Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2013

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

		 Complete all entries in acce 	ordance with the instruc	tions to the Form 5500)-SF.				
Part I	Annual Report Id	lentification Information							
For calenda	ar plan year 2013 or fisca	al plan year beginning 11/01/20	013	and ending 10	0/31/2	014			
A This ret	This return/report is for:) a one-participant plan				
B This ret	urn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year returr	n/report (less than 12 mo	onths)	_			
C Check I	C Check box if filing under: Form 5558 automatic extension				DFVC program				
Dant II	Dania Dian Inform	special extension (enter descrip							
Part II		nation—enter all requested infor	mation		41-				
1a Name of plan R.A. RASMUSSEN & SONS, INC. 401K PLAN					10	Three-digit plan number			
						(PN)	001		
						Effective date o			
					10	05/01			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) R.A. RASMUSSEN & SONS, INC.				employer plan)		Employer Identification Number (EIN) 91-1060970			
	_					Sponsor's telephone number 509-854-1365			
PO BOX 675 GRANGER,	WA 98932-0675				2d	Business code (see instructions)			
3a Plan a	dministrator's name and	address XSame as Plan Sponso	r Name Same as Plan	Sponsor Address	3h	111210 b Administrator's EIN			
Ja Flalla	uriiriistrator s riarrie and	address Againe as Flan Sponson	TName Dame as Flan	Sponsor Address	-				
					3c Administrator's telephone number				
4 If the r	name and/or FIN of the n	lan sponsor has changed since th	a last return/report filed fo	r this plan enter the	4h	FIN			
		per from the last return/report.	e iast return/report illed it	i this plan, enter the	4b	EIN			
	or's name				4.	DNI			
					4c	PN			
5a Total r	number of participants at	the beginning of the plan year				PN	20		
_		the beginning of the plan year		-	5a 5b	PN	20		
b Total r	number of participants at er of participants with ac		e plan year (defined bene	fit plans do not	5a	PN			
b Total r c Numb compl 6a Were	number of participants at er of participants with actete this item)	the end of the plan yearcount balances as of the end of the	e plan year (defined bene gible assets? (See instruc	fit plans do not	5a 5b 5c		17		
b Total r c Numb compl 6a Were b Are yo	number of participants at er of participants with ac lete this item)all of the plan's assets do ou claiming a waiver of th	the end of the plan year count balances as of the end of the	e plan year (defined bene gible assets? (See instruc of an independent qualifie	fit plans do not tions.)d public accountant (IQF	5a 5b 5c 5A)		17 17 X Yes No		
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Da	rt III Financial Information							
<u>га</u> 7			(a) Banimin a (Wan		I	(h) End of Your		
		n Assets and Liabilities (a) Beginning of Ye					(b) End of Year 318570	
<u>а</u> b	Total plan assets	7a	90				589	
		7b	37732				317981	
		10		1				
<u>8</u> а	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
и	(1) Employers	8a(1)						
	(2) Participants	8a(2)	649	4				
	(3) Others (including rollovers)	8a(3)						
b	Other income (loss)	8b	2989	4				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					36388	
d	Benefits paid (including direct rollovers and insurance premiums	0.4	9439	1				
	to provide benefits) Certain deemed and/or corrective distributions (see instructions)	8d	3433					
t		8e	134	Ω				
	Administrative service providers (salaries, fees, commissions)	8f	104	•				
<u>g</u>	Other expenses (add lines 2d, 2e, 2f, and 2e)	. 8g					05724	
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					95734 -59346	
÷	Net income (loss) (subtract line 8h from line 8c)	. 8i					-39340	
	, , , , , , ,	8j						
	rt IV Plan Characteristics	f4	des from the List of Disc Char		4i- C-	-l !-	the instructions.	
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	reature co	ides from the List of Plan Char	actens	SUC CO	ides in	the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instructions:	
Par	Part V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
а				10a	X		2250	
b						Х		
	on line 10a.)			10b		^		
С	Was the plan covered by a fidelity bond?			10c	X		500000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		
е	Were any fees or commissions paid to any brokers, agents, or oth							
	insurance service, or other organization that provides some or all of the benefits under the plan? (See			10e	X		2274	
f	f Has the plan failed to provide any benefit when due under the plan?					X	2217	
g				10f 10g		X		
<u> </u>	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X		
	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10h				
	exceptions to providing the notice applied under 29 CFR 2520.10			10i				
Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							
11a	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39							
12	Is this a defined contribution plan subject to the minimum funding	requireme	ents of section 412 of the Code	or se	ection 3	302 of	ERISA? Yes X No	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,							
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Day Year								
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule	e MB (For	m 5500), and skip to line 13.					
h	Enter the minimum required contribution for this plan year					12b		

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raye	J		

Enter the amount contributed by the employer to the plan for this plan year	12c					
Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A		
VII Plan Terminations and Transfers of Assets						
Has a resolution to terminate the plan been adopted in any plan year?	X	es No				
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			0		
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the co of the PBGC?	ntrol	Yes X No				
If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)	ı					
3c(1) Name of plan(s):	(2) EII	N(s)	13c(3)	PN(s)		
VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN			
1 1	Will the minimum funding amount reported on line 12d be met by the funding deadline? VII Plan Terminations and Transfers of Assets Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC? If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) 13c(1) Name of plan(s): 13c 13c 13c 13c 13c 13c 13c 13	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount). Will the minimum funding amount reported on line 12d be met by the funding deadline? I Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year. If all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) I Trust Information (optional)	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount). Will the minimum funding amount reported on line 12d be met by the funding deadline?		