## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

Part I Annual Report Identification Information										
For calendar pl	an year 2015 or fis	cal plan year beginning 01/01/20	0 <u>15</u>	and ending 12	2/31/2015					
A This return/	A This return/report is for:  a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions)  a one-participant plan									
<b>B</b> This return/re	eport is	the first return/report	rn/report							
		an amended return/report	a short plan year return	n/report (less than 12 mo	onths)					
C Check box i	f filing under:	Form 5558 special extension (enter descri	automatic extension		DFVC	program				
Part II B	acic Plan Info	rmation—enter all requested info	. ,							
		mation—enter all requested into	ormation		1h Thurs dist					
1a Name of pl		SLEEP MEDICINE, PLLC 401(K) F	RETIREMENT PLAN		<b>1b</b> Three-digit plan numb (PN) ▶					
					1c Effective d	ate of plan 08/01/2001				
Mailing add	dress (include room	er, if for a single-employer plan)			<b>2b</b> Employer Identification Number (EIN) 91-2105174					
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SOUTH SOUND PULMONARY & SLEEP MEDICINE, PLLC					<b>2c</b> Sponsor's telephone number 360-413-8272					
					2d Business code (see instructions					
500 LILLY ROAD NE, SUITE 201 OLYMPIA, WA 98506						621111				
3a Plan admir	istrator's name an	d address XSame as Plan Spons	or.		3b Administrator's EIN					
		plan sponsor has changed since tober from the last return/report.	he last return/report filed fo	or this plan, enter the	4b EIN					
<b>a</b> Sponsor's	name				4c PN					
5a Total numl	per of participants	at the beginning of the plan year			<u> </u>					
<b>b</b> Total numl	per of participants	at the end of the plan year			5b	26				
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5с	26				
d(1) Total number of active participants at the beginning of the plan year					5d(1)	20				
d(2) Total number of active participants at the end of the plan year					5d(2)	21				
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0				
Caution: A per	nalty for the late o	r incomplete filing of this return	/report will be assessed	unless reasonable cau						
SB or Schedule		er penalties set forth in the instructed signed by an enrolled actuary, as lete.								
	d with authorized/v	valid electronic signature.	05/04/2016	REX BOLIN						
HERE Si	gnature of plan ac	dministrator	Date	Enter name of individual signing as plan administrator						
SIGN										
	gnature of employ		Date	Enter name of individual signing as employer or plan spons						
Preparer's nam	e (including firm na	ame, if applicable) and address (inc	clude room or suite numbe	er)	Preparer's telepl	none number				

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<ul> <li>Were all of the plan's assets during the plan year invested in eligit</li> <li>Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility</li> <li>If you answered "No" to either line 6a or line 6b, the plan can</li> </ul>	an independ and condition	dent qualified public a	ccount	ant (IQ	PA)			□ .	∕es
<b>c</b> If the plan is a defined benefit plan, is it covered under the PBGC i	insurance pr	ogram (see ERISA se	ection 4	021)?		Yes	No	Not de	termined
Part III Financial Information									
7 Plan Assets and Liabilities		(a) Beginning	of Ye	ar			(b) End	of Year	
a Total plan assets	7a		3553					302	26745
b Total plan liabilities				468				20	0
Net plan assets (subtract line 7b from line 7a)      Income. Expenses, and Transfers for this Plan Year	7с	(a) A max	3552	2051	+		/b) :		26745
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amou	unt				(D)	Total	
(1) Employers	8a(1)		190	759					
(2) Participants	8a(2)		110	0611					
(3) Others (including rollovers)	1 ' 1								
<b>b</b> Other income (loss)			-4	1082					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							2	97288
<b>d</b> Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		812	2832					
e Certain deemed and/or corrective distributions (see instructions)	8е								
<b>f</b> Administrative service providers (salaries, fees, commissions)	8f		9	9762					
g Other expenses	8g								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							82	22594
i Net income (loss) (subtract line 8h from line 8c)	8i							-5	25306
j Transfers to (from) the plan (see instructions)	·· 8j								
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3B 3D	n feature cod	les from the List of Pl	an Cha	racteris	stic Co	odes in th	ne instru	ctions:	
B If the plan provides welfare benefits, enter the applicable welfare	feature code	s from the List of Pla	n Chara	acterist	ic Cod	les in the	e instruc	tions:	
Part V   Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amou	nt
Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary Fig	duciary Correction	10a		X				
<b>b</b> Were there any nonexempt transactions with any party-in-interes			401		X				
reported on line 10a.)			10b 10c	X					
	Was the plan covered by a fidelity bond?								475000
d Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X				
Were any fees or commissions paid to any brokers, agents, or of carrier, insurance service, or other organization that provides sor	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			X					16937
the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan.			10e 10f	^	V				10937
	Has the plan failed to provide any benefit when due under the plan?				X				
g Did the plan have any participant loans? (If "Yes," enter amount a		,	10g		X				
h If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
j Did the plan trust incur unrelated business taxable income?			10i						
Part VI Pension Funding Compliance						<u> </u>			
11 Is this a defined benefit plan subject to minimum funding requirer 5500) and line 11a below)								. [] \	res No
11a Enter the unpaid minimum required contribution for all years from						11a			
12 Is this a defined contribution plan subject to the minimum funding						302 of E	RISA?	. 🔲 \	∕es X No

	F	orm 5500-SF 2015 Page <b>3</b> - 1							
	_ `	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?		Yes X No					
		s," enter the amount of any plan assets that reverted to the employer this year		. 13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol Yes X No					
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s) 13c(3)			PN(s)		
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d Trustee's or custodian's					
	rianio	of tubics of suctorial		telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	S	No			
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	Ge If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					No			
16a	Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):						rage efit test		
16b	<b>16b</b> Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					No			
17a	Has the	e plan been timely amended for all required tax law changes?		Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted/ Enter the applicable code (See instructions for tax law changes and codes).									
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter and the letter's serial number									
17d	17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/								
18		Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin		Yes	;	No			
19	19 Were in-service distributions made during the plan year?					No			
	If "Yes	" enter amount		19					
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or retired), as required under section 401(a)(9)?					No	N/A		

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2015

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		Identification Information							
For calendar	olan year 2015 or f	iscal plan year beginning	01/01/2015	and ending	12/31/201	.5			
A This return	/report is for:	X a single-employer plan		a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions)					
a one-participant plan a foreign plan									
B This return/	report is								
an amended return/report a short plan year return/report (less than 12 months)									
C Check box if filing under: Form 5558 automatic extension DFVC program									
		special extension (enter descr							
Part II	Basic Plan Info	ormation—enter all requested in	formation						
<b>1a</b> Name of p South Sou	olan und Pulmona	rement Plan	1b Three-digit plan number (PN) ▶	001					
			1c Effective date of plan 08/01/2001						
Mailing ag	nsor's name (emplo ddress (include roc	2b Employer Identification Number (EIN) 91-2105174							
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SOUTH SOUND PULMONARY & SLEEP MEDICINE, PLLC					2c Sponsor's telephone number 360-413-8272				
500 LIL	LY ROAD NE,		2d Business code 621111	(see instructions)					
OLYMPIA		WA 98506							
3a Plan adm	inistrator's name a	nd address XSame as Plan Spon	sor.		3b Administrator's EIN				
					3c Administrator's	telephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				4b EIN					
a Sponsor's	s name				4c PN				
		s at the beginning of the plan year			5a	25			
		s at the end of the plan year			5b	26			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5c	26			
d(1) Total number of active participants at the beginning of the plan year					5d(1)	20			
d(2) Total number of active participants at the end of the plan year					5d(2)	21			
than 100	0% vested	t terminated employment during the			5e	0			
Caution: A p	enalty for the late	or incomplete filing of this retur	n/report will be assessed	unless reasonable cau	ise is established.	iachta a Cahadula			
SB or Schedu	es or perjury and d le MB completed a e, correct, and con	ther penalties set forth in the instru and signed by an enrolled actuary, aplete.	as well as the electronic ver	sion of this return/report	t, and to the best of m	y knowledge and			
SIGN	X TYC W LACE S/4/16 REX BOLIN								
HERE	Signature of plan	administrator	Date	Enter name of individ	dual signing as plan administrator				
SIGN									
UEDE					ual signing as employ	er or plan sponsor			
Preparer's na	me (including firm	name, if applicable) and address (i	nclude room or suite numbe	er)	Preparer's telephone	e number			
I						Hart Charles			