## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

Dowt I	Annual Danas	t Idantification Information			•					
Part I		t Identification Information fiscal plan year beginning 01/01/2		and ending 12/	/31/2014					
FUI Calei	idai pian year 2014 or	a single-employer plan								
A This r	return/report is for:		ver) (Filers checking this box must attach a list accordance with the form instructions)							
		a one-participant plan	a foreign plan							
<b>B</b> This re	eturn/report is	the first return/report	the final return/report	eturn/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 me	onths)					
_		— — — — — — — — — — — — — — — — — — —			П веме					
C Chec	k box if filing under:	Form 5558	automatic extension		DFVC pi	ogram				
		special extension (enter desc	ription)							
Part II	Basic Plan Info	ormation—enter all requested in	formation							
1a Nam	ne of plan	-			1b Three-digit					
THE LAW OFFICES OF JAMES C. EGAN 401(K) PROFIT SHARING PLAN					plan numbe					
					(PN)	001				
					1c Effective da	ate of plan 1/01/2007				
2a Plan	sponsor's name and a	ddress; include room or suite numb	er (employer, if for a single	e-employer plan)	2b Employer Identification Number					
THE LAW	OFFICES OF JAMES (		(EIN) 56-2513652							
					2c Sponsor's telephone number					
605 FIRST AVENUE, SUITE 400						206-749-0333				
SEATTLE,	WA 98104				<b>2d</b> Business code (see instructions)					
0		🗔			541110					
<b>3a</b> Plan	administrator's name a	and address XSame as Plan Spon	sor.		<b>3b</b> Administrat	or's EIN				
					3c Administrat	or's telephone number				
					Administrat	or 3 telephone number				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the				for this plan, enter the	4b EIN					
	name, EIN, and the plan number from the last return/report.				4c PN					
Sponsor's name     Total number of participants at the beginning of the plan year										
_		s at the end of the plan year			5b	<u>' '</u> 11				
				11						
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				5c	11					
d(1) Total number of active participants at the beginning of the plan year					5d(1)	P				
d(2) ⊤	d(2) Total number of active participants at the end of the plan year				5d(2)					
Number of participants that terminated employment during the plan year with accrued benefits that were				· · ·						
less than 100% vested				5e	(					
Caution:	: A penalty for the late	or incomplete filing of this retur	n/report will be assessed	d unless reasonable cau	use is established	l.				
		other penalties set forth in the instru								
	snedule MB completed a strue, correct, and con	and signed by an enrolled actuary, a	as well as the electronic ve	ersion of this return/report	r, and to the best o	r my knowledge and				
SIGN	Filed with outborized to lide electronic cignoture 00/09/2045 IAMES FCAN									
HERE			Dato	Enter name of individe	ual cigning oc plan	administrator				
	Signature of plan administrator  Date  Enter name of individual signing as plan ad					i auiiiiiistiatUl				
SIGN HERE		i employer/plan sponsor Date Enter name of individual signin								
IILIXL	Signature of empl	over/plan sponsor	Enter name of individ	ual signing as emr	lover or plan sponsor					

Preparer's name (including firm name, if applicable) and address (include room or suite number ) (optional)

Preparer's telephone number (optional)

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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann	an indeper and condit	ndent qualified public accounta	int (IQ	PA)					es	No No
	If the plan is a defined benefit plan, is it covered under the PBGC ir					-	_		lot de	termine	d
Par	t III Financial Information	_									
7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) E	nd of	Year		
<u>a</u>	Total plan assets	. 7a	2692	243	4				30	7213	
	Total plan liabilities	. 7b	0000		-					70.10	
	Net plan assets (subtract line 7b from line 7a)	olan assets (subtract line 7b from line 7a)							30	7213	
	Income, Expenses, and Transfers for this Plan Year						(b	) To	al		
	Contributions received or receivable from: (1) Employers	. 8a(1)	80	880							
	(2) Participants	. 8a(2)	200	)20							
	(3) Others (including rollovers)	. 8a(3)									
b	Other income (loss)	. 8b	98	362							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c							3	7970	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d									
	Certain deemed and/or corrective distributions (see instructions)	. 8e									
	Administrative service providers (salaries, fees, commissions)										
g	Other expenses	. 8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h									
i	Net income (loss) (subtract line 8h from line 8c)	. 8i							3	7970	
j	Transfers to (from) the plan (see instructions)	8j									
b	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions										
10	During the plan year:				Yes	No		Α	moun	t	_
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X					
	on line 10a.)			10b		X					
С	Was the plan covered by a fidelity bond?			10c	X					1500	000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X					
f	f Has the plan failed to provide any benefit when due under the plan?					X					
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X					
h	2520.101-3.)					X					
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i							
	Part VI Pension Funding Compliance										
11	5500) and line 11a below) Yes X No										
	Enter the unpaid minimum required contribution for current year for		,			11a					<u>.                                    </u>
12	Is this a defined contribution plan subject to the minimum funding			or se	ection	302 of	ERISA?	·	Y	es X	No
a	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below If a waiver of the minimum funding standard for a prior year is being		•	ctions	, and	enter fl	he date	of the	letter	rulina	
	granting the waiver.	-			,	Day			ear _		

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		<b>13c(2)</b> EI	N(s)	<b>13c(3)</b> PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust