Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to **Public Inspection**

▶ Complete all entries in accordance with the instructions to the Form 5500-SF. **Annual Report Identification Information** For calendar plan year 2015 or fiscal plan year beginning and ending x a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) **C** Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Basic Plan Information—enter all requested information Part II 1b Three-digit 1a Name of plan plan number NORTHWEST SHOWER DOOR 401(K) PLAN 001 (PN) • 1c Effective date of plan 01/01/2013 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 36-4566475 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 2c Sponsor's telephone number NORTHWEST SHOWER DOOR, LLC 206-264-1010 2d Business code (see instructions) 32223 FIRST AVE SEATTLE, WA 98134 238900 **3a** Plan administrator's name and address XSame as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 17 5a Total number of participants at the beginning of the plan year..... 5b 20 **b** Total number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c 5 complete this item) 5d(1) 17 d(1) Total number of active participants at the beginning of the plan year 5d(2) 20 d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less 0 5e than 100% vested..... Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	06/28/2016	CAREY GREER			
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN						
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			
Preparer's	name (including firm name, if applicable) and address (include r	r) Preparer's telephone number				

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 Were all of the plan's assets during the plan year invested in eligible Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cannot be a second or line 6b. 	an indepen	dent qualified public a	ccount	ant (IQ	PA)			×	Yes Yes	No No
C If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)?		Yes	No	Not	determin	ned
Part III Financial Information	1				-					
7 Plan Assets and Liabilities		(a) Beginning					(b) End	d of Ye		
a Total plan assets	. 7a		26	686					33558	
b Total plan liabilities	. 7b		0.0						00550	
C Net plan assets (subtract line 7b from line 7a)	. 7с			686	-				33558	
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amou	unt				(b)	Total		
(1) Employers	. 8a(1)									
(2) Participants	. 8a(2)		29	525						
(3) Others (including rollovers)	. 8a(3)									
b Other income (loss)	. 8b		-1	982						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c								27543	
Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		20	671						
e Certain deemed and/or corrective distributions (see instructions)	. 8e									
f Administrative service providers (salaries, fees, commissions)	. 8f									
g Other expenses	. 8g									
h Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h								20671	
i Net income (loss) (subtract line 8h from line 8c)	. 8i								6872	
j Transfers to (from) the plan (see instructions)	· 8j									
Part IV Plan Characteristics										
9a If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D 2T	n feature cod	des from the List of PI	an Cha	racteri	stic Co	des in t	he instru	uctions:		
B If the plan provides welfare benefits, enter the applicable welfare f	feature code	es from the List of Pla	n Chara	acterist	ic Coc	les in th	e instruc	rtions.		
	iodiaio oodi	oo nom the List of Fia	ii Onait	20101101			o mondo	, , , , , , , , , , , , , , , , , , ,		
Part V Compliance Questions										
10 During the plan year:				Yes	No	N/A		Amo	unt	
Was there a failure to transmit to the plan any participant contributes described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	Voluntary Fi	duciary Correction	10a		X					
b Were there any nonexempt transactions with any party-in-interes										
reported on line 10a.)			10b		X					
C Was the plan covered by a fidelity bond?			10c		X					
d Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X					
Were any fees or commissions paid to any brokers, agents, or ot carrier, insurance service, or other organization that provides son the plan? (See instructions.)	ne or all of t	he benefits under	10e	X						160
f Has the plan failed to provide any benefit when due under the pla			10f		Χ					
g Did the plan have any participant loans? (If "Yes," enter amount a			10g		Χ					
h If this is an individual account plan, was there a blackout period? 2520.101-3.)	(See instru	ctions and 29 CFR	10g 10h		X					
i If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	the required	notice or one of the	10ii							
j Did the plan trust incur unrelated business taxable income?			10j							
Part VI Pension Funding Compliance			IUJ		<u> </u>					
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Τп	Yes	No
11a Enter the unpaid minimum required contribution for all years from						11a		·· <u>I </u>		
12 Is this a defined contribution plan subject to the minimum funding							RISA?	П	Yes	No

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	(If "Ye	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see in ng the waiver		enter the Day	e date of	the letter ru Year	ling			
If		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Day_		Toal				
b	Enter th	ne minimum required contribution for this plan year		12b						
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c						
	Subtra	act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the	left of a	12d						
		ve amount)			Yes	No	N/A			
Part		e minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets			163	NO	IN/A			
		resolution to terminate the plan been adopted in any plan year?			X Ye	s \square No				
		," enter the amount of any plan assets that reverted to the employer this year		13a		<u> П</u>	(
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou	ght under the co							
С	If durin	PBGC? ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi				<u> </u>				
		assets or liabilities were transferred. (See instructions.) lame of plan(s):	13c(2)	FIN(e)		13c(3) F	PN(e)			
	100(1)	uno oi piuntoj.	130(2)	LII4(3)		130(3)	· V (3)			
Dant		Turnet hafe amount on								
Part	Name o	Trust Information		14b Trust's EIN						
ı T a	Name 0	ii iiust		140	TUSES EII	14				
14c	Name	of trustee or custodian		14d Trustee's or custodian's telephone number						
						telephone number				
Par	t IX	IRS Compliance Questions								
15a	Is the	plan a 401(k) plan?		Ye	s	No				
				Design-						
15b		"how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals an ng contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?		based safe ADP/ACP harbor test						
450				method						
150	15c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-				S	No				
	2(a)(2)	(ii))?		□ Ra	atio					
16a	16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):				I I I Averac					
16b		he plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by comin with any other plans under the permissive aggregation rules?		Ye	s	No				
17a		e plan been timely amended for all required tax law changes?		Ye	s	No	N/A			
17b Date the last plan amendment/restatement for the required tax law changes was adopted//. Enter the ap for tax law changes and codes).					code	(See ins	tructions			
17c	If the p	lan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter play letter, enter the date of that favorable letter/ and the letter's serial r		t to a fa	vorable I	RS opinion	or			
17d	If the p	lan is an individually-designed plan and received a favorable determination letter from the IRS, e ination letter/		the plai	n's last fa	vorable				
18	Is the I	Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin		Yes	\$	No				
19	Were in	n-service distributions made during the plan year?		Ye	s	No				
	If "Yes	" enter amount		19						
20		equired minimum distributions made to 5% owners who have attained age 70 $\frac{1}{2}$ (regardless of w), as required under section 401(a)(9)?		Ye	S	No	N/A			

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Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500.SE

OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to **Public Inspection**

D (I		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		ructions to the Form s	5500-SF.	
Part I	Annual Repor	rt Identification Information				
ror calend	iar pian year 2015 or	fiscal plan year beginning	01/01/2015	and ending	12/31/20	
A This re	turn/report is for:	X a single-employer plan	a multiple-employer plist of participating en	olan (not multiemployer) mployer information in a	(Filers checking this	box must attach a
		a one-participant plan	a foreign plan			m mod delions)
B This ref	urn/report is	the first return/report	the final return/report			
		an amended return/report	a short plan year retu	rn/report (less than 12 n	nonths)	
C Check	box if filing under:	Form 5558	automatic extension		DFVC pro	gram
		special extension (enter desc	1 /			
Part II		ormation—enter all requested in	formation			
1a Name NORTHW		OOR 401(K) PLAN			1b Three-digit plan number (PN) ▶	001
					1c Effective date 01/01/201	
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. City or town, state or province, country, and ZIP or foreign postal			D. Box)		2b Employer Iden (EIN) 36-45	
	WEST SHOWER I		tal code (if foreign, see inst	ructions)	2c Sponsor's tele 206-264-1	
32223	FIRST AVE.				2d Business code 238900	(see instructions)
SEATT	Œ	WA 98134				
3a Plan a	dministrator's name a	and address XSame as Plan Spon	sor.		3b Administrator's	EIN
4 If the	name and/or EIN of th	ne plan sponsor has changed since	the last return/report filed f	or this plan enter the	Ab EN	
name		umber from the last return/report.	the last return/report med i	or this plan, enter the	4b EIN	
5a Total	number of participant	s at the beginning of the plan year.				17
		s at the end of the plan year			5b	20
C Numb	er of participants with	account balances as of the end of	the plan year (defined ben	efit plans do not	5c	5
		articipants at the beginning of the p			5d(1)	17
		articipants at the end of the plan ye				20
e Numi	per of participants tha	t terminated employment during the	plan year with accrued be	nefits that were less	5e	
Caution: A	penalty for the late	or incomplete filing of this return	n/report will be assessed	unless reasonable ca	use is established.	0
Under pen SB or Sch	alties of perjury and o	other penalties set forth in the instru- and signed by an enrolled actuary, a	ctions. I declare that I have	examined this return/re	port including if appli	cable, a Schedule y knowledge and
SIGN	190		6-28-16	CAREY GREER		
HERE	Signature of plan	administrator	Date	Enter name of individ	ual signing as plan ad	ministrator
SIGN						
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individ	ual signing as amploy	or or plan anancar
Preparer's		name, if applicable) and address (in		er)	ual signing as employed Preparer's telephone	number
		, , , , , , , , , , , , , , , , , , ,		.,	reparer s telephone	, Humber

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(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				
If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and e granting the waiver. Month	nter the		e letter ru Year	ıling
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b Enter the minimum required contribution for this plan year	12b			
C Enter the amount contributed by the employer to the plan for this plan year	12c			
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
e Will the minimum funding amount reported on line 12d be met by the funding deadline?	П	Yes	No	N/A
Part VII Plan Terminations and Transfers of Assets				
13a Has a resolution to terminate the plan been adopted in any plan year?	X Yes No			
16 43/- 27 - 16 - 16 - 17 - 17 - 17 - 17 - 17 - 1	13a			0
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?				
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)				
13c(1) Name of plan(s):	EIN(s)		13c(3)	PN(s)
Part VIII Trust Information				
14a Name of trust	14b Trust's EIN			
14c Name of trustee or custodian	14d Trustee's or custodian's telephone number			
Part IX IRS Compliance Questions				
15a Is the plan a 401(k) plan?	Yes	5	No	
15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?	L hamban		ADI	P/ACP
15c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?	Yes		No	
16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):	Ra per tes	rcentage		erage nefit test
16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?	Yes	5	No	
17a Has the plan been timely amended for all required tax law changes?	Yes		No	N/A
17b Date the last plan amendment/restatement for the required tax law changes was adopted Enter the a for tax law changes and codes).				
 17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject advisory letter, enter the date of that favorable letter and the letter's serial number 17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the determination letter 				or
18 Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?	Yes		No	
19 Were in-service distributions made during the plan year?	Yes	5	No	
If "Yes," enter amount	19			
20 Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not				П
retired), as required under section 401(a)(9)?	Yes		No	N/A