Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2014

This Form is Open to Public Inspection

Part I		t Identification Information	1				
For calend	lar plan year 2014 or	fiscal plan year beginning 01/01/2	2014	and ending 12	2/31/2014		
A This re	turn/report is for:	a single-employer plan		lan (not multiemployer) yer information in accor			
		a one-participant plan	a foreign plan	•			
B This ret	urn/report is	the first return/report	the final return/report				
		an amended return/report	a short plan year retu	n/report (less than 12 m	nonths)		
C Check	box if filing under:	X Form 5558	automatic extension		DFVC pr	ogram	
		special extension (enter desc	ription)				
Part II	Basic Plan Inf	ormation—enter all requested in	formation				
1a Name	•	INCOME SECURITY PLAN			1b Three-digit plan numbe	r	
DENETTIO	JAND KETIKEMENT	INCOME SECONTI I LAN			(PN) ▶	003	
					1c Effective da	te of plan 3/01/2012	
2a Plan s		address; include room or suite numb	per (employer, if for a single	-employer plan)		entification Number 0-0715307	
411 FIRST A	VENUE SOUTH SU	ITE 205				elephone number 6-995-8078	
SEATTLE, W						de (see instructions)	
3a Plan a	administrator's name	and address Same as Plan Spon	sor.		3b Administrate		
BENEFITGU	JARD, LLC		ST 1200 SOUTH #1272 UT 84097-1272			0-5354793 or's telephone number	
		he plan sponsor has changed since	the last return/report filed f	or this plan, enter the	4b EIN		
	e, EIN, and the plan n sor's name	umber from the last return/report.			4c PN		
5a Total	number of participan	ts at the beginning of the plan year			. 5a	27	
b Total	number of participan	ts at the end of the plan year			. 5b	9	
		h account balances as of the end of		•	5c	8	
d(1) Tot	al number of active p	participants at the beginning of the p	lan year		5d(1)	19	
d(2) Tot	tal number of active p	participants at the end of the plan ye	ar		5d(2)	9	
		terminated employment during the			5e	C	
Under pen SB or Sche	alties of perjury and	e or incomplete filing of this return other penalties set forth in the instru- and signed by an enrolled actuary, mplete.	ctions, I declare that I have	examined this return/re	port, including, if ap	plicable, a Schedule	
SIGN	Filed with authorize	d/valid electronic signature.	10/08/2015	SPENCER BARCLAY	1		
HERE	Signature of plan	administrator	Date	Enter name of individual signing as plan administrator			
SIGN					<u> </u>		
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individ	dual signing as emp	loyer or plan sponsor	
Preparer's	name (including firm	name, if applicable) and address (i		er) (optional)		one number (optional)	

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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann	an independ and conditio	lent qualified public accounta	nt (IQ	PA) 				<u></u>	es [No
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance pro	ogram (see ERISA section 40	21)? .		Yes	No	1	Not de	termiı	ned
Par	t III Financial Information										
_7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) E	nd o	Year		
	Total plan assets	7a	996	70					8	0455	
	Total plan liabilities	7b	996	70					0	0455	
	Net plan assets (subtract line 7b from line 7a)	. 7c		70						0433	
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(k	o) To	taı		
	(1) Employers	8a(1)									
	(2) Participants	8a(2)	100	95							
	(3) Others (including rollovers)	. 8a(3)									
	Other income (loss)		33	74							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c							1	3469	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	304	05							
	Certain deemed and/or corrective distributions (see instructions)	. 8e									
f	Administrative service providers (salaries, fees, commissions)	8f	22	79							
g	Other expenses	8g									
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							3	2684	
_ <u>i</u> _	Net income (loss) (subtract line 8h from line 8c)	. 8i							-1	9215	
<u>j</u>	Transfers to (from) the plan (see instructions)	·· 8j									
b	If the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides wel	feature codes	s from the List of Plan Charac	cterist	ic Cod	les in t	he instr	uctio	ns:		
10	During the plan year:				Yes	No		Α	mour	ıt	
a 	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide	uciary Corre	ction Program)	10a	X						751
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X					
C	Was the plan covered by a fidelity bond?			10c	Χ					50	00000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X					
e	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.)	of the benef	its under the plan? (See	10e		X					
f	Has the plan failed to provide any benefit when due under the pla	an?		10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	as of year en	d.)	10g		X					
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X					
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part	VI Pension Funding Compliance										
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Y	es	No
11a	Enter the unpaid minimum required contribution for current year for	rom Schedu	e SB (Form 5500) line 39			11a					
12	Is this a defined contribution plan subject to the minimum funding	g requiremen	ts of section 412 of the Code	or se	ction :	302 of	ERISA'	?	Υ	es 🗡	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below										
а	If a waiver of the minimum funding standard for a prior year is being ranting the waiver.	-			and e	enter tl Day			e letter ′ear _	ruling	g

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lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		inder the control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

HERE

SIGN

Signature of plan administrator

Signature of employer/plan sponsor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

204.4

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

▶ Complete all entries in accordance with the instructions to the Form 5500-SF. **Annual Report Identification Information** For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the final return/report **B** This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan **1b** Three-digit plan number 003 BenefitGuard Retirement Income Security Plan (PN) ▶ 1c Effective date of plan 03/01/2012 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) **2b** Employer Identification Number DNA Response, Inc (EIN) 90-0715307 **2c** Sponsor's telephone number 411 First Avenue South Suite 205 206-995-8078 Business code (see instructions) Seattle 98104 519100 **3a** Plan administrator's name and address | Same as Plan Sponsor. **3b** Administrator's EIN 20-5354793 BenefitGuard, LLC **3c** Administrator's telephone number 877-860-2664 877 East 1200 South #1272 Orem UT 84097-1272 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. a Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year 5a 27 **b** Total number of participants at the end of the plan year..... 5_b 9 Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c 8 complete this item) d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 19 d(2) Total number of active participants at the end of the plan year..... 5d(2) 9 e Number of participants that terminated employment during the plan year with accrued benefits that were 5e 0 less than 100% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. SPENCER BARCLAY 10-07-2015 **SIGN**

Date

Date

Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional)

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number (optional)

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b	Were all of the plan's assets during the plan year invested in eligible Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot lift the plan is a defined benefit plan, is it covered under the PBGC in	an indeper and condit ot use Fo	(See instructions.)dent qualified public accountations.)	nt (IQ	PA) Form	5500.		X Ye	es No
Pai	t III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning of Yea	ır			(b) End	of Year	
а	Total plan assets	7a		9967	0		()		80455
	Total plan liabilities	7b							
	Net plan assets (subtract line 7b from line 7a)	7c	(9967	'0				80455
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) T	otal	
	Contributions received or receivable from:		(1)						
	(1) Employers	8a(1)							
	(2) Participants	8a(2)	-	L009	5				
	(3) Others (including rollovers)	8a(3)							
<u>b</u>	Other income (loss)	. 8b		337	4				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							13469
	Benefits paid (including direct rollovers and insurance premiums	64		3040	15				
	to provide benefits) Certain deemed and/or corrective distributions (see instructions)	. 8d							
	Administrative service providers (salaries, fees, commissions)	8e		227	' g				
		8f		227					
_	Other expenses (add lines 2d, 2s, 2f, and 2g)	8g							32684
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							-19215
	Net income (loss) (subtract line 8h from line 8c) Transfers to (from) the plan (see instructions)	8i							-1921.
	` , ` ` , ` , ` ,	8j							
	Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:								
Эа	2A 2E 2F 2J 2K 2T 3D 2G	reature co	des from the List of Plan Chara	acteris	siic Co	des in	the instruc	uons:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Charac	teristi	ic Cod	es in t	he instruct	ons:	
Part	V Compliance Questions								
10	During the plan year:				Yes	No		Amount	1
	Was there a failure to transmit to the plan any participant contribu	tions withi	n the time period described in					Amount	-
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a	Х				751
b			-	401		Х			
	on line 10a.)			10b					
c	Was the plan covered by a fidelity bond?			10c	X				500000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-	-	10d		Х			
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.)	of the ben	efits under the plan? (See	10e		Х			
f	Has the plan failed to provide any benefit when due under the plan					Х			
				10f					
<u>g</u>	Did the plan have any participant loans? (If "Yes," enter amount a	-		10g		Х			
	If this is an individual account plan, was there a blackout period? (2520.101-3.)			10h		Х			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					
Part	·								
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)	•					•	Ye	es No
11a	Enter the unpaid minimum required contribution for current year fr	om Sched	ule SB (Form 5500) line 39			11a			
12	Is this a defined contribution plan subject to the minimum funding	requireme	ents of section 412 of the Code	or se	ection 3	302 of	ERISA?	Ye	s X No

a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling

. Month _

Day _

(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)

granting the waiver.

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lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	rm 5500), and skip to line 13.	_	_	
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	`	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?			Yes X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferr of the PBGC?		e control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this play which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify the plan(s) to		
	13c(1) Name of plan(s):		13c(2) E	IN(s)	13c(3) PN(s)
Part	VIII Truct Information (ontional)				
	VIII Trust Information (optional)		14h ±	rust's EIN	
144	Name of trust		140	IUSES EIN	