### Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

# Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the instru	ctions to the Form 550	0-SF.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Part I	Annual Report	Identification Information						
For calend	ar plan year 2012 or fis	scal plan year beginning 01/01/2	2009	and ending 1	2/31/2	2009		
	turn/report is for:	X a single-employer plan		lan (not multiemployer)		a one-particip	oant plan	
<b>B</b> This ref	turn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)	_		
C Check	box if filing under:	X Form 5558	automatic extension			DFVC progra	ım	
		special extension (enter descr	iption)					
Part II	Basic Plan Info	rmation—enter all requested info	ormation					
1a Name	of plan				1b	Three-digit		
WILSON KO, MD F.A.C.S.PC PENSION PLAN						plan number	004	
					4.0	(PN) •	001	
					10	Effective date o	•	
2a Plan s	noneor's name and add	dress; include room or suite numbe	or (employer if for a single	-employer plan)	2h			
	), MD F.A.C.S.PC	dress, include room or suite number	er (employer, il loi a single	-employer plan)	<b>2b</b> Employer Identification Number (EIN) 11-3464111			
					2c	Sponsor's telep	hone number	
136-25 MAP	PLE AVENUE					718-358		
SUITE 202					2d	Business code (	see instructions)	
FLUSHING,	NY 11355					62111	1	
3a Plan a	dministrator's name an	d address XSame as Plan Spons	or Name Same as Pla	n Sponsor Address	3b	Administrator's	EIN	
					2-			
					3C	Administrator's	telephone number	
4 If the r	name and/or EIN of the	plan sponsor has changed since t	he last return/report filed f	or this plan, enter the	4b	EIN 22-34	64111	
name	, EIN, and the plan nun	nber from the last return/report.						
	or's name				4c	PN		
		at the beginning of the plan year			5a		11	
<b>b</b> Total	number of participants	at the end of the plan year			5b		11	
		account balances as of the end of t	. , ,	•	5c		11	
_		during the plan year invested in el					X Yes No	
_	· ·	the annual examination and report	•	•		,		
		(See instructions on waiver eligibi					X Yes No	
lf you	ı answered "No" to ei	ther line 6a or line 6b, the plan c	annot use Form 5500-SF	and must instead use	Form	5500.		
Caution: A	A penalty for the late of	or incomplete filing of this return	/report will be assessed	unless reasonable cau	se is	established.		
		ner penalties set forth in the instruc						
	edule MB completed an true, correct, and comp	nd signed by an enrolled actuary, a plete.	s well as the electronic ve	rsion of this return/report	, and	to the best of my	knowledge and	
	· · · · ·			1				
SIGN	Filed with authorized/	valid electronic signature.	07/05/2016	PATRICIA GUIDA				
HERE	Signature of plan ac	dministrator	Date	Enter name of individu	ual sig	ning as plan adr	ninistrator	
SIGN	Filed with authorized/v	valid electronic signature.	07/05/2016	PATRICIA GUIDA				
HERE	Signature of employ		Date	Enter name of individu	ual sig	ıning as employe	r or plan sponsor	
Preparer's	name (including firm name	ame, if applicable) and address; in	clude room or suite numbe	er (optional)	Prep	arer's telephone	number (optional)	
				ŀ				

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Par	t III Financial Information										
	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) En	d of Y	ear		_
	Total plan assets	7a	30206		(6)				37191	1	
	Total plan liabilities	7b							01.10.		
	Net plan assets (subtract line 7b from line 7a)	7c	30206	3					37191	1	
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total				
	Contributions received or receivable from:		(a) Amount				(15)	Total			
	(1) Employers	8a(1)		0							
	(2) Participants	8a(2)									
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	7242	24							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							72424	1	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d									
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	257	<b>'</b> 6							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							257	6	
	Net income (loss) (subtract line 8h from line 8c)	8i							6984	8	
	Transfers to (from) the plan (see instructions)	8j									
Par	t IV Plan Characteristics	<u> </u>	l								
	If the plan provides pension benefits, enter the applicable pension 2C 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instr	uction	3:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Cod	les in t	he instru	ctions:			
Part	•					T	I				
10	During the plan year:			1	Yes	No		Am	ount		
a	Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidure)	ıciary Corı	rection Program)	10a		X					
	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X					
С	Was the plan covered by a fidelity bond?			10c	X					5000	)0
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		X					
е	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See	40-	X					0.57	
	instructions.)			10e		X				257	O
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		^					
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end.)	10g		X					
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part	VI Pension Funding Compliance										
11											
11a						11a			•		
12	Is this a defined contribution plan subject to the minimum funding	requireme	ents of section 412 of the Code	or se	ction	302 of	ERISA?		Yes	X N	0
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,	as applic	able.)								
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	ng amortiz	ed in this plan year, see instru		and e	enter th Day	ne date o	f the le		ling	
If	you completed line 12a, complete lines 3, 9, and 10 of Schedulo										
b	Enter the minimum required contribution for this plan year					12b					

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			1					
С	Enter the amount contributed by the employer to the plan for this plan year.			12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)			12d				
е	Will the minimum funding amount reported on line 12d be met by the funding	ng deadline?				Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?			X	Yes	No	)	
	If "Yes," enter the amount of any plan assets that reverted to the employer	this year		13a				
b	Were all the plan assets distributed to participants or beneficiaries, transfer of the PBGC?	red to another plan, or brought und	er the c	ontro			Yes	X No
С	If during this plan year, any assets or liabilities were transferred from this pl which assets or liabilities were transferred. (See instructions.)	lan to another plan(s), identify the p	lan(s) t	0				
1	3c(1) Name of plan(s):		13	3c(2) l	EIN(s)	)	13c(3	<b>)</b> PN(s)
Part	VIII Trust Information (optional)	_						
14a 1	Name of trust			14b	Trust'	s EIN		

## PENSION PLAN DESIGN SERVICES

**CONSULTING • PLAN DESIGN • ADMINISTRATION** 

P.O. BOX 230849 • NEW YORK • NY 10023 212-362-5421

June 27, 2016

Wilson Ko, MD 136-25 Maple Avenue Suite 202 Flushing, New York 11355

RE: Authorization for Third Party Administration to file Government forms

Dear Dr. Ko:

I hereby authorize Pension Plan Design Service (Patricia Guida) as Third Party Administrator to electronically sign and file the government forms for the period 1/1/2009 to 12/31/2009 and 1/1/2010 to 12/31/2010 for the Wilson Ko, MD, FACS PC Pension Plan sthrough EFAST2.

Part 1 – I understand that in granting this authority:

- (a) I must manually sign and date page 1 of the form 5500SF and return to Pension Plan Design Service after I have reviewed the forms provided by Pension Plan Design Service.

  Pension Plan Design Service will retain a copy of this written authorization for its records.
- (b) Pension Plan Design Service will notify the individual who signs as Plan Administrator (on page 1 of form 5500SF) any inquires and information received by EFAST2, the Department of Labor or IRS.
- (c) A copy of my signature, as it appears on Page 1 of the form 5500 will be included with the return/report posted by the Department of Labor on the Internet for Public Disclosure
- (d) Pension Plan Design Service shall not be deemed an administrator or Fiduciary with respect to any Plan solely on account of the services performed under this authorization.

This authorization is applicable only to the filing for the named plan and applied only for the plan years ending 12/31/2009 and 12/31/2010.

PLAN ADMINISTRATOR

\_\_DATE\_

**PART II** 

On behalf of Pension Plan Design Service, I certify that the firm will use this Authority granted only for the express purposes described above; that the firm will not disclose confidential information to any parties other than the DOL, as required for EFAST Filing; and that the firm will take reasonable steps to assure that confidential information provided by the Plan Administrator or Plan Sponsor is protected from unauthorized disclosure.

PENSION PLAN DESIGN SERVICE

DATE

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### Form 5500-SF

Department of the Treasury internal Revenue Service

Department of Labor Employee Benefits Security Administration

# Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

#### 2009

This Form is Open to Public Inspection

		he instructions to the Form 55	00-SF.			
Part I Annual Report Identification Informatio			10/21/0000			
For the calendar plan year 2009 or fiscal plan year beginning	01/01/		12/31/2009			
A This return/report is for: single-employer plan  B This return/report is for: first return/report	multiple-emp	oloyer plan (not multiemployer) eport	one-particip	oant plan		
an amended return/report  C Check box if filing under:	automatic e	ear return/report (less than 12 moi ktension	nths)	ram		
special extension (enter descri	<u> </u>	<u> </u>				
Part II Basic Plan Information enter all requested	l information.		1 4 h Thurs 41-14			
1a Name of plan WILSON KO, MD F.A.C.S.PC PENSION PLAN			1b Three-digit plan number (PN) ▶	001		
			1c Effective date 01/01/199	5		
Plan sponsor's name and address (employer, if for single-employer) WILSON KO, MD F.A.C.S.PC	oyer plan)		2b Employer Ide (EIN) 11-3			
136-25 MAPLE AVENUE			2c Plan sponsor (718) 358	s telephone number -5900		
SUITE 202 US FLUSHING NY 11355			621111	e (see instructions)		
3a Plan administrator's name and address (If same as plan employ same	/er, enter "Same")		<b>3b</b> Administrator	's EIN		
			3c Administrator	's telephone number		
4 If the name and/or EIN of the plan sponsor has changed since to	the last return/repo	ort filed for this plan, enter the	<b>4b</b> EIN 22-3464111			
name, EIN and the plan number from the last return/report. Spo	HISUIS NAIHE		4c PN			
5a Total number of participants at the beginning of the plan year.			. 5a	11		
<b>b</b> Total number of participants at the end of the plan year				11		
C Total number of participants with account balances as of the encomplete this item)				11		
6a Were all of the plan's assets during the plan year invested in eli				X Yes No		
<b>b</b> Are you claiming a waiver of the annual examination and report under 29 CFR 2520.104-46? (See instructions on waiver eligibitions)	lity and conditions	) . <i>.</i>		X Yes No		
If you answered "No" to either 6a or 6b, the plan cannot us	e Form 5500-5F	and must instead use Form 550	<u> </u>			
Part III Financial Information	1.6		4. > 1	-1 -FW		
7 Plan Assets and Liabilities		(a) Beginning of Year		nd of Year		
a Total plan assets	7a	302,063	3	371,911		
b Total plan liabilities	· · · 7b					
C Net plan assets (subtract line 7b from line 7a)	7c	302,063	3	371,911		
8 Income, Expenses, and Transfers for this Plan Year		(a) Amount	<u> </u>	b) Total		
a Contributions received or receivable from:  (1) Employers	<u>8a(1)</u>					
(2) Participants	8a(2)					
(3) Others (including rollovers)	<u>8a(3)</u>					
<b>b</b> Other income (loss)	8b	72,424				
<ul> <li>Total income(add lines 8a(1), 8a(2), 8a(3), and 8b)</li> <li>Benefits paid (including direct rollovers and insurance premium</li> </ul>	8c			72,424		
d Benefits paid (including direct rollovers and insurance premium to provide benefits)	8d					
e Certain deemed and/or corrective distributions (see instructions						
f Administrative service providers (salaries, fees, commissions)						
g Other expenses	8g	2,576	5			
	8h			2,576		
n Total expenses (add lines 8d, 8e, 8t, and 8g)	8i		- A.	69,848		
Transfers to (from) the plan (see instructions)						
For Penersyste Reduction Act Notice and OMB Control Number		ctions for Form 5500-SF		Form 5500-SF (2009)		

•	Form 5500-SF 2009	·	Pag	ge <b>2-</b>		_			
ar	t IV Plan Characteristics								
	If the plan provides pension benefits, enter the applicable pension feature	e codes fr	om the List	of Plan Characte	ristic (	Codes	in the	instructions:	
b	2C 3D If the plan provides welfare benefits, enter the applicable welfare feature	codes fro	m the List (	of Plan Characteri	stic C	odes i	n the ir	nstructions:	
Pai	rt V Compliance Questions						, <del></del> ,		
0	During the plan year:					Yes	No	Amo	ount
а	Was there a failure to transmit to the plan any participant contribution v	within the	time period	described in	10a		x		
b	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Were there any nonexempt transactions with any party-in-interest? (Do on line 10a.)	o not inclu	de transac	tions reported	10b		х		
_					10c	х			50,000
d		ity bond, t	hat was ca	used by fraud	10d		х		
е	<ul> <li>Were any fees or commissions paid to any brokers, agents, or other perinsurance services or other organization that provides some or all of the instructions.)</li> </ul>	ne benefits	s under the	plan? (See	10e	x		10gr m	2,576
f	·				10f		х		
g							х		
	If this is an individual account plan, was there a blackout period? (See 2520.101-3.)				10h		х		
i	If 10h was answered "Yes," check the box if you either provided the re exceptions to providing the notice applied under 29 CFR 2520.101-3.	equired no	tice or one	of the	10i				
Pa	rt VI Pension Funding Compliance			·					
11	Is this a defined benefit plan subject to minimum funding requirements	? (If "Yes	," see instr	uctions and comp	lete S	chedu	le SB (	· · · ·	Yes X No
12 a	granting the waiver	e.) mortized i	n this plan	year, see instructi	ions, a	and en	iter the	date of the let	Yes XNo
H	f you completed line 12a, complete lines 3, 9, and 10 of Schedule ME					Γ	12b		
k	•						12c		
0	Enter the amount contributed by the employer to the plan for this plan  Subtract the amount in line 12c from the amount in line 12b. Enter the					•	40.1		
•	negative amount)						12d		
E	Will the minimum funding amount reported on line 12d be met by the f	funding de	adline? .		<u> </u>	<u> </u>		∐Yes L	_No _N/A
<sup>2</sup> aı	rt VII Plan Terminations and Transfers of Assets								ENV DN.
13a					•		· · ·	· · · · ·	X Yes No
	If "Yes," enter the amount of any plan assets that reverted to the emp				• •	· ·	13a		
	Were all the plan assets distributed to participants or beneficiaries, tra of the PBGC?						ntrol • • •		Yes X No
	which assets or liabilities were transferred. (See instructions.)				1				40 (5) 5112
	13c(1) Name of plan(s):				+	1	3c(2) E	:IN(s)	<b>13c(3)</b> PN(s)
					<u> </u>				
	ition: A penalty for the late or incomplete filing of this return/report								
SB	der penalties of perjury and other penalties set forth in the instructions, I d or Schedule MB completed and signed by an enrolled actuary, as well as ef, it is true, correct, and complete.	leclare that the electi	it I have ex ronic version	amined this return on of this return/re	n/repo port, a	rt, incl and to	uding, the be	if applicable, a st of my knowl	Schedule edge and
11.	V V V A ODA K	X		Wilson Ko,	MD				
	ERE Signature of plan administrator	Date G	برايح	Enter name of ir		Jal sig	ning as	plan administ	rator
	1 / MASM	X X	- 116	Wilson Ko,					
	IGN Signature of employer/plan sponsor	Date(4)	21/16	Enter name of ir		ual sig	ning as	employer or p	olan sponsor
		1				_			