Form 5500-SF

Department of the Treasury Internal Revenue Service

Pension Benefit Guaranty Corporation

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

4b EIN

4c PN

5a

5b

5c

5d(1)

5d(2)

5e

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2014 or fiscal plan year beginning and ending 12/31/2014 a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the final return/report **B** This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan 1b Three-digit TRIAD ASSOCIATES 401(K) RETIREMENT SAVINGS PLAN plan number (PN) ▶ 002 Effective date of plan 01/01/1991 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) **2b** Employer Identification Number TRIAD ENGINEERING & PLANNING ASSOCIATES, INC. (EIN) 91-0931631 Sponsor's telephone number 425-216-2143 20300 WOODINVILLE-SNOHOMISH RD N.E. SUITE A Business code (see instructions) WOODINVILLE, WA 98072 541360 **3a** Plan administrator's name and address XSame as Plan Sponsor. Administrator's EIN **3c** Administrator's telephone number

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the

Total number of participants at the beginning of the plan year

Number of participants with account balances as of the end of the plan year (defined benefit plans do not

complete this item) d(1) Total number of active participants at the beginning of the plan year.....

d(2) Total number of active participants at the end of the plan year.....

e Number of participants that terminated employment during the plan year with accrued benefits that were

Total number of participants at the end of the plan year.....

name, EIN, and the plan number from the last return/report.

a Sponsor's name

less than 100% vested.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

belief, it is true, correct, and complete.								
SIGIV	Filed with authorized/valid electronic signature.	07/22/2015	JENNIFER L. BIXEL					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN								
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					
Preparer's	name (including firm name, if applicable) and address (include r	Preparer's telephone number (optional)						

82

78

74

42

47

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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a If you answered "No" to either line 6a or line 6b, the plan cann	an indepe and condit	ndent qualified public accountations.)	nt (IC	PA)			X Ye			
С	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40)21)?		Yes	No	Not dete	ermined		
Par	t III Financial Information	1	1								
7	Plan Assets and Liabilities		(a) Beginning of Yea		_		(b) End		405		
	Total plan assets	7a	52855	001	-			5636	485		
	Total plan liabilities	7b	52855	501	+			5636	485		
	Net plan assets (subtract line 7b from line 7a)	7c		JO 1	+		/b) T		1400		
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) T	otai			
	(1) Employers	8a(1)	905								
	(2) Participants	8a(2)	2778	304							
	(3) Others (including rollovers)	8a(3)	0400	200							
	Other income (loss)	8b	2128	362				504	040		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) Benefits paid (including direct rollovers and insurance premiums	8c						581	210		
	to provide benefits)	8d	2302	226							
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f									
g	Other expenses	8g									
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h)226		
	Net income (loss) (subtract line 8h from line 8c)	8i						350	984		
Par	Transfers to (from) the plan (see instructions) IV Plan Characteristics	8j									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions										
10	During the plan year:				Yes	No		Amount			
	Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu	ıciary Cor	rection Program)	10a		X					
	Were there any nonexempt transactions with any party-in-interest on line 10a.)		·	10b		X					
С	Was the plan covered by a fidelity bond?			10c	X				500000		
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		Χ					
e	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.)	of the ber	efits under the plan? (See	10e	X				10166		
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end.)	10g	Χ				59619		
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)			10h		X					
i 	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part											
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							Ye	s No		
	Enter the unpaid minimum required contribution for current year fr	om Sched	dule SB (Form 5500) line 39			11a					
12	Is this a defined contribution plan subject to the minimum funding			or se	ection :	302 of	ERISA?	Ye	s X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,		·	otic	ا ادام	nete = 11	an dota af "	o lotter :	u din a		
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	-			, and 6 	enter th Day		ie letter r Year	uling		

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?	inder the control		Yes X No	
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

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Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110 1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014 a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan B This return/report is the first return/report the final return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 C Check box if filing under: automatic extension DFVC program special extension (enter description) Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit TRIAD ASSOCIATES 401(k) RETIREMENT SAVINGS PLAN plan number 002 (PN) ▶ 1c Effective date of plan 01/01/1991 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) **Employer Identification Number** TRIAD ENGINEERING & PLANNING ASSOCIATES, INC. (EIN) 91-0931631 2c Sponsor's telephone number 20300 WOODINVILLE-SNOHOMISH RD N.E. (425) 415 = 2043 **SUITE A** 2d Business code (see instructions) WOODINVILLE, WA 98072 541360 3a Plan administrator's name and address | Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. a Sponsor's name 4c PN Total number of participants at the beginning of the plan year 5a 82 **b** Total number of participants at the end of the plan year..... 5b 78 Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item) 5c 74 d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 42 d(2) Total number of active participants at the end of the plan year..... 5d(2) 47 e Number of participants that terminated employment during the plan year with accrued benefits that were 1 Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete SIGN

Date

Date

Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional)

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number (optional)

Signature of plan administrator

Signature of employer/plan sponsor

HERE

SIGN HERE

6a	Were all of the plan's assets during the plan year invested in eligib	le assets? (See instructions.)			• • • • • • • • • • • • • • • • • • • •		5	Yes	No
D	under 29 CFR 2520 104-462 (See instructions on waiters which the annual examination and report of an independent qualified public accountant (IQPA)						Π			
	If you answered "No" to either line 6a or line 6b, the plan cann	ot use Forn	n 5500-SF and must instea	d use	Form	5500	••••••	2	< Yes	S ∐ No
C	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pro	gram (see ERISA section 4)	021)?	Г	Yes	□No □	٦ No	t dete	rmined
Pa	rt III Financial Information] .00			- dele	
7	Plan Assets and Liabilities		(a) Posinning of Vo.		\top					
a	Total plan assets	. 7a	(a) Beginning of Ye				(b) En			
b	Total plan liabilities		02000		\dashv				63648	
	Net plan assets (subtract line 7b from line 7a)		528550	——— 11	\dashv				63648	
8	Income, Expenses, and Transfers for this Plan Year	ratifate.		<u>'</u>	-					
а	Contributions received or receivable from: (1) Employers	90/4)	(a) Amount 9054				(b)	Tota		Wali.
	(2) Participants		27780							
	(3) Others (including rollovers)	8a(2)	27700		_					
b	Other income (loss)	8a(3)	21286		0.8					E > 0 //
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		Z1200	2	(A)	45.			_=\/ii	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)		23022	6	180 }}				81210	
-е	Certain deemed and/or corrective distributions (see instructions)	8d	23022	<u> </u>	3.0					2 PE . 15
f	Administrative service providers (salaries, fees, commissions)	8e 8f			1/3			7 <u>6</u> 10		
g	Other expenses									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8g			215	With Mil.			24.5	
i	Net income (loss) (subtract line 8h from line 8c)	8h					230226			
ī	Transfers to (from) the plan (see instructions)				_	350984				
Da	t IV Plan Characteristics	8j				7.4			E CUE	
b Par	If the plan provides welfare benefits, enter the applicable welfare for the compliance Questions	eature codes	from the List of Plan Chara	cterist	ic Coo	les in t	he instruc	tions:		
10	During the plan year:				Yes	No				
a	Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu	tions within th	ne time period described in	10a	163	X		Am	ount	
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)	2 (Do not inc	ludo transpotiene	10a		X				
С	Was the plan covered by a fidelity bond?			10c	х					
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bond	that was saved by favid			х	-		·	500000
е	or dishonesty?			10d	х	^				10166
f	Has the plan failed to provide any benefit when due under the plan?			10f		х		_		10100
g	Did the plan have any participant loans? (If "Yes," enter amount as	of year end	.)	10g	Х					59619
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		x				39019
i 	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i					70	
Part	VI Pension Funding Compliance			101						
11	Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)	ents? (If "Yes	," see instructions and com	plete	Sched	ule SB	(Form	Т		
11a	Enter the unpaid minimum required contribution for current year fro	m Schedule	SB (Form 5500) line 39		<u> </u>	11a	***************************************		Yes	No
12	Is this a defined contribution plan subject to the minimum funding i	requirements	of section 412 of the Code	or se	ction 3	02 of F	ERISA?	П	Yes	x No
	(ii fes, complete line 12a or lines 12b, 12c, 12d, and 12e below	ae annlicable	. \							
	If a waiver of the minimum funding standard for a prior year is being granting the waiver.	g amortized i	n this plan year, see instruc	tions, h	and e	nter the	e date of	he lei Yea		ing

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<u>If</u>	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year		12b					
				<u> </u>				
c	Enter the amount contributed by the employer to the plan for this plan year		12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)	of a	12d					
e	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No □ N/A			
Part				<u></u>				
13a	Has a resolution to terminate the plan been adopted in any plan year?] 🗔 ,						
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?	control		☐ Yes 🗓 No				
C	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):					13c(3) PN(s)			
		<u> </u>		(-/	100(0) 11(0)			
Part	VIII Trust Information (optional)	L						
14a (Name of trust		14b ⊤	rust's EIN				