## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

**Annual Report Identification Information** For calendar plan year 2014 or fiscal plan year beginning and ending 12/31/2014 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list A This return/report is for: of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan the final return/report **B** This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan **1b** Three-digit DRAKELEY PLLC 401K PLAN plan number (PN) ▶ 001 1c Effective date of plan 01/01/2007 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number DRAKELEY PLLC 26-1368060 (EIN) Sponsor's telephone number C/O CHRISTIE DRAKELEY 3610 29TH AVE W 3610 29TH AVE W SATTLE, WA 98199 **SATTLE, WA 98199** Business code (see instructions) 541110 3b Administrator's EIN **3a** Plan administrator's name and address XSame as Plan Sponsor. **3c** Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name Total number of participants at the beginning of the plan year ..... 5a **b** Total number of participants at the end of the plan year..... 5b Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c complete this item) ..... d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 2 d(2) Total number of active participants at the end of the plan year..... 5d(2) 2 e Number of participants that terminated employment during the plan year with accrued benefits that were 0 5e less than 100% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete Filed with authorized/valid electronic signature 06/24/2016 J DALY **SIGN HERE** Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN **HERE** Enter name of individual signing as employer or plan sponsor Signature of employer/plan sponsor Preparer's name (including firm name, if applicable) and address (include room or suite number ) (optional) Preparer's telephone number (optional)

CHRISTIE DRAKELEY

**DRAKELEY PLLC** 3610 29TH AVE W SEATTLE, WA 98199 206-349-7660

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b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a cunder 29 CFR 2520.104-46? (See instructions on waiver eligibility a f you answered "No" to either line 6a or line 6b, the plan cannot with the control of the contro	an indeper and condit ot use Fo	ndent qualified public accounta ions.)rm 5500-SF and must instead	nt (IQ	PA)  <b>Form</b>	5500.		X Yes	s 🗌 No
	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40	)21)? .		Yes	∐No ∐	Not dete	rmined
Par -									
	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End o		470
	Fotal plan assets	7a	3440		-			358	_
	Total plan liabilities	7b	2440	0				250	170
	Net plan assets (subtract line 7b from line 7a)	7c	3440	156	_			358	170
	ncome, Expenses, and Transfers for this Plan Year		(a) Amount				(b) To	tal	
	Contributions received or receivable from:  1) Employers	8a(1)		0					
	2) Participants	8a(2)		0					
	3) Others (including rollovers)	8a(3)		0					
-	Other income (loss)	8b	186	35					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						18	635
	Benefits paid (including direct rollovers and insurance premiums								
1	o provide benefits)	8d							
е (	Certain deemed and/or corrective distributions (see instructions)	8e							
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g	45	521					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							521
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						14	114
<u>j</u> .	Transfers to (from) the plan (see instructions)	8j		0					
Par	IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension to 2E 2G 3D 2R	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the instructi	ons:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	oturo ood	los from the List of Dlan Charac	otoriot	io Cod	loo in t	ha inatruatio	00:	
	in the plan provides wehate benefits, effect the applicable wehate te	eature cou	es nom the List of Plan Charac	ciensi	ic Coo	es in t	ne msuucuo	15.	
Part	V Compliance Questions								
10	During the plan year:				Yes	No		mount	
a	Was there a failure to transmit to the plan any participant contributions within the time period described in								
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X			
С	Was the plan covered by a fidelity bond?			10c		X			
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud					X			
е	or dishonesty?					X			
f	Has the plan failed to provide any benefit when due under the plan			10e 10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as			10g		X			
	If this is an individual account plan, was there a blackout period? (	(See instru	uctions and 29 CFR			X			
i	2520.101-3.)			10h					
	exceptions to providing the notice applied under 29 CFR 2520.101			10i					
Part	<u> </u>				<u> </u>		· /= I		
11	Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below)							Ye	s X No
11a	Enter the unpaid minimum required contribution for current year from	om Sched	lule SB (Form 5500) line 39			11a			
12	Is this a defined contribution plan subject to the minimum funding	requireme	ents of section 412 of the Code	or se	ction (	302 of	ERISA?	Ye	s X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,								
а	If a waiver of the minimum funding standard for a prior year is bein granting the waiver.	-			, and e	enter th Day		e letter r ⁄ear	uling

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If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
b	Enter the minimum required contribution for this plan year		12k	)							
С	Enter the amount contributed by the employer to the plan for this plan year		120	;							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 120	ı							
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A						
Part	VII Plan Terminations and Transfers of Assets										
13a	Has a resolution to terminate the plan been adopted in any plan year?			Yes X No							
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a								
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		nt under the contro	ol	Yes X No						
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify	the plan(s) to								
1	3c(1) Name of plan(s):		13c(2)	EIN(s)	<b>13c(3)</b> PN(s)						

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust