## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

**Annual Report Identification Information** For calendar plan year 2014 or fiscal plan year beginning and ending 12/31/2014 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the final return/report **B** This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan **1b** Three-digit DONALD J. ARIMA, D.D.S., P.S. SALARY REDUCTION PLAN plan number (PN) ▶ 001 1c Effective date of plan 09/01/1976 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number DONALD J. ARIMA, D.D.S., P.S. (EIN) 91-0958659 Sponsor's telephone number 360-495-3666 330 BIRCH ST. S MCCLEARY, WA 98557 Business code (see instructions) 621210 **3a** Plan administrator's name and address XSame as Plan Sponsor. Administrator's EIN **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name Total number of participants at the beginning of the plan year ...... 5a **b** Total number of participants at the end of the plan year..... 5b Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c complete this item) ..... d(1) Total number of active participants at the beginning of the plan year..... 5d(1) d(2) Total number of active participants at the end of the plan year..... 5d(2) 4 e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested.

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

belief, it is true, correct, and complete.							
SIGN HERE SIGN	Filed with authorized/valid electronic signature.	08/07/2015	DONALD J. ARIMA				
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				
Preparer's	name (including firm name, if applicable) and address (include i	r) (optional)	Preparer's telephone number (optional)				

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.).  If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							X Ye		No No
С	If the plan is a defined benefit plan, is it covered under the PBGC in	surance p	rogram (see ERISA section 40	21)?		Yes	No	Not det	ermine	;d
Par	t III Financial Information	1	1							
	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End			
	Total plan assets	7a	10921	143	-			1110	6929 0	
	Total plan liabilities	7b	10901					1111	6929	
	Net plan assets (subtract line 7b from line 7a)	7c		114			# \ <b>T</b>		3323	
	Income, Expenses, and Transfers for this Plan Year  Contributions received or receivable from:		(a) Amount				(b) T	otai		
	(1) Employers	8a(1)	63	339						
	(2) Participants	8a(2)	121	150						
	(3) Others (including rollovers)	8a(3)								
<u>b</u>	Other income (loss)	8b	439	944						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						6:	2433	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	259	920						
	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	96	898						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						3	5618	
i	Net income (loss) (subtract line 8h from line 8c)	8i						2	6815	
j	Transfers to (from) the plan (see instructions)	8j								
Par	t IV Plan Characteristics									
b		eature cod	les from the List of Plan Charac	cterist			he instruction	ons:		
10	During the plan year:				Yes	No		Amoun	t	
	Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu.	iciary Cor	rection Program)	10a		Χ				
	Were there any nonexempt transactions with any party-in-interest on line 10a.)	·····		10b		X				
С	Was the plan covered by a fidelity bond?			10c	X				250	000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		Χ				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year e	end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part	VI Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							Ye	es	No
11a	Enter the unpaid minimum required contribution for current year fr					11a				
12	Is this a defined contribution plan subject to the minimum funding					302 of	ERISA?	Ye	es X	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,									
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	-			, and e	enter th Day		ne letter Year	ruling	_

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		inder the control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		<b>13c(2)</b> EI	N(s)	<b>13c(3)</b> PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

2014

OMB Nos. 1210-0110 1210-0089

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Part I	Annual Repor	t Identification Information							
For calenda	r plan year 2014 or f	iscal plan year beginning	01/01/2014	and ending	12/31/2	014			
A This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Filers checking this box must att of participating employer information in accordance with the form instructions)  a one-participant plan  a foreign plan									
<b>B</b> This retu	rn/renort is	the first return/report	the final return/report						
D THIS TOTAL	TIM OP OIL IS	an amended return/report	H	a short plan year return/report (less than 12 months)					
C Check box if filing under: Form 5558 automatic extension DFVC prog					ogram				
		special extension (enter descr							
Part II	Basic Plan Inf	ormation—enter all requested inf	formation						
1a Name of DONALD		D.S., P.S. SALARY RED	OUCTION PLAN		1b Three-digit plan numbe (PN) ▶	er 001			
						te of plan 976			
	onsor's name and a J. ARIMA, D.	ddress; include room or suite numbe D.S., P.S.	er (employer, if for a single	employer plan)	<b>2b</b> Employer Identification Number (EIN) 91-0958659				
330 BIR	CH ST. S				2c Sponsor's telephone number 360-495-3666				
MCCLEAR	Y.	WA 98557			2d Business code (see instructions) 621210				
		and address XSame as Plan Spons	sor.		3b Administrator's EIN				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.									
a Sponso					4c PN				
5a Total number of participants at the beginning of the plan year									
		account balances as of the end of				7			
		account balances as of the end of			5c	7			
d(1) Total number of active participants at the beginning of the plan year				5d(1)					
d(2) Total number of active participants at the end of the plan year				5d(2)					
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					0				
Under pena SB or Sche	lities of perjury and o	or incomplete filing of this return other penalties set forth in the instru- and signed by an enrolled actuary, a applete.	ctions, I declare that I have	examined this return/re	port, including, if a	pplicable, a Schedule			
SIGN	(gh)		8/5/15	DONALD J. ARI	MA				
HERE	Signature of plan	administrator	Date	Enter name of individ	administrator				
SIGN									
		oyer/plan sponsor	Date			oloyer or plan sponsor			
reparer's I	name (including firm	name, if applicable) and address (ii	iciude foom of suite numbe	ar / (opuonar)	rieparers telepr	none number (optional)			