Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

Р	art I	Annual Report	lde	entification Informatio	<u>n</u>						
For	calenda	r plan year 2015 or fi	scal	plan year beginning 01/01	/201	15 and ending 13	2/31/2	2015			
A This return/report is for:				a single-employer plan a one-participant plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attached list of participating employer information in accordance with the form instructions a foreign plan						
В	This retu	This return/report is									
С	Check b	ox if filing under:		Form 5558		automatic extension DFVC program					
				special extension (enter des	cript	tion)					
Pa	art II	Basic Plan Info	rm	ation—enter all requested i	nfor	mation					
	a Name of plan /R DESIGN COMPANY 401(K) PLAN 401(K) PLAN						1b	Three-digit plan number (PN)	001		
							1c Effective date of plan 01/01/1993				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)							2b Employer Identification Number (EIN) 91-1457970				
SVR	R DESIGN COMPANY						2c Sponsor's telephone number 206-223-0326				
1205 2ND AVE, SUITE 200 SUITE 200 SEATTLE, WA 98101							2d Business code (see instructions) 541310				
3a	Plan ac	lministrator's name al	nd a	ddress ⊠Same as Plan Spo	nsor			Administrator's I	EIN elephone number		
4		If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.			e last return/report filed for this plan, enter the	4b EIN					
а	Sponso	or's name					4c	PN			
5a	Total n	umber of participants	at t	he beginning of the plan year			-	ia	44		
b		Total number of participants at the end of the plan year					5	5b	32		
С	Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)						. 5c				
d(1) Total number of active participants at the beginning of the plan year							5d	(1)	34		
d(2) Total number of active participants at the end of the plan year							5d	(2)	0		
е	than 1	00% vested			·····	an year with accrued benefits that were less		5e 0			
	ution: A	penalty for the late	or i	ncomplete filing of this retu	rn/r	eport will be assessed unless reasonable ca					
SB	or Sche		nd s	igned by an enrolled actuary,		ons, I declare that I have examined this return/re well as the electronic version of this return/repor					

SIGN Filed with authorized/valid electronic signature. 02/18/2016 MARGARET STAEHELI **HERE** Signature of plan administrator Date Enter name of individual signing as plan administrator Filed with authorized/valid electronic signature. 02/18/2016 MARGARET STAEHELI **SIGN HERE** Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number

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 Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second t	an independ and condition	dent qualified public a	account	ant (IQ	PA)			X Ye	
c If the plan is a defined benefit plan, is it covered under the PBGC in	surance pr	ogram (see ERISA se	ection 4	021)?		Yes	No	Not dete	rmined
Part III Financial Information									
7 Plan Assets and Liabilities		(a) Beginning					(b) End		
a Total plan assets	7a		5613	3239					371
b Total plan liabilities	7b		5613	220					371
Net plan assets (subtract line 7b from line 7a) Income, Expenses, and Transfers for this Plan Year	7c	(a) Amou		1239		(b) Total			
a Contributions received or receivable from:		(a) Amou	anı				(b) 1	Jiai	
(1) Employers	8a(1)	62141							
(2) Participants	8a(2)		177846						
(3) Others (including rollovers)	8a(3)								
b Other income (loss)	8b		-70	0023	_			400	204
Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) Benefits paid (including direct rollovers and insurance premiums	8c							169	964
to provide benefits)	8d		5776	445					
e Certain deemed and/or corrective distributions (see instructions)	8e		1571						
f Administrative service providers (salaries, fees, commissions)	8f		4	1816					
g Other expenses	8g								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							5782	832
i Net income (loss) (subtract line 8h from line 8c)	8i							-5612	868
j Transfers to (from) the plan (see instructions)	8j								
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 3D 2E 2J 2K 2G 2R 2F	feature cod	des from the List of Pl	an Cha	racteri	stic Co	des in th	ne instruc	tions:	
B If the plan provides welfare benefits, enter the applicable welfare for	eature code	es from the List of Pla	n Chara	acterist	ic Coc	les in the	e instructi	ons:	
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amount	
Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary Fig	duciary Correction	10a		X				
	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)								
C Was the plan covered by a fidelity bond?	C Was the plan covered by a fidelity bond?								500000
	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?								
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of the	he benefits under	10e		X				
					X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)									0
h If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
j Did the plan trust incur unrelated business taxable income?			10i						
Part VI Pension Funding Compliance			,			<u> </u>			
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Ye	s X No
11a Enter the unpaid minimum required contribution for all years from						11a			
12 Is this a defined contribution plan subject to the minimum funding						-	RISA?	Ye	s X No

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	(If "Ye	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see in ng the waiver		enter the Day	e date of	the letter ru Year	ling			
If		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Day_		Toal				
b	Enter th	ne minimum required contribution for this plan year		12b						
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c						
	Subtra	act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the	left of a	12d						
		ve amount)			Yes	No	N/A			
Part		e minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets			163	NO	IN/A			
		resolution to terminate the plan been adopted in any plan year?			X Ye	s \square No				
		," enter the amount of any plan assets that reverted to the employer this year		13a		<u> П</u>	(
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou	ght under the co		☐ Yes X No					
С	If durin	PBGC? ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi				<u> </u>				
		assets or liabilities were transferred. (See instructions.) lame of plan(s):	13c(2)	FIN(e)		13c(3) F	PN(e)			
	100(1)	uno oi piuntoj.	130(2)	L114(3)		130(3)	· V (3)			
Dant		Turnet hafe amount on								
Part	Name o	Trust Information		14b Trust's EIN						
ı T a	Name 0	ii iiust		14D Hust's EIN						
14c	Name	of trustee or custodian		14d Trustee's or custodian's telephone number						
						tolophone number				
Par	t IX	IRS Compliance Questions								
15a	Is the	plan a 401(k) plan?		Ye	s	No				
				Design-						
15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?						based safe ADP/ACP harbor test				
450			method							
150		DP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "c method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(k)-2(a)(2)(ii) and 1.401(k)-2(a)(b) and 1.401(k)		Yes No						
2(a)(2)(ii))?						. Ratio				
16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):						percentage denefit test				
16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?						No				
17a		e plan been timely amended for all required tax law changes?		Ye	s	No	N/A			
17b		ne last plan amendment/restatement for the required tax law changes was adopted//law changes and codes).	plicable	code	(See ins	tructions				
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter / and the letter's serial number										
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/										
18	Is the I	Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin		Yes	Yes No					
19	Were in	n-service distributions made during the plan year?		Ye	s	No				
	If "Yes	" enter amount		19						
20		equired minimum distributions made to 5% owners who have attained age 70 $\frac{1}{2}$ (regardless of w), as required under section 401(a)(9)?		Ye	S	No	N/A			