Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Pension E	senetit Guaranty Corporation	▶ Complete all entries in accordance	rdance with the instru	ctions to the Form 550	0-SF.					
Part I	Annual Report I	dentification Information								
For calend	dar plan year 2013 or fis	cal plan year beginning 01/01/20	13	and ending 1	2/31/2	013				
A This re	A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer					er) a one-participant plan				
B This return/report is: ☐ the first return/report ☐ the first return/report										
		x an amended return/report	=	rn/report (less than 12 mo	onths)	_				
C Check	box if filing under:	Form 5558	automatic extension		DFVC program					
	T =	special extension (enter descript								
Part II		mation—enter all requested inform	mation							
1a Name of plan DR. QUINONES P.S.C. 401 (K) PROFIT SHARING PLAN AND TRUST						Three-digit plan number	004			
						(PN) ▶ Effective date o	001 f plan			
					'	01/01				
DR. QUINC	sponsor's name and add DNES P.S.C. ARE CHIROPRACTIC	dress; include room or suite number (employer, if for a single	-employer plan)		2b Employer Identification Number (EIN) 26-2267884				
		5538 NEW	CUT ROAD		2c	Sponsor's telephone number 502-295-9564				
	5538 NEW CUT ROAD LOUISVILLE, KY 40214 LOUISVILLE, KY 40214				2d	2d Business code (see instruction 621310				
3a Plan a	administrator's name and	d address XSame as Plan Sponsor	Name Same as Pla	n Sponsor Address	3b	Administrator's	EIN			
					3c	Administrator's	telephone number			
						, , , , , , , , , , , , , , , , , , , ,	. с. с. г. с. г. с. г. с.			
4 If the	name and/or FIN of the	plan sponsor has changed since the	last return/report filed	for this plan, enter the	4h	EINI				
		nber from the last return/report.	, last retain, report mea	or this plan, enter the	4b EIN					
	sor's name					PN				
_		at the beginning of the plan year			5a					
		at the end of the plan year			5b					
		account balances as of the end of the	• •	•	5c		0			
_	•	during the plan year invested in elig	,	,			X Yes No			
		the annual examination and report o (See instructions on waiver eligibility					X Yes No			
		ther line 6a or line 6b, the plan can	•							
c If the	plan is a defined benefit	t plan, is it covered under the PBGC	insurance program (see	ERISA section 4021)? .		Yes X No	Not determined			
Caution:	A penalty for the late o	or incomplete filing of this return/re	eport will be assessed	unless reasonable cau	ıse is e	established.				
	· · · · · · · · · · · · · · · · · · ·	er penalties set forth in the instruction	•				able, a Schedule			
	edule MB completed an true, correct, and comp	d signed by an enrolled actuary, as vlete.	well as the electronic ve	rsion of this return/report	, and to	o the best of my	knowledge and			
SIGN	Filed with authorized/v	valid electronic signature.	09/25/2015	MATTHEW QUINONE	HEW QUINONES					
HERE	HERE Signature of plan administrator Date Enter name of individual					ual signing as plan administrator				
SIGN	Filed with authorized/v	valid electronic signature.	09/25/2015	MATTHEW QUINONE	IEW QUINONES					
HERE	Signature of employ		Date	Enter name of individu						
	s name (including firm na / JON QUINONES	ame, if applicable) and address; inclu	ae room or suite numb	er (optional)	Prepa	·	number (optional)			
214 NEW I	ENGLAND CT					502-298	o- 9 564			
	ENGLAND CT LE, KY 40214									
	*			ŀ						

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Pa	rt III Financial Information										
7					(b) End of Year						
		an Assets and Liabilities (a) Beginning of Yea tal plan assets 7a 5427			+		(b) End (ot Ye	ear	١	
	otal plan assets				-					,	
	Total plan liabilities	7b	5427	7	+				0	<u> </u>	
-				-	+		4) =		-	,	
8 a	come, Expenses, and Transfers for this Plan Year (a) Amount contributions received or receivable from:						(b) To	otal			
а	(1) Employers	8a(1)									
	(2) Participants	8a(2)									
	(3) Others (including rollovers)										
b	Other income (loss)	8b									
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c									
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d									
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f									
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h									
i	Net income (loss) (subtract line 8h from line 8c)	8i									
j	Transfers to (from) the plan (see instructions)	8j									
Pa	rt IV Plan Characteristics		•								
9a		feature co	des from the List of Plan Char	acteris	stic Co	odes in	the instruct	ions	:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Cod	des in t	he instruction	ons:			
Par	t V Compliance Questions										
10	•				Yes	No		A	1		
a	During the plan year: Was there a failure to transmit to the plan any participant contributions within the time period described in				163	NO		Amo	unt		
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
N		Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X					
				10c	X					1	1000
d				100						-	000
	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
е	 Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all 					.,					
	instructions.)		• •	10e		X					
f	Has the plan failed to provide any benefit when due under the plan?					X					
9	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10g 10h	X						
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i	Χ						
Part	· · · · · · · · · · · · · · · · · · ·					1					
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form							No			
114	5500) and line 11a below)								Yes	Ш	. 10
116	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
40							ERISA?	Ш	res	X	INO
12	//		(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
				otions	and a	ontor +1	o data of #	0 104	ttor rul	lina	
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	ng amortiz	ed in this plan year, see instru Mon		and e	enter th Day		ie let Yeai		ling	
a	If a waiver of the minimum funding standard for a prior year is beir	ng amortiz e MB (For	ed in this plan year, see instru Mon m 5500), and skip to line 13.	ith		_				ling	

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е			Yes	No	N/A	
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	. X	res No	1		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a			0	
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	rol X Yes N			
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to				
13c(1) Name of plan(s):				13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			rust's EIN			