Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

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Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

P	art I	Annual Report	: Id	entification Informa	tion								
For	calenda	ar plan year 2015 or fi	sca	l plan year beginning 01	1/01/2)15	and ending 1	2/31/2	015				
A	This ret	urn/report is for:	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box multist of participating employer information in accordance with the form instraction a one-participant plan a foreign plan										
В	Γhis retu	nis return/report is)					
С	Check b	oox if filing under:		Form 5558 special extension (enter	descri	automatic extension otion)		DFVC program					
Pa	art II	Basic Plan Info	orn	- nation—enter all request	ed inf	ormation							
1a	Name	of plan		() PROFIT SHARING PLAI				1b	Three-digit plan number (PN)	002			
							1c Effective date of plan 01/01/2012						
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)						ctions)	2b Employer Identification Number (EIN) 04-3720010 2c Sponsor's telephone number						
APAF	KIMENI	THERAPY, LLC							66-7174				
270 LAFAYETTE ST., SUITE 1204 NEW YORK, NY 10012-3327						2d Business code (see instructions) 541990							
3a	Plan ad	dministrator's name a	nd a	address XSame as Plan S	Spons	or.			Administrator's	EIN relephone number			
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				this plan, enter the	4b EIN							
a	Sponso	or's name						4c					
5a	Total r	number of participants	at '	the beginning of the plan y	/ear			. 5		38			
b	b Total number of participants at the end of the plan year							. 5b					
С	C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)							5c					
d(1) Total number of active participants at the beginning of the plan year							5d	5d(1)					
d(2) Total number of active participants at the end of the plan year							5d(2)						
е						plan year with accrued bene		5	е	9			
Und SB	der pena or Sche	alties of perjury and ot	ther and s	penalties set forth in the in signed by an enrolled actu	nstruc	report will be assessed unions, I declare that I have estimated as the electronic vers	xamined this return/re	port, i	ncluding, if applic				

06/02/2016

Date

Date

MAWELL RYAN

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Filed with authorized/valid electronic signature.

Signature of plan administrator

Signature of employer/plan sponsor

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6a Were all of the plan's assets during the plan year invested it b Are you claiming a waiver of the annual examination and re under 29 CFR 2520.104-46? (See instructions on waiver eli If you answered "No" to either line 6a or line 6b, the plan	port of an independ gibility and condition n cannot use Fore	dent qualified public a ons.) m 5500-SF and must	ccount	ant (IQ ad use	PA) Form	5500.			Yes [] I	No No
c If the plan is a defined benefit plan, is it covered under the F	BGC insurance pro	ogram (see ERISA se	ction 4	021)? .		Yes	No	No	t determined	l —
Part III Financial Information										
7 Plan Assets and Liabilities	_	(a) Beginning					(b) Eı	nd of Y		
a Total plan assets			/3/	009					978055 4450	
b Total plan liabilities			737	009					973605	
Net plan assets (subtract line 7b from line 7a) Income, Expenses, and Transfers for this Plan Year	76	(a) Amou		000			/h) Total		_
a Contributions received or receivable from:		(a) Alliot	1111				(1)) I Otal		
(1) Employers	8a(1)		124	921						
(2) Participants	8a(2)		127	650						
(3) Others (including rollovers)				034						
b Other income (loss)			-18	638						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)									236967	
d Benefits paid (including direct rollovers and insurance prem to provide benefits)				311						
e Certain deemed and/or corrective distributions (see instructions)	ions) 8e			0						
f Administrative service providers (salaries, fees, commission	ns) 8f		0							
g Other expenses	8g			60						
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								371	
i Net income (loss) (subtract line 8h from line 8c)									236596	
j Transfers to (from) the plan (see instructions)	····· 8j			0						
Part IV Plan Characteristics										
9a If the plan provides pension benefits, enter the applicable p	ension feature cod	es from the List of Pla	an Cha	racteris	stic Co	des in t	he inst	ruction	s:	
B If the plan provides welfare benefits, enter the applicable w	elfare feature code	s from the List of Plar	n Chara	acterist	ic Cod	les in th	e instru	uctions	:	_
Part V Compliance Questions							1			
10 During the plan year:				Yes	No	N/A		An	nount	
Was there a failure to transmit to the plan any participant of described in 29 CFR 2510.3-102? (See instructions and I Program)	OOL's Voluntary Fig	duciary Correction	10a		X					
b Were there any nonexempt transactions with any party-in-					· ·					
reported on line 10a.)			10b		X					
C Was the plan covered by a fidelity bond?			10c	X					200	00
d Did the plan have a loss, whether or not reimbursed by the by fraud or dishonesty?			10d		X					
Were any fees or commissions paid to any brokers, agents carrier, insurance service, or other organization that provides the commissions and the carrier.	s, or other persons des some or all of th	by an insurance ne benefits under			X					
the plan? (See instructions.)			10e							
f Has the plan failed to provide any benefit when due under	10f		X							
g Did the plan have any participant loans? (If "Yes," enter ar	10g		X							
h If this is an individual account plan, was there a blackout p 2520.101-3.)			10h		Χ					
i If 10h was answered "Yes," check the box if you either pro- exceptions to providing the notice applied under 29 CFR 2	10i									
j Did the plan trust incur unrelated business taxable income	?		10i			_				-
Part VI Pension Funding Compliance			,							_
11 Is this a defined benefit plan subject to minimum funding re 5500) and line 11a below)									Yes X I	No
11a Enter the unpaid minimum required contribution for all yea						11a			<u> </u>	_
12 Is this a defined contribution plan subject to the minimum		, , ,					RISA?	·	Yes X I	No

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	_ `	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol	ntrol Yes X No				
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		13c(3) PN(s)			
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d Trustee's or custodian's					
	rianio	of tubics of suctorial		telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	S	No			
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	testing	DP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "c method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4(ii))?	Ye						
16a	Check	the box to indicate the method used by the plan to satisfy the coverage requirements under secti	I I hercentage I I			rage efit test			
16b		he plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by come with any other plans under the permissive aggregation rules?		Ye	s	No			
17a	Has the	e plan been timely amended for all required tax law changes?		Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instruction for tax law changes and codes).									
17c	17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter/ and the letter's serial number								
17d	17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter								
18		Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin		Yes	;	No			
19	Were in	n-service distributions made during the plan year?		Ye	s	No			
	If "Yes	" enter amount		19					
20		equired minimum distributions made to 5% owners who have attained age 70 $\frac{1}{2}$ (regardless of w), as required under section 401(a)(9)?		Ye	s	No	N/A		