Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2014 or fiscal plan year beginning and ending 10/31/2015 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the final return/report **B** This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan **1b** Three-digit TRI-STATE POLE AND PILING, INC. 401(K) LONG TERM SAVINGS PLAN plan number (PN) ▶ 001 1c Effective date of plan 11/01/1996 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number TRI-STATE POLE AND PILING, INC. 64-0581145 (EIN) Sponsor's telephone number 601-947-4285 P O BOX 166 LUCEDALE, MS 39452 Business code (see instructions) 321110 **3a** Plan administrator's name and address XSame as Plan Sponsor. Administrator's EIN **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name Total number of participants at the beginning of the plan year 5a 13 Total number of participants at the end of the plan year..... 5b 13 Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c 10 complete this item) d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 13 d(2) Total number of active participants at the end of the plan year..... 5d(2) 13 e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule

SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

| belief, it is true, correct, and complete. | | | | | | | | |
|---|---|------------|--|--|--|--|--|--|
| SIGN HERE | Filed with authorized/valid electronic signature. | 05/19/2016 | KAREN DAY | | | | | |
| | Signature of plan administrator | Date | Enter name of individual signing as plan administrator | | | | | |
| SIGN | | | | | | | | |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor | | | | | |
| Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional) | | | | Preparer's telephone number (optional) | | | | |
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|------------|--|--------------------------------------|--|---------|------------------------|-------|-------------------|--|--|
| b . | Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a runder 29 CFR 2520.104-46? (See instructions on waiver eligibility a figure of you answered "No" to either line 6a or line 6b, the plan cannot will be a second of the plan canno | an indepe and condit ot use Fo | ndent qualified public accounta ions.) rm 5500-SF and must instead | nt (IQ | PA) Form | 5500. | Xes No | | |
| | f the plan is a defined benefit plan, is it covered under the PBGC in | surance p | orogram (see ERISA section 40 |)21)? . | | Yes | No Not determined | | |
| Par | III Financial Information | I | <u> </u> | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Yea | | | | (b) End of Year | | |
| | Total plan assets | 7a | 1524 | | | | 155656 | | |
| | Total plan liabilities | 7b | 4504 | 0 | _ | | 0 | | |
| | Net plan assets (subtract line 7b from line 7a) | 7c | 1524 | 158 | - | | 155656 | | |
| | ncome, Expenses, and Transfers for this Plan Year | | (a) Amount | | | | (b) Total | | |
| | Contributions received or receivable from: 1) Employers | 8a(1) | 15 | 1538 | | | | | |
| | 2) Participants | 8a(2) | 6 | 650 | | | | | |
| | 3) Others (including rollovers) | 8a(3) | | 0 | | | | | |
| | Other income (loss) | 8b | 10 | 010 | | | | | |
| | Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | 3198 | | |
| | Benefits paid (including direct rollovers and insurance premiums | | | _ | | | | | |
| t | o provide benefits) | 8d | | 0 | | | | | |
| _ e (| Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | |
| <u>f</u> | Administrative service providers (salaries, fees, commissions) | 8f | | 0 | | | | | |
| <u>g</u> (| Other expenses | 8g | | 0 | | | | | |
| | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | 0 | | |
| | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | 3198 | | |
| _ J | Fransfers to (from) the plan (see instructions) | 8j | | 0 | | | | | |
| b | 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | Amount | | |
| а b | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | | | X | | | |
| D | on line 10a.) | ` | • | 10b | | X | | | |
| С | Was the plan covered by a fidelity bond? | | | 10c | | X | | | |
| d | | | | 10d | | X | | | |
| е | | | | | | X | | | |
| f | Has the plan failed to provide any benefit when due under the plan | n? | | 10f | | X | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as | s of year e | end.) | 10g | | X | | | |
| h | this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 520.101-3.) | | | 10h | | X | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.101 | | | 10i | | | | | |
| Part | Part VI Pension Funding Compliance | | | | | | | | |
| 11 | | | | | | | | | |
| 11a | Enter the unpaid minimum required contribution for current year fro | om Sched | lule SB (Form 5500) line 39 | | | 11a | | | |
| 12 | | | | | | | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | |
| а | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year | | | | | | | | |

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|------|---|-------------------------------|------------------|----------|---------------------|--|--|
| lf : | ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For | m 5500), and skip to line 13. | | | | | |
| b | Enter the minimum required contribution for this plan year | | 12b | | | | |
| | | | | | | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | | 12c | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount) | | 1 124 | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding | g deadline? | | Yes | No N/A | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | 🔲 Y | ′es X No | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer the | his year | 13a | | | | |
| b | b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | | | | Yes X No | | |
| С | C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | |
| 1 | 3c(1) Name of plan(s): | | 13c(2) EI | N(s) | 13c(3) PN(s) | | |
| | | | | | | | |
| | | | | | | | |

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust