Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2014

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
SANKYO HOLDINGS, INC. 401(K)PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 09/01/1993

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)
SANKYO HOLDINGS, INC.

880 APOLLO ST. SUITE 101
EL SEGUNDO, CA 90245

2b Employer Identification Number (EIN)
91-1542991

2c Plan Sponsor's telephone number
310-726-0400

2d Business code (see instructions)
488990

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/06/2015	P.D. VON GEUSAU
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional)

Preparer's telephone number (optional)

3a Plan administrator's name and address [X] Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	
5 Total number of participants at the beginning of the plan year	**5**	214
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	175
a(2) Total number of active participants at the end of the plan year	**6a(2)**	185
b Retired or separated participants receiving benefits	**6b**	0
c Other retired or separated participants entitled to future benefits	**6c**	30
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	215
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f Total. Add lines **6d** and **6e**.	**6f**	215
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	197
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2A 2E 2F 2G 2J 2K 2T 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[X] Insurance	**(1)**	[ ] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 1 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) .....…… ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ……..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2014 Form M-1 annual report. If the plan was not required to file the 2014 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2014

This Form is Open to Public Inspection

For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014

A Name of plan
SANKYO HOLDINGS, INC. 401(K)PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
SANKYO HOLDINGS, INC.

D Employer Identification Number (EIN)
91-1542991

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier

PRINCIPAL LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
42-0127290	61271	613953	239	01/01/2014	12/31/2014

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	461

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

ACTUARIAL BENEFITS CORP.
2790 SKYPARK DR. SUITE 300
TORRANCE, CA 90505

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
0	461	REFERRAL/SERVICE FEE	3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	0
5	Current value of plan's interest under this contract in separate accounts at year end	5	1623205

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☒ other ▶ CUSTODIAL GUARANTEED INTEREST CONTRACT

b	Balance at the end of the previous year			7b	344412
c	Additions: (1) Contributions deposited during the year	7c(1)	54349		
	(2) Dividends and credits	7c(2)	0		
	(3) Interest credited during the year	7c(3)	7824		
	(4) Transferred from separate account	7c(4)	0		
	(5) Other (specify below)	7c(5)	309150		
	▶ LOAN PAYMENT, OUTSIDE INVESTMENT TRANSFER				
	(6) Total additions			7c(6)	371323
d	Total of balance and additions (add lines **7b** and **7c(6)**).			7d	715735
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	2399		
	(2) Administration charge made by carrier	7e(2)	22		
	(3) Transferred to separate account	7e(3)	0		
	(4) Other (specify below)	7e(4)	11353		
	▶ LOAN WITHDRAWAL, OUTSIDE INVESTMENT TRANSFER				
	(5) Total deductions			7e(5)	13774
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	701961

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount			**10b**	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2014** **This Form is Open to Public Inspection.**

For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014

A Name of plan	**B** Three-digit plan number (PN) ▶
SANKYO HOLDINGS, INC. 401(K)PLAN	001

C Plan sponsor's name as shown on line 2a of Form 5500	**D** Employer Identification Number (EIN)
SANKYO HOLDINGS, INC.	91-1542991

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ACTUARIAL BENEFITS CORP.

20-1054428

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 17	TPA	0	Yes [X] No [ ]	Yes [ ] No [X]	19584	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

ALPHAPOINT, INC.

14-1884337

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	INVESTMENT ADVISORY	11250	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

PRINCIPAL LIFE INSURANCE COMPANY

42-0127290

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13 37 50 64	CONTRACT ADMINISTRATOR	4068	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
ACTUARIAL BENEFITS CORPORATION	11 17	19584

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL LIFE INSURANCE COMPANY 42-0127290	REFERRAL/SERVICE FEE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2014

This Form is Open to Public Inspection.

For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014

A Name of plan
SANKYO HOLDINGS, INC. 401(K)PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
SANKYO HOLDINGS, INC.

D Employer Identification Number (EIN)
91-1542991

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2060 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
42-0127290-012	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN LGCAP S&P 500 INDEX SA-I3

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
42-0127290-016	P	846876

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN SMCAP S&P 600 INDEX SA-I3

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
42-0127290-028	P	380136

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN MIDCAP S&P 400 IDX SA-I3

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
42-0127290-023	P	378194

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2010 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-6447574-001	C	10154

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2015 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-6447574-002	C	127915

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2020 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-6447574-003	C	681965

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2025 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN 26-6447574-004	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2567975

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2030

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN 26-6447574-005	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1498674

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2035 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN 26-6447574-006	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3061649

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2040 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN 26-6447574-007	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2628978

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2045 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN 26-6447574-008	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 594839

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2050 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN 26-6447574-009	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 172368

a Name of MTIA, CCT, PSA, or 103-12 IE: PRIN TRUST TGT 2055 FD I25

b Name of sponsor of entity listed in (a): PRINCIPAL LIFE INSURANCE COMPANY

c EIN-PN 26-6447574-010	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 16060

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2014

This Form is Open to Public Inspection

For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014

A Name of plan
SANKYO HOLDINGS, INC. 401(K)PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
SANKYO HOLDINGS, INC.

D Employer Identification Number (EIN)
91-1542991

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	141012	165877
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	0	0
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	334629	399925
(9) Value of interest in common/collective trusts	1c(9)	10151050	11360578
(10) Value of interest in pooled separate accounts	1c(10)	1135773	1623205
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	2844013	2932835
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	344412	701961
(15) Other	1c(15)		

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)	0	0
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	14950889	17184381
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j		
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	14950889	17184381

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	557036	
	(B) Participants	2a(1)(B)	963594	
	(C) Others (including rollovers)	2a(1)(C)	185335	
	(2) Noncash contributions	2a(2)	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		1705965
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	0	
	(B) U.S. Government securities	2b(1)(B)	0	
	(C) Corporate debt instruments	2b(1)(C)	0	
	(D) Loans (other than to participants)	2b(1)(D)	0	
	(E) Participant loans	2b(1)(E)	16056	
	(F) Other	2b(1)(F)	7824	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		23880
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	0	
	(B) Common stock	2b(2)(B)	0	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	27428	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		27428
	(3) Rents	2b(3)		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	0	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	0	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)	0	
	(B) Other	2b(5)(B)	0	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		721156
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		138442
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		97630
c Other income	2c		0
d Total income. Add all **income** amounts in column (b) and enter total	2d		2714501
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	465691	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		465691
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	4068	
(3) Investment advisory and management fees	2i(3)	11250	
(4) Other	2i(4)	0	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		15318
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		481009
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		2233492
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		0

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: KAKIMOTO & NAGASHIMA LLP **(2)** EIN: 56-2404069

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year..... ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2014

This Form is Open to Public Inspection.

For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014

A Name of plan
SANKYO HOLDINGS, INC. 401(K)PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
SANKYO HOLDINGS, INC.

D Employer Identification Number (EIN)
91-1542991

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 42-0127290

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: 0.0% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

SANKYO HOLDINGS, INC. 401(K) PLAN

Financial Statements

December 31, 2014 and 2013

(With Auditors' Report Thereon)

Kakimoto Nagashima LLP
Certified Public Accountants
Consultants

An Independent Member of the
plante moran
ALLIANCE

INDEPENDENT AUDITORS' REPORT

To the Plan Administrator of the
Sankyo Holdings, Inc. 401(k) Plan
El Segundo, California

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the Sankyo Holdings, Inc. 401(k) Plan, which comprise the statements of net assets available for plan benefits as of December 31, 2014 and 2013, and the related statement of changes in net assets available for plan benefits for the year ended December 31, 2014, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Delaware Charter Guarantee and Trust Company, d/b/a Principal Trust Company, the custodian of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the custodian as of December 31, 2014 and 2013, and for the year ended December 31, 2014, that the information provided to the plan administrator by the custodian is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules of assets held at end of year and acquired and disposed of within year as of and for the year ended December 31, 2014, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Kakimoto & Nagashima LLP

Torrance, California
September 25, 2015

SANKYO HOLDINGS, INC.
401(K) PLAN

Statements of Net Assets Available For Plan Benefits

December 31, 2014 and 2013

ASSETS

	2014	2013
Investments, at fair value (notes 3 and 7):		
Pooled separate accounts	$ 1,623,205	1,135,773
Fixed income guaranteed option (notes 2 and 4)	701,961	344,412
Mutual funds	2,932,835	2,844,013
Common/collective trusts	11,360,578	10,151,050
	16,618,579	14,475,248
Receivables:		
Employer contributions	165,877	141,013
Notes receivable from participants (note 3)	399,925	334,629
Total receivables	565,802	475,642
Total assets	17,184,381	14,950,890

LIABILITIES

Liabilities	-	-
Net assets available for plan benefits	$ 17,184,381	14,950,890

See accompanying notes to financial statements.

SANKYO HOLDINGS, INC.
401(K) PLAN

Statement of Changes in Net Assets Available For Plan Benefits

Year Ended December 31, 2014

	2014
Additions to net assets attributed to:	
Investment income (note 3):	
Net appreciation in fair value of investments	$ 854,254
Interest and dividend income	154,281
Total investment income	1,008,535
Contributions:	
Participants	963,594
Employer	557,036
Rollovers	185,335
Total contributions	1,705,965
Total additions	2,714,500
Deductions from net assets attributed to:	
Benefits paid to participants	(465,691)
Administrative fees	(15,318)
Total deductions	(481,009)
Net increase in net assets available for plan benefits	2,233,491
Net assets available for plan benefits:	
Beginning of year	14,950,890
End of year	$ 17,184,381

See accompanying notes to financial statements.

SANKYO HOLDINGS, INC.
401(K) PLAN

Notes to Financial Statements

December 31, 2014 and 2013

(1) Description of the Plan

The following description of the Sankyo Holdings, Inc. 401(k) Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General – On September 1, 1993, Clearfreight Inc. ("Clearfreight") established the Plan as a defined contribution and profit sharing retirement plan qualifying under section 401(k) of the Internal Revenue Code ("IRC"). The Plan covered eligible employees of Clearfreight Inc. and was subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Effective January 1, 2010, the Plan was amended and restated in order to adopt a Safe Harbor compliant structure and other changes (the "Plan Restatement"). Effective on the same date, the Plan's sponsor was changed to Sankyo Holdings Inc. ("SHI", the sole shareholder of Clearfreight) with a corresponding Plan name change from the "ClearFreight 401k Savings Plan" to the "Sankyo Holdings, Inc. 401(k) Plan". In addition, the 401(k) savings plan of Globerunners Inc. ("GRI", a wholly-owned subsidiary of SHI) was merged into the Plan. Current SHI affiliates who adopted the Plan as amended and restated include the following: Clearfreight, GRI, Accu-Rate Shipping Inc., Gamma International Logistics Inc. and Tsillan Holdings Inc. (collectively the "Employer" or "Company"). In conjunction with the Plan changes, two SHI officers were appointed as trustees of the Plan replacing the former trustee. The Hartford Life Insurance Company was appointed to perform custodial and certain record keeping duties for the Plan.

In September, 2013, the Plan contracted with the Delaware Charter Guarantee and Trust Company, d/b/a Principal Trust Company ("Principal"), to become the Plan's custodian and recordkeeper; all Plan assets were transferred to Principal. Plan assets transferred to Principal were transferred into funds comparable to those offered by the former custodian.

Eligibility – All employees, with certain exceptions, of the Employer who have one year of service or, earlier, one calendar month of employment are eligible to participate in the salary deferral portion of the Plan. If the employee satisfies the one month employment requirement, the entry date is the first day of the month that coincides with or next follows the date the requirement is satisfied.

All employees, with certain exceptions, of the Employer who have one year of service are eligible to participate in the employer contribution portion of the Plan. An employee may enter the Plan on the same date such requirements are satisfied.

Contributions – Participants may elect to contribute up to a maximum of the lesser of 100% of eligible compensation or, as limited by Section 402(g) of the IRC, $17,500 in 2014. Eligible employees may also rollover distributions from eligible retirement plans pursuant to the terms of the Plan. Participants are also permitted to contribute after-tax dollars as a Roth elective deferral.

The Plan provides that participants who are projected to be age 50 or older by the end of the calendar year are eligible to also make a catch-up contribution of up to $5,500 in 2014.

(1) Description of the Plan (Continued)

Contributions (Continued)

The Company may make discretionary matching contributions. In addition, the Company may make non-elective contributions to the Plan for any plan year. Non-elective contributions can be Safe Harbor non-elective, Non-Safe Harbor non-elective contributions, or both. Generally, the Safe Harbor non-elective contribution, if made, will be in an amount equal to at least 3% of each eligible participant's compensation; for 2014, the Company made a Safe Harbor contribution amounting to $279,371. In total, Company contributions amounted to $557,036 for the year ended December 31, 2014.

Prior to the Plan Restatement, the Company made non-discretionary matching contributions in an amount equal to 50% of the participant's pretax contributions. Non-discretionary matching contributions were subject to a maximum of 50% of 6% of the participant's eligible compensation contributed to the Plan; for highly compensated employees, the employer matching contribution was subject to a maximum of 50% of 3% of the participant's eligible compensation.

Participant Accounts – Each participant's account is credited with the participant's contribution, and allocations of (a) the Company's contributions and (b) investment earnings and/or losses. Allocations are based on participant earnings or account balances as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting – Participants in the Plan are fully vested in their contributions, the Company's non-elective contributions, and the actual earnings on these contributions. Participants become vested in the Company's matching contributions and related investment earnings/appreciation based on years of service. Company matching contributions and related earnings/appreciation shall be vested in accordance with the following schedule:

Years of Service	Vested Percentage
Less than one	0%
One	33.33%
Two	66.66%
Three or more	100%

Investment Options – At December 31, 2014, investments were held by Principal. Participants may direct the investment of their contributions and allocable Company contributions, subject to the limitations as defined by the Plan, among the following investments:

a. American Beacon Small Cap Value Investor Fund – Seeks long-term capital appreciation.
b. American Funds EuroPacific Growth R4 Fund – Seeks long-term growth of capital.
c. BlackRock Health Sciences Opps Institutional Fund – Seeks to provide long-term growth of capital.
d. Brown Capital Management Small Company Investor Fund – Seeks long-term capital appreciation; current income is a secondary consideration.
e. Eaton Vance Atlanta Capital SMID Cap A Fund – Seeks long-term capital growth.
f. Invesco Comstock A Fund – Seeks total return through growth of capital and current income.
g. Invesco Developing Markets A Fund – Seeks long-term growth of capital.

(1) Description of the Plan (Continued)

Investment Options (Continued)

h. Ivy Asset Strategy A Fund – Seeks to provide total return.
i. Ivy Science & Technology A Fund – Seeks to provide growth of capital.
j. JP Morgan Government Bond Select Fund – Seeks a high level of current income with liquidity and safety of principal.
k. MFS International New Discovery R3 Fund – Seeks capital appreciation.
l. MFS Utilities R3 Fund – Seeks total return.
m. Neuberger Berman High Income Bond A Fund – Seeks high total return consistent with capital preservation.
n. PIMCO Real Return A Fund – Seeks maximum real return, consistent with preservation of capital and prudent investment management.
o. PIMCO Total Return A Fund – Seeks maximum total return.
p. Principal Global Real Estate Securities Inst Fund – Seeks to generate a total return.
q. Principal International Equity Index Inst Fund – Seeks long-term growth of capital.
r. Principal LargeCap Growth I Inst Fund – Seeks long-term growth of capital.
s. Principal MidCap Value III Inst Fund – Seeks long-term growth of capital.
t. Prudential Jennison Natural Resources Z Fund – Seeks long-term growth of capital.
u. Templeton Global Bond A Fund – Seeks current income with capital appreciation and growth of income.
v. Principal LargeCap S&P 500 Index Separate Account-I3 – Seeks to provide investment results that mirrors the performance of the Standard & Poor's 500 Index.
w. Principal MidCap S&P 400 Index Separate Account-I3 – Seeks to provide investment results that mirrors the performance of the Standard & Poor's MidCap 400 Index.
x. Principal SmallCap S&P 600 Index Separate Account-I3 – Seeks to provide investment results that mirrors the performance of the Standard & Poor's 600 Index.
y. Principal Trust Target 2010 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
z. Principal Trust Target 2015 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
aa. Principal Trust Target 2020 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
bb. Principal Trust Target 2025 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
cc. Principal Trust Target 2030 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
dd. Principal Trust Target 2035 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
ee. Principal Trust Target 2040 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
ff. Principal Trust Target 2045 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
gg. Principal Trust Target 2050 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
hh. Principal Trust Target 2055 Fund I25 – Seeks a total return consisting of long-term growth of capital and current income.
ii. Principal Fixed Income Guaranteed Option – Stability of principal.

(1) Description of the Plan (Continued)

Forfeitures – Upon termination of employment, the non-vested portion of the participant's account will be forfeited. Forfeitures are retained in the Plan and used for payment of administrative fees, restoring accounts and/or reduction of future employer contributions.

For the year December 31, 2014, forfeited accounts and adjustments amounted to $14,998; $13,440 was used to reduce employer contributions during the year 2014.

Notes Receivable from Participants – Loans are available in the Plan. The minimum loan amount is $1,000. The maximum amount is the lesser of one half of the participant's vested account balance or $50,000, reduced by the highest outstanding loan balance in the account during the prior twelve-month period. The interest rate used will be set at one percentage point higher than the prime lending rate as posted in the Wall Street Journal when the loan is made. The participant is allowed one loan outstanding at a time; the participant is allowed to have two loans outstanding if borrowed prior to the Plan Restatement. Loan payments are made through payroll deductions on at least a quarterly basis typically over a period of five years; however, if the loan is for the purchase of a participant's principal residence, the administrator may permit a longer repayment term. Generally, each loan must be secured with an irrevocable pledge and assignment of the participant's vested interest.

Payment of Benefits – Withdrawals are permissible upon participant's reaching age 59½, termination of employment, death, disability, retirement or certain financial hardships. Vested benefits due to the participant or, in the event of death, to the participant's beneficiaries, in most events will be distributed in a lump sum payment or can be rolled over into another qualified retirement plan.

Plan Termination – Although it has not expressed any intent to do so, the Company has the right under the Plan to terminate, discontinue making contributions to, amend, or modify the Plan at any time. In the event of a termination of the Plan, all participants will become fully vested in their accounts and the Company will arrange for distribution of all participants' accounts to the participants in accordance with the Plan.

(2) Summary of Significant Accounting Policies

Basis of Accounting – The Plan's financial statements have been prepared on the accrual basis of accounting.

Use of Estimates – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Risk and Uncertainties – The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for plan benefits.

Valuation of Investments – Investments are stated in the accompanying statements of net assets for plan benefits at fair value. See note 7 for further discussion of fair value measurements.

The Plan's investments were maintained in mutual funds, common collective trusts, pooled separate accounts and a guaranteed income contract. As provided by Principal, the Plan's investments in the shares of mutual funds are valued at quoted market prices, which represent the net asset value of shares held by the Plan at year end. The fair value in the common/collective trusts are determined by the fund custodian based on the fair value of the underlying securities within the fund, which represent the net asset value of the shares held by the Plan at year end. Pooled separate accounts are valued based on the investments held; while the majority of the underlying assets are quoted prices, the net asset values of the pooled separate accounts are not publically quoted. There were no significant redemption restrictions, redemption notification requirements, or commitments to further invest in any of these funds.

The Plan also invests in the Principal Fixed Income Guaranteed Option which is a benefit-responsive group annuity contract issued by the Principal Life Insurance Company. Principal maintains the contributions in a general account. The Company follows the guidance provided by ASC 962, "Plan Accounting-Defined Contribution Pension Plans" related to benefit-responsive investment contracts. ASC 962 requires the statement of net assets available for plan benefits present the fair value of the Plan's investment as well as an adjustment from fair value to contract value for fully benefit-responsive investment contracts. The statement of changes in net assets available for plan benefits is prepared on a contract value basis for the fully benefit-responsive investment contract. No adjustment from fair value to contract value was necessary at December 31, 2014 or 2013, as contract value approximated fair value.

(2) Summary of Significant Accounting Policies (Continued)

Fair Value Measurements – The Plan follows the guidance provided by ASC 820, "Fair Value Measurements and Disclosures", for its fair value measurements. ASC 820 establishes a framework for measuring fair value and utilizes a valuation hierarchy which prioritizes the inputs used in measuring fair value.

Notes Receivable from Participants – Notes receivable from participants represent participant loans that are to be recorded at their unpaid principal balance plus any accrued but unpaid interest. No allowance for credit losses has been recorded as of December 31, 2014 or 2013. In the event of a default, the Plan administrator will deem the loan balance to be a distribution to the participant based upon the terms of the plan document.

Income Recognition – Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Capital gain distributions are included in dividend income.

Payment of Benefits – Benefits are recorded when paid.

Administrative Expenses – The Company pays for certain administrative expenses, with the exception of set-up, annual loan, and certain other account fees which are deducted from the respective participants' funds.

During 2013, the Plan had participated in a plan expense reimbursement arrangement with its previous plan custodian whereby a certain percentage based on the Plan's assets was remitted back to the Plan to be held in an expense reimbursement account. These amounts are used to offset the cost of reasonable and necessary expenses of the Plan. During 2014, $11,250 was used to pay plan expenses; at December 31, 2014, the balance remaining amounted to an approximate $21,000.

Subsequent Events - ASC 855, "Subsequent Events", establishes general standards of accounting and disclosure for events that occur after the balance sheet date but before the financial statements are issued or are available to be issued. In addition, ASC 855-10-50-1 requires disclosure of the date through which an entity has evaluated subsequent events and the basis for that date. The Company has evaluated subsequent events through September 25, 2015 which is the date that the financial statements were available to be issued (see note 8).

(3) Information Certified by the Custodian (Unaudited)

The following is a summary of the unaudited information included in the Plan's financial statements and supplemental schedules, that was prepared by Principal furnished to the plan administrator. The plan administrator has obtained certifications from the custodian that such information is complete and accurate.

The following table presents the fair or contract values of investments as valued by Principal at December 31, 2014 and 2013 separately identified by fund type:

	2014	2013
Participant directed investments:		
At contract value as determined by Principal		
Principal Fixed Income Guaranteed Option	$ 701,961	344,412
Mutual funds, at fair value as determined by Principal:		
American Beacon Small Cap Value Investor Fund	119,690	117,483
American Funds EuroPacific Growth R4 Fund	151,084	139,008
BlackRock Health Sciences Opps Institutional Fund	267,732	183,857
Brown Capital Management Small Company Investor Fund	366,163	573,311
Eaton Vance Atlanta Capital SMID Cap A Fund	271,550	287,934
Invesco Comstock A Fund	353,317	288,853
Invesco Developing Markets A Fund	170,350	113,317
Ivy Asset Strategy A Fund	20,216	17,346
Ivy Science & Technology A Fund	357,116	322,424
JP Morgan Government Bond Select Fund	24,792	34,602
MFS International New Discovery R3 Fund	51,478	49,316
MFS Utilities R3 Fund	72,762	54,869
PIMCO Total Return A Fund	111,111	91,166
Principal Global Real Estate Securities Inst Fund	74,889	51,741
Principal International Equity Index Inst Fund	190,118	182,740
Principal LargeCap Growth I Inst Fund	248,586	270,656
Principal MidCap Value III Inst Fund	7,800	5,632
Prudential Jennison Natural Resources Z Fund	2,571	2,490
Templeton Global Bond A Fund	71,509	57,268
Pooled separate accounts, at fair value as determined by Principal:		
Principal LargeCap S&P 500 Index Separate Account-I3	864,876*	450,026
Principal MidCap S&P 400 Index Separate Account-I3	378,194	355,471
Principal SmallCap S&P 600 Index Separate Account-I3	380,136	330,276
Common collective trusts, at fair value as determined by Principal:		
Principal Trust Target 2010 Fund I25	10,154	89,676
Principal Trust Target 2015 Fund I25	127,915	200,584
Principal Trust Target 2020 Fund I25	681,965	593,664
Principal Trust Target 2025 Fund I25	2,567,975*	2,163,212*
Principal Trust Target 2030 Fund I25	1,498,675*	1,279,225*
Principal Trust Target 2035 Fund I25	3,061,649*	2,898,540*
Principal Trust Target 2040 Fund I25	2,628,978*	2,164,433*
Principal Trust Target 2045 Fund I25	594,839	616,001
Principal Trust Target 2050 Fund I25	172,368	139,866
Principal Trust Target 2055 Fund I25	16,060	5,849
	$ 16,618,579	14,475,248
Notes receivable from participants	$ 399,925	334,629

* Represents 5% or more of the Plan's net assets

(3) Information Certified by the Custodian (Unaudited) (Continued)

The following table presents income from investment activities for the year ended December 31, 2014, as certified by Principal:

Participant directed investments:		
Investment income:		
Net appreciation in fair value of investments	$	854,254
Interest and dividend income		154,280

Supplemental Schedules:

Schedule of Assets (Held at End of Year)
Schedule of Assets (Acquired and Disposed of Within Year)

(4) Investment Contract with Principal

As of December 31, 2014 and 2013, the Plan maintained one guaranteed investment contract related investment option, the Principal Fixed Income Guaranteed Option. The contract underlying this investment option is considered to be fully benefit-responsive in accordance with ASC 962. As of December 31, 2014 and 2013, the contract values, as reported to the Plan by Principal, of the Principal Fixed Income Guaranteed Option contract were $701,961 and $344,412, respectively. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. At December 31, 2014 and 2013, the fair values of the investment contract approximated contract values. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

There are no valuation reserves related to credit risk recorded to adjust the contract value. The crediting interest rate for the contract for both December 31, 2014 and 2013 was 2.00%; the average yield, based on actual earnings, for the contract for the period held was 1.51% and 1.60% for the years ended December 31, 2014 and 2013, respectively. Currently, the crediting interest rate is reset on a semiannual basis and will never be less than the guaranteed minimum interest rate.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (1) amendments to the plan documents (including complete or partial plan termination or merger with another plan), (2) changes to the Plan's prohibition on competing investment options or deletion of certain provisions, (3) bankruptcy of the plan sponsor or other plan sponsor events, or (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. The plan administrator does not believe that the occurrence of any such value event, which would limit the Plan's ability to transact at contract value with participants, is probable.

(5) Income Tax Status

In conjunction with the Plan Restatement, the plan adopted a Volume Submitter Profit Sharing Plan ("Volume Submitter Plan") which received a favorable opinion letter dated March 31, 2008 from the Internal Revenue Service indicating that the form of the Volume Submitter Plan is acceptable under applicable sections of the IRC. Although the Plan has been amended since receiving the determination letter, the plan administrator believes that the Plan continues to qualify and is currently being operated in compliance with the applicable requirements of the IRC. Therefore, no provision for income taxes has been included in the Plan's financial statements. The Plan had no uncertain tax positions at December 31, 2014 and 2013. The plan administrator believes the Plan is no longer subject to income tax examinations for years prior to 2011.

(6) Related Party Transactions

Certain Plan investments are currently shares of pooled separate accounts or mutual funds managed by Principal. The Plan also has an investment in a guaranteed investment contract which is part of and supported by the general assets of Principal. Principal is currently the custodian as defined by the Plan, and, therefore, these transactions qualify as party-in-interest transactions.

(7) Fair Value Measurements

As noted above, ASC 820 utilizes a valuation hierarchy which prioritizes the inputs used in measuring fair value. The fair value valuation hierarchy consists of the following three levels:

Level 1: Quoted market prices in active markets for identical assets or liabilities

Level 2: Observable market based inputs or unobservable inputs that are corroborated by market data

Level 3: Unobservable inputs that are not corroborated by market data

Mutual Funds: Mutual funds are valued at quoted market prices in an exchange and active market and are classified as Level 1 investments.

Pooled Separate Accounts: These investments are valued using the net asset value ("NAV") of units held by the Plan at year end as provided by Principal and are classified as Level 2. The NAV of the pooled separate accounts are based on the market value of their underlying investments; the NAV of the pooled separate accounts are not publicly quoted.

The investment strategies of each significant class of pooled separate accounts are summarized as follows:

Large U.S. Equity – Seeks to provide long-term growth of capital and total return through investing primarily in large cap stocks.

Small/Mid U.S. Equity – Seeks to provide long-term capital appreciation and total return through investing primarily in mid and small cap stocks.

Common/Collective Trusts: The common collective investment trusts (Principal Trust Target funds) represent the Plan's investments that are held in common collective trust funds which invest primarily in a variety of separate accounts and mutual funds. The fair values of all of these investments are used in determining the NAV, as provided by Principal, of the collective investment trusts, which are not publicly quoted. The common collective investment trusts have the ability to redeem their investments at NAV as of the measurement date, therefore these fair value measurements are classified as having used Level 2 inputs.

(7) Fair Value Measurements (Continued)

Common/Collective Trusts (Continued)

This class includes investments that are seeking total return consisting of long-term growth of capital and current income with the investment strategy consistent with the respective target retirement date.

Guaranteed Investment Contract: The fair value of the Principal Fixed Income Guaranteed Option contract, as reported to the Plan by Principal, is contract value which approximates fair value. Contract value represents the aggregation of contributions, plus interest, less withdrawals, if any, and is classified within Level 3 of the valuation hierarchy.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following summarizes the financial assets and liabilities that are measured at fair value on a recurring basis at December 31, 2014 and 2013:

	Assets at Fair Value as of December 31, 2014			
	Total	Level 1	Level 2	Level 3
Investments in:				
Mutual funds:				
Large U.S. Equity	$ 601,903	$ 601,903	$ -	$ -
Small/Mid U.S. Equity	765,203	765,203	-	-
Fixed Income	207,412	207,412	-	-
International Equity	637,919	637,919	-	-
Balanced/Asset Allocation	20,216	20,216	-	-
Other	700,181	700,181	-	-
Pooled separate accounts:				
Large U.S. Equity	864,876	-	864,876	-
Small/Mid U.S. Equity	758,330	-	758,330	-
Common/Collective Trusts	11,360,578	-	11,360,578	-
Fixed Income Guaranteed Option	701,961	-	-	701,961
	$ 16,618,579	$ 2,932,834	$ 12,983,784	$ 701,961

(7) Fair Value Measurements (Continued)

	Assets at Fair Value as of December 31, 2013			
	Total	Level 1	Level 2	Level 3
Investments in:				
Mutual funds:				
Large U.S. Equity	$ 559,509	$ 559,509	$ -	$ -
Small/Mid U.S. Equity	984,360	984,360	-	-
Fixed Income	183,036	183,036	-	-
International Equity	536,122	536,122	-	-
Balanced/Asset Allocation	17,346	17,346	-	-
Other	563,640	563,640	-	-
Pooled separate accounts:				
Large U.S. Equity	450,026	-	450,026	-
Small/Mid U.S. Equity	685,747	-	685,747	-
Common/Collective Trusts	10,151,050	-	10,151,050	-
Fixed Income Guaranteed Option	344,412	-	-	344,412
	$ 14,475,248	$ 2,844,013	$ 11,286,823	$ 344,412

The changes in investments measured at fair value for which Level 3 inputs have been used to fair value are as follows:

	Guaranteed Investment Contract
Balance at December 31, 2013	$ 344,412
Interest credited	7,823
Purchases	363,499
Sales	(13,773)
Balance at December 31, 2014	$ 701,961

(7) Fair Value Measurements (Continued)

Total net gains and losses for Level 3 investments are reported in net appreciation or depreciation in the statement of changes in net assets available for plan benefits.

The table below provides information describing the significant inputs used to determine recurring fair value measurements categorized within Level 3 of the fair value hierarchy as of December 31, 2014 and 2013.

Instrument	December 31, 2014 Fair Value	Valuation Technique	Unobservable Input	Rate
Fixed Income Guaranteed Option	$ 701,961	Discontinuation Value	Composite Crediting Rate	2.0%
			Surrender Charge	5.0%

Instrument	December 31, 2013 Fair Value	Valuation Technique	Unobservable Input	Rate
Fixed Income Guaranteed Option	$ 344,412	Discontinuation Value	Composite Crediting Rate	2.0%
			Surrender Charge	5.0%

(8) Subsequent Events

Effective January 1, 2015, the Plan was amended for revisions in the following areas: new automatic enrollment provisions for certain covered participants and change of entry date to the date of hire for eligible employees for the salary deferral portion of the Plan.

Also effective January 1, 2015, AE Eagle Logistics, Inc., a newly acquired subsidiary of Clearfreight, became an adopting employer under the Plan.

SUPPLEMENTAL SCHEDULES PROVIDED PURSUANT TO THE DEPARTMENT OF LABOR'S RULES AND REGULATIONS

SANKYO HOLDINGS, INC.
401(K) PLAN
Employer Identification Number: 91-1542991
Three-digit Plan Number: 001

SANKYO HOLDINGS, INC.
401(K) PLAN

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

Year Ended December 31, 2014

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
*	Principal Life Insurance Company	Fixed Income Guaranteed Option	**	$ 701,961
		Pooled separate accounts:		
*	Principal Life Insurance Company	Principal LargeCap S&P 500 Index Separate Account-I3	**	864,876
*	Principal Life Insurance Company	Principal MidCap S&P 400 Index Separate Account-I3	**	378,194
*	Principal Life Insurance Company	Principal SmallCap S&P 600 Index Separate Account-I3	**	380,136
		Mutual funds:		
	American Beacon	American Beacon Small Cap Value Investor Fund	**	119,690
	American Funds	American Funds EuroPacific Growth R4 Fund	**	151,084
	BlackRock	BlackRock Health Sciences Opps Institutional Fund	**	267,732
	Brown Capital Management	Brown Capital Management Small Company Investor Fund	**	366,163
	Eaton Vance Management	Eaton Vance Atlanta Capital SMID Cap A Fund	**	271,550
	Invesco	Invesco Comstock A Fund	**	353,317
	Invesco	Invesco Developing Markets A Fund	**	170,350
	Ivy Funds	Ivy Asset Strategy A Fund	**	20,216
	Ivy Funds	Ivy Science & Technology A Fund	**	357,116
	JP Morgan Funds	JP Morgan Government Bond Select Fund	**	24,792
	MFS Investment Management	MFS International New Discovery R3 Fund	**	51,478
	MFS Investment Management	MFS Utilities R3 Fund	**	72,762
	PIMCO Funds	PIMCO Total Return A Fund	**	111,111
*	Principal Funds Inc.	Principal Global Real Estate Securities Inst Fund	**	74,889
*	Principal Funds Inc.	Principal International Equity Index Inst Fund	**	190,118
*	Principal Funds Inc.	Principal LargeCap Growth I Inst Fund	**	248,586
*	Principal Funds Inc.	Principal MidCap Value III Inst Fund	**	7,800
	Prudential Investments	Prudential Jennison Natural Resources Z Fund	**	2,571
	Franklin Templeton Investments	Templeton Global Bond A Fund	**	71,509
		Common/collective trusts:		
*	Principal Trust Company	Principal Trust Target 2010 Fund I25	**	10,154
*	Principal Trust Company	Principal Trust Target 2015 Fund I25	**	127,915
*	Principal Trust Company	Principal Trust Target 2020 Fund I25	**	681,965
*	Principal Trust Company	Principal Trust Target 2025 Fund I25	**	2,567,975
*	Principal Trust Company	Principal Trust Target 2030 Fund I25	**	1,498,675
*	Principal Trust Company	Principal Trust Target 2035 Fund I25	**	3,061,649
*	Principal Trust Company	Principal Trust Target 2040 Fund I25	**	2,628,978
*	Principal Trust Company	Principal Trust Target 2045 Fund I25	**	594,839
*	Principal Trust Company	Principal Trust Target 2050 Fund I25	**	172,368
*	Principal Trust Company	Principal Trust Target 2055 Fund I25	**	16,060
*	Participant loans secured by vested participant accounts	Participant loans with annual interest rates of 4.25% to 6.25% and varying maturity dates	-	399,925
		Total assets held for investment		$ 17,018,504

* Party-in-interest
** Historical cost information is not required for participant directed investment funds

See accompanying notes to financial statements.

SANKYO HOLDINGS, INC.
401(K) PLAN

Schedule H, line 4i - Schedule of Assets (Acquired and Disposed of Within Year)

Year Ended December 31, 2014

(a)	(b)	(c)	(d)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Costs of Acquisitions	Proceeds of Dispositions
	No Transactions		

See accompanying notes to financial statements.

Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2014

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 12/31/2014

A This return/report is for:
- ☐ a multiemployer plan;
- ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or
- ☒ a single-employer plan;
- ☐ a DFE (specify) ____

B This return/report is:
- ☐ the first return/report;
- ☐ the final return/report;
- ☐ an amended return/report;
- ☐ a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under:
- ☒ Form 5558;
- ☐ automatic extension;
- ☐ the DFVC program;
- ☐ special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
SANKYO HOLDINGS, INC. 401(K) PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 09/01/1993

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)
SANKYO HOLDINGS, INC.

880 APOLLO ST. SUITE 101

EL SEGUNDO CA 90245

2b Employer Identification Number (EIN) 91-1542991

2c Plan Sponsor's telephone number 310-726-0400

2d Business code (see instructions) 488990

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE		10/2/15.	P.D. von Geusau
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional)

Preparer's telephone number (optional)

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b**	EIN
a	Sponsor's name	**4c**	PN
5	Total number of participants at the beginning of the plan year	**5**	214
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	175
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	185
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	30
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	215
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	215
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	197
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2A 2E 2F 2G 2J 2K 2T 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1) [X]	Insurance	**(1)** [ ]	Insurance
(2) [ ]	Code section 412(e)(3) insurance contracts	**(2)** [ ]	Code section 412(e)(3) insurance contracts
(3) [X]	Trust	**(3)** [X]	Trust
(4) [ ]	General assets of the sponsor	**(4)** [ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 1 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2014 Form M-1 annual report. If the plan was not required to file the 2014 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

Schedule H, Line 4i
Schedule of Assets (Held At End of Year)

Name of Plan:

► SANKYO HOLDINGS, INC. 401(k) PLAN

Employer Identification Number: ► 91-1542991

For plan year (beginning/ending): ► 01-01-2014 / 12-31-2014 Plan number: ► 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current value
	The American Funds	AM FDS EUROPACIFIC GRTH R4 FD		151,084
	American Beacon Funds	AMER BEACON SM CP VAL INV FD		119,690
	BlackRock	BLACKROCK HLTH SCS OPPS INST		267,732
	Brown	BROWN CAP MGMT SM CO INV FUND		366,163
	Eaton Vance	EATN VNCE ATL CP SMID CP A FD		271,550
	Invesco Funds Group, Inc.	INVESCO COMSTOCK A FUND		353,317
	Invesco Funds Group, Inc.	INVESCO DEVELOPING MKTS A FUND		170,350
	Ivy Investment Management Co.	IVY ASSET STRATEGY A FUND		20,216
	Ivy Investment Management Co.	IVY SCIENCE & TECHNOLOGY A FD		357,116
	JP Morgan Funds	JP MORGAN GOVT BOND SELECT FD		24,792
	MFS Investment Management	MFS INTL NEW DISCOVERY R3 FD		51,478
	MFS Investment Management	MFS UTILITIES R3 FUND		72,762
	PIMCO Funds	PIMCO TOTAL RETURN A FUND		111,111
*	Principal Life Insurance Company	PRIN FIXED INC GUAR OPTION		701,961
*	Principal Funds Inc.	PRIN GLOBAL RE SECS INST FUND		74,889
*	Principal Funds Inc.	PRIN LGCAP GROWTH I INST FUND		248,586
*	Principal Life Insurance Company	PRIN LGCAP S&P 500 INDEX SA-I3		864,876
*	Principal Life Insurance Company	PRIN MDCAP S&P 400 IDX SA-I3		378,194
*	Principal Funds Inc.	PRIN MIDCAP VALUE III INST FD		7,800
*	Principal Life Insurance Company	PRIN SMCAP S&P 600 INDEX SA-I3		380,136
*	Principal Trust Company	PRIN TRUST TGT 2010 FD I25		10,154
*	Principal Trust Company	PRIN TRUST TGT 2015 FD I25		127,915
*	Principal Trust Company	PRIN TRUST TGT 2020 FD I25		681,965
*	Principal Trust Company	PRIN TRUST TGT 2025 FD I25		2,567,975
*	Principal Trust Company	PRIN TRUST TGT 2030 FD I25		1,498,675
*	Principal Trust Company	PRIN TRUST TGT 2035 FD I25		3,061,649
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*	Principal Trust Company	PRIN TRUST TGT 2050 FD I25		172,368
*	Principal Trust Company	PRIN TRUST TGT 2055 FD I25		16,060
*	Principal Funds Inc.	PRINCIPAL INT'L EQ IND INST FD		190,118
	Jennison Dryden	PRUDENTIAL JENN NAT RESS Z FD		2,571
	Franklin Templeton Investments	TEMPLETON GLBL BOND A FD		71,509
*	Participant Loans	Rates Range From 4.25% to 6.25%		399,925
				17,018,504