Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

2015

OMB Nos. 1210-0110

This Form is Open to Public Inspection

Annual Report Identification Information For calendar plan year 2015 or fiscal plan year beginning and ending x a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) **C** Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1b Three-digit 1a Name of plan plan number PACIFIC IMAGING, PLLC PROFIT SHARING PLAN 001 (PN) • 1c Effective date of plan 01/01/2001 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 91-2005609 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 2c Sponsor's telephone number PACIFIC IMAGING, PLLC 425-827-3041 2d Business code (see instructions) 6808 220TH STREET SW SUITE 100 MOUNTLAKE TERRACE, WA 98043 621111 **3a** Plan administrator's name and address | Same as Plan Sponsor. 3b Administrator's EIN 91-2005609 6808 220TH STREET SW SUITE 100 MOUNTLAKE TERRACE, WA 98043 PACIFIC IMAGING, PLLC 3c Administrator's telephone number 425-827-3041 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 5a Total number of participants at the beginning of the plan year..... 5b **b** Total number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c complete this item) 2 5d(1) d(1) Total number of active participants at the beginning of the plan year 5d(2) 2 d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less 5e than 100% vested..... Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete

beliet, it is t	rue, correct, and complete.					
SIGN	Filed with authorized/valid electronic signature.	06/28/2016	ALAN SCHWARTZ, M.D.			
HERE	Signature of plan administrator	Date	Enter name of individ	ual signing as plan administrator		
SIGN						
HERE	Signature of employer/plan sponsor	Enter name of individual signing as employer or plan sponsor				
Preparer's	name (including firm name, if applicable) and address (include r	oom or suite number	r)	Preparer's telephone number		

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

Form 5500-SF 2015		Page 2							
 Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility of you answered "No" to either line 6a or line 6b, the plan cannot be a series of the plan cannot be a series of	an independand condition	dent qualified public a	ccount	ant (IQ	PA)			X Ye	
c If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No	Not dete	ermined
Part III Financial Information	, ,								
7 Plan Assets and Liabilities		(a) Beginning	of Ye	ar			(b) End		
a Total plan assets	. 7a		1544	037				1244	1212
b Total plan liabilities	7b		1511	027				1044	1040
Net plan assets (subtract line 7b from line 7a) Income. Expenses, and Transfers for this Plan Year	7c	(a) A a	1544	.037			(b) T	1244	1212
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amou	ınt				(b) T	otai	
(1) Employers	8a(1)		48	000					
(2) Participants	8a(2)		54	724					
(3) Others (including rollovers)	8a(3)		-18	192					
b Other income (loss)	8b								
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							84	1532
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		384	351					
e Certain deemed and/or corrective distributions (see instructions)	8e								
f Administrative service providers (salaries, fees, commissions)	8f			6					
g Other expenses	. 8g								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							384	357
Net income (loss) (subtract line 8h from line 8c)	8i							-299	825
j Transfers to (from) the plan (see instructions)	8j								
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2R 3B 3D	feature cod	les from the List of Pl	an Cha	racteris	stic Co	des in th	ne instruc	tions:	
B If the plan provides welfare benefits, enter the applicable welfare for	eature code	es from the List of Pla	n Chara	acterist	ic Coc	les in the	instructi	ons:	
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amoun	t
Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary Fig	duciary Correction	10a		X				
b Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
C Was the plan covered by a fidelity bond?			10c	X					154404
d Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X				
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of the	ne benefits under	10e		X				
f Has the plan failed to provide any benefit when due under the pla					Х				
			10f	V	^				•
g Did the plan have any participant loans? (If "Yes," enter amount a		,	10g	Х					0
h If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		Χ				
i If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						
j Did the plan trust incur unrelated business taxable income?			10i						
Part VI Pension Funding Compliance			,		<u> </u>	<u> </u>			
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Ye	s No
11a Enter the unpaid minimum required contribution for all years from						11a		<u> </u>	<u></u>
12 Is this a defined contribution plan subject to the minimum funding							RISA?	Ye	s X No

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	_ `	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol		Yes X	No		
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		13c(3) F	13c(3) PN(s)		
Part	: VIII	Trust Information							
14a	Name o	f trust		14b 1	Γrust's EIN	١			
14c	Name	of trustee or custodian		14d	Trustee's	or custodia	an's		
FIG. Harris of trustee of custodian						telephone number			
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	S	No			
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	15c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					No			
16a	Check	the box to indicate the method used by the plan to satisfy the coverage requirements under secti	on 410(b):		atio ercentage st		rage efit test		
16b		he plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by come with any other plans under the permissive aggregation rules?		Ye	s	No			
17a	Has the	e plan been timely amended for all required tax law changes?		Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted/ Enter the applicable code (See instructions for tax law changes and codes).									
17c		lan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter pland the letter yellower. I and the letter's serial references and the letter's serial references and the letter's serial references.		t to a fa	vorable II	RS opinion	or		
17d	If the p	lan is an individually-designed plan and received a favorable determination letter from the IRS, e ination letter/		the plai	n's last fav	vorable			
18		Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin		Yes	;	No			
19	Were in	n-service distributions made during the plan year?		Ye	s	No			
	If "Yes	" enter amount	······	19					
20		equired minimum distributions made to 5% owners who have attained age 70 $\frac{1}{2}$ (regardless of w), as required under section 401(a)(9)?		Ye	s	No	N/A		

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Pension Benefit Guaranty Corporation

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee **Benefit Plan**

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OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to **Public Inspection**

Part I	Annual Report	Identification Information	accordance with the instit	ictions to the Point 3	300-SF.					
		iscal plan year beginning	01/01/2015	and ending	12/31/2	2015				
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must a list of participating employer information in accordance with the form instruct										
a one-participant plan										
B This return/report is										
an amended return/report a short plan year return/report (less than 12 months)										
C Check t	oox if filing under:	Form 5558	automatic extension DFVC program							
Part II	Pacia Blan Infe	special extension (enter desc								
1a Name		ormation—enter all requested in	normation	Water Committee of the	(db === ====					
	: Imaging, PL		1b Three-digit plan numbe (PN)	001						
	1c Effective da	Effective date of plan 01/01/2001								
Mailing	address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.t			2b Employer Id	Employer Identification Number (EIN) 91-2005609				
	town, state or provinctic Imaging, P	ce, country, and ZIP or foreign pos ${ m LLC}$	tal code (if foreign, see instru	uctions)	2c Sponsor's telephone number 425–827–3041					
6808 2	20th Street	SW Suite 100				de (see instructions)				
Mountl	ake Terrace	WA 98043								
		nd address Same as Plan Spon	sor.		3b Administrator's EIN					
Pacific	c Imaging, PI	ıLC			91–2005609 3c Administrator's telephone number					
	20th Street S				425-827-					
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the										
a Sponso		imber from the last return/report.			4c PN					
5a Total r	number of participants	s at the beginning of the plan year.			5a	3				
		s at the end of the plan year			5b	2				
		account balances as of the end of			5c	2				
d(1) Tota	al number of active pa	articipants at the beginning of the p	lan year		5d(1)	2				
d(2) Total number of active participants at the end of the plan year						2				
than 1	er of participants that	5e	0							
Under pena	alties of periury and o	or incomplete filing of this return ther penalties set forth in the instru	clions I declare that I have a	examined this return/re	port including if a	onlicable a Schodule				
SB or Sche belief, it is t	dule MB completed a rue, correct, and com	and signed by an eprolled actuary,	es well as the electronic vers	sion of this return/repor	t, and to the best o	f my knowledge and				
SIGN HERE		The state of	6/28/16	Alan Schwartz	, M.D.					
SIGN	Signature of plan	agministrator	Dete /	Enter name of individ	lual signing as plan	administrator				
HERE	Signature of emple	over/plan sponsor	Date	Enter name of individ	ividual signing as employer or plan spons					
Preparer's		name, if applicable) and address (i			Preparer's teleph					

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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lif you answered "No" to either line 6a or line 6b, the plan cann f the plan is a defined benefit plan, is it covered under the PBGC in	an indeper and condit ot use Fo	ndent qualified public a ions.)rm 5500-SF and must	ccount t instea	ant (IQ	PA) Form	5500.	X Yes No		
Par	t III Financial Information	<u> </u>						<u> </u>		
			(a) D a minus in a					(b) End of Year		
	Plan Assets and Liabilities	70	(a) Beginning	1,54		7	1,244,2			
	Total plan assets	7a 7b		1,51	1,03	+		1,211,212		
	Net plan assets (subtract line 7b from line 7a)			1,54	4 03	7		1,244,212		
		7c			1,03	+				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amol	(a) Amount				(b) Total		
	(1) Employers	8a(1)		4	8,00	0				
	(2) Participants	8a(2)		5	4,72	4				
	(3) Others (including rollovers)	8a(3)		-1	8,19	2				
b	Other income (loss)	8b								
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						84,532		
d	Benefits paid (including direct rollovers and insurance premiums			2.0	4 25	-				
	to provide benefits)	8d		38	4,35	1				
	Certain deemed and/or corrective distributions (see instructions)	8e								
f_	Administrative service providers (salaries, fees, commissions)	8f				6				
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						384,357		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-299,825		
j	Transfers to (from) the plan (see instructions)	8j								
B	If the plan provides welfare benefits, enter the applicable welfare for V Compliance Questions	eature cod	les from the List of Plan	n Chara	acterist	tic Cod	les in th	ne instructions:		
10	During the plan year:				Yes	No	N/A	Amount		
	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) Were there any nonexempt transactions with any party-in-interest	oluntary F	iduciary Correction	10a		х				
	reported on line 10a.)	,		10b		Х				
С	Was the plan covered by a fidelity bond?			10c	Х			154,40		
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		Х				
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		Х				
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end)	10a	Х			(
<u>_</u>	If this is an individual account plan, was there a blackout period? 2520.101-3.)	(See instru	uctions and 29 CFR	10g 10h		Х				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he required	d notice or one of the	10i						
j	Did the plan trust incur unrelated business taxable income?			10i						
Part	VI Pension Funding Compliance			-,						
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)									
11a	Enter the unpaid minimum required contribution for all years from									
12	Is this a defined contribution plan subject to the minimum funding						•	RISA? Yes X No		