

Form 5500-SF Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Short Form Annual Return/Report of Small Employee Benefit Plan This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). Complete all entries in accordance with the instructions to the Form 5500-SF.	OMB Nos. 1210-0110 1210-0089 <div style="border: 1px solid black; padding: 5px; text-align: center; font-weight: bold; font-size: 1.2em;">2011</div> This Form is Open to Public Inspection
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Part I Annual Report Identification Information			
For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011			
A	This return/report is for:	<input checked="" type="checkbox"/> a single-employer plan <input type="checkbox"/> a multiple-employer plan (not multiemployer) <input type="checkbox"/> a one-participant plan	
B	This return/report is:	<input type="checkbox"/> the first return/report <input type="checkbox"/> the final return/report <input checked="" type="checkbox"/> an amended return/report <input type="checkbox"/> a short plan year return/report (less than 12 months)	
C	Check box if filing under:	<input type="checkbox"/> Form 5558 <input type="checkbox"/> automatic extension <input type="checkbox"/> DFVC program <input type="checkbox"/> special extension (enter description)	

Part II Basic Plan Information —enter all requested information			
1a Name of plan RIVERHAWK FAST SEA FRAMES LLC 401K PLAN		1b Three-digit plan number (PN) ▶	001
		1c Effective date of plan	04/01/2010
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) RIVERHAWK FAST SEA FRAMES LLC 5251 W TYSON AVE TAMPA, FL 33611		2b Employer Identification Number (EIN)	26-2141142
		2c Sponsor's telephone number	813-422-4095
		2d Business code (see instructions)	336610
3a Plan administrator's name and address (if same as plan sponsor, enter "Same") RIVERHAWK FAST SEA FRAMES LLC 5251 W TYSON AVE TAMPA, FL 33611		3b Administrator's EIN	26-2141142
		3c Administrator's telephone number	813-422-4095
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. a Sponsor's name		4b EIN	
		4c PN	
5a Total number of participants at the beginning of the plan year		5a	18
b Total number of participants at the end of the plan year.....		5b	20
c Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item).....		5c	12
6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.).....		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.			

Part III Financial Information				
7 Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year	
a Total plan assets	7a	54392	149559	
b Total plan liabilities	7b			
c Net plan assets (subtract line 7b from line 7a).....	7c	54392	149559	
8 Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total	
a Contributions received or receivable from:				
(1) Employers	8a(1)	39562		
(2) Participants	8a(2)	73681		
(3) Others (including rollovers).....	8a(3)			
b Other income (loss)	8b	-7034		
c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		106209	
d Benefits paid (including direct rollovers and insurance premiums to provide benefits).....	8d	10992		
e Certain deemed and/or corrective distributions (see instructions)	8e			
f Administrative service providers (salaries, fees, commissions)	8f	50		
g Other expenses.....	8g			
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		11042	
i Net income (loss) (subtract line 8h from line 8c).....	8i		95167	
j Transfers to (from) the plan (see instructions)	8j			

Part IV Plan Characteristics**9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2E 2F 2G 2J 2K 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:**Part V Compliance Questions**

		Yes	No	Amount
10	During the plan year:			
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)		X	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)		X	
c	Was the plan covered by a fidelity bond?	X		6000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)		X	
f	Has the plan failed to provide any benefit when due under the plan?		X	
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	X		0
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			
10i				

Part VI Pension Funding Compliance

11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500)) ☐ Yes ☐ No

12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? .. ☐ Yes ☒ No
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)

a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month _____ Day _____ Year _____

If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.

b Enter the minimum required contribution for this plan year.....	12b	
c Enter the amount contributed by the employer to the plan for this plan year.....	12c	
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d	

e Will the minimum funding amount reported on line 12d be met by the funding deadline?..... ☐ Yes ☐ No ☐ N/A

Part VII Plan Terminations and Transfers of Assets

13a Has a resolution to terminate the plan been adopted in any plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year **13a**

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? ☐ Yes ☒ No

c If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	09/08/2015	STEPHEN WHEELOCK
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

Form 5500-SFDepartment of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security Administration
Pension Benefit Guaranty Corporation**672996FH RF11
Short Form Annual Return/Report of Small Employee
Benefit Plan**

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▶ **Complete all entries in accordance with the instructions to the Form 5500-SF.**OMB Nos. 1210-0110
1210-0089**2011****This Form is Open to Public
Inspection****Part I Annual Report Identification Information**

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Part II Basic Plan Information—enter all requested information

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	1c Effective date of plan 04/01/2010
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	2d Business code (see instructions) 336610
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	3c Administrator's telephone number
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6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.

Part III Financial Information

7 Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year
a Total plan assets	7a	54,392	149,559
b Total plan liabilities	7b		
c Net plan assets (subtract line 7b from line 7a)	7c	54,392	149,559
8 Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total
a Contributions received or receivable from:			
(1) Employers	8a(1)	39,562	
(2) Participants	8a(2)	73,681	
(3) Others (including rollovers)	8a(3)		
b Other income (loss)	8b	(7,034)	
c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		106,209
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	10,992	
e Certain deemed and/or corrective distributions (see instructions)	8e		
f Administrative service providers (salaries, fees, commissions)	8f	50	
g Other expenses	8g		
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		11,042
i Net income (loss) (subtract line 8h from line 8c)	8i		95,167
j Transfers to (from) the plan (see instructions)	8j		

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

Form 5500-SF (2011)

672996FH RF11

Form 5500-SF 2011

Page 2 - ☐**Part IV Plan Characteristics****9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

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	Yes	No	Amount
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b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
c Was the plan covered by a fidelity bond?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	6,000
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
f Has the plan failed to provide any benefit when due under the plan?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	0
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	<input type="checkbox"/>	<input type="checkbox"/>	

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SIGN HERE	<i>Stephen Wheelock</i>	7/10/13	<i>Stephen Wheelock</i>
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor



August 23, 2012

ADP 401k Retirement Services

Attention: IRS

Subject: Tax payer 26-2141142, Form 5500SF 2011, Reasonable Cause Letter

Dear Sir or Madam:

We received a letter from you regarding our 2011 Form 5500 SF. We believe we have reasonable cause for the late filing.

We use ADP to file for us. Our updates were submitted to ADP on April 26, 2012.

The individual responsible for interfacing with ADP left and I took over her duties. However I did not receive the automated updates from ADP concerning filing status, deadlines like she did. This is a nice service which ADP provides. I speculate that they did not have the correct contact information for me and perhaps the email notices kept going to the former individual responsible? Perhaps ADP did not have my contact information until well after the deadline. Once I started receiving the email updates I became aware of the delinquency of our 5500 and called ADP immediately. That was in December 2012.

According to ADP they never received our reasonable cause letter when we sent everything to them in December 2012. So, we resent everything to ADP. Filing was still unsuccessful. I called ADP and they told me they could not read my fax. Resent. Again unsuccessful. Couldn't read it again. Resent. Finally it was filed but there remains an issue. Interestingly 2012 was sent using the same format/technology and it was filed successfully on the first try.

I am currently working with ADP to resolve this and I trust that it will be completed immediately. They have been extremely professional and understanding. I am finally talking to the right folks to get this resolved.

We respectfully request removal of the penalty. We feel the breakdown in communication due to turnover between us and ADP was no one's fault and was addressed immediately once it was known. We feel it is an honest mistake that unfortunately can happen in small companies. All prior years and 2012 were completed on time. Your consideration would be greatly appreciated.

Sincerely,

Steve Wheelock
Chief Financial Officer
RiverHawk Fast Sea Frames
912-313-9672
steve.wheelock@rhfsf.com