Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2014 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the final return/report **B** This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan **1b** Three-digit EMPIRE METAL FINISHING INC 401 K PROFIT SHARING PLAN TRUST plan number (PN) ▶ 001 Effective date of plan 01/01/2005 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number EMPIRE METAL FINISHING (EIN) 11-3076705 Sponsor's telephone number 718-545-6700 2469 46TH ST ASTORIA, NY 11103-1007 Business code (see instructions) 333510 **3a** Plan administrator's name and address XSame as Plan Sponsor. Administrator's EIN **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name Total number of participants at the beginning of the plan year 5a 41 Total number of participants at the end of the plan year..... 5b 40 Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c complete this item) d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 32 d(2) Total number of active participants at the end of the plan year..... 5d(2) 32 e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested.

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

belief, it is true, correct, and complete.								
SIGN	Filed with authorized/valid electronic signature.	07/23/2015	LISA VETRONE					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN								
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					
Preparer's	name (including firm name, if applicable) and address (include r	oom or suite number	number) (optional) Preparer's telephone number (option					

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)									
С	If the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40	21)?		Yes	No X	Not de	ermin	ed
Par	t III Financial Information		T							
7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End			
	Total plan assets	7a	3501		_		398949			
	Total plan liabilities	7b	2504	0	+	0				
	Net plan assets (subtract line 7b from line 7a)	7c		350176		398949				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount	(a) Amount		(b) Total				
	(1) Employers	8a(1)	109	10973						
	(2) Participants	8a(2)	324	198						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	140)76						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						5	7547	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	efits paid (including direct rollovers and insurance premiums rovide benefits)		750						
	Certain deemed and/or corrective distributions (see instructions)	8e		6						
	Administrative service providers (salaries, fees, commissions)	8f		18						
	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)								8774	
i	Net income (loss) (subtract line 8h from line 8c)	8i						4	8773	
j	Transfers to (from) the plan (see instructions)	8j		0						
Par	Part IV Plan Characteristics									
	Part V Compliance Questions									
10	During the plan year:				Yes	No		Amoun	t	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
	on line 10a.)	·····		10b		X				
C	Was the plan covered by a fidelity bond?			10c	Χ				35	5018
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				9	9446
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part VI Pension Funding Compliance										
11										
11a	Enter the unpaid minimum required contribution for current year fr	om Sched	lule SB (Form 5500) line 39			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									

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If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year		12b			
С	Enter the amount contributed by the employer to the plan for this plan year		12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 124			
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A	
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a			
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No	
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to			
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)	

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust