Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2014

This Form is Open to Public Inspection

Part I	Annual Report	t Identification Information	n						
For calend	dar plan year 2014 or	fiscal plan year beginning 01/01/	2014	and ending 12/3	31/2014				
A This re	eturn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a of participating employer information in accordance with the form instructions)						
		a one-participant plan	a foreign plan						
B This ref	turn/report is	the first return/report	the final return/report	t					
		an amended return/report	a short plan year retu	urn/report (less than 12 mo	onths)				
C Check	box if filing under:	Form 5558	automatic extension		DFVC pro	ogram			
		special extension (enter des	cription)						
Part II	Basic Plan In	formation—enter all requested in	nformation						
1a Name of plan					1b Three-digit				
HORN USA INC 401K PROFIT SHARING PLAN AND TRUST					plan numbe				
				-	(PN) •	001			
						te of plan 1/01/2002			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) HORN USA INC				e-employer plan)	2b Employer Identification Number (EIN) 62-1713570				
					2c Sponsor's telephone number				
	AVE STE 1650 SE NY 11788			-	631-501-0500				
HAUPPAUGE, NY 11788					2d Business code (see instructions) 332700				
3a Plan a	administrator's name	and address XSame as Plan Spor	nsor.		3b Administrator's EIN				
		he plan sponsor has changed since	e the last return/report filed	for this plan, enter the	4b EIN				
name, EIN, and the plan number from the last return/report. a Sponsor's name					4c PN				
5a Total number of participants at the beginning of the plan year					5a	69			
b Total number of participants at the end of the plan year					5b	85			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5c	70			
	,	participants at the beginning of the p		<u> </u>	5d(1)	63			
d(2) Total number of active participants at the end of the plan year					5d(2)	77			
e Number of participants that terminated employment during the plan year with accrued benefits that were			-	5u(2) 5e					
Under per SB or Sch	nalties of perjury and	e or incomplete filing of this retu other penalties set forth in the instru and signed by an enrolled actuary,	uctions, I declare that I hav	e examined this return/rep	ort, including, if ap	plicable, a Schedule			
SIGN		d/valid electronic signature.	07/22/2015	ANGELA ROGGENBA	GGENBAU				
HERE	Signature of plan		Date		inter name of individual signing as plan administrator				
SIGN									
HERE	Signature of emp	lover/plan sponsor	Date	Enter name of individu	ial cianina ac empl	over or plan enoneor			
Preparer's		Signature of employer/plan sponsor Date Enter name of individename (including firm name, if applicable) and address (include room or suite number) (optional)				dual signing as employer or plan sponsor Preparer's telephone number (optional)			
1	, , ,	, , , , , , , , , , , , , , , , , , , ,		, , , ,		(1			

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b .	Were all of the plan's assets during the plan year invested in eligible Are you claiming a waiver of the annual examination and report of a runder 29 CFR 2520.104-46? (See instructions on waiver eligibility a figure of you answered "No" to either line 6a or line 6b, the plan cannot with the plan cannot want to the pl	an indepe and condi ot use Fo	ndent qualified public accounta tions.) rm 5500-SF and must instead	nt (IQ d use	PA) Form	5500.	X Yes No
	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40	21)? .		Yes	No Not determined
Par					- T		
	Plan Assets and Liabilities		(a) Beginning of Yea		-		(b) End of Year
	Fotal plan assets	7a	37794	21	-		4479271
0	Fotal plan liabilities	7b	2770/	104	-		4479271
	Net plan assets (subtract line 7b from line 7a)	7c	37794	121	-		
	ncome, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total
	Contributions received or receivable from: 1) Employers	8a(1)	1792	271			
	2) Participants	8a(2)	3514	69			
	3) Others (including rollovers)	8a(3)					
-	Other income (loss)	8b	1947	' 64			
C	Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					725504
	Benefits paid (including direct rollovers and insurance premiums		4.0	100			
	o provide benefits)	8d		06			
	Certain deemed and/or corrective distributions (see instructions)	8e	204				
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f	1	75			
<u>g</u> (Other expenses	8g					
	Fotal expenses (add lines 8d, 8e, 8f, and 8g)	8h					25654
	Net income (loss) (subtract line 8h from line 8c)	8i					699850
	Fransfers to (from) the plan (see instructions)	8j					
b Part	ZE 2F 2G 2J 2K 2T 3D If the plan provides welfare benefits, enter the applicable welfare fe V Compliance Questions	eature cod	les from the List of Plan Charad	cterist	ic Coc	les in t	he instructions:
10	During the plan year:				Yes	No	Amount
a	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X	
	on line 10a.)	`	•	10b		X	
С	Was the plan covered by a fidelity bond?			10c	Χ		378000
d						X	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X	
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X	
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year	end.)	10g	Χ		139332
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X	
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3						
Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)						
11a	Enter the unpaid minimum required contribution for current year from	om Sched	dule SB (Form 5500) line 39			11a	<u> </u>
12	Is this a defined contribution plan subject to the minimum funding	requirem	ents of section 412 of the Code	or se	ction	302 of	ERISA? Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,						
a	If a waiver of the minimum funding standard for a prior year is bein granting the waiver.	-			, and 6	enter th Day	

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lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to lin	e 13.				
b	Enter the minimum required contribution for this plan year			12b			
С	Enter the amount contributed by the employer to the plan for this plan year			12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)			12d			
е	Will the minimum funding amount reported on line 12d be met by the funding	deadline?			Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?			Y	es X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer the	nis year		13a			
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?					Yes	(No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	in to another plan(s), ide	ntify the plan(s) to)			
1	3c(1) Name of plan(s):		130	c(2) EI	N(s)	13c(3) P	N(s)
			1			l	

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust