Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

		t identification information	11					
For calenda	ar plan year 2014 or	fiscal plan year beginning 01/01/	<u>2014</u>	and ending 12	2/31/2014			
A This ret	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must atta of participating employer information in accordance with the form instructions)							
		a one-participant plan	a foreign plan					
B This retu	ırn/report is	the first return/report	the final return/report	t				
		an amended return/report	a short plan year ret	urn/report (less than 12 m	nonths)			
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC pro	gram		
		special extension (enter des	cription)					
Part II	Basic Plan Inf	formation—enter all requested i	nformation		_			
1a Name of plan GEISLER, HENNINGER & FITZMAURICE, LLP 401(K) PLAN				1b Three-digit plan number (PN) ▶	001			
					1c Effective date	of plan 01/2013		
	oonsor's name and a	address; include room or suite num MAURICE, LLP	ber (employer, if for a singl	e-employer plan)	2b Employer Ide (EIN) 46-	ntification Number		
170 OLD COUNTRY ROAD					2c Sponsor's telephone number 516-743-9400			
SUITE 303 MINEOLA, NY 11501				2d Business code (see instructions) 541110				
3a Plan ad	3a Plan administrator's name and address XSame as Plan Sponsor.				3b Administrator's EIN			
		he plan sponsor has changed since	e the last return/report filed	for this plan, enter the	4b EIN			
name, a Sponso		number from the last return/report.			4c PN			
5a Total number of participants at the beginning of the plan year				. 5a	7			
b Total number of participants at the end of the plan year					. 5b	-		
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				5c	7			
d(1) Total number of active participants at the beginning of the plan year				5d(1)	7			
d(2) Total number of active participants at the end of the plan year			5d(2)					
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested			5e					
Under pena SB or Sche	alties of perjury and	e or incomplete filing of this retu other penalties set forth in the instri- and signed by an enrolled actuary, mplete.	uctions, I declare that I hav	e examined this return/re	port, including, if app			
SIGN	Filed with authorize	d/valid electronic signature.	electronic signature. 07/22/2015 STACY FITZMAUR		RICE			
HERE				ate Enter name of individual signing as plan administrator				
	Signature of plan	administrator	Date	Enter name of individ	dual signing as plan a	dministrator		
SIGN	Signature of plan	administrator	Date	Enter name of individ	dual signing as plan a	dministrator		
SIGN HERE	Signature of emp	administrator loyer/plan sponsor name, if applicable) and address (Date	Enter name of individ	dual signing as emplo			

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)				Yes No				No No		
C	f the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	rogram (see ERISA section 40)21)?		Yes	No	N	lot de	ermir	ned
Par	t III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) E	nd of	Year		
a	Total plan assets	. 7a	2162	259					29	5183	
-	Total plan liabilities	7b	0400	250					00	5400	
	Net plan assets (subtract line 7b from line 7a)	7c	2162	259					29	5183	
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				<u>(k</u>	o) Tot	al		
	(1) Employers	8a(1)	204	126							
	(2) Participants	8a(2)	605	510							
	(3) Others (including rollovers)	8a(3)									
	Other income (loss)	8b	56	553							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							8	6589	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	714								
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	Ę	516							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								7665	
	Net income (loss) (subtract line 8h from line 8c)	8i							7	8924	
Par	Transfers to (from) the plan (see instructions) IV Plan Characteristics	8j									
b Part	If the plan provides welfare benefits, enter the applicable welfare for V Compliance Questions	eature cod	es from the List of Plan Chara	cterist	tic Cod	les in t	he instr	uctior	is:		
10	During the plan year:				Yes	No		Α	mour	t	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X					
c	Was the plan covered by a fidelity bond?			10c	X					3	35000
d	or dishonesty?					X					
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)				X						786
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X					
h	2520.101-3.)					X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part											
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Y	es X	No
	Enter the unpaid minimum required contribution for current year fr	om Sched	ule SB (Form 5500) line 39			11a		-			
12	Is this a defined contribution plan subject to the minimum funding			or se	ection	302 of	ERISA'	?	Υ	es X	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,		•	otions	02-1 ·	noto = /	20 dete	of 41-	lo#-	pp. 15-4	
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	-			, and 6	enter th Day			letter ear _	ruling	<u> </u>

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)		1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		inder the control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust