| Form 5500-SF | | Short Form Annual Return/Report of Small Empl Benefit Plan | | | oyee | OMB Nos. 1210-0110 1210-0089 | | | |
|--|---|--|---|--|---|--|-----------------------|--|--|
| Department of the Treasury Internal Revenue Service | | This form is required to be filed under sections 104 and 4065 of the Employee F | | | | 2014 | | | |
| | nt of Labor Security Administration | Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Revenue Code (the Code). | | | Internal | | orm is Open to | | |
| Pension Benefit Guaranty Corporation Complete all entries in accordance with the instructions to the Form | | | | | | Pub | lic Inspection | | |
| Part I Annual Report Identification Information | | | | | /31/2015 | | | | |
| For calendar plan year 2014 or fiscal plan year beginning 01/01/2015 and ending 03/31/2015 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach | | | | | | | ox must attach a list | | |
| A This return/reB This return/re | port is for: | a one-participant plan the first return/report an amended return/report | of participating emplo a foreign plan the final return/report | olan (not multiemployer) (Filers checking this box must attach a list byer information in accordance with the form instructions) rn/report (less than 12 months) | | | | | |
| C Check box if | filing under: | Form 5558 special extension (enter descrip | automatic extension | | DFVC program | | | | |
| Part II Ba | sic Plan Infori | mation—enter all requested info | rmation | | | | | | |
| 1a Name of plan TELEMETRY INCORPORATED 401K PLAN | | | | | 1b Thre plar (PN | number | 001 | | |
| | | | | | 1c Effe | ctive date o | • | | |
| 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) TELEMETRY INCORPORATED | | | | | | 01/01/2010 2b Employer Identification Number (EIN) 80-0501995 | | | |
| 250 GREENWICH STREET | | | | | 2c Sponsor's telephone number 212-380-6666 | | | | |
| 46TH FLOOR NEW YORK, NY 10007 | | | | | | Business code (see instructions) 541800 | | | |
| 3a Plan administrator's name and address Same as Plan Sponsor. | | | | | 3b Administrator's EIN | | | | |
| | | plan sponsor has changed since th | e last return/report filed f | for this plan, enter the | 4b EIN | | telephone number | | |
| name, EIN, and the plan number from the last return/report. a Sponsor's name | | | | 4c PN | | | | | |
| · | | t the beginning of the plan year | | | 5a | | 27 | | |
| b Total number of participants at the end of the plan year | | | | | | | 0 | | |
| C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item) | | | | | 5c | | 0 | | |
| d(1) Total number of active participants at the beginning of the plan year | | | | | 5d(1) | | 23 | | |
| d(2) Total nur | nber of active parti | cipants at the end of the plan year | | | 5d(2) | | 0 | | |
| Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | 5e | | 0 | | | |
| Caution: A pena Under penalties SB or Schedule | alty for the late or of perjury and othe | incomplete filing of this return/ r penalties set forth in the instructi signed by an enrolled actuary, as | report will be assessed ons, I declare that I have | unless reasonable cau e examined this return/re | port, includ | ing, if applic | | | |
| 0.01V | with authorized/va | lid electronic signature. | 10/05/2015 | ALEX FRITH | | | | | |
| HERE Sig | nature of plan adı | ninistrator | Date | Enter name of individual signing as plan administrator | | | | | |
| SIGN HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer. | | | | | | | | | |
| Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan spon Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional) Preparer's telephone number (optional) | | | | | | | | | |

| | a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) | | | | | | | | |
|------------------------------------|--|--|---------------------------------|--------------|---------|-----------------|----------------|-----------|-------|
| | under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) No | | | | | | | | |
| | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | | | | | | | | |
| <u>с</u> | If the plan is a defined benefit plan, is it covered under the PBGC in | surance p | program (see ERISA section 40 |)21)? | | Yes | No No | ot detern | nined |
| Pa | t III Financial Information | - | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Yea | ır | | (b) End of Year | | | |
| а | Total plan assets | 7a | 475 | 523 | | 0 | | | 0 |
| b | Total plan liabilities | 7b | | | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | et plan assets (subtract line 7b from line 7a) 7c 47 | | | | | 0 | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | | | (b) Total | | |
| а | Contributions received or receivable from: | 0-(1) | | | | | | | |
| | (1) Employers | 8a(1) | 71 | 80 | | | | | |
| | (2) Participants | 8a(2) | | 00 | | | | | |
| - | (3) Others (including rollovers) | 8a(3) | 10 | 274 | - | | | | |
| | Other income (loss) | 8b | 12 | ./4 | _ | | | 0.45 | · 4 |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | _ | | | 845 | 94 |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | | | | | | |
| g | Other expenses | 8g | | | | | | | |
| | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | 0 | | |
| | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | 845 | 54 |
| | | | | 977 | | | | | |
| Par | t IV Plan Characteristics | 0) | | | | | | | |
| | If the plan provides pension benefits, enter the applicable pension | feature co | odes from the List of Plan Char | acteri | stic Co | des in | the instructio | ns: | |
| | 2E 2F 2G 2J 2T 3D | | | | | | | | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature coo | des from the List of Plan Chara | cterist | ic Coc | les in t | he instruction | S: | |
| _ | | | | | | | | | |
| Part | | | | | | | 1 | | |
| 10 | During the plan year: | | | | Yes | No | Α | mount | |
| а | Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu | | • | 10a | | Х | | | |
| b | Were there any nonexempt transactions with any party-in-interest | - | | TVa | | | | | |
| | on line 10a.) | | | 1 0 b | | Х | | | |
| С | Was the plan covered by a fidelity bond? | | | 10c | x | | | | 5000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's | fidelity bo | ond, that was caused by fraud | | | | | | |
| | or dishonesty? | - | | 10d | | Х | | | |
| е | · · · · · · · · · · · · · · · · · · · | | | | | | | | |
| | insurance service, or other organization that provides some or all instructions.) | | | 10e | | Х | | | |
| f | · · · · · · · · · · · · · · · · · · · | | | | | Х | | | |
| | | | | | | Х | | | |
| | g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR | | | | | ^ | | | |
| <u> </u> | 2520.101-3.) | | | | | Х | | | |
| . i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | | | | | | |
| Part VI Pension Funding Compliance | | | | | | | | | |
| 11 | | | | | | | | | |
| 11a | Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 11a | | | | | | <u> </u> | | |
| 12 | | | | | | | | | |
| 12 | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | |
| | (If Yes, complete line 12a or lines 12b, 12c, 12d, and 12e below, | | | | احمم | nte - 1 | | lottor | 20 |

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| If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | | | |
|---|---|-----------------|----------|---------------------|--|--|--|--|
| b | Enter the minimum required contribution for this plan year | | | | | | | |
| | | | | | | | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | | |
| d | d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | | | |
| е | e Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | | | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | | |
| 13a | 3a Has a resolution to terminate the plan been adopted in any plan year? | | | | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | | | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought u of the PBGC? | nder the contro | X Yes No | | | | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | | |
| 1 | 13c(1) Name of plan(s): | 13c(2) | EIN(s) | 13c(3) PN(s) | | | | |
| ADP | PTOTAL SOURCE RET SAV | 59-2452823 | | 001 | | | | |
| | | | | | | | | |
| Part | VIII Trust Information (optional) | | | - | | | | |
| 14a Name of trust | | | | | | | | |