Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

belief, it is true, correct, and complete

SIGN HERE

SIGN HERE

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

P	art I Annual Re	port identification information						
For	calendar plan year 201	5 or fiscal plan year beginning 01/01/2015 and	ending 12/31/2	015				
A	This return/report is for		a multiple-employer plan (not multiemployer) (Filers checking this both list of participating employer information in accordance with the forma foreign plan					
В	This return/report is	the first return/report the final return/report an amended return/report a short plan year return/report (les	the final return/report a short plan year return/report (less than 12 months)					
С	Check box if filing unde	r:						
Pa	art II Basic Plar	Information—enter all requested information						
	Name of plan HER MECHANICAL CO	an ANICAL CONTRACTORS, INC 401(K) PROFIT SHARING PLAN		Three-digit plan number (PN) ▶	001			
		1c	1c Effective date of plan 01/01/1992					
2a	Plan sponsor's name (Mailing address (included)	2b	2b Employer Identification Number (EIN) 84-0886867					
FISH	City or town, state or p ER MECHANICAL CON	2c	2c Sponsor's telephone number 970-356-8636					
			2d	2d Business code (see instructions)				
B900 CARSON AVENUE EVANS, CO 80620				238220				
3a Plan administrator's name and address Same as Plan Sponsor.				3b Administrator's EIN				
			Зс	Administrator's t	elephone number			
4		the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the ame, EIN, and the plan number from the last return/report.		EIN				
а	Sponsor's name		4c	PN				
5a	Total number of partic	ipants at the beginning of the plan year	5	а	14			
b	Total number of partic	ipants at the end of the plan year	5	5b				
С		s with account balances as of the end of the plan year (defined benefit plans do	ລ	5c				
d(1) Total number of active participants at the beginning of the plan year				5d(1)				
d(2) Total number of active participants at the end of the plan year				= 1/0)				
е	Number of participanthan 100% vested	ts that terminated employment during the plan year with accrued benefits that w	vere less 5		0			
Ca	ution: A penalty for the	e late or incomplete filing of this return/report will be assessed unless rea	sonable cause is	established.				
Und	der penalties of perjury	and other penalties set forth in the instructions, I declare that I have examined t		ncluding, if applic	able, a Schedule			

06/08/2016

06/08/2016

Date

Date

RONALD BERNHARDT

RONALD BERNHARDT

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Filed with authorized/valid electronic signature

Filed with authorized/valid electronic signature.

Signature of employer/plan sponsor

Signature of plan administrator

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 Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cannot be a second or line 6b. 	an independent and condition to use For	dent qualified public a ons.) m 5500-SF and mus	ccount	ant (IQ	PA) Form	5500.		×	Yes No
C If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No	Not d	etermined
Part III Financial Information	, ,								
7 Plan Assets and Liabilities		(a) Beginning	of Ye	ar		(b) End of Year			ır
a Total plan assets	. 7a		329	590					326225
b Total plan liabilities	. 7b		0			0			
C Net plan assets (subtract line 7b from line 7a)	. 7с		329590			326225			
8 Income, Expenses, and Transfers for this Plan Year		(a) Amou	ınt				(b)	Total	
Contributions received or receivable from: (1) Employers	. 8a(1)				4254				
(2) Participants	. 8a(2)		8507						
(3) Others (including rollovers)	. 8a(3)								
b Other income (loss)	. 8b		-13	900					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c								-1139
Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d			0					
Certain deemed and/or corrective distributions (see instructions)	. 8e		1001						
f Administrative service providers (salaries, fees, commissions)	. 8f			225					
g Other expenses									
h Total expenses (add lines 8d, 8e, 8f, and 8g)									2226
i Net income (loss) (subtract line 8h from line 8c)								-3365	
j Transfers to (from) the plan (see instructions)	. 8i			0					
Part IV Plan Characteristics	<u>, , , , , , , , , , , , , , , , , , , </u>								
9a If the plan provides pension benefits, enter the applicable pension	feature cod	des from the List of PI	an Cha	racteris	stic Co	des in t	he instru	ictions:	
B If the plan provides welfare benefits, enter the applicable welfare f	(((o th - L'-t - (D)-	. 01					Cara	
B If the plan provides welfare benefits, enter the applicable welfare f	reature code	es from the list of Pia	n Chara	acterist	ic Coc	ies in th	e instruc	tions:	
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amo	unt
a Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's \Program)	√oluntary Fi	duciary Correction	10a		X				
b Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
C Was the plan covered by a fidelity bond?				Х					00000
d Did the plan have a loss, whether or not reimbursed by the plan's			10c	^					60000
by fraud or dishonesty?			10d		X				
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides son the plan? (See instructions.)	ne or all of t	he benefits under	10e	X					803
f Has the plan failed to provide any benefit when due under the pla			10f		Х				
									0000
	•	,	10g	X					9289
h If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
j Did the plan trust incur unrelated business taxable income?	_ _ _		10j						
Part VI Pension Funding Compliance			•						
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								. П	Yes X No
11a Enter the unpaid minimum required contribution for all years from						11a			
12 Is this a defined contribution plan subject to the minimum funding						302 of E	RISA?	. П	Yes X No

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(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?		. Yes X No					
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol	Yes X No				
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)	(s) 13c(3) PN(s)				
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d	4d Trustee's or custodian's				
140 Name of trustee of custodian					telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	Yes No				
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	C If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					Yes No			
16a	16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					Ratio percentage test Average benefit test			
16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					s	No			
17a Has the plan been timely amended for all required tax law changes?				Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted//							tructions		
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter/ and the letter's serial number									
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18		Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?			5	No			
19	Were in	Were in-service distributions made during the plan year?			s	No			
	If "Yes	If "Yes," enter amount							
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?			Ye	s	No	N/A		