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| <b>Form 5500</b><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security<br>Administration<br><br>Pension Benefit Guaranty Corporation | <b>Annual Return/Report of Employee Benefit Plan</b><br>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).<br><br><p style="text-align: center;">▶ <b>Complete all entries in accordance with the instructions to the Form 5500.</b></p> | OMB Nos. 1210-0110<br>1210-0089<br><br><div style="text-align: center; font-size: 1.5em; font-weight: bold;">2015</div><br><br><b>This Form is Open to Public Inspection</b> |
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| <b>Part I</b>                                                                                            | <b>Annual Report Identification Information</b>                                                                                                                                                                                                                                                                                                |
| For calendar plan year 2015 or fiscal plan year beginning <u>01/01/2015</u> and ending <u>12/31/2015</u> |                                                                                                                                                                                                                                                                                                                                                |
| <b>A</b> This return/report is for:                                                                      | <input type="checkbox"/> a multiemployer plan; <input type="checkbox"/> a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or<br><input checked="" type="checkbox"/> a single-employer plan; <input type="checkbox"/> a DFE (specify) ____ |
| <b>B</b> This return/report is:                                                                          | <input type="checkbox"/> the first return/report; <input type="checkbox"/> the final return/report;<br><input type="checkbox"/> an amended return/report; <input type="checkbox"/> a short plan year return/report (less than 12 months).                                                                                                      |
| <b>C</b> If the plan is a collectively-bargained plan, check here. ....                                  | <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                       |
| <b>D</b> Check box if filing under:                                                                      | <input checked="" type="checkbox"/> Form 5558; <input type="checkbox"/> automatic extension; <input type="checkbox"/> the DFVC program;<br><input type="checkbox"/> special extension (enter description)                                                                                                                                      |

|                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                             |                                                                     |                                                                  |                                                             |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------|-------------------------------------------------------------|--|
| <b>Part II</b>                                                                                                                                                                                                                                                                                                                                                                               | <b>Basic Plan Information</b> —enter all requested information                                                                                                                                                                                                                                                                              |                                                                     |                                                                  |                                                             |  |
| <b>1a</b> Name of plan<br><u>LEGAL AID SOCIETY MONEY PURCHASE PENSION PLAN</u>                                                                                                                                                                                                                                                                                                               | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"><b>1b</b> Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2"><b>1c</b> Effective date of plan<br/><u>11/01/1981</u></td> </tr> </table>                             | <b>1b</b> Three-digit plan number (PN) ▶                            | <u>001</u>                                                       | <b>1c</b> Effective date of plan<br><u>11/01/1981</u>       |  |
| <b>1b</b> Three-digit plan number (PN) ▶                                                                                                                                                                                                                                                                                                                                                     | <u>001</u>                                                                                                                                                                                                                                                                                                                                  |                                                                     |                                                                  |                                                             |  |
| <b>1c</b> Effective date of plan<br><u>11/01/1981</u>                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                             |                                                                     |                                                                  |                                                             |  |
| <b>2a</b> Plan sponsor's name (employer, if for a single-employer plan)<br>Mailing address (include room, apt., suite no. and street, or P.O. Box)<br>City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)<br><u>LEGAL AID SOCIETY OF CINCINNATI</u><br><br><u>215 EAST 9TH STREET</u><br><u>SUITE 200</u><br><u>CINCINNATI, OH 45202</u> | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td><b>2b</b> Employer Identification Number (EIN)<br/><u>31-0536673</u></td> </tr> <tr> <td><b>2c</b> Plan Sponsor's telephone number<br/><u>513-241-9400</u></td> </tr> <tr> <td><b>2d</b> Business code (see instructions)<br/><u>541190</u></td> </tr> </table> | <b>2b</b> Employer Identification Number (EIN)<br><u>31-0536673</u> | <b>2c</b> Plan Sponsor's telephone number<br><u>513-241-9400</u> | <b>2d</b> Business code (see instructions)<br><u>541190</u> |  |
| <b>2b</b> Employer Identification Number (EIN)<br><u>31-0536673</u>                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                             |                                                                     |                                                                  |                                                             |  |
| <b>2c</b> Plan Sponsor's telephone number<br><u>513-241-9400</u>                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                             |                                                                     |                                                                  |                                                             |  |
| <b>2d</b> Business code (see instructions)<br><u>541190</u>                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                             |                                                                     |                                                                  |                                                             |  |

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

|                                                                                                 |                                                   |            |                                                              |
|-------------------------------------------------------------------------------------------------|---------------------------------------------------|------------|--------------------------------------------------------------|
| <b>SIGN HERE</b>                                                                                | Filed with authorized/valid electronic signature. | 07/13/2016 | MARY ASBURY                                                  |
|                                                                                                 | <b>Signature of plan administrator</b>            | Date       | Enter name of individual signing as plan administrator       |
| <b>SIGN HERE</b>                                                                                | Filed with authorized/valid electronic signature. | 07/13/2016 | MARY ASBURY                                                  |
|                                                                                                 | <b>Signature of employer/plan sponsor</b>         | Date       | Enter name of individual signing as employer or plan sponsor |
| <b>SIGN HERE</b>                                                                                |                                                   |            |                                                              |
|                                                                                                 | <b>Signature of DFE</b>                           | Date       | Enter name of individual signing as DFE                      |
| Preparer's name (including firm name, if applicable) and address (include room or suite number) |                                                   |            | Preparer's telephone number                                  |
|                                                                                                 |                                                   |            |                                                              |

|                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor                                                                                                                                                                                                                                                                                                                   | <b>3b</b> Administrator's EIN<br><br><b>3c</b> Administrator's telephone number<br><br><div style="background-color: #cccccc; height: 40px; width: 100%;"></div>                                                                                                                                                                                                                                                                                                                                  |
| <b>4</b> If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:<br><b>a</b> Sponsor's name                                                                                                                                                                                                          | <b>4b</b> EIN<br><br><b>4c</b> PN                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>5</b> Total number of participants at the beginning of the plan year                                                                                                                                                                                                                                                                                                                                                    | <b>5</b> 104                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>a(1)</b> Total number of active participants at the beginning of the plan year.....                                                                                                                                                                                                                                                                                                                                     | <b>6a(1)</b> 71                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>a(2)</b> Total number of active participants at the end of the plan year .....                                                                                                                                                                                                                                                                                                                                          | <b>6a(2)</b> 67                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>b</b> Retired or separated participants receiving benefits.....                                                                                                                                                                                                                                                                                                                                                         | <b>6b</b> 0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>c</b> Other retired or separated participants entitled to future benefits.....                                                                                                                                                                                                                                                                                                                                          | <b>6c</b> 35                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> .....                                                                                                                                                                                                                                                                                                                                                | <b>6d</b> 102                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....                                                                                                                                                                                                                                                                                                                  | <b>6e</b> 0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....                                                                                                                                                                                                                                                                                                                                                                    | <b>6f</b> 102                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....                                                                                                                                                                                                                                                                            | <b>6g</b> 102                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>h</b> Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested .....                                                                                                                                                                                                                                                                                | <b>6h</b> 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) .....                                                                                                                                                                                                                                                                                       | <b>7</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>8a</b> If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:<br>2C 3D                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>b</b> If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>9a</b> Plan funding arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor                                                                                                                              | <b>9b</b> Plan benefit arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor                                                                                                                                                                                                     |
| <b>10</b> Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>a Pension Schedules</b><br>(1) <input checked="" type="checkbox"/> <b>R</b> (Retirement Plan Information)<br><br>(2) <input type="checkbox"/> <b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary<br><br>(3) <input type="checkbox"/> <b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary | <b>b General Schedules</b><br>(1) <input checked="" type="checkbox"/> <b>H</b> (Financial Information)<br>(2) <input type="checkbox"/> <b>I</b> (Financial Information – Small Plan)<br>(3) <input type="checkbox"/> <b>A</b> (Insurance Information)<br>(4) <input checked="" type="checkbox"/> <b>C</b> (Service Provider Information)<br>(5) <input type="checkbox"/> <b>D</b> (DFE/Participating Plan Information)<br>(6) <input type="checkbox"/> <b>G</b> (Financial Transaction Schedules) |

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| <b>Part III</b> | <b>Form M-1 Compliance Information (to be completed by welfare benefit plans)</b> |
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**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2015 Form M-1 annual report. If the plan was not required to file the 2015 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

|                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                             |                                         |
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| <div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury</div> <div>Internal Revenue Service</div> <div>Department of Labor</div> <div>Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div> | <div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> | OMB No. 1210-0110                       |
|                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                             | 2015                                    |
|                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                             | This Form is Open to Public Inspection. |

|                                                                                            |                                                      |     |
|--------------------------------------------------------------------------------------------|------------------------------------------------------|-----|
| For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015 |                                                      |     |
| A Name of plan<br>LEGAL AID SOCIETY MONEY PURCHASE PENSION PLAN                            | B Three-digit plan number (PN) ▶                     | 001 |
| C Plan sponsor's name as shown on line 2a of Form 5500<br>LEGAL AID SOCIETY OF CINCINNATI  | D Employer Identification Number (EIN)<br>31-0536673 |     |

|        |                                                 |
|--------|-------------------------------------------------|
| Part I | Service Provider Information (see instructions) |
|--------|-------------------------------------------------|

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

|                                                                                                            |
|------------------------------------------------------------------------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
| US BANCORP MANAGEMENT INC.                                                                                 |
| 41-2003732                                                                                                 |

|                                                                                                           |
|-----------------------------------------------------------------------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation |
| US BANKCORP FUND SERVICES, LLC                                                                            |
| 39-1939072                                                                                                |

|                                                                                                            |
|------------------------------------------------------------------------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
| QUASAR DISTRIBUTORS, LLC                                                                                   |
| 39-1982827                                                                                                 |

|                                                                                                            |
|------------------------------------------------------------------------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|------------------------------------------------------------------------------------------------------------|



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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

RIVERPOINT CAPITAL

80-0861455

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 28 51                  | NONE                                                                                              | 44450                                                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**(a)** Enter name and EIN or address (see instructions)

US BANK, NATIONAL ASSOCIATION

31-0841368

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 21 50                  | NONE                                                                                              | 602                                                                    | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                                            | 0                                                                                                                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**(a)** Enter name and EIN or address (see instructions)

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
|                        |                                                                                                   |                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                             | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                               |                                                                                                          |                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                    | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**(a)** Enter name and EIN or address (see instructions)

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                               |                                                                                                          |                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                    | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**(a)** Enter name and EIN or address (see instructions)

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                               |                                                                                                          |                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                    | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**Part I Service Provider Information (continued)**

**3** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |

**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|--------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------|
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |

**Part III** **Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                              |                                                                                           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| <b>SCHEDULE H</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small><br><br><small>Pension Benefit Guaranty Corporation</small> | <b>Financial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).<br><br><b>► File as an attachment to Form 5500.</b> | OMB No. 1210-0110<br><br><b>2015</b><br><br><b>This Form is Open to Public Inspection</b> |
| For calendar plan year 2015 or fiscal plan year beginning <b>01/01/2015</b> and ending <b>12/31/2015</b>                                                                                                                                                                 |                                                                                                                                                                                                                                                                              |                                                                                           |
| <b>A</b> Name of plan<br><b>LEGAL AID SOCIETY MONEY PURCHASE PENSION PLAN</b>                                                                                                                                                                                            | <b>B</b> Three-digit plan number (PN) ►                                                                                                                                                                                                                                      | <b>001</b>                                                                                |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><b>LEGAL AID SOCIETY OF CINCINNATI</b>                                                                                                                                                                  | <b>D</b> Employer Identification Number (EIN)<br><b>31-0536673</b>                                                                                                                                                                                                           |                                                                                           |

| Part I Asset and Liability Statement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                 |                       |                 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------|-----------------|
| <b>1</b> Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. <b>Round off amounts to the nearest dollar.</b> MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions. |                 |                       |                 |
| Assets                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                 | (a) Beginning of Year | (b) End of Year |
| <b>a</b> Total noninterest-bearing cash .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>1a</b>       | 2654                  |                 |
| <b>b</b> Receivables (less allowance for doubtful accounts):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                 |                       |                 |
| <b>(1)</b> Employer contributions .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>1b(1)</b>    | 39019                 | 32827           |
| <b>(2)</b> Participant contributions .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>1b(2)</b>    |                       |                 |
| <b>(3)</b> Other.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>1b(3)</b>    | 16424                 | 21087           |
| <b>c</b> General investments:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                 |                       |                 |
| <b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit) .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>1c(1)</b>    | 453095                | 377877          |
| <b>(2)</b> U.S. Government securities.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>1c(2)</b>    |                       |                 |
| <b>(3)</b> Corporate debt instruments (other than employer securities):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                 |                       |                 |
| <b>(A)</b> Preferred .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>1c(3)(A)</b> |                       |                 |
| <b>(B)</b> All other.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>1c(3)(B)</b> | 1898802               | 2279089         |
| <b>(4)</b> Corporate stocks (other than employer securities):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                 |                       |                 |
| <b>(A)</b> Preferred .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>1c(4)(A)</b> | 340020                | 206761          |
| <b>(B)</b> Common .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>1c(4)(B)</b> | 3106512               | 3361127         |
| <b>(5)</b> Partnership/joint venture interests .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>1c(5)</b>    |                       |                 |
| <b>(6)</b> Real estate (other than employer real property) .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>1c(6)</b>    |                       |                 |
| <b>(7)</b> Loans (other than to participants) .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>1c(7)</b>    |                       |                 |
| <b>(8)</b> Participant loans .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <b>1c(8)</b>    |                       |                 |
| <b>(9)</b> Value of interest in common/collective trusts.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>1c(9)</b>    |                       |                 |
| <b>(10)</b> Value of interest in pooled separate accounts.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>1c(10)</b>   |                       |                 |
| <b>(11)</b> Value of interest in master trust investment accounts .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>1c(11)</b>   |                       |                 |
| <b>(12)</b> Value of interest in 103-12 investment entities .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>1c(12)</b>   |                       |                 |
| <b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>1c(13)</b>   | 2352796               | 1776963         |
| <b>(14)</b> Value of funds held in insurance company general account (unallocated contracts).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>1c(14)</b>   |                       |                 |
| <b>(15)</b> Other .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>1c(15)</b>   |                       |                 |

**1d** Employer-related investments:

|                                                                      |       | (a) Beginning of Year | (b) End of Year |
|----------------------------------------------------------------------|-------|-----------------------|-----------------|
| (1) Employer securities .....                                        | 1d(1) |                       |                 |
| (2) Employer real property .....                                     | 1d(2) |                       |                 |
| <b>e</b> Buildings and other property used in plan operation .....   | 1e    |                       |                 |
| <b>f</b> Total assets (add all amounts in lines 1a through 1e) ..... | 1f    | 8209322               | 8055731         |

**Liabilities**

|                                                                           |    |   |   |
|---------------------------------------------------------------------------|----|---|---|
| <b>g</b> Benefit claims payable .....                                     | 1g |   |   |
| <b>h</b> Operating payables .....                                         | 1h |   |   |
| <b>i</b> Acquisition indebtedness .....                                   | 1i |   |   |
| <b>j</b> Other liabilities .....                                          | 1j |   |   |
| <b>k</b> Total liabilities (add all amounts in lines 1g through 1j) ..... | 1k | 0 | 0 |

**Net Assets**

|                                                           |    |         |         |
|-----------------------------------------------------------|----|---------|---------|
| <b>l</b> Net assets (subtract line 1k from line 1f) ..... | 1l | 8209322 | 8055731 |
|-----------------------------------------------------------|----|---------|---------|

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

**Income****a Contributions:**

|                                                                                                            |          | (a) Amount | (b) Total |
|------------------------------------------------------------------------------------------------------------|----------|------------|-----------|
| (1) Received or receivable in cash from: <b>(A)</b> Employers .....                                        | 2a(1)(A) | 318481     |           |
| <b>(B)</b> Participants .....                                                                              | 2a(1)(B) |            |           |
| <b>(C)</b> Others (including rollovers) .....                                                              | 2a(1)(C) |            |           |
| (2) Noncash contributions .....                                                                            | 2a(2)    |            |           |
| (3) Total contributions. Add lines <b>2a(1)(A)</b> , <b>(B)</b> , <b>(C)</b> , and line <b>2a(2)</b> ..... | 2a(3)    |            | 318481    |

**b Earnings on investments:****(1) Interest:**

|                                                                                                      |          |        |        |
|------------------------------------------------------------------------------------------------------|----------|--------|--------|
| <b>(A)</b> Interest-bearing cash (including money market accounts and certificates of deposit) ..... | 2b(1)(A) | 22     |        |
| <b>(B)</b> U.S. Government securities .....                                                          | 2b(1)(B) |        |        |
| <b>(C)</b> Corporate debt instruments .....                                                          | 2b(1)(C) | 51096  |        |
| <b>(D)</b> Loans (other than to participants) .....                                                  | 2b(1)(D) |        |        |
| <b>(E)</b> Participant loans .....                                                                   | 2b(1)(E) |        |        |
| <b>(F)</b> Other .....                                                                               | 2b(1)(F) |        |        |
| <b>(G)</b> Total interest. Add lines <b>2b(1)(A)</b> through <b>(F)</b> .....                        | 2b(1)(G) |        | 51118  |
| (2) Dividends: <b>(A)</b> Preferred stock .....                                                      | 2b(2)(A) | 18472  |        |
| <b>(B)</b> Common stock .....                                                                        | 2b(2)(B) | 62996  |        |
| <b>(C)</b> Registered investment company shares (e.g. mutual funds) .....                            | 2b(2)(C) | 81152  |        |
| <b>(D)</b> Total dividends. Add lines <b>2b(2)(A)</b> , <b>(B)</b> , and <b>(C)</b> .....            | 2b(2)(D) |        | 162620 |
| (3) Rents .....                                                                                      | 2b(3)    |        |        |
| (4) Net gain (loss) on sale of assets: <b>(A)</b> Aggregate proceeds .....                           | 2b(4)(A) | 882195 |        |
| <b>(B)</b> Aggregate carrying amount (see instructions) .....                                        | 2b(4)(B) | 912581 |        |
| <b>(C)</b> Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....            | 2b(4)(C) |        | -30386 |
| (5) Unrealized appreciation (depreciation) of assets: <b>(A)</b> Real estate .....                   | 2b(5)(A) |        |        |
| <b>(B)</b> Other .....                                                                               | 2b(5)(B) | 16566  |        |
| <b>(C)</b> Total unrealized appreciation of assets. Add lines <b>2b(5)(A)</b> and <b>(B)</b> .....   | 2b(5)(C) |        | 16566  |



|                                                                                                 |        | (a) Amount | (b) Total |
|-------------------------------------------------------------------------------------------------|--------|------------|-----------|
| (6) Net investment gain (loss) from common/collective trusts .....                              | 2b(6)  |            |           |
| (7) Net investment gain (loss) from pooled separate accounts .....                              | 2b(7)  |            |           |
| (8) Net investment gain (loss) from master trust investment accounts .....                      | 2b(8)  |            |           |
| (9) Net investment gain (loss) from 103-12 investment entities .....                            | 2b(9)  |            |           |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) ..... | 2b(10) |            | -230473   |
| c Other income .....                                                                            | 2c     |            |           |
| d Total income. Add all <b>income</b> amounts in column (b) and enter total .....               | 2d     |            | 287926    |

**Expenses**

|                                                                                      |       |        |        |
|--------------------------------------------------------------------------------------|-------|--------|--------|
| e Benefit payment and payments to provide benefits:                                  |       |        |        |
| (1) Directly to participants or beneficiaries, including direct rollovers .....      | 2e(1) | 397594 |        |
| (2) To insurance carriers for the provision of benefits .....                        | 2e(2) |        |        |
| (3) Other .....                                                                      | 2e(3) |        |        |
| (4) Total benefit payments. Add lines 2e(1) through (3) .....                        | 2e(4) |        | 397594 |
| f Corrective distributions (see instructions) .....                                  | 2f    |        |        |
| g Certain deemed distributions of participant loans (see instructions) .....         | 2g    |        |        |
| h Interest expense .....                                                             | 2h    |        |        |
| i Administrative expenses: (1) Professional fees .....                               | 2i(1) | 450    |        |
| (2) Contract administrator fees .....                                                | 2i(2) |        |        |
| (3) Investment advisory and management fees .....                                    | 2i(3) | 43473  |        |
| (4) Other .....                                                                      | 2i(4) |        |        |
| (5) Total administrative expenses. Add lines 2i(1) through (4) .....                 | 2i(5) |        | 43923  |
| j Total expenses. Add all <b>expense</b> amounts in column (b) and enter total ..... | 2j    |        | 441517 |

**Net Income and Reconciliation**

|                                                          |       |  |         |
|----------------------------------------------------------|-------|--|---------|
| k Net income (loss). Subtract line 2j from line 2d ..... | 2k    |  | -153591 |
| l Transfers of assets:                                   |       |  |         |
| (1) To this plan .....                                   | 2l(1) |  |         |
| (2) From this plan .....                                 | 2l(2) |  |         |

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

**b** Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BARNES DENNIG & COMPANY LTD

(2) EIN: 31-1119890

**d** The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

|                                                                                                                                                                                                                                                                                                                  | Yes | No | N/A | Amount |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|-----|--------|
| <b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) .....                 |     | X  |     |        |
| <b>b</b> Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) ..... |     | X  |     |        |

|                                                                                                                                                                                                                         | Yes | No | N/A | Amount |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|-----|--------|
| <b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)                                          |     | X  |     |        |
| <b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)                               |     | X  |     |        |
| <b>e</b> Was this plan covered by a fidelity bond?                                                                                                                                                                      | X   |    |     | 500000 |
| <b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?                                                                                       |     | X  |     |        |
| <b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?                                                    |     | X  |     |        |
| <b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?                                          |     | X  |     |        |
| <b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)                                                                | X   |    |     |        |
| <b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.) | X   |    |     |        |
| <b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?                                                           |     | X  |     |        |
| <b>l</b> Has the plan failed to provide any benefit when due under the plan?                                                                                                                                            |     | X  |     |        |
| <b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)                                                                                                  |     | X  |     |        |
| <b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.                                     |     |    |     |        |
| <b>o</b> Did the plan trust incur unrelated business taxable income?                                                                                                                                                    |     |    |     |        |
| <b>p</b> Were in-service distributions made during the plan year?                                                                                                                                                       |     |    |     |        |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| 5b(1) Name of plan(s) | 5b(2) EIN(s) | 5b(3) PN(s) |
|-----------------------|--------------|-------------|
|                       |              |             |
|                       |              |             |
|                       |              |             |
|                       |              |             |
|                       |              |             |

**5c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? .... ☐ Yes ☐ No ☐ Not determined

## Part V Trust Information

|                                        |                                                     |
|----------------------------------------|-----------------------------------------------------|
| <b>6a</b> Name of trust                | <b>6b</b> Trust's EIN                               |
| <b>6c</b> Name of trustee or custodian | <b>6d</b> Trustee's or custodian's telephone number |

|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                            |                                                                                            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <b>SCHEDULE R</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration<br><br>Pension Benefit Guaranty Corporation | <b>Retirement Plan Information</b><br><br>This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).<br><br>▶ <b>File as an attachment to Form 5500.</b> | OMB No. 1210-0110<br><br><b>2015</b><br><br><b>This Form is Open to Public Inspection.</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

|                                                                                                         |                                                                    |
|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| <b>A</b> Name of plan<br><u>LEGAL AID SOCIETY MONEY PURCHASE PENSION PLAN</u>                           | <b>B</b> Three-digit plan number (PN) ▶<br><u>001</u>              |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><u>LEGAL AID SOCIETY OF CINCINNATI</u> | <b>D</b> Employer Identification Number (EIN)<br><u>31-0536673</u> |

|               |                      |
|---------------|----------------------|
| <b>Part I</b> | <b>Distributions</b> |
|---------------|----------------------|

All references to distributions relate only to payments of benefits during the plan year.

|                                                                                                                                                                                                                                                                    |          |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--|
| <b>1</b> Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....                                                                                                                              | <b>1</b> |  |
| <b>2</b> Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):<br><br>EIN(s): <u>41-6271370</u> |          |  |
| <b>Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.</b>                                                                                                                                                                                            |          |  |
| <b>3</b> Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....                                                                                                                                    | <b>3</b> |  |

|                |                                                                                                                                                                              |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part II</b> | <b>Funding Information</b> (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part) |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                                                                                                                                                                                                           |                              |                                        |                              |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|------------------------------|
| <b>4</b> Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? .....                                                                                                                                                                                                                                                      | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | <input type="checkbox"/> N/A |
| <b>If the plan is a defined benefit plan, go to line 8.</b>                                                                                                                                                                                                                                                                                                               |                              |                                        |                              |
| <b>5</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. <b>Date:</b> Month _____ Day _____ Year _____<br><b>If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.</b> |                              |                                        |                              |
| <b>6 a</b> Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) .....                                                                                                                                                                                                                            | <b>6a</b>                    | <u>318481</u>                          |                              |
| <b>b</b> Enter the amount contributed by the employer to the plan for this plan year .....                                                                                                                                                                                                                                                                                | <b>6b</b>                    | <u>318481</u>                          |                              |
| <b>c</b> Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....                                                                                                                                                                                                                           | <b>6c</b>                    | <u>0</u>                               |                              |
| <b>If you completed line 6c, skip lines 8 and 9.</b>                                                                                                                                                                                                                                                                                                                      |                              |                                        |                              |
| <b>7</b> Will the minimum funding amount reported on line 6c be met by the funding deadline? .....                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes | <input type="checkbox"/> No            | <input type="checkbox"/> N/A |
| <b>8</b> If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? .....                                                                                               | <input type="checkbox"/> Yes | <input type="checkbox"/> No            | <input type="checkbox"/> N/A |

|                 |                   |
|-----------------|-------------------|
| <b>Part III</b> | <b>Amendments</b> |
|-----------------|-------------------|

|                                                                                                                                                                                                                             |                                   |                                   |                               |                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|-----------------------------|
| <b>9</b> If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. .... | <input type="checkbox"/> Increase | <input type="checkbox"/> Decrease | <input type="checkbox"/> Both | <input type="checkbox"/> No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|-----------------------------|

|                |                                                                                                                                                   |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part IV</b> | <b>ESOPs</b> (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                              |                              |                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|
| <b>10</b> Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? .....                                                      | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>11 a</b> Does the ESOP hold any preferred stock? .....                                                                                                                                    | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>b</b> If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>12</b> Does the ESOP hold any stock that is not readily tradable on an established securities market? .....                                                                               | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Schedule R (Form 5500) 2015  
v. 150123

**Part V Additional Information for Multiemployer Defined Benefit Pension Plans**

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

|           |                                                                                                                                                                                                                                                      |            |  |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--|
| <b>14</b> | Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:                                                                                                                    |            |  |
|           | <b>a</b> The current year .....                                                                                                                                                                                                                      | <b>14a</b> |  |
|           | <b>b</b> The plan year immediately preceding the current plan year .....                                                                                                                                                                             | <b>14b</b> |  |
|           | <b>c</b> The second preceding plan year .....                                                                                                                                                                                                        | <b>14c</b> |  |
| <b>15</b> | Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:                                                                         |            |  |
|           | <b>a</b> The corresponding number for the plan year immediately preceding the current plan year .....                                                                                                                                                | <b>15a</b> |  |
|           | <b>b</b> The corresponding number for the second preceding plan year .....                                                                                                                                                                           | <b>15b</b> |  |
| <b>16</b> | Information with respect to any employers who withdrew from the plan during the preceding plan year:                                                                                                                                                 |            |  |
|           | <b>a</b> Enter the number of employers who withdrew during the preceding plan year .....                                                                                                                                                             | <b>16a</b> |  |
|           | <b>b</b> If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers .....                                                                               | <b>16b</b> |  |
| <b>17</b> | If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. .... <input type="checkbox"/> |            |  |

|                |                                                                                                   |
|----------------|---------------------------------------------------------------------------------------------------|
| <b>Part VI</b> | <b>Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans</b> |
|----------------|---------------------------------------------------------------------------------------------------|

|           |                                                                                                                                                                                                                                                                                                                                                                                                       |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>18</b> | If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ..... <input type="checkbox"/> |
| <b>19</b> | If the total number of participants is 1,000 or more, complete lines (a) through (c)                                                                                                                                                                                                                                                                                                                  |
|           | <b>a</b> Enter the percentage of plan assets held as:<br>Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%                                                                                                                                                                                                                                        |
|           | <b>b</b> Provide the average duration of the combined investment-grade and high-yield debt:<br><input type="checkbox"/> 0-3 years <input type="checkbox"/> 3-6 years <input type="checkbox"/> 6-9 years <input type="checkbox"/> 9-12 years <input type="checkbox"/> 12-15 years <input type="checkbox"/> 15-18 years <input type="checkbox"/> 18-21 years <input type="checkbox"/> 21 years or more  |
|           | <b>c</b> What duration measure was used to calculate line 19(b)?<br><input type="checkbox"/> Effective duration <input type="checkbox"/> Macaulay duration <input type="checkbox"/> Modified duration <input type="checkbox"/> Other (specify): _____                                                                                                                                                 |

|                 |                                 |
|-----------------|---------------------------------|
| <b>Part VII</b> | <b>IRS Compliance Questions</b> |
|-----------------|---------------------------------|

|                                                                                                                                                                                                                                                                                |                                                          |                                                          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------|
| <b>20a</b> Is the plan a 401(k) plan? .....                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes                             | <input type="checkbox"/> No                              |
| <b>20b</b> If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)? .....                                                              | <input type="checkbox"/> Design-based safe harbor method | <input type="checkbox"/> ADP/ACP test                    |
| <b>20c</b> If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))? .....                   | <input type="checkbox"/> Yes                             | <input type="checkbox"/> No                              |
| <b>21a</b> Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b): .....                                                                                                                                              | <input type="checkbox"/> Ratio percentage test           | <input type="checkbox"/> Average benefit test            |
| <b>21b</b> Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? .....                                                                           | <input type="checkbox"/> Yes                             | <input type="checkbox"/> No                              |
| <b>22a</b> Has the plan been timely amended for all required tax law changes? .....                                                                                                                                                                                            | <input type="checkbox"/> Yes                             | <input type="checkbox"/> No <input type="checkbox"/> N/A |
| <b>22b</b> Date the last plan amendment/restatement for the required tax law changes was adopted ____/____/____. Enter the applicable code _____. (See instructions for tax law changes and codes).                                                                            |                                                          |                                                          |
| <b>22c</b> If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter ____/____/____ and the letter's serial number _____. |                                                          |                                                          |
| <b>22d</b> If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter ____/____/____.                                                                           |                                                          |                                                          |
| <b>23</b> Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)? .....                               | <input type="checkbox"/> Yes                             | <input type="checkbox"/> No                              |



## **Independent Auditors' Report**

To the Pension Committee  
Legal Aid Society of Cincinnati Money Purchase Pension Plan  
Cincinnati, Ohio

### **Report on the Financial Statements**

We were engaged to audit the accompanying financial statements of Legal Aid Society of Cincinnati Money Purchase Pension Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2015 and 2014, and the related statement of changes in net assets available for benefits the year ended December 31, 2015, and the related notes to the financial statements.

### **Management's Responsibility for the Financial Statements**

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditors' Responsibility**

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

### **Basis for Disclaimer of Opinion**

As permitted by 29 CFR 2520.103-8 of the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 7, which was certified U.S. Bank N.A., the asset custodian of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the Plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the custodian as of December 31, 2015 and 2014 and for the year ending December 31, 2015, that the information provided to the Plan administrator by the custodian is complete and accurate.

### **Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

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**Independent Auditors' Report  
(Continued)**

**Effect of Adopting New Accounting Standard**

As discussed in Note 1, the Financial Accounting Standards Board (FASB) recently issued Accounting Standards Update (ASU) 2015-12 related to plan accounting, which simplifies employee benefit plan reporting with respect to fully-benefit responsive investment contracts and plan investment disclosures, and provides for a measurement-date practical expedient. The change had no effect on the net assets or change in net assets of the Plan for 2015 or 2014. Our opinion is not modified with respect to this matter.

**Other Matter – Supplemental Schedules**

The supplemental schedules of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) and Schedule H, Line 4j – Schedule of Reportable Transactions as of or for the year ending December 31, 2015, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

**Report on Form and Content in Compliance with DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

*Barnes Dennig & Co. Ltd.*

June 30, 2016  
Cincinnati, Ohio

# LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

## Statements of Net Assets Available for Plan Benefits December 31, 2015 and 2014

|                                   | <u>2015</u>                | <u>2014</u>                |
|-----------------------------------|----------------------------|----------------------------|
| <b>Assets</b>                     |                            |                            |
| <b>Investments, at fair value</b> |                            |                            |
| Cash                              | \$ -                       | \$ 2,654                   |
| Money market funds                | 377,877                    | 453,095                    |
| Mutual funds                      | 1,776,963                  | 2,352,796                  |
| Common stocks                     | 3,567,888                  | 3,446,532                  |
| Corporate bonds                   | <u>2,279,089</u>           | <u>1,898,802</u>           |
| Total investments, at fair value  | <u>8,001,817</u>           | <u>8,153,879</u>           |
| <b>Receivables</b>                |                            |                            |
| Employer contribution receivables | 32,827                     | 39,019                     |
| Other receivables                 | <u>21,087</u>              | <u>16,424</u>              |
| Total receivables                 | <u>53,914</u>              | <u>55,443</u>              |
| Net assets available for benefits | <u><u>\$ 8,055,731</u></u> | <u><u>\$ 8,209,322</u></u> |

See accompanying notes to financial statements



**LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN**

**Statement of Changes in Net Assets Available for Plan Benefits  
Year Ended December 31, 2015**

**Additions**

Investment income:

|                                                              |               |
|--------------------------------------------------------------|---------------|
| Net appreciation (depreciation) in fair value of investments | \$ (244,293)  |
| Dividend income                                              | 162,620       |
| Interest income                                              | <u>51,118</u> |

Total investment income (loss) (30,555)

**Employer contributions**

318,481

Total additions

287,926

**Deductions**

|                               |               |
|-------------------------------|---------------|
| Benefits paid to participants | 397,594       |
| Administrative expenses       | <u>43,923</u> |

Total deductions

441,517

**Net increase (decrease)**

(153,591)

**Net assets available for benefits, beginning of year**

8,209,322

**Net assets available for benefits, end of year**

\$ 8,055,731

See accompanying notes to financial statements

## LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

### Notes to Financial Statements

#### NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

##### ***Basis of Presentation***

The accompanying financial statements of Legal Aid Society of Cincinnati Money Purchase Pension Plan (the Plan) have been prepared on the accrual basis.

##### ***Investment Valuation and Income Recognition***

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements. Investment securities, in general, are exposed to various risks such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investments, it is reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect the amounts reported in the statements of net assets available for benefits.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

##### ***Benefit Payments***

Benefit payments are recorded when paid.

##### ***Related Party Transactions***

Legal Aid Society of Cincinnati (the Company) provides the Plan with certain accounting and administrative services for which no fees are charged.

In 2015 and 2014, certain Plan investments were invested in funds with U.S. Bancorp. U.S. Bank, N.A. a wholly owned subsidiary of U.S. Bancorp, is the asset custodian as defined by the Plan. Therefore, these transactions qualify as party-in-interest.

##### ***Expenses***

Certain expenses and costs of the Plan were paid by the Company and, accordingly, are not reflected in the Plan's financial statements. Certain investment-related expenses are included in net appreciation of fair value of investments.

##### ***Use of Estimates***

The preparation of financial statements in conformity with generally accepted accounting principles (GAAP) requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

## LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

### Notes to Financial Statements (Continued)

#### NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### ***Effect of Adopting New Accounting Standard***

In July 2015, the FASB issued ASU 2015-12, *Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plan (Topic 962), and Health and Welfare Benefit Plans (Topic 965): Part (I) Fully Benefit-Responsive Investment Contracts, Part (II) Plan Investment Disclosures, Part (III) Measurement Date Practical Expedient*. This three-part standard simplifies employee benefit plan reporting with respect to fully-benefit responsive investment contracts and plan investment disclosures, and provides for a measurement-date practical expedient. Parts I and II are effective for fiscal years beginning after December 15, 2015 and should be applied retrospectively, with early adoption permitted. Part III is effective for fiscal years beginning after December 15, 2015 and should be applied prospectively, with early application permitted.

Management has elected to adopt Part II early. Accordingly, the amendments were retrospectively applied resulting in removal of certain prior-period disclosure information related to individual investments greater than 5 percent of net assets and net appreciation/depreciation of investments by type. Parts I and III are not applicable to this Plan.

##### ***Subsequent Event Evaluation***

Subsequent events were evaluated through June 30, 2016, which is the date the financial statements were available to be issued.

#### NOTE 2 DESCRIPTION OF PLAN

The following description of the Legal Aid Society of Cincinnati Money Purchase Pension Plan provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

##### ***General***

The Plan is a defined contribution plan covering all eligible employees of the Company who have three months and 1,000 hours of service. Once eligibility requirements are met employees are automatically enrolled in the Plan. The Plan includes employees of the Legal Aid Society of Greater Cincinnati and the Legal Aid Society of Southwest Ohio, which together form the Company. The Plan was established by the Company effective November 1, 1981 and subsequently amended and restated in its entirety effective January 1, 2015. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

##### ***Contributions***

The Plan does not allow for employee or rollover contributions. The Company makes contributions to the Plan equal to 7.8% of eligible participants' compensation, as defined. The Company made contributions of \$318,481 for 2015, which meets the minimum funding requirements of ERISA.

##### ***Participant Accounts***

Each participant's account is credited allocations of (a) the Company's contribution and (b) Plan earnings. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

## LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

### Notes to Financial Statements (Continued)

#### NOTE 2 DESCRIPTION OF PLAN (CONTINUED)

##### ***Non-Participant Directed Accounts***

All participant accounts are maintained in investment funds directed by the Company.

##### ***Vesting***

Participants are vested in their share of employer contributions plus actual earnings thereon as follows:

| <u>Vesting Years</u> | <u>Non-Forfeitable<br/>Percentage</u> |
|----------------------|---------------------------------------|
| Less than 2          | 0%                                    |
| 2                    | 20%                                   |
| 3 - 4                | 75%                                   |
| 5                    | 100%                                  |

##### ***Payment of Benefits***

Upon termination of service due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or annual installments. For termination of service due to other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution, a joint and 50% or 75% survivor annuity or a rollover.

##### ***Forfeitures***

Forfeitures occurring during the year serve to restore participant's forfeitures who are reemployed and bought back into the Plan and offset Plan expenses or employer contributions. At December 31, 2015 and 2014, forfeited nonvested accounts totaled \$16,981 and \$9,550, respectively.

## LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

### Notes to Financial Statements (Continued)

#### NOTE 3 FAIR VALUE MEASUREMENTS

Under applicable GAAP for fair value measurements and disclosures, a three-level hierarchy is established for fair value measurements based on transparency of valuation inputs as of the measurement date. The hierarchy is based on the lowest level of input that is significant to the fair value measurement.

The three levels are defined as follows:

Level 1 – Inputs are unadjusted quoted prices for identical assets in active markets.

Level 2 – Inputs are observable quoted prices for similar assets in active markets.

Level 3 – Inputs are unobservable and reflect management's best estimates of what market participants would use as fair value.

*Common stocks, mutual funds and corporate bonds:* Fair values are determined by reference to quoted market prices and other relevant information generated by market transactions. Common stocks, mutual funds and government bonds are categorized as using Level 1 inputs.

*Money market funds:* Valued at the net asset value ("NAV") of shares held at year end.

The following table summarizes assets measured at fair value on a recurring basis at December 31:

|                    | 2015                       | 2014                       |
|--------------------|----------------------------|----------------------------|
| <b>Level 1:</b>    |                            |                            |
| Common stocks      | \$ 3,567,888               | \$ 3,446,532               |
| Corporate bonds    | 2,279,089                  | 1,898,802                  |
| Mutual funds       | 1,776,963                  | 2,352,796                  |
| <b>Level 2:</b>    |                            |                            |
| Money market funds | 377,877                    | 453,095                    |
| <b>Total</b>       | <u><u>\$ 8,001,817</u></u> | <u><u>\$ 8,151,225</u></u> |

#### NOTE 4 PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of the Plan termination, all amounts credited to participants' accounts will become fully vested and nonforfeitable.

## **LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN**

### **Notes to Financial Statements (Continued)**

#### **NOTE 5 TAX STATUS**

The Plan Sponsor has adopted a prototype plan which has received an opinion letter from the Internal Revenue Service dated March 31, 2008 which states the provider's prototype, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan itself has not received a determination letter from the IRS. The Plan has been amended since receiving the opinion letter. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provisions for income taxes have been included in the Plan's financial statements.

#### **NOTE 6 RISKS AND UNCERTAINTIES**

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

#### **NOTE 7 INFORMATION CERTIFIED BY THE ASSET CUSTODIAN**

The following information provided by U.S. Bank, N.A. was certified to be accurate and complete:

- (a) The assets held by U.S. Bank, N.A. as of December 31, 2015 and 2014, as well as the transactions involving these assets for the year ended December 31, 2015.
- (b) The amount of investment income for the year ended December 31, 2015.
- (c) The Schedule H, Line 4i – Schedule of Assets (Held at End of Year) for the year ended December 31, 2015.
- (d) The Schedule H, Line 4j – Schedule of Reportable Transactions for the year ended December 31, 2015.

# LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

## Schedule H, Line 4i – Schedule of Assets (Held at End of Year) December 31, 2015

SCHEDULE H – FORM 5500  
FEIN # 31-0536673  
PLAN NUMBER 001

| Column A | Column B                                          | Column C                     | Column D   | Column E         |
|----------|---------------------------------------------------|------------------------------|------------|------------------|
|          | Identity of issuer, borrower<br>of similar entity | Description<br>of Investment | Cost       | Current<br>Value |
|          | First America Government Obligation Fund          | Money Market Funds           | \$ 377,877 | \$ 377,877       |
|          |                                                   | Total Money Market Funds     | 377,877    | 377,877          |
|          | Oakmark International Fund                        | Mutual Funds                 | 325,000    | 301,587          |
|          | iShares Msci Eafe ETF                             | Mutual Funds                 | 346,575    | 305,344          |
|          | Ishares Russell Midcap Index ETF                  | Mutual Funds                 | 242,260    | 320,360          |
|          | iShares Russel 2000 Index ETF                     | Mutual Funds                 | 229,820    | 225,240          |
|          | Jpmorgan Alerian Mtp Index ETN                    | Mutual Funds                 | 349,248    | 257,833          |
|          | Technology Select Sector                          | Mutual Funds                 | 116,970    | 128,490          |
|          | Wisdomtree Europe Hedged Equity                   | Mutual Funds                 | 248,600    | 238,109          |
|          |                                                   | Total Mutual Funds           | 1,858,473  | 1,776,963        |
|          | Alphabet Inc                                      | Common Stock                 | 61,423     | 153,689          |
|          | Amerisourcebergen Corp                            | Common Stock                 | 24,962     | 66,893           |
|          | Bank of New York Mellon Corp                      | Common Stock                 | 57,760     | 60,593           |
|          | Bankunited Inc                                    | Common Stock                 | 66,829     | 73,382           |
|          | Boeing Co                                         | Common Stock                 | 64,063     | 72,295           |
|          | Borg Warner Inc                                   | Common Stock                 | 91,894     | 74,572           |
|          | Csx Corp                                          | Common Stock                 | 64,463     | 73,958           |
|          | Capital One Financial Corp                        | Common Stock                 | 59,091     | 64,962           |
|          | Celgene Corp                                      | Common Stock                 | 52,347     | 52,096           |
|          | Chevron Corporation                               | Common Stock                 | 30,637     | 84,562           |
|          | Delta Air Lines Inc                               | Common Stock                 | 64,679     | 76,542           |
|          | Disney Walt Co                                    | Common Stock                 | 52,755     | 115,588          |
|          | E O G Res Inc                                     | Common Stock                 | 79,900     | 67,958           |
|          | Fiserv Inc                                        | Common Stock                 | 48,777     | 118,898          |

(Continued)

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Schedule H, Line 4i -- Schedule of Assets (Held at End of Year) (Continued)  
December 31, 2015

SCHEDULE H -- FORM 5500  
FEIN # 31-0536673  
PLAN NUMBER 001

| Column A | Column B                                          | Column C                     | Column D  | Column E         |
|----------|---------------------------------------------------|------------------------------|-----------|------------------|
|          | Identity of issuer, borrower<br>of similar entity | Description<br>of Investment | Cost      | Current<br>Value |
|          | Fortune Brands Home & Security                    | Common Stock                 | \$ 61,673 | \$ 72,428        |
|          | Johnson & Johnson                                 | Common Stock                 | 76,635    | 115,560          |
|          | Kroger Co                                         | Common Stock                 | 59,369    | 93,699           |
|          | Lowes Co Inc                                      | Common Stock                 | 50,154    | 102,654          |
|          | Marsh McLennan Cos Inc                            | Common Stock                 | 56,191    | 84,561           |
|          | Merck and Co Inc                                  | Common Stock                 | 62,690    | 73,948           |
|          | Microchip Technology Inc                          | Common Stock                 | 63,295    | 74,464           |
|          | Morgan Stanley                                    | Common Stock                 | 64,534    | 69,187           |
|          | Pepsico Inc                                       | Common Stock                 | 68,045    | 107,414          |
|          | Procter & Gamble Co                               | Common Stock                 | 25,743    | 99,263           |
|          | Stryker Corp                                      | Common Stock                 | 56,468    | 102,234          |
|          | TJX Companies Inc                                 | Common Stock                 | 56,658    | 88,638           |
|          | 3M Corporation                                    | Common Stock                 | 64,231    | 105,448          |
| *        | US Bancorp                                        | Common Stock                 | 18,448    | 87,474           |
|          | United Technologies Corp                          | Common Stock                 | 61,924    | 76,856           |
|          | United Health Group Inc                           | Common Stock                 | 56,387    | 105,876          |
|          | Verizon Communications Inc                        | Common Stock                 | 61,788    | 60,086           |
|          | Visa Inc                                          | Common Stock                 | 56,812    | 100,815          |
|          | Bbt Corporation                                   | Common Stock                 | 67,785    | 79,260           |
|          | JP Morgan Chase                                   | Common Stock                 | 74,960    | 75,981           |
|          | Prudential                                        | Common Stock                 | 50,000    | 51,520           |
|          | Allergan Plc                                      | Common Stock                 | 67,413    | 67,500           |
|          | Invesco Ltd                                       | Common Stock                 | 62,675    | 51,057           |
|          | Medtronic Plc                                     | Common Stock                 | 63,344    | 69,228           |
|          | Renaissancere                                     | Common Stock                 | 82,394    | 99,760           |
|          | Ace Ltd                                           | Common Stock                 | 57,822    | 93,480           |
|          | Arm Holdings Plc                                  | Common Stock                 | 47,196    | 39,809           |
|          | Nestle Sa Sponsored A D R                         | Common Stock                 | 40,058    | 74,420           |
|          | Schlumberger Ltd                                  | Common Stock                 | 59,948    | 89,280           |
|          |                                                   | Total Common Stock           | 2,514,220 | 3,567,888        |

(Continued)



# LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

## Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (Continued) December 31, 2015

SCHEDULE H – FORM 5500  
FEIN # 31-0536673  
PLAN NUMBER 001

| Column A | Column B                                          | Column C                     | Column D            | Column E            |
|----------|---------------------------------------------------|------------------------------|---------------------|---------------------|
|          | Identity of issuer, borrower<br>of similar entity | Description<br>of Investment | Cost                | Current<br>Value    |
|          | Abbvie Inc                                        | Corporate Bond               | \$ 99,878           | \$ 99,555           |
|          | Amphenol Corp                                     | Corporate Bond               | 101,630             | 100,017             |
|          | Assurant Inc                                      | Corporate Bond               | 75,200              | 74,876              |
|          | Bank of America Corp                              | Corporate Bond               | 99,500              | 95,250              |
|          | Becton Dickinson                                  | Corporate Bond               | 100,707             | 99,578              |
|          | Dow Chemical Co                                   | Corporate Bond               | 70,231              | 69,238              |
|          | FMC Technologies                                  | Corporate Bond               | 73,476              | 73,831              |
|          | Gatx Corp                                         | Corporate Bond               | 75,563              | 74,458              |
|          | General Electric                                  | Corporate Bond               | 100,000             | 98,698              |
|          | Goldman Sachs                                     | Corporate Bond               | 76,125              | 75,648              |
|          | IBM Corp                                          | Corporate Bond               | 49,967              | 50,236              |
|          | JP Morgan Chase                                   | Corporate Bond               | 152,625             | 149,790             |
|          | Kennametal                                        | Corporate Bond               | 100,958             | 97,311              |
|          | McDonalds                                         | Corporate Bond               | 150,512             | 149,897             |
|          | Morgan Stanley                                    | Corporate Bond               | 51,151              | 50,027              |
|          | Murphy Oil Corp                                   | Corporate Bond               | 100,675             | 91,716              |
|          | Rock Tenn Co                                      | Corporate Bond               | 102,079             | 101,002             |
|          | Simon Prop Gp                                     | Corporate Bond               | 101,583             | 101,313             |
|          | Spectra Energy                                    | Corporate Bond               | 101,973             | 100,359             |
|          | Thermo Fisher                                     | Corporate Bond               | 101,322             | 99,956              |
|          | Actavis Inc                                       | Corporate Bond               | 74,610              | 74,911              |
|          | Wellpoint Inc                                     | Corporate Bond               | 75,677              | 74,675              |
|          | Western Union                                     | Corporate Bond               | 99,346              | 101,189             |
|          | Xilinx Inc                                        | Corporate Bond               | 103,039             | 100,256             |
|          | Nomura Holdings                                   | Corporate Bond               | 76,038              | 75,302              |
|          |                                                   | Total Corporate Bond         | 2,313,865           | 2,279,089           |
|          |                                                   |                              | <u>\$ 7,064,435</u> | <u>\$ 8,001,817</u> |

Note: The above data is based upon information that has been certified as complete and accurate by US Bank, N.A.

\* Party-In-Interest

See independent auditors' disclaimer of opinion report

**LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN**

**Schedule H, Line 4j – Schedule of Reportable Transactions  
Year Ended December 31, 2015**

SCHEDULE H – FORM 5500  
FEIN # 31-0536673  
PLAN NUMBER 001

| Identity     | Description<br>of Asset | Purchase<br>Price | Selling<br>Price | Cost of<br>Assets | Current<br>Value at<br>Transaction<br>Date | Net Gain<br>or (Loss) |
|--------------|-------------------------|-------------------|------------------|-------------------|--------------------------------------------|-----------------------|
| Attachment A |                         |                   |                  |                   |                                            |                       |

NOTE: Please find the Schedule of Reportable Transactions information attached

NOTE: The attached data is based on information that has been certified as complete  
and accurate by U.S. Bank, N.A.

See independent auditors' disclaimer of opinion report

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Period from January 1, 2015 to December 31, 2015

**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE**

| DATE                                                                            | BOUGHT/<br>SOLD                            | SHARES/<br>PAR VALUE            | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|---------------------------------------------------------------------------------|--------------------------------------------|---------------------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| <b>BEGINNING MARKET VALUE</b>                                                   |                                            |                                 |               |                     |                   |                     |                       |
|                                                                                 |                                            |                                 |               |                     | 8,170,302.65      |                     |                       |
| <b>COMPARATIVE VALUE (5%)</b>                                                   |                                            |                                 |               |                     |                   |                     |                       |
|                                                                                 |                                            |                                 |               |                     | 408,515.13        |                     |                       |
| <b>CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE</b>                      |                                            |                                 |               |                     |                   |                     |                       |
| *NO TRANSACTIONS QUALIFIED FOR THIS SECTION*                                    |                                            |                                 |               |                     |                   |                     |                       |
| <b>CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE</b> |                                            |                                 |               |                     |                   |                     |                       |
| Broker: Charles Schwab And Co., Inc.                                            |                                            |                                 |               |                     |                   |                     |                       |
| 02/27/2015                                                                      | Issue: 983919AH4 - Xilinx Inc<br>B         | 3,000% 3/15/21<br>100,000.000   | 1.0304        |                     | - 103,039         | 103,039             |                       |
| 03/30/2015                                                                      | Issue: 84756NAA7 - Spectra Energy<br>B     | 2,950% 6/15/16<br>100,000.000   | 1.0197        |                     | - 101,973         | 101,973             |                       |
| 12/18/2015                                                                      | Issue: 58013MEX8 - McDonalds Corp Mtn<br>B | 2,750% 12/09/20<br>150,000.000  | 1.0034        |                     | - 150,512         | 150,512             |                       |
| 12/21/2015                                                                      | Issue: 268648AQ5 - Emc Corp<br>S           | 2,650% 6/01/20<br>- 100,000.000 | .8845         |                     | 88,451            | 99,374              | - 10,923              |
| 12/28/2015                                                                      | Issue: 828807CH8 - Simon Prop Gp LP<br>B   | 2,800% 1/30/17<br>100,000.000   | 1.0158        |                     | - 101,583         | 101,583             |                       |
| <b>Total For Charles Schwab And Co., Inc.</b>                                   |                                            |                                 |               | 0                   | 545,558           | 556,481             | - 10,923              |

Broker: Morgan Stanley & Co. LLC

|            |                                                        |           |          |     |           |         |        |
|------------|--------------------------------------------------------|-----------|----------|-----|-----------|---------|--------|
| 01/21/2015 | Issue: 464287655 - Ishares Russell 2000 Index Etf<br>B | 2,000.000 | 114.8598 | 100 | - 229,820 | 229,820 |        |
| 04/13/2015 | Issue: G97822103 - Perrigo Co Plc<br>S                 | - 400.000 | 195.5447 | 21  | 78,196    | 60,293  | 17,904 |
| 08/17/2015 | Issue: 099724106 - Borg Warner Inc<br>B                | 600.000   | 46.3925  | 30  | - 27,866  | 27,866  |        |

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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE                                              | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|---------------------------------------------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| Issue: 46625H365 - Jpmorgan Alerian Mlp Index Etn |                 |                      |               |                     |                   |                     |                       |
| 08/17/2015                                        | B               | 2,700.000            | 36.4092       | 135                 | - 98,440          | 98,440              |                       |
| Issue: 26875P101 - E O G Res Inc                  |                 |                      |               |                     |                   |                     |                       |
| 08/17/2015                                        | B               | 200.000              | 78.8450       | 10                  | - 15,779          | 15,779              |                       |
| <b>Total For Morgan Stanley &amp; Co. LLC</b>     |                 |                      |               |                     | <b>450,101</b>    | <b>432,198</b>      | <b>17,904</b>         |

Broker: Oppenheimer & Co. Inc.

|                                             |   |           |         |     |                |                |          |
|---------------------------------------------|---|-----------|---------|-----|----------------|----------------|----------|
| Issue: 464287465 - Ishares Msci Eale Etf    |   |           |         |     |                |                |          |
| 04/24/2015                                  | B | 5,200.000 | 66.5991 | 260 | - 346,575      | 346,575        |          |
| Issue: G5960L103 - Medtronic Plc            |   |           |         |     |                |                |          |
| 09/22/2015                                  | B | 900.000   | 70.3325 | 45  | - 63,344       | 63,344         |          |
| <b>Total For Oppenheimer &amp; Co. Inc.</b> |   |           |         |     | <b>409,919</b> | <b>409,919</b> | <b>0</b> |

|                    |  |  |  |  |                  |                  |              |
|--------------------|--|--|--|--|------------------|------------------|--------------|
| <b>GRAND TOTAL</b> |  |  |  |  | <b>1,405,578</b> | <b>1,398,598</b> | <b>6,981</b> |
|--------------------|--|--|--|--|------------------|------------------|--------------|

**CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE**

Issue: 31846V203 - First Amer Govt Oblig Fund CI Y

|            |   |           |        |  |         |       |  |
|------------|---|-----------|--------|--|---------|-------|--|
| 01/02/2015 | B | 1,712.570 | 1.0000 |  | - 1,713 | 1,713 |  |
| 01/05/2015 | B | 944.660   | 1.0000 |  | - 945   | 945   |  |
| 01/05/2015 | B | 520.000   | 1.0000 |  | - 520   | 520   |  |
| 01/07/2015 | B | 704.130   | 1.0000 |  | - 704   | 704   |  |
| 01/08/2015 | B | 1,895.000 | 1.0000 |  | - 1,895 | 1,895 |  |
| 01/09/2015 | B | 320.000   | 1.0000 |  | - 320   | 320   |  |
| 01/15/2015 | B | 181.600   | 1.0000 |  | - 182   | 182   |  |

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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 01/20/2015 | B               | 169,743.210          | 1.0000        |                     | - 169,743         | 169,743             |                       |
| 01/22/2015 | B               | 890.630              | 1.0000        |                     | - 891             | 891                 |                       |
| 01/26/2015 | B               | 649.750              | 1.0000        |                     | - 650             | 650                 |                       |
| 01/29/2015 | B               | .040                 | 1.0000        |                     |                   |                     |                       |
| 01/30/2015 | B               | 2,545.130            | 1.0000        |                     | - 2,545           | 2,545               |                       |
| 01/30/2015 | B               | 127.300              | 1.0000        |                     | - 127             | 127                 |                       |
| 02/02/2015 | B               | 111,790.980          | 1.0000        |                     | - 111,791         | 111,791             |                       |
| 02/03/2015 | B               | 716.480              | 1.0000        |                     | - 716             | 716                 |                       |
| 02/04/2015 | B               | 310.500              | 1.0000        |                     | - 311             | 311                 |                       |
| 02/05/2015 | B               | 498.750              | 1.0000        |                     | - 499             | 499                 |                       |
| 02/10/2015 | B               | 26,825.580           | 1.0000        |                     | - 26,826          | 26,826              |                       |
| 02/11/2015 | B               | 102,259.910          | 1.0000        |                     | - 102,260         | 102,260             |                       |
| 02/13/2015 | B               | 644.500              | 1.0000        |                     | - 645             | 645                 |                       |
| 02/17/2015 | B               | 804.500              | 1.0000        |                     | - 805             | 805                 |                       |
| 02/20/2015 | B               | 270.000              | 1.0000        |                     | - 270             | 270                 |                       |
| 02/25/2015 | B               | 406.000              | 1.0000        |                     | - 406             | 406                 |                       |
| 03/02/2015 | B               | 4,272.380            | 1.0000        |                     | - 4,272           | 4,272               |                       |
| 03/02/2015 | B               | 1,343.750            | 1.0000        |                     | - 1,344           | 1,344               |                       |
| 03/03/2015 | B               | 802.760              | 1.0000        |                     | - 803             | 803                 |                       |
| 03/05/2015 | B               | 218.750              | 1.0000        |                     | - 219             | 219                 |                       |
| 03/06/2015 | B               | 381.250              | 1.0000        |                     | - 381             | 381                 |                       |
| 03/09/2015 | B               | 571.200              | 1.0000        |                     | - 571             | 571                 |                       |

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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 03/10/2015 | B               | 3,646.840            | 1.0000        |                     | - 3,647           | 3,647               |                       |
| 03/12/2015 | B               | 717.500              | 1.0000        |                     | - 718             | 718                 |                       |
| 03/13/2015 | B               | 1,206.000            | 1.0000        |                     | - 1,206           | 1,206               |                       |
| 03/16/2015 | B               | 5,322.500            | 1.0000        |                     | - 5,323           | 5,323               |                       |
| 03/16/2015 | B               | 312.500              | 1.0000        |                     | - 313             | 313                 |                       |
| 03/18/2015 | B               | 50.000               | 1.0000        |                     | - 50              | 50                  |                       |
| 03/18/2015 | B               | 26,222.070           | 1.0000        |                     | - 26,222          | 26,222              |                       |
| 03/20/2015 | B               | 719.100              | 1.0000        |                     | - 719             | 719                 |                       |
| 03/24/2015 | B               | 337.500              | 1.0000        |                     | - 338             | 338                 |                       |
| 03/26/2015 | B               | 201,197.610          | 1.0000        |                     | - 201,198         | 201,198             |                       |
| 03/27/2015 | B               | 278.470              | 1.0000        |                     | - 278             | 278                 |                       |
| 03/31/2015 | B               | 2,523.520            | 1.0000        |                     | - 2,524           | 2,524               |                       |
| 04/01/2015 | B               | 1,765.630            | 1.0000        |                     | - 1,766           | 1,766               |                       |
| 04/08/2015 | B               | 630.000              | 1.0000        |                     | - 630             | 630                 |                       |
| 04/09/2015 | B               | 24,000.000           | 1.0000        |                     | - 24,000          | 24,000              |                       |
| 04/10/2015 | B               | 400.000              | 1.0000        |                     | - 400             | 400                 |                       |
| 04/13/2015 | B               | 78,196.440           | 1.0000        |                     | - 78,196          | 78,196              |                       |
| 04/15/2015 | B               | 2,242.930            | 1.0000        |                     | - 2,243           | 2,243               |                       |
| 04/17/2015 | B               | 78,330.160           | 1.0000        |                     | - 78,330          | 78,330              |                       |
| 04/21/2015 | B               | 520.000              | 1.0000        |                     | - 520             | 520                 |                       |
| 04/23/2015 | B               | 258,281.190          | 1.0000        |                     | - 258,281         | 258,281             |                       |
| 04/27/2015 | B               | 851.750              | 1.0000        |                     | - 852             | 852                 |                       |

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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 04/28/2015 | B               | 25,667.680           | 1.0000        |                     | -25,668           | 25,668              |                       |
| 04/30/2015 | B               | 379.500              | 1.0000        |                     | -380              | 380                 |                       |
| 04/30/2015 | B               | 127.300              | 1.0000        |                     | -127              | 127                 |                       |
| 05/01/2015 | B               | 15,481.870           | 1.0000        |                     | -15,482           | 15,482              |                       |
| 05/04/2015 | B               | 1.600                | 1.0000        |                     | -2                | 2                   |                       |
| 05/05/2015 | B               | 682.760              | 1.0000        |                     | -683              | 683                 |                       |
| 05/06/2015 | B               | 1,310.500            | 1.0000        |                     | -1,311            | 1,311               |                       |
| 05/07/2015 | B               | 145.350              | 1.0000        |                     | -145              | 145                 |                       |
| 05/15/2015 | B               | 2,306.880            | 1.0000        |                     | -2,307            | 2,307               |                       |
| 05/21/2015 | B               | 360.000              | 1.0000        |                     | -360              | 360                 |                       |
| 05/27/2015 | B               | 26,760.390           | 1.0000        |                     | -26,760           | 26,760              |                       |
| 05/29/2015 | B               | 1,934.810            | 1.0000        |                     | -1,935            | 1,935               |                       |
| 06/01/2015 | B               | 5,097.380            | 1.0000        |                     | -5,097            | 5,097               |                       |
| 06/01/2015 | B               | 1,316.250            | 1.0000        |                     | -1,316            | 1,316               |                       |
| 06/04/2015 | B               | 834.500              | 1.0000        |                     | -835              | 835                 |                       |
| 06/05/2015 | B               | 455.000              | 1.0000        |                     | -455              | 455                 |                       |
| 06/05/2015 | B               | 411.750              | 1.0000        |                     | -412              | 412                 |                       |
| 06/08/2015 | B               | 3,486.880            | 1.0000        |                     | -3,487            | 3,487               |                       |
| 06/09/2015 | B               | 843.750              | 1.0000        |                     | -844              | 844                 |                       |
| 06/10/2015 | B               | 2,955.300            | 1.0000        |                     | -2,955            | 2,955               |                       |
| 06/12/2015 | B               | 717.500              | 1.0000        |                     | -718              | 718                 |                       |
| 06/15/2015 | B               | 3,665.500            | 1.0000        |                     | -3,666            | 3,666               |                       |

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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 06/16/2015 | B               | 312.500              | 1.0000        |                     | - 313             | 313                 |                       |
| 06/17/2015 | B               | 30,068.290           | 1.0000        |                     | - 30,068          | 30,068              |                       |
| 06/23/2015 | B               | 719.100              | 1.0000        |                     | - 719             | 719                 |                       |
| 06/24/2015 | B               | 450.000              | 1.0000        |                     | - 450             | 450                 |                       |
| 06/26/2015 | B               | 4,289.240            | 1.0000        |                     | - 4,289           | 4,289               |                       |
| 06/29/2015 | B               | 578.670              | 1.0000        |                     | - 579             | 579                 |                       |
| 06/29/2015 | B               | 50,000.000           | 1.0000        |                     | - 50,000          | 50,000              |                       |
| 06/30/2015 | B               | 881.580              | 1.0000        |                     | - 882             | 882                 |                       |
| 07/01/2015 | B               | 6,138.700            | 1.0000        |                     | - 6,139           | 6,139               |                       |
| 07/02/2015 | B               | .920                 | 1.0000        |                     | - 1               | 1                   |                       |
| 07/06/2015 | B               | 671.110              | 1.0000        |                     | - 671             | 671                 |                       |
| 07/08/2015 | B               | 630.000              | 1.0000        |                     | - 630             | 630                 |                       |
| 07/09/2015 | B               | 2,346.400            | 1.0000        |                     | - 2,346           | 2,346               |                       |
| 07/09/2015 | B               | 27,672.560           | 1.0000        |                     | - 27,673          | 27,673              |                       |
| 07/10/2015 | B               | 107,092.630          | 1.0000        |                     | - 107,093         | 107,093             |                       |
| 07/10/2015 | B               | 400.000              | 1.0000        |                     | - 400             | 400                 |                       |
| 07/15/2015 | B               | 2,166.520            | 1.0000        |                     | - 2,167           | 2,167               |                       |
| 07/21/2015 | B               | 536.000              | 1.0000        |                     | - 536             | 536                 |                       |
| 07/22/2015 | B               | 890.630              | 1.0000        |                     | - 891             | 891                 |                       |
| 07/28/2015 | B               | 26,605.560           | 1.0000        |                     | - 26,606          | 26,606              |                       |
| 07/29/2015 | B               | 726.000              | 1.0000        |                     | - 726             | 726                 |                       |
| 07/30/2015 | B               | 2,165.630            | 1.0000        |                     | - 2,166           | 2,166               |                       |



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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 07/31/2015 | B               | 379.500              | 1.0000        |                     | - 380             | 380                 |                       |
| 07/31/2015 | B               | 127.300              | 1.0000        |                     | - 127             | 127                 |                       |
| 08/03/2015 | B               | 1,915.000            | 1.0000        |                     | - 1,915           | 1,915               |                       |
| 08/04/2015 | B               | 1.880                | 1.0000        |                     | - 2               | 2                   |                       |
| 08/05/2015 | B               | 1,103.680            | 1.0000        |                     | - 1,104           | 1,104               |                       |
| 08/11/2015 | B               | 27,348.820           | 1.0000        |                     | - 27,349          | 27,349              |                       |
| 08/12/2015 | B               | 487.500              | 1.0000        |                     | - 488             | 488                 |                       |
| 08/14/2015 | B               | 799.000              | 1.0000        |                     | - 799             | 799                 |                       |
| 08/20/2015 | B               | 360.000              | 1.0000        |                     | - 360             | 360                 |                       |
| 08/24/2015 | B               | 203,367.860          | 1.0000        |                     | - 203,368         | 203,368             |                       |
| 09/01/2015 | B               | 4,456.380            | 1.0000        |                     | - 4,456           | 4,456               |                       |
| 09/01/2015 | B               | 1,343.750            | 1.0000        |                     | - 1,344           | 1,344               |                       |
| 09/02/2015 | B               | 671.940              | 1.0000        |                     | - 672             | 672                 |                       |
| 09/03/2015 | B               | 262.500              | 1.0000        |                     | - 263             | 263                 |                       |
| 09/04/2015 | B               | 455.000              | 1.0000        |                     | - 455             | 455                 |                       |
| 09/04/2015 | B               | 411.750              | 1.0000        |                     | - 412             | 412                 |                       |
| 09/08/2015 | B               | 4,258.220            | 1.0000        |                     | - 4,258           | 4,258               |                       |
| 09/09/2015 | B               | 784.360              | 1.0000        |                     | - 784             | 784                 |                       |
| 09/10/2015 | B               | 1,150.620            | 1.0000        |                     | - 1,151           | 1,151               |                       |
| 09/14/2015 | B               | 1,467.500            | 1.0000        |                     | - 1,468           | 1,468               |                       |
| 09/15/2015 | B               | 5,101.000            | 1.0000        |                     | - 5,101           | 5,101               |                       |
| 09/16/2015 | B               | 312.500              | 1.0000        |                     | - 313             | 313                 |                       |

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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 09/16/2015 | B               | 27,216.870           | 1.0000        |                     | -27,217           | 27,217              |                       |
| 09/25/2015 | B               | 697.190              | 1.0000        |                     | -697              | 697                 |                       |
| 09/28/2015 | B               | 550.290              | 1.0000        |                     | -550              | 550                 |                       |
| 09/30/2015 | B               | 755.190              | 1.0000        |                     | -755              | 755                 |                       |
| 10/01/2015 | B               | 3,462.500            | 1.0000        |                     | -3,463            | 3,463               |                       |
| 10/02/2015 | B               | 1.650                | 1.0000        |                     | -2                | 2                   |                       |
| 10/05/2015 | B               | 691.010              | 1.0000        |                     | -691              | 691                 |                       |
| 10/07/2015 | B               | 792.620              | 1.0000        |                     | -793              | 793                 |                       |
| 10/07/2015 | B               | 27,695.920           | 1.0000        |                     | -27,696           | 27,696              |                       |
| 10/09/2015 | B               | 400.000              | 1.0000        |                     | -400              | 400                 |                       |
| 10/15/2015 | B               | 101,279.460          | 1.0000        |                     | -101,279          | 101,279             |                       |
| 10/15/2015 | B               | 307.500              | 1.0000        |                     | -308              | 308                 |                       |
| 10/16/2015 | B               | 342.000              | 1.0000        |                     | -342              | 342                 |                       |
| 10/22/2015 | B               | 536.000              | 1.0000        |                     | -536              | 536                 |                       |
| 10/30/2015 | B               | 187,983.790          | 1.0000        |                     | -187,984          | 187,984             |                       |
| 10/30/2015 | B               | 160.800              | 1.0000        |                     | -161              | 161                 |                       |
| 11/02/2015 | B               | 2,059.500            | 1.0000        |                     | -2,060            | 2,060               |                       |
| 11/03/2015 | B               | 2.160                | 1.0000        |                     | -2                | 2                   |                       |
| 11/04/2015 | B               | 378.000              | 1.0000        |                     | -378              | 378                 |                       |
| 11/05/2015 | B               | 683.130              | 1.0000        |                     | -683              | 683                 |                       |
| 11/06/2015 | B               | 1,000.000            | 1.0000        |                     | -1,000            | 1,000               |                       |
| 11/10/2015 | B               | 27,590.210           | 1.0000        |                     | -27,590           | 27,590              |                       |

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# FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 11/13/2015 | B               | 1,048.900            | 1.0000        |                     | - 1,049           | 1,049               |                       |
| 11/16/2015 | B               | 1,553.630            | 1.0000        |                     | - 1,554           | 1,554               |                       |
| 11/19/2015 | B               | 360.000              | 1.0000        |                     | - 360             | 360                 |                       |
| 11/30/2015 | B               | 203.850              | 1.0000        |                     | - 204             | 204                 |                       |
| 12/01/2015 | B               | 6,683.380            | 1.0000        |                     | - 6,683           | 6,683               |                       |
| 12/02/2015 | B               | 2.740                | 1.0000        |                     | - 3               | 3                   |                       |
| 12/03/2015 | B               | 262.500              | 1.0000        |                     | - 263             | 263                 |                       |
| 12/04/2015 | B               | 1,028.600            | 1.0000        |                     | - 1,029           | 1,029               |                       |
| 12/07/2015 | B               | 27,973.800           | 1.0000        |                     | - 27,974          | 27,974              |                       |
| 12/08/2015 | B               | 843.750              | 1.0000        |                     | - 844             | 844                 |                       |
| 12/08/2015 | B               | 5,111.270            | 1.0000        |                     | - 5,111           | 5,111               |                       |
| 12/10/2015 | B               | 2,955.300            | 1.0000        |                     | - 2,955           | 2,955               |                       |
| 12/14/2015 | B               | 717.500              | 1.0000        |                     | - 718             | 718                 |                       |
| 12/15/2015 | B               | 3,381.000            | 1.0000        |                     | - 3,381           | 3,381               |                       |
| 12/16/2015 | B               | 495.200              | 1.0000        |                     | - 495             | 495                 |                       |
| 12/17/2015 | B               | 2,562.500            | 1.0000        |                     | - 2,563           | 2,563               |                       |
| 12/21/2015 | B               | 88,598.220           | 1.0000        |                     | - 88,598          | 88,598              |                       |
| 12/22/2015 | B               | 15,254.420           | 1.0000        |                     | - 15,254          | 15,254              |                       |
| 12/29/2015 | B               | 3,291.190            | 1.0000        |                     | - 3,291           | 3,291               |                       |
| 12/29/2015 | B               | 910.180              | 1.0000        |                     | - 910             | 910                 |                       |
| 12/31/2015 | B               | 2,748.130            | 1.0000        |                     | - 2,748           | 2,748               |                       |

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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE           | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|----------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| Total For Buys |                 |                      |               | 0                   | 2,287,541         | 2,287,541           | 0                     |
| 01/15/2015     | S               | - 62,601.560         | 1.0000        |                     | 62,602            | 62,602              |                       |
| 01/21/2015     | S               | - 229,819.600        | 1.0000        |                     | 229,820           | 229,820             |                       |
| 01/27/2015     | S               | - 84.000             | 1.0000        |                     | 84                | 84                  |                       |
| 02/03/2015     | S               | - 10,801.390         | 1.0000        |                     | 10,801            | 10,801              |                       |
| 02/12/2015     | S               | - 63,232.300         | 1.0000        |                     | 63,232            | 63,232              |                       |
| 02/24/2015     | S               | - 36.000             | 1.0000        |                     | 36                | 36                  |                       |
| 02/27/2015     | S               | - 104,388.600        | 1.0000        |                     | 104,389           | 104,389             |                       |
| 03/06/2015     | S               | - 66,373.590         | 1.0000        |                     | 66,374            | 66,374              |                       |
| 03/10/2015     | S               | - 98,376.300         | 1.0000        |                     | 98,376            | 98,376              |                       |
| 03/25/2015     | S               | - 72.000             | 1.0000        |                     | 72                | 72                  |                       |
| 03/30/2015     | S               | - 102,312.630        | 1.0000        |                     | 102,313           | 102,313             |                       |
| 04/01/2015     | S               | - 150.000            | 1.0000        |                     | 150               | 150                 |                       |
| 04/02/2015     | S               | - 102,470.340        | 1.0000        |                     | 102,470           | 102,470             |                       |
| 04/06/2015     | S               | - 48,783.470         | 1.0000        |                     | 48,783            | 48,783              |                       |
| 04/09/2015     | S               | - 30,000.000         | 1.0000        |                     | 30,000            | 30,000              |                       |
| 04/15/2015     | S               | - 816.670            | 1.0000        |                     | 817               | 817                 |                       |
| 04/24/2015     | S               | - 346,575.320        | 1.0000        |                     | 346,575           | 346,575             |                       |
| 05/04/2015     | S               | - 10,522.750         | 1.0000        |                     | 10,523            | 10,523              |                       |
| 05/08/2015     | S               | - 150.000            | 1.0000        |                     | 150               | 150                 |                       |
| 05/11/2015     | S               | - 156,981.720        | 1.0000        |                     | 156,982           | 156,982             |                       |

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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 05/26/2015 | S               | - 134,000            | 1.0000        |                     | 134               | 134                 |                       |
| 06/02/2015 | S               | - 63,861.300         | 1.0000        |                     | 63,861            | 63,861              |                       |
| 06/25/2015 | S               | - 36,000             | 1.0000        |                     | 36                | 36                  |                       |
| 07/27/2015 | S               | - 12,000             | 1.0000        |                     | 12                | 12                  |                       |
| 08/04/2015 | S               | - 82,419.190         | 1.0000        |                     | 82,419            | 82,419              |                       |
| 08/14/2015 | S               | - 10,726.820         | 1.0000        |                     | 10,727            | 10,727              |                       |
| 08/17/2015 | S               | - 141,255.710        | 1.0000        |                     | 141,256           | 141,256             |                       |
| 08/25/2015 | S               | - 24,000             | 1.0000        |                     | 24                | 24                  |                       |
| 08/28/2015 | S               | - 57,555.830         | 1.0000        |                     | 57,556            | 57,556              |                       |
| 09/08/2015 | S               | - 96,781.610         | 1.0000        |                     | 96,782            | 96,782              |                       |
| 09/22/2015 | S               | - 5,624.510          | 1.0000        |                     | 5,625             | 5,625               |                       |
| 10/08/2015 | S               | - 162,620            | 1.0000        |                     | 163               | 163                 |                       |
| 10/27/2015 | S               | - 48,000             | 1.0000        |                     | 48                | 48                  |                       |
| 11/06/2015 | S               | - 10,278.500         | 1.0000        |                     | 10,279            | 10,279              |                       |
| 11/12/2015 | S               | - 155,150.000        | 1.0000        |                     | 155,150           | 155,150             |                       |
| 11/24/2015 | S               | - 24,000             | 1.0000        |                     | 24                | 24                  |                       |
| 11/25/2015 | S               | - 67,413.430         | 1.0000        |                     | 67,413            | 67,413              |                       |
| 12/07/2015 | S               | - 116,500            | 1.0000        |                     | 117               | 117                 |                       |
| 12/18/2015 | S               | - 150,615.080        | 1.0000        |                     | 150,615           | 150,615             |                       |
| 12/24/2015 | S               | - 24,000             | 1.0000        |                     | 24                | 24                  |                       |
| 12/28/2015 | S               | - 85,931.770         | 1.0000        |                     | 85,932            | 85,932              |                       |

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| DATE                                  | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|---------------------------------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| Total For Sells                       |                 |                      |               |                     |                   |                     | 0                     |
|                                       |                 |                      |               | 0                   | 2,362,746         | 2,362,746           | 0                     |
| Total First Amer Govt Oblig Fund Cl Y |                 |                      |               |                     |                   |                     | 0                     |
|                                       |                 |                      |               | 0                   | 4,650,287         | 4,650,287           | 0                     |
| GRAND TOTAL                           |                 |                      |               |                     |                   |                     | 0                     |
|                                       |                 |                      |               | 0                   | 4,650,287         | 4,650,287           | 0                     |

CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE  
"NO TRANSACTIONS QUALIFIED FOR THIS SECTION"

# **Legal Aid Society of Cincinnati Money Purchase Pension Plan**

**Financial Statements with Supplementary Information  
December 31, 2015 and 2014, and  
Independent Auditors' Report**

**LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN**  
**December 31, 2015 and 2014**

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## **Independent Auditors' Report**

To the Pension Committee  
Legal Aid Society of Cincinnati Money Purchase Pension Plan  
Cincinnati, Ohio

### **Report on the Financial Statements**

We were engaged to audit the accompanying financial statements of Legal Aid Society of Cincinnati Money Purchase Pension Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2015 and 2014, and the related statement of changes in net assets available for benefits the year ended December 31, 2015, and the related notes to the financial statements.

### **Management's Responsibility for the Financial Statements**

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditors' Responsibility**

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

### **Basis for Disclaimer of Opinion**

As permitted by 29 CFR 2520.103-8 of the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 7, which was certified U.S. Bank N.A., the asset custodian of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the Plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the custodian as of December 31, 2015 and 2014 and for the year ending December 31, 2015, that the information provided to the Plan administrator by the custodian is complete and accurate.

### **Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

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**Independent Auditors' Report  
(Continued)**

**Effect of Adopting New Accounting Standard**

As discussed in Note 1, the Financial Accounting Standards Board (FASB) recently issued Accounting Standards Update (ASU) 2015-12 related to plan accounting, which simplifies employee benefit plan reporting with respect to fully-benefit responsive investment contracts and plan investment disclosures, and provides for a measurement-date practical expedient. The change had no effect on the net assets or change in net assets of the Plan for 2015 or 2014. Our opinion is not modified with respect to this matter.

**Other Matter – Supplemental Schedules**

The supplemental schedules of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) and Schedule H, Line 4j – Schedule of Reportable Transactions as of or for the year ending December 31, 2015, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

**Report on Form and Content in Compliance with DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

*Barnes Dennig & Co. Ltd.*

June 30, 2016  
Cincinnati, Ohio

# LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

## Statements of Net Assets Available for Plan Benefits December 31, 2015 and 2014

|                                   | <u>2015</u>                | <u>2014</u>                |
|-----------------------------------|----------------------------|----------------------------|
| <b>Assets</b>                     |                            |                            |
| <b>Investments, at fair value</b> |                            |                            |
| Cash                              | \$ -                       | \$ 2,654                   |
| Money market funds                | 377,877                    | 453,095                    |
| Mutual funds                      | 1,776,963                  | 2,352,796                  |
| Common stocks                     | 3,567,888                  | 3,446,532                  |
| Corporate bonds                   | <u>2,279,089</u>           | <u>1,898,802</u>           |
| Total investments, at fair value  | <u>8,001,817</u>           | <u>8,153,879</u>           |
| <b>Receivables</b>                |                            |                            |
| Employer contribution receivables | 32,827                     | 39,019                     |
| Other receivables                 | <u>21,087</u>              | <u>16,424</u>              |
| Total receivables                 | <u>53,914</u>              | <u>55,443</u>              |
| Net assets available for benefits | <u><u>\$ 8,055,731</u></u> | <u><u>\$ 8,209,322</u></u> |

See accompanying notes to financial statements

**LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN**

**Statement of Changes in Net Assets Available for Plan Benefits  
Year Ended December 31, 2015**

**Additions**

Investment income:

|                                                              |               |
|--------------------------------------------------------------|---------------|
| Net appreciation (depreciation) in fair value of investments | \$ (244,293)  |
| Dividend income                                              | 162,620       |
| Interest income                                              | <u>51,118</u> |

Total investment income (loss) (30,555)

**Employer contributions**

318,481

Total additions

287,926

**Deductions**

|                               |               |
|-------------------------------|---------------|
| Benefits paid to participants | 397,594       |
| Administrative expenses       | <u>43,923</u> |

Total deductions

441,517

**Net increase (decrease)**

(153,591)

**Net assets available for benefits, beginning of year**

8,209,322

**Net assets available for benefits, end of year**

\$ 8,055,731

See accompanying notes to financial statements

# LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

## Notes to Financial Statements

### NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

#### ***Basis of Presentation***

The accompanying financial statements of Legal Aid Society of Cincinnati Money Purchase Pension Plan (the Plan) have been prepared on the accrual basis.

#### ***Investment Valuation and Income Recognition***

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements. Investment securities, in general, are exposed to various risks such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investments, it is reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect the amounts reported in the statements of net assets available for benefits.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

#### ***Benefit Payments***

Benefit payments are recorded when paid.

#### ***Related Party Transactions***

Legal Aid Society of Cincinnati (the Company) provides the Plan with certain accounting and administrative services for which no fees are charged.

In 2015 and 2014, certain Plan investments were invested in funds with U.S. Bancorp. U.S. Bank, N.A. a wholly owned subsidiary of U.S. Bancorp, is the asset custodian as defined by the Plan. Therefore, these transactions qualify as party-in-interest.

#### ***Expenses***

Certain expenses and costs of the Plan were paid by the Company and, accordingly, are not reflected in the Plan's financial statements. Certain investment-related expenses are included in net appreciation of fair value of investments.

#### ***Use of Estimates***

The preparation of financial statements in conformity with generally accepted accounting principles (GAAP) requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

## LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

### Notes to Financial Statements (Continued)

#### NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### ***Effect of Adopting New Accounting Standard***

In July 2015, the FASB issued ASU 2015-12, *Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plan (Topic 962), and Health and Welfare Benefit Plans (Topic 965): Part (I) Fully Benefit-Responsive Investment Contracts, Part (II) Plan Investment Disclosures, Part (III) Measurement Date Practical Expedient*. This three-part standard simplifies employee benefit plan reporting with respect to fully-benefit responsive investment contracts and plan investment disclosures, and provides for a measurement-date practical expedient. Parts I and II are effective for fiscal years beginning after December 15, 2015 and should be applied retrospectively, with early adoption permitted. Part III is effective for fiscal years beginning after December 15, 2015 and should be applied prospectively, with early application permitted.

Management has elected to adopt Part II early. Accordingly, the amendments were retrospectively applied resulting in removal of certain prior-period disclosure information related to individual investments greater than 5 percent of net assets and net appreciation/depreciation of investments by type. Parts I and III are not applicable to this Plan.

##### ***Subsequent Event Evaluation***

Subsequent events were evaluated through June 30, 2016, which is the date the financial statements were available to be issued.

#### NOTE 2 DESCRIPTION OF PLAN

The following description of the Legal Aid Society of Cincinnati Money Purchase Pension Plan provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

##### ***General***

The Plan is a defined contribution plan covering all eligible employees of the Company who have three months and 1,000 hours of service. Once eligibility requirements are met employees are automatically enrolled in the Plan. The Plan includes employees of the Legal Aid Society of Greater Cincinnati and the Legal Aid Society of Southwest Ohio, which together form the Company. The Plan was established by the Company effective November 1, 1981 and subsequently amended and restated in its entirety effective January 1, 2015. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

##### ***Contributions***

The Plan does not allow for employee or rollover contributions. The Company makes contributions to the Plan equal to 7.8% of eligible participants' compensation, as defined. The Company made contributions of \$318,481 for 2015, which meets the minimum funding requirements of ERISA.

##### ***Participant Accounts***

Each participant's account is credited allocations of (a) the Company's contribution and (b) Plan earnings. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

## LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

### Notes to Financial Statements (Continued)

#### NOTE 2 DESCRIPTION OF PLAN (CONTINUED)

##### ***Non-Participant Directed Accounts***

All participant accounts are maintained in investment funds directed by the Company.

##### ***Vesting***

Participants are vested in their share of employer contributions plus actual earnings thereon as follows:

| <u>Vesting Years</u> | <u>Non-Forfeitable<br/>Percentage</u> |
|----------------------|---------------------------------------|
| Less than 2          | 0%                                    |
| 2                    | 20%                                   |
| 3 - 4                | 75%                                   |
| 5                    | 100%                                  |

##### ***Payment of Benefits***

Upon termination of service due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or annual installments. For termination of service due to other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution, a joint and 50% or 75% survivor annuity or a rollover.

##### ***Forfeitures***

Forfeitures occurring during the year serve to restore participant's forfeitures who are reemployed and bought back into the Plan and offset Plan expenses or employer contributions. At December 31, 2015 and 2014, forfeited nonvested accounts totaled \$16,981 and \$9,550, respectively.

## LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

### Notes to Financial Statements (Continued)

#### NOTE 3 FAIR VALUE MEASUREMENTS

Under applicable GAAP for fair value measurements and disclosures, a three-level hierarchy is established for fair value measurements based on transparency of valuation inputs as of the measurement date. The hierarchy is based on the lowest level of input that is significant to the fair value measurement.

The three levels are defined as follows:

Level 1 – Inputs are unadjusted quoted prices for identical assets in active markets.

Level 2 – Inputs are observable quoted prices for similar assets in active markets.

Level 3 – Inputs are unobservable and reflect management's best estimates of what market participants would use as fair value.

*Common stocks, mutual funds and corporate bonds:* Fair values are determined by reference to quoted market prices and other relevant information generated by market transactions. Common stocks, mutual funds and government bonds are categorized as using Level 1 inputs.

*Money market funds:* Valued at the net asset value ("NAV") of shares held at year end.

The following table summarizes assets measured at fair value on a recurring basis at December 31:

|                    | 2015                | 2014                |
|--------------------|---------------------|---------------------|
| <b>Level 1:</b>    |                     |                     |
| Common stocks      | \$ 3,567,888        | \$ 3,446,532        |
| Corporate bonds    | 2,279,089           | 1,898,802           |
| Mutual funds       | 1,776,963           | 2,352,796           |
| <b>Level 2:</b>    |                     |                     |
| Money market funds | 377,877             | 453,095             |
| <b>Total</b>       | <b>\$ 8,001,817</b> | <b>\$ 8,151,225</b> |

#### NOTE 4 PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of the Plan termination, all amounts credited to participants' accounts will become fully vested and nonforfeitable.



## **LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN**

### **Notes to Financial Statements (Continued)**

#### **NOTE 5 TAX STATUS**

The Plan Sponsor has adopted a prototype plan which has received an opinion letter from the Internal Revenue Service dated March 31, 2008 which states the provider's prototype, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan itself has not received a determination letter from the IRS. The Plan has been amended since receiving the opinion letter. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provisions for income taxes have been included in the Plan's financial statements.

#### **NOTE 6 RISKS AND UNCERTAINTIES**

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

#### **NOTE 7 INFORMATION CERTIFIED BY THE ASSET CUSTODIAN**

The following information provided by U.S. Bank, N.A. was certified to be accurate and complete:

- (a) The assets held by U.S. Bank, N.A. as of December 31, 2015 and 2014, as well as the transactions involving these assets for the year ended December 31, 2015.
- (b) The amount of investment income for the year ended December 31, 2015.
- (c) The Schedule H, Line 4i – Schedule of Assets (Held at End of Year) for the year ended December 31, 2015.
- (d) The Schedule H, Line 4j – Schedule of Reportable Transactions for the year ended December 31, 2015.

# LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

## Schedule H, Line 4i – Schedule of Assets (Held at End of Year) December 31, 2015

SCHEDULE H – FORM 5500  
FEIN # 31-0536673  
PLAN NUMBER 001

| Column A | Column B                                          | Column C                     | Column D   | Column E         |
|----------|---------------------------------------------------|------------------------------|------------|------------------|
|          | Identity of issuer, borrower<br>of similar entity | Description<br>of Investment | Cost       | Current<br>Value |
|          | First America Government Obligation Fund          | Money Market Funds           | \$ 377,877 | \$ 377,877       |
|          |                                                   | Total Money Market Funds     | 377,877    | 377,877          |
|          | Oakmark International Fund                        | Mutual Funds                 | 325,000    | 301,587          |
|          | iShares Msci Eafe ETF                             | Mutual Funds                 | 346,575    | 305,344          |
|          | Ishares Russell Midcap Index ETF                  | Mutual Funds                 | 242,260    | 320,360          |
|          | iShares Russel 2000 Index ETF                     | Mutual Funds                 | 229,820    | 225,240          |
|          | Jpmorgan Alerian Mtp Index ETN                    | Mutual Funds                 | 349,248    | 257,833          |
|          | Technology Select Sector                          | Mutual Funds                 | 116,970    | 128,490          |
|          | Wisdomtree Europe Hedged Equity                   | Mutual Funds                 | 248,600    | 238,109          |
|          |                                                   | Total Mutual Funds           | 1,858,473  | 1,776,963        |
|          | Alphabet Inc                                      | Common Stock                 | 61,423     | 153,689          |
|          | Amerisourcebergen Corp                            | Common Stock                 | 24,962     | 66,893           |
|          | Bank of New York Mellon Corp                      | Common Stock                 | 57,760     | 60,593           |
|          | Bankunited Inc                                    | Common Stock                 | 66,829     | 73,382           |
|          | Boeing Co                                         | Common Stock                 | 64,063     | 72,295           |
|          | Borg Warner Inc                                   | Common Stock                 | 91,894     | 74,572           |
|          | Csx Corp                                          | Common Stock                 | 64,463     | 73,958           |
|          | Capital One Financial Corp                        | Common Stock                 | 59,091     | 64,962           |
|          | Celgene Corp                                      | Common Stock                 | 52,347     | 52,096           |
|          | Chevron Corporation                               | Common Stock                 | 30,637     | 84,562           |
|          | Delta Air Lines Inc                               | Common Stock                 | 64,679     | 76,542           |
|          | Disney Walt Co                                    | Common Stock                 | 52,755     | 115,588          |
|          | E O G Res Inc                                     | Common Stock                 | 79,900     | 67,958           |
|          | Fiserv Inc                                        | Common Stock                 | 48,777     | 118,898          |

(Continued)

# LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

## Schedule H, Line 4i -- Schedule of Assets (Held at End of Year) (Continued) December 31, 2015

SCHEDULE H – FORM 5500  
FEIN # 31-0536673  
PLAN NUMBER 001

| Column A | Column B                                          | Column C                     | Column D  | Column E         |
|----------|---------------------------------------------------|------------------------------|-----------|------------------|
|          | Identity of issuer, borrower<br>of similar entity | Description<br>of Investment | Cost      | Current<br>Value |
|          | Fortune Brands Home & Security                    | Common Stock                 | \$ 61,673 | \$ 72,428        |
|          | Johnson & Johnson                                 | Common Stock                 | 76,635    | 115,560          |
|          | Kroger Co                                         | Common Stock                 | 59,369    | 93,699           |
|          | Lowes Co Inc                                      | Common Stock                 | 50,154    | 102,654          |
|          | Marsh McLennan Cos Inc                            | Common Stock                 | 56,191    | 84,561           |
|          | Merck and Co Inc                                  | Common Stock                 | 62,690    | 73,948           |
|          | Microchip Technology Inc                          | Common Stock                 | 63,295    | 74,464           |
|          | Morgan Stanley                                    | Common Stock                 | 64,534    | 69,187           |
|          | Pepsico Inc                                       | Common Stock                 | 68,045    | 107,414          |
|          | Procter & Gamble Co                               | Common Stock                 | 25,743    | 99,263           |
|          | Stryker Corp                                      | Common Stock                 | 56,468    | 102,234          |
|          | TJX Companies Inc                                 | Common Stock                 | 56,658    | 88,638           |
|          | 3M Corporation                                    | Common Stock                 | 64,231    | 105,448          |
| *        | US Bancorp                                        | Common Stock                 | 18,448    | 87,474           |
|          | United Technologies Corp                          | Common Stock                 | 61,924    | 76,856           |
|          | United Health Group Inc                           | Common Stock                 | 56,387    | 105,876          |
|          | Verizon Communications Inc                        | Common Stock                 | 61,788    | 60,086           |
|          | Visa Inc                                          | Common Stock                 | 56,812    | 100,815          |
|          | Bbt Corporation                                   | Common Stock                 | 67,785    | 79,260           |
|          | JP Morgan Chase                                   | Common Stock                 | 74,960    | 75,981           |
|          | Prudential                                        | Common Stock                 | 50,000    | 51,520           |
|          | Allergan Plc                                      | Common Stock                 | 67,413    | 67,500           |
|          | Invesco Ltd                                       | Common Stock                 | 62,675    | 51,057           |
|          | Medtronic Plc                                     | Common Stock                 | 63,344    | 69,228           |
|          | Renaissancere                                     | Common Stock                 | 82,394    | 99,760           |
|          | Ace Ltd                                           | Common Stock                 | 57,822    | 93,480           |
|          | Arm Holdings Plc                                  | Common Stock                 | 47,196    | 39,809           |
|          | Nestle Sa Sponsored A D R                         | Common Stock                 | 40,058    | 74,420           |
|          | Schlumberger Ltd                                  | Common Stock                 | 59,948    | 89,280           |
|          |                                                   | Total Common Stock           | 2,514,220 | 3,567,888        |

(Continued)

# LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

## Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (Continued) December 31, 2015

SCHEDULE H – FORM 5500  
FEIN # 31-0536673  
PLAN NUMBER 001

| Column A | Column B                                          | Column C                     | Column D            | Column E            |
|----------|---------------------------------------------------|------------------------------|---------------------|---------------------|
|          | Identity of issuer, borrower<br>of similar entity | Description<br>of Investment | Cost                | Current<br>Value    |
|          | Abbvie Inc                                        | Corporate Bond               | \$ 99,878           | \$ 99,555           |
|          | Amphenol Corp                                     | Corporate Bond               | 101,630             | 100,017             |
|          | Assurant Inc                                      | Corporate Bond               | 75,200              | 74,876              |
|          | Bank of America Corp                              | Corporate Bond               | 99,500              | 95,250              |
|          | Becton Dickinson                                  | Corporate Bond               | 100,707             | 99,578              |
|          | Dow Chemical Co                                   | Corporate Bond               | 70,231              | 69,238              |
|          | FMC Technologies                                  | Corporate Bond               | 73,476              | 73,831              |
|          | Gatx Corp                                         | Corporate Bond               | 75,563              | 74,458              |
|          | General Electric                                  | Corporate Bond               | 100,000             | 98,698              |
|          | Goldman Sachs                                     | Corporate Bond               | 76,125              | 75,648              |
|          | IBM Corp                                          | Corporate Bond               | 49,967              | 50,236              |
|          | JP Morgan Chase                                   | Corporate Bond               | 152,625             | 149,790             |
|          | Kennametal                                        | Corporate Bond               | 100,958             | 97,311              |
|          | McDonalds                                         | Corporate Bond               | 150,512             | 149,897             |
|          | Morgan Stanley                                    | Corporate Bond               | 51,151              | 50,027              |
|          | Murphy Oil Corp                                   | Corporate Bond               | 100,675             | 91,716              |
|          | Rock Tenn Co                                      | Corporate Bond               | 102,079             | 101,002             |
|          | Simon Prop Gp                                     | Corporate Bond               | 101,583             | 101,313             |
|          | Spectra Energy                                    | Corporate Bond               | 101,973             | 100,359             |
|          | Thermo Fisher                                     | Corporate Bond               | 101,322             | 99,956              |
|          | Actavis Inc                                       | Corporate Bond               | 74,610              | 74,911              |
|          | Wellpoint Inc                                     | Corporate Bond               | 75,677              | 74,675              |
|          | Western Union                                     | Corporate Bond               | 99,346              | 101,189             |
|          | Xilinx Inc                                        | Corporate Bond               | 103,039             | 100,256             |
|          | Nomura Holdings                                   | Corporate Bond               | 76,038              | 75,302              |
|          |                                                   | Total Corporate Bond         | 2,313,865           | 2,279,089           |
|          |                                                   |                              | <u>\$ 7,064,435</u> | <u>\$ 8,001,817</u> |

Note: The above data is based upon information that has been certified as complete and accurate by US Bank, N.A.

\* Party-In-Interest

See independent auditors' disclaimer of opinion report

**LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN**

**Schedule H, Line 4j – Schedule of Reportable Transactions  
Year Ended December 31, 2015**

SCHEDULE H – FORM 5500  
FEIN # 31-0536673  
PLAN NUMBER 001

| Identity     | Description<br>of Asset | Purchase<br>Price | Selling<br>Price | Cost of<br>Assets | Current<br>Value at<br>Transaction<br>Date | Net Gain<br>or (Loss) |
|--------------|-------------------------|-------------------|------------------|-------------------|--------------------------------------------|-----------------------|
| Attachment A |                         |                   |                  |                   |                                            |                       |

NOTE: Please find the Schedule of Reportable Transactions information attached

NOTE: The attached data is based on information that has been certified as complete  
and accurate by U.S. Bank, N.A.

See independent auditors' disclaimer of opinion report

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LEGAL AID SOCIETY OF CINCINNATI  
ACCOUNT 19-RP726

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Period from January 1, 2015 to December 31, 2015

# FORM 5500 - REPORTABLE TRANSACTION SCHEDULE

| DATE                                                                                                | BOUGHT/<br>SOLD                            | SHARES/<br>PAR VALUE            | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|-----------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| BEGINNING MARKET VALUE                                                                              |                                            |                                 |               |                     |                   |                     |                       |
|                                                                                                     |                                            |                                 |               |                     | 8,170,302.65      |                     |                       |
| COMPARATIVE VALUE (5%)                                                                              |                                            |                                 |               |                     |                   |                     |                       |
|                                                                                                     |                                            |                                 |               |                     | 408,515.13        |                     |                       |
| CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE<br>*NO TRANSACTIONS QUALIFIED FOR THIS SECTION* |                                            |                                 |               |                     |                   |                     |                       |
| CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE                            |                                            |                                 |               |                     |                   |                     |                       |
| Broker: Charles Schwab And Co., Inc.                                                                |                                            |                                 |               |                     |                   |                     |                       |
| 02/27/2015                                                                                          | Issue: 989919AH4 - Xilinx Inc<br>B         | 3.000% 3/15/21<br>100,000.000   | 1.0304        |                     | - 103,039         | 103,039             |                       |
| 03/30/2015                                                                                          | Issue: 84756NAA7 - Spectra Energy<br>B     | 2.950% 6/15/16<br>100,000.000   | 1.0197        |                     | - 101,973         | 101,973             |                       |
| 12/18/2015                                                                                          | Issue: 58013MEX8 - McDonalds Corp Min<br>B | 2.750% 12/09/20<br>150,000.000  | 1.0034        |                     | - 150,512         | 150,512             |                       |
| 12/21/2015                                                                                          | Issue: 268648AQ5 - Emc Corp<br>S           | 2.650% 6/01/20<br>- 100,000.000 | .8845         |                     | 88,451            | 99,374              | - 10,923              |
| 12/28/2015                                                                                          | Issue: 828807CH8 - Simon Prop Gp LP<br>B   | 2.800% 1/30/17<br>100,000.000   | 1.0158        |                     | - 101,583         | 101,583             |                       |
| Total For Charles Schwab And Co., Inc.                                                              |                                            |                                 |               | 0                   | 545,558           | 556,481             | - 10,923              |

Broker: Morgan Stanley & Co. LLC

|            |                                                        |           |          |     |           |         |        |
|------------|--------------------------------------------------------|-----------|----------|-----|-----------|---------|--------|
| 01/21/2015 | Issue: 464287655 - Ishares Russell 2000 Index Etf<br>B | 2,000.000 | 114.8598 | 100 | - 229,820 | 229,820 |        |
| 04/13/2015 | Issue: G97822103 - Perrigo Co Plc<br>S                 | - 400.000 | 195.5447 | 21  | 78,196    | 60,293  | 17,904 |
| 08/17/2015 | Issue: 099724106 - Borg Warner Inc<br>B                | 600.000   | 46.9925  | 30  | - 27,866  | 27,866  |        |

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LEGAL AID SOCIETY OF CINCINNATI  
ACCOUNT 19-RP726

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Period from January 1, 2015 to December 31, 2015

**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE                                              | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|---------------------------------------------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| Issue: 46625H365 - Jpmorgan Alerian Mlp Index Etn |                 |                      |               |                     |                   |                     |                       |
| 08/17/2015                                        | B               | 2,700.000            | 36.4092       | 135                 | - 98,440          | 98,440              |                       |
| Issue: 26875P101 - E O G Res Inc                  |                 |                      |               |                     |                   |                     |                       |
| 08/17/2015                                        | B               | 200.000              | 78.8450       | 10                  | - 15,779          | 15,779              |                       |
| <b>Total For Morgan Stanley &amp; Co. LLC</b>     |                 |                      |               |                     | <b>450,101</b>    | <b>432,198</b>      | <b>17,904</b>         |

Broker: Oppenheimer & Co. Inc.

|                                             |   |           |         |     |                |                |          |
|---------------------------------------------|---|-----------|---------|-----|----------------|----------------|----------|
| Issue: 464287465 - Ishares Msci Eafe Etf    |   |           |         |     |                |                |          |
| 04/24/2015                                  | B | 5,200.000 | 66.5991 | 260 | - 346,575      | 346,575        |          |
| Issue: G5960L103 - Medtronic Plc            |   |           |         |     |                |                |          |
| 09/22/2015                                  | B | 900.000   | 70.3325 | 45  | - 63,344       | 63,344         |          |
| <b>Total For Oppenheimer &amp; Co. Inc.</b> |   |           |         |     | <b>409,919</b> | <b>409,919</b> | <b>0</b> |

|                    |  |  |  |  |                  |                  |              |
|--------------------|--|--|--|--|------------------|------------------|--------------|
| <b>GRAND TOTAL</b> |  |  |  |  | <b>1,405,578</b> | <b>1,398,598</b> | <b>6,981</b> |
|--------------------|--|--|--|--|------------------|------------------|--------------|

**CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE**

Issue: 31846V203 - First Amer Govt Oblig Fund CI Y

|            |   |           |        |  |         |       |  |
|------------|---|-----------|--------|--|---------|-------|--|
| 01/02/2015 | B | 1,712.570 | 1.0000 |  | - 1,713 | 1,713 |  |
| 01/05/2015 | B | 944.660   | 1.0000 |  | - 945   | 945   |  |
| 01/05/2015 | B | 520.000   | 1.0000 |  | - 520   | 520   |  |
| 01/07/2015 | B | 704.130   | 1.0000 |  | - 704   | 704   |  |
| 01/08/2015 | B | 1,895.000 | 1.0000 |  | - 1,895 | 1,895 |  |
| 01/09/2015 | B | 320.000   | 1.0000 |  | - 320   | 320   |  |
| 01/15/2015 | B | 181.600   | 1.0000 |  | - 182   | 182   |  |

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| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 01/20/2015 | B               | 169,743.210          | 1.0000        |                     | - 169,743         | 169,743             |                       |
| 01/22/2015 | B               | 890.630              | 1.0000        |                     | - 891             | 891                 |                       |
| 01/26/2015 | B               | 649.750              | 1.0000        |                     | - 650             | 650                 |                       |
| 01/29/2015 | B               | .040                 | 1.0000        |                     |                   |                     |                       |
| 01/30/2015 | B               | 2,545.130            | 1.0000        |                     | - 2,545           | 2,545               |                       |
| 01/30/2015 | B               | 127.300              | 1.0000        |                     | - 127             | 127                 |                       |
| 02/02/2015 | B               | 111,790.980          | 1.0000        |                     | - 111,791         | 111,791             |                       |
| 02/03/2015 | B               | 716.480              | 1.0000        |                     | - 716             | 716                 |                       |
| 02/04/2015 | B               | 310.500              | 1.0000        |                     | - 311             | 311                 |                       |
| 02/05/2015 | B               | 498.750              | 1.0000        |                     | - 499             | 499                 |                       |
| 02/10/2015 | B               | 26,825.580           | 1.0000        |                     | - 26,826          | 26,826              |                       |
| 02/11/2015 | B               | 102,259.910          | 1.0000        |                     | - 102,260         | 102,260             |                       |
| 02/13/2015 | B               | 644.500              | 1.0000        |                     | - 645             | 645                 |                       |
| 02/17/2015 | B               | 804.500              | 1.0000        |                     | - 805             | 805                 |                       |
| 02/20/2015 | B               | 270.000              | 1.0000        |                     | - 270             | 270                 |                       |
| 02/25/2015 | B               | 406.000              | 1.0000        |                     | - 406             | 406                 |                       |
| 03/02/2015 | B               | 4,272.380            | 1.0000        |                     | - 4,272           | 4,272               |                       |
| 03/02/2015 | B               | 1,343.750            | 1.0000        |                     | - 1,344           | 1,344               |                       |
| 03/03/2015 | B               | 802.760              | 1.0000        |                     | - 803             | 803                 |                       |
| 03/05/2015 | B               | 218.750              | 1.0000        |                     | - 219             | 219                 |                       |
| 03/06/2015 | B               | 381.250              | 1.0000        |                     | - 381             | 381                 |                       |
| 03/09/2015 | B               | 571.200              | 1.0000        |                     | - 571             | 571                 |                       |



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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 03/10/2015 | B               | 3,646.840            | 1.0000        |                     | - 3,647           | 3,647               |                       |
| 03/12/2015 | B               | 717.500              | 1.0000        |                     | - 718             | 718                 |                       |
| 03/13/2015 | B               | 1,206.000            | 1.0000        |                     | - 1,206           | 1,206               |                       |
| 03/16/2015 | B               | 5,322.500            | 1.0000        |                     | - 5,323           | 5,323               |                       |
| 03/16/2015 | B               | 312.500              | 1.0000        |                     | - 313             | 313                 |                       |
| 03/18/2015 | B               | 50.000               | 1.0000        |                     | - 50              | 50                  |                       |
| 03/18/2015 | B               | 26,222.070           | 1.0000        |                     | - 26,222          | 26,222              |                       |
| 03/20/2015 | B               | 719.100              | 1.0000        |                     | - 719             | 719                 |                       |
| 03/24/2015 | B               | 337.500              | 1.0000        |                     | - 338             | 338                 |                       |
| 03/26/2015 | B               | 201,197.610          | 1.0000        |                     | - 201,198         | 201,198             |                       |
| 03/27/2015 | B               | 278.470              | 1.0000        |                     | - 278             | 278                 |                       |
| 03/31/2015 | B               | 2,523.520            | 1.0000        |                     | - 2,524           | 2,524               |                       |
| 04/01/2015 | B               | 1,765.630            | 1.0000        |                     | - 1,766           | 1,766               |                       |
| 04/08/2015 | B               | 630.000              | 1.0000        |                     | - 630             | 630                 |                       |
| 04/09/2015 | B               | 24,000.000           | 1.0000        |                     | - 24,000          | 24,000              |                       |
| 04/10/2015 | B               | 400.000              | 1.0000        |                     | - 400             | 400                 |                       |
| 04/13/2015 | B               | 78,196.440           | 1.0000        |                     | - 78,196          | 78,196              |                       |
| 04/15/2015 | B               | 2,242.930            | 1.0000        |                     | - 2,243           | 2,243               |                       |
| 04/17/2015 | B               | 78,330.160           | 1.0000        |                     | - 78,330          | 78,330              |                       |
| 04/21/2015 | B               | 520.000              | 1.0000        |                     | - 520             | 520                 |                       |
| 04/23/2015 | B               | 258,281.190          | 1.0000        |                     | - 258,281         | 258,281             |                       |
| 04/27/2015 | B               | 851.750              | 1.0000        |                     | - 852             | 852                 |                       |

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| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 04/28/2015 | B               | 25,667.680           | 1.0000        |                     | - 25,668          | 25,668              |                       |
| 04/30/2015 | B               | 379.500              | 1.0000        |                     | - 380             | 380                 |                       |
| 04/30/2015 | B               | 127.300              | 1.0000        |                     | - 127             | 127                 |                       |
| 05/01/2015 | B               | 15,481.870           | 1.0000        |                     | - 15,482          | 15,482              |                       |
| 05/04/2015 | B               | 1.600                | 1.0000        |                     | - 2               | 2                   |                       |
| 05/05/2015 | B               | 682.760              | 1.0000        |                     | - 683             | 683                 |                       |
| 05/06/2015 | B               | 1,310.500            | 1.0000        |                     | - 1,311           | 1,311               |                       |
| 05/07/2015 | B               | 145.350              | 1.0000        |                     | - 145             | 145                 |                       |
| 05/15/2015 | B               | 2,306.880            | 1.0000        |                     | - 2,307           | 2,307               |                       |
| 05/21/2015 | B               | 360.000              | 1.0000        |                     | - 360             | 360                 |                       |
| 05/27/2015 | B               | 26,760.390           | 1.0000        |                     | - 26,760          | 26,760              |                       |
| 05/29/2015 | B               | 1,934.810            | 1.0000        |                     | - 1,935           | 1,935               |                       |
| 06/01/2015 | B               | 5,097.380            | 1.0000        |                     | - 5,097           | 5,097               |                       |
| 06/01/2015 | B               | 1,316.250            | 1.0000        |                     | - 1,316           | 1,316               |                       |
| 06/04/2015 | B               | 834.500              | 1.0000        |                     | - 835             | 835                 |                       |
| 06/05/2015 | B               | 455.000              | 1.0000        |                     | - 455             | 455                 |                       |
| 06/05/2015 | B               | 411.750              | 1.0000        |                     | - 412             | 412                 |                       |
| 06/08/2015 | B               | 3,486.880            | 1.0000        |                     | - 3,487           | 3,487               |                       |
| 06/09/2015 | B               | 843.750              | 1.0000        |                     | - 844             | 844                 |                       |
| 06/10/2015 | B               | 2,955.300            | 1.0000        |                     | - 2,955           | 2,955               |                       |
| 06/12/2015 | B               | 717.500              | 1.0000        |                     | - 718             | 718                 |                       |
| 06/15/2015 | B               | 3,665.500            | 1.0000        |                     | - 3,666           | 3,666               |                       |

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| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 06/16/2015 | B               | 312.500              | 1.0000        |                     | - 313             | 313                 |                       |
| 06/17/2015 | B               | 30,068.290           | 1.0000        |                     | - 30,068          | 30,068              |                       |
| 06/23/2015 | B               | 719.100              | 1.0000        |                     | - 719             | 719                 |                       |
| 06/24/2015 | B               | 450.000              | 1.0000        |                     | - 450             | 450                 |                       |
| 06/26/2015 | B               | 4,289.240            | 1.0000        |                     | - 4,289           | 4,289               |                       |
| 06/29/2015 | B               | 578.670              | 1.0000        |                     | - 579             | 579                 |                       |
| 06/29/2015 | B               | 50,000.000           | 1.0000        |                     | - 50,000          | 50,000              |                       |
| 06/30/2015 | B               | 881.580              | 1.0000        |                     | - 882             | 882                 |                       |
| 07/01/2015 | B               | 6,138.700            | 1.0000        |                     | - 6,139           | 6,139               |                       |
| 07/02/2015 | B               | .920                 | 1.0000        |                     | - 1               | 1                   |                       |
| 07/06/2015 | B               | 671.110              | 1.0000        |                     | - 671             | 671                 |                       |
| 07/08/2015 | B               | 630.000              | 1.0000        |                     | - 630             | 630                 |                       |
| 07/09/2015 | B               | 2,346.400            | 1.0000        |                     | - 2,346           | 2,346               |                       |
| 07/09/2015 | B               | 27,672.560           | 1.0000        |                     | - 27,673          | 27,673              |                       |
| 07/10/2015 | B               | 107,092.630          | 1.0000        |                     | - 107,093         | 107,093             |                       |
| 07/10/2015 | B               | 400.000              | 1.0000        |                     | - 400             | 400                 |                       |
| 07/15/2015 | B               | 2,166.520            | 1.0000        |                     | - 2,167           | 2,167               |                       |
| 07/21/2015 | B               | 536.000              | 1.0000        |                     | - 536             | 536                 |                       |
| 07/22/2015 | B               | 890.630              | 1.0000        |                     | - 891             | 891                 |                       |
| 07/28/2015 | B               | 26,605.560           | 1.0000        |                     | - 26,606          | 26,606              |                       |
| 07/29/2015 | B               | 726.000              | 1.0000        |                     | - 726             | 726                 |                       |
| 07/30/2015 | B               | 2,165.630            | 1.0000        |                     | - 2,166           | 2,166               |                       |

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| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 07/31/2015 | B               | 379.500              | 1.0000        |                     | - 380             | 380                 |                       |
| 07/31/2015 | B               | 127.300              | 1.0000        |                     | - 127             | 127                 |                       |
| 08/03/2015 | B               | 1,915.000            | 1.0000        |                     | - 1,915           | 1,915               |                       |
| 08/04/2015 | B               | 1.880                | 1.0000        |                     | - 2               | 2                   |                       |
| 08/05/2015 | B               | 1,103.680            | 1.0000        |                     | - 1,104           | 1,104               |                       |
| 08/11/2015 | B               | 27,348.820           | 1.0000        |                     | - 27,349          | 27,349              |                       |
| 08/12/2015 | B               | 487.500              | 1.0000        |                     | - 488             | 488                 |                       |
| 08/14/2015 | B               | 799.000              | 1.0000        |                     | - 799             | 799                 |                       |
| 08/20/2015 | B               | 360.000              | 1.0000        |                     | - 360             | 360                 |                       |
| 08/24/2015 | B               | 203,367.860          | 1.0000        |                     | - 203,368         | 203,368             |                       |
| 09/01/2015 | B               | 4,456.380            | 1.0000        |                     | - 4,456           | 4,456               |                       |
| 09/01/2015 | B               | 1,343.750            | 1.0000        |                     | - 1,344           | 1,344               |                       |
| 09/02/2015 | B               | 671.940              | 1.0000        |                     | - 672             | 672                 |                       |
| 09/03/2015 | B               | 262.500              | 1.0000        |                     | - 263             | 263                 |                       |
| 09/04/2015 | B               | 455.000              | 1.0000        |                     | - 455             | 455                 |                       |
| 09/04/2015 | B               | 411.750              | 1.0000        |                     | - 412             | 412                 |                       |
| 09/08/2015 | B               | 4,258.220            | 1.0000        |                     | - 4,258           | 4,258               |                       |
| 09/09/2015 | B               | 784.360              | 1.0000        |                     | - 784             | 784                 |                       |
| 09/10/2015 | B               | 1,150.620            | 1.0000        |                     | - 1,151           | 1,151               |                       |
| 09/14/2015 | B               | 1,467.500            | 1.0000        |                     | - 1,468           | 1,468               |                       |
| 09/15/2015 | B               | 5,101.000            | 1.0000        |                     | - 5,101           | 5,101               |                       |
| 09/16/2015 | B               | 312.500              | 1.0000        |                     | - 313             | 313                 |                       |

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| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 09/16/2015 | B               | 27,216.870           | 1.0000        |                     | -27,217           | 27,217              |                       |
| 09/25/2015 | B               | 697.190              | 1.0000        |                     | -697              | 697                 |                       |
| 09/28/2015 | B               | 550.290              | 1.0000        |                     | -550              | 550                 |                       |
| 09/30/2015 | B               | 755.190              | 1.0000        |                     | -755              | 755                 |                       |
| 10/01/2015 | B               | 3,462.500            | 1.0000        |                     | -3,463            | 3,463               |                       |
| 10/02/2015 | B               | 1.650                | 1.0000        |                     | -2                | 2                   |                       |
| 10/05/2015 | B               | 691.010              | 1.0000        |                     | -691              | 691                 |                       |
| 10/07/2015 | B               | 792.620              | 1.0000        |                     | -793              | 793                 |                       |
| 10/07/2015 | B               | 27,695.920           | 1.0000        |                     | -27,696           | 27,696              |                       |
| 10/09/2015 | B               | 400.000              | 1.0000        |                     | -400              | 400                 |                       |
| 10/15/2015 | B               | 101,279.460          | 1.0000        |                     | -101,279          | 101,279             |                       |
| 10/15/2015 | B               | 307.500              | 1.0000        |                     | -308              | 308                 |                       |
| 10/16/2015 | B               | 342.000              | 1.0000        |                     | -342              | 342                 |                       |
| 10/22/2015 | B               | 536.000              | 1.0000        |                     | -536              | 536                 |                       |
| 10/30/2015 | B               | 187,983.790          | 1.0000        |                     | -187,984          | 187,984             |                       |
| 10/30/2015 | B               | 160.800              | 1.0000        |                     | -161              | 161                 |                       |
| 11/02/2015 | B               | 2,059.500            | 1.0000        |                     | -2,060            | 2,060               |                       |
| 11/03/2015 | B               | 2.160                | 1.0000        |                     | -2                | 2                   |                       |
| 11/04/2015 | B               | 378.000              | 1.0000        |                     | -378              | 378                 |                       |
| 11/05/2015 | B               | 683.130              | 1.0000        |                     | -683              | 683                 |                       |
| 11/06/2015 | B               | 1,000.000            | 1.0000        |                     | -1,000            | 1,000               |                       |
| 11/10/2015 | B               | 27,590.210           | 1.0000        |                     | -27,590           | 27,590              |                       |

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| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 11/13/2015 | B               | 1,048.900            | 1.0000        |                     | -1,049            | 1,049               |                       |
| 11/16/2015 | B               | 1,553.630            | 1.0000        |                     | -1,554            | 1,554               |                       |
| 11/19/2015 | B               | 360.000              | 1.0000        |                     | -360              | 360                 |                       |
| 11/30/2015 | B               | 203.850              | 1.0000        |                     | -204              | 204                 |                       |
| 12/01/2015 | B               | 6,683.380            | 1.0000        |                     | -6,683            | 6,683               |                       |
| 12/02/2015 | B               | 2.740                | 1.0000        |                     | -3                | 3                   |                       |
| 12/03/2015 | B               | 262.500              | 1.0000        |                     | -263              | 263                 |                       |
| 12/04/2015 | B               | 1,028.600            | 1.0000        |                     | -1,029            | 1,029               |                       |
| 12/07/2015 | B               | 27,973.800           | 1.0000        |                     | -27,974           | 27,974              |                       |
| 12/08/2015 | B               | 843.750              | 1.0000        |                     | -844              | 844                 |                       |
| 12/08/2015 | B               | 5,111.270            | 1.0000        |                     | -5,111            | 5,111               |                       |
| 12/10/2015 | B               | 2,955.300            | 1.0000        |                     | -2,955            | 2,955               |                       |
| 12/14/2015 | B               | 717.500              | 1.0000        |                     | -718              | 718                 |                       |
| 12/15/2015 | B               | 3,381.000            | 1.0000        |                     | -3,381            | 3,381               |                       |
| 12/16/2015 | B               | 495.200              | 1.0000        |                     | -495              | 495                 |                       |
| 12/17/2015 | B               | 2,562.500            | 1.0000        |                     | -2,563            | 2,563               |                       |
| 12/21/2015 | B               | 88,598.220           | 1.0000        |                     | -88,598           | 88,598              |                       |
| 12/22/2015 | B               | 15,254.420           | 1.0000        |                     | -15,254           | 15,254              |                       |
| 12/29/2015 | B               | 3,291.190            | 1.0000        |                     | -3,291            | 3,291               |                       |
| 12/29/2015 | B               | 910.180              | 1.0000        |                     | -910              | 910                 |                       |
| 12/31/2015 | B               | 2,748.130            | 1.0000        |                     | -2,748            | 2,748               |                       |

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|----------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| Total For Buys |                 |                      |               | 0                   | 2,287,541         | 2,287,541           | 0                     |
| 01/15/2015     | S               | - 62,601.560         | 1.0000        |                     | 62,602            | 62,602              |                       |
| 01/21/2015     | S               | - 229,819.600        | 1.0000        |                     | 229,820           | 229,820             |                       |
| 01/27/2015     | S               | - 84.000             | 1.0000        |                     | 84                | 84                  |                       |
| 02/03/2015     | S               | - 10,801.390         | 1.0000        |                     | 10,801            | 10,801              |                       |
| 02/12/2015     | S               | - 63,232.300         | 1.0000        |                     | 63,232            | 63,232              |                       |
| 02/24/2015     | S               | - 36.000             | 1.0000        |                     | 36                | 36                  |                       |
| 02/27/2015     | S               | - 104,388.600        | 1.0000        |                     | 104,389           | 104,389             |                       |
| 03/06/2015     | S               | - 66,373.590         | 1.0000        |                     | 66,374            | 66,374              |                       |
| 03/10/2015     | S               | - 98,376.300         | 1.0000        |                     | 98,376            | 98,376              |                       |
| 03/25/2015     | S               | - 72.000             | 1.0000        |                     | 72                | 72                  |                       |
| 03/30/2015     | S               | - 102,312.630        | 1.0000        |                     | 102,313           | 102,313             |                       |
| 04/01/2015     | S               | - 150.000            | 1.0000        |                     | 150               | 150                 |                       |
| 04/02/2015     | S               | - 102,470.340        | 1.0000        |                     | 102,470           | 102,470             |                       |
| 04/06/2015     | S               | - 48,783.470         | 1.0000        |                     | 48,783            | 48,783              |                       |
| 04/09/2015     | S               | - 30,000.000         | 1.0000        |                     | 30,000            | 30,000              |                       |
| 04/15/2015     | S               | - 816.670            | 1.0000        |                     | 817               | 817                 |                       |
| 04/24/2015     | S               | - 346,575.320        | 1.0000        |                     | 346,575           | 346,575             |                       |
| 05/04/2015     | S               | - 10,522.750         | 1.0000        |                     | 10,523            | 10,523              |                       |
| 05/08/2015     | S               | - 150.000            | 1.0000        |                     | 150               | 150                 |                       |
| 05/11/2015     | S               | - 156,981.720        | 1.0000        |                     | 156,982           | 156,982             |                       |

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| DATE       | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| 05/26/2015 | S               | - 134.000            | 1.0000        |                     | 134               | 134                 |                       |
| 06/02/2015 | S               | - 63,861.300         | 1.0000        |                     | 63,861            | 63,861              |                       |
| 06/25/2015 | S               | - 36.000             | 1.0000        |                     | 36                | 36                  |                       |
| 07/27/2015 | S               | - 12.000             | 1.0000        |                     | 12                | 12                  |                       |
| 08/04/2015 | S               | - 82,419.190         | 1.0000        |                     | 82,419            | 82,419              |                       |
| 08/14/2015 | S               | - 10,726.820         | 1.0000        |                     | 10,727            | 10,727              |                       |
| 08/17/2015 | S               | - 141,255.710        | 1.0000        |                     | 141,256           | 141,256             |                       |
| 08/25/2015 | S               | - 24.000             | 1.0000        |                     | 24                | 24                  |                       |
| 08/28/2015 | S               | - 57,555.830         | 1.0000        |                     | 57,556            | 57,556              |                       |
| 09/08/2015 | S               | - 96,781.610         | 1.0000        |                     | 96,782            | 96,782              |                       |
| 09/22/2015 | S               | - 5,624.510          | 1.0000        |                     | 5,625             | 5,625               |                       |
| 10/08/2015 | S               | - 162.620            | 1.0000        |                     | 163               | 163                 |                       |
| 10/27/2015 | S               | - 48.000             | 1.0000        |                     | 48                | 48                  |                       |
| 11/06/2015 | S               | - 10,278.500         | 1.0000        |                     | 10,279            | 10,279              |                       |
| 11/12/2015 | S               | - 155,150.000        | 1.0000        |                     | 155,150           | 155,150             |                       |
| 11/24/2015 | S               | - 24.000             | 1.0000        |                     | 24                | 24                  |                       |
| 11/25/2015 | S               | - 67,413.430         | 1.0000        |                     | 67,413            | 67,413              |                       |
| 12/07/2015 | S               | - 116.500            | 1.0000        |                     | 117               | 117                 |                       |
| 12/18/2015 | S               | - 150,615.080        | 1.0000        |                     | 150,615           | 150,615             |                       |
| 12/24/2015 | S               | - 24.000             | 1.0000        |                     | 24                | 24                  |                       |
| 12/28/2015 | S               | - 85,931.770         | 1.0000        |                     | 85,932            | 85,932              |                       |



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**FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)**

| DATE                                  | BOUGHT/<br>SOLD | SHARES/<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL<br>CASH | TRANSACTION<br>COST | REALIZED<br>GAIN/LOSS |
|---------------------------------------|-----------------|----------------------|---------------|---------------------|-------------------|---------------------|-----------------------|
| Total For Sells                       |                 |                      |               |                     |                   |                     | 0                     |
|                                       |                 |                      |               | 0                   | 2,362,746         | 2,362,746           | 0                     |
| Total First Amer Govt Oblig Fund Cl Y |                 |                      |               |                     |                   |                     | 0                     |
|                                       |                 |                      |               | 0                   | 4,650,287         | 4,650,287           | 0                     |
| GRAND TOTAL                           |                 |                      |               |                     |                   |                     | 0                     |
|                                       |                 |                      |               | 0                   | 4,650,287         | 4,650,287           | 0                     |

CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE

\*NO TRANSACTIONS QUALIFIED FOR THIS SECTION\*