								
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No				
С									
13c(1) Name of plan(s):				N(s)	13c(3) PN(s)				
Part VIII Trust Information (optional)									
	Name of trust RPOOL VILLAGE ANIMAL HOSPITAL			rust's EIN 61549050					