Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

SIGN HERE

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to Public Inspection

Part I	Annual Report	t Identification Information	1							
For calenda	ar plan year 2015 or f	iscal plan year beginning 01/01/2	2015		and ending 12	/04/2	015			
A This ret	urn/report is for:	a single-employer plana one-participant plan	list		e-employer plan (not multiemployer) (Filers checking this box must attach a rticipating employer information in accordance with the form instructions) plan					
B This retu	urn/report is	the first return/report an amended return/report	x the final return/report x a short plan year return/report (less than 12 months)							
C Check I	box if filing under:	X Form 5558 Special extension (enter description)	Ш	matic extension	tic extension DFVC program					
Part II	Basic Plan Info	ormation—enter all requested in	formation							
Part II Basic Plan Information—enter all requested information 1a Name of plan DUNAWAY ENGINEERING INC. 401K PROFIT SHARING PLAN & TRUST						1b	Three-digit plan number (PN) ▶	001		
						1c Effective date of plan 06/16/1995				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) DUNAWAY ENGINEERING INC.						2b	2b Employer Identification Number (EIN) 61-1205073			
					ictions)	2c Sponsor's telephone number 502-671-0060				
3404 STONY SPRING CIRCLE COUISVILLE, KY 40220					2d Business code (see instructions) 541330					
3a Plan administrator's name and address Same as Plan Sponsor.				3b Administrator's EIN						
						3с	Administrator's t	elephone number		
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b EIN					
a Sponsor's name						4c PN				
5a Total number of participants at the beginning of the plan year					5		14			
b Total number of participants at the end of the plan year				5	b	0				
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)						5c 0				
d(1) Total number of active participants at the beginning of the plan year					5d	5d(1) 14				
d(2) Total number of active participants at the end of the plan year					5d(2)					
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e 0					
		or incomplete filing of this return								
SB or Sche		other penalties set forth in the instruction and signed by an enrolled actuary, an plete.								
SIGN	Filed with authorized	d/valid electronic signature.		06/30/2016	KIMBERLY DUNAWA	BERLY DUNAWAY				
HERE	Signature of plan			Date	Enter name of individual signing as plan administrator					

Date

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Signature of employer/plan sponsor

AMERICAN UNITED LIFE INSURANCE CO.

AMERICAN UNITED LIFE INSURANCE CO. ONE AMERICAN SQUARE, PO BOX 368 INDIANAPOLIS, IN 46206-0368 Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

800-261-9618

Form 5500-SF 2015		Page 2							
 Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second t	an independ and condition	dent qualified public a	ccount	ant (IQ	PA)			X Yes	
c If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)? .		Yes	1 0 oN	Not dete	mined
Part III Financial Information									
7 Plan Assets and Liabilities		(a) Beginning	g of Year ((b) End of	Year	
a Total plan assets	7a		1446	091					0
b Total plan liabilities	7b			0					0
C Net plan assets (subtract line 7b from line 7a)	7c		1446	091					0
8 Income, Expenses, and Transfers for this Plan Year		(a) Amou	ınt				(b) To	al	
Contributions received or receivable from: (1) Employers	8a(1)		3377						
(2) Participants	8a(2)		36173						
(3) Others (including rollovers)	8a(3)		100	0000					
b Other income (loss)	8b		2	651					
c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							1422	201
d Benefits paid (including direct rollovers and insurance premiums	04		1587	'812					
to provide benefits) Certain deemed and/or corrective distributions (see instructions)	8d 8e		1587812						
f Administrative service providers (salaries, fees, commissions)	8f		480						
g Other expenses	8g		0						
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							15882	292
i Net income (loss) (subtract line 8h from line 8c)	8i							-14460	091
j Transfers to (from) the plan (see instructions)	8i								
Part IV Plan Characteristics	-,								
9a If the plan provides pension benefits, enter the applicable pension	feature coo	des from the List of PI	an Cha	racteris	stic Co	des in th	ne instructi	ons:	
B If the plan provides welfare benefits, enter the applicable welfare for		- franklin Lint of Dia	. 01			laa ta da			
B If the plan provides welfare benefits, enter the applicable welfare for	eature code	es from the List of Pla	n Chara	acterist	ic Coc	ies in the	e instructio	15:	
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amount	
a Was there a failure to transmit to the plan any participant contributes described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary Fig	duciary Correction	10a		X				
	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X				
C Was the plan covered by a fidelity bond?	C Was the plan covered by a fidelity bond?								150000
	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X				
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)				X					5193
f Has the plan failed to provide any benefit when due under the pla			10f		Χ				
					X				
	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				^				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									
j Did the plan trust incur unrelated business taxable income?			10i						
Part VI Pension Funding Compliance			,		<u> </u>	<u> </u>			
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes	s No
11a Enter the unpaid minimum required contribution for all years from						11a		<u>—</u>	
12 Is this a defined contribution plan subject to the minimum funding							RISA?	Yes	X No

	F	orm 5500-SF 2015 Page 3 - 1							
	(If "Ye	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see in: ng the waiver		enter the Day	e date of	the letter ru Year	ling		
If		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Day_		Τσαι			
b	Enter ti	he minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a									
		ve amount)			Yes	No	N/A		
Part		e minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets			163	NO	IN/A		
		resolution to terminate the plan been adopted in any plan year?			X Ye	sПNo			
		s," enter the amount of any plan assets that reverted to the employer this year		13a		<u> </u>	(
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou PBGC?	ght under the co	ontrol	X Yes No				
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s) 13c(3) PN(s)					
Part		Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian			14d Trustee's or custodian's				
					telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		☐ Ye	s	No			
	10 110			Design-					
15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					based safe ADP/ACP harbor test method				
15c	15c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year					Yes No			
testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?									
16a	16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					Ratio Average benefit test			
16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					S	No			
17a Has the plan been timely amended for all required tax law changes?				Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the all for tax law changes and codes).					code	(See ins	tructions		
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter / and the letter's serial number									
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18						No			
19	Were in-service distributions made during the plan year?				S	No			
	If "Yes," enter amount								
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?					No	N/A		