Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

| Pa | rt I Annual Report | Identification Information | | | | | | | | |
|--|--|---|--|--------------------------------------|--|-----------------|--|--|--|--|
| For o | calendar plan year 2015 or f | iscal plan year beginning 01/01/2 | 015 and ending 12 | 2/31/20 | 015 | | | | | |
| A This return/report is for: a single-employer plan a multiple-employer plan (not multiemploy list of participating employer information in a foreign plan a foreign plan a foreign plan | | | | | er) (Filers checking this box must attach a n accordance with the form instructions) | | | | | |
| Вт | This return/report is the first return/report | | | | | | | | | |
| C | Check box if filing under: | automatic extension | DFVC program | | | | | | | |
| Pa | rt II Basic Plan Info | ormation—enter all requested inf | ormation | | | | | | | |
| | Name of plan DLA PHYSICAL THERAPY | 1b | Three-digit plan number (PN) ▶ | 001 | | | | | | |
| | | | 1c | Effective date of plan 05/01/2004 | | | | | | |
| 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) | | | | | b Employer Identification Number (EIN) 55-0868291 | | | | | |
| | LA PHYSICAL THERAPY, I | | C Sponsor's telephone number 716-549-1099 | | | | | | | |
| 505 ERIE ROAD NGOLA, NY 14006 | | | | | 2d Business code (see instructions) 621340 | | | | | |
| 3a | Plan administrator's name a | ind address ⊠Same as Plan Spons | or. | | Administrator's I | elephone number | | | | |
| 4 | | ne plan sponsor has changed since simber from the last return/report. | the last return/report filed for this plan, enter the | 4b | EIN | | | | | |
| а | Sponsor's name | | | | 4c PN | | | | | |
| | | | | 5 | | 6 | | | | |
| | · | s at the end of the plan year | | 51 | 0 | 0 | | | | |
| С | Number of participants with complete this item) | account balances as of the end of | the plan year (defined benefit plans do not | 5d(| | 0 | | | | |
| d(| 1) Total number of active pa | Total number of active participants at the beginning of the plan year | | | | 0 | | | | |
| - | • | articipants at the end of the plan yea | 5d(| (2) | 0 | | | | | |
| | than 100% vested | | plan year with accrued benefits that were less | 50 | | 0 | | | | |
| | Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule | | | | | | | | | |
| SB c | | and signed by an enrolled actuary, a | stions, I declare that I have examined this return/rej s well as the electronic version of this return/report | | | | | | | |

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

| Form 5500-SF 2015 | | Page 2 | | | | | | | | |
|---|--|---|--------------|----------|-------------|-----------------|------------|---------|------------|------------|
| Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second or line 6b. | an independand condition | dent qualified public a ons.) m 5500-SF and mus | ccount | ant (IQ | PA) Form | 5500. | | X | Yes Yes | No No |
| C If the plan is a defined benefit plan, is it covered under the PBGC in | nsurance pr | ogram (see ERISA se | ection 4 | 021)? . | | Yes | No | Not | determ | nined |
| Part III Financial Information | 1 | | | | - | | | | | |
| 7 Plan Assets and Liabilities | | (a) Beginning | ning of Year | | | (b) End of Year | | | | |
| a Total plan assets | 7a | | 32 | 621 | | | | | | 0 |
| b Total plan liabilities | 7b | | 0.0 | 0 | | | | | | 0 |
| C Net plan assets (subtract line 7b from line 7a) | 7c | | 32621 | | | | 0 | | | 0 |
| 8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: | | (a) Amou | ınt | | | | (b) | Total | | |
| (1) Employers | 8a(1) | | | 0 | | | | | | |
| (2) Participants | 8a(2) | | 0 | | | | | | | |
| (3) Others (including rollovers) | 8a(3) | | | 0 | | | | | | |
| b Other income (loss) | 8b | | | 526 | | | | | | |
| C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | | 52 | <u>'6</u> |
| Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | 32 | :698 | | | | | | |
| e Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | | | |
| f Administrative service providers (salaries, fees, commissions) | 8f | | 449 | | | | | | | |
| g Other expenses | 8g | | | 0 | | | | | | |
| h Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | | | 3314 | 7 |
| i Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | | | -3262 | <u>?</u> 1 |
| j Transfers to (from) the plan (see instructions) | 8j | | | | | | | | | |
| Part IV Plan Characteristics | | | | | | | | | | |
| 9a If the plan provides pension benefits, enter the applicable pension | feature coo | les from the List of Plant | an Cha | racteris | stic Co | des in t | he instru | uctions | | |
| B If the plan provides welfare benefits, enter the applicable welfare for | oaturo codo | os from the List of Pla | n Char | octorict | ic Coc | loc in th | o inetru | etione: | | |
| in the plant provides wellare benefits, effect the applicable wellare in | eature code | es ironi the List of Fia | ii Cilaia | acterist | ic Coc | 162 111 111 | e ilistiut | Juoris. | | |
| Part V Compliance Questions | | | | | | | | | | |
| 10 During the plan year: | | | | Yes | No | N/A | | Amo | ount | |
| Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) | oluntary Fig | duciary Correction | 10a | | X | | | | | |
| b Were there any nonexempt transactions with any party-in-interest | | | | | V | | | | | |
| reported on line 10a.) | | | 10b | | X | | | | | |
| C Was the plan covered by a fidelity bond? | | | 10c | | Х | | | | | |
| d Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty? | | | 10d | | Χ | | | | | |
| Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.) | ne or all of the | he benefits under | 10e | | X | | | | | |
| f Has the plan failed to provide any benefit when due under the pla | | | 10f | | Х | | | | | |
| | | | | | X | | | | | |
| Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | | | 10g | | ^ | | | | | |
| 2520.101-3.) | • | | 10h | | X | | | | | |
| · · · · · · · · · · · · · · · · · · · | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | | | | | | | |
| j Did the plan trust incur unrelated business taxable income? | | | 10j | | | | _ | | | |
| Part VI Pension Funding Compliance | | | , | | <u> </u> | | <u> </u> | | | |
| 11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) | | | | | | | | П | Yes | X No |
| 11a Enter the unpaid minimum required contribution for all years from | | | | | | 11a | | | | |
| 12 Is this a defined contribution plan subject to the minimum funding | | | | | | | RISA?. | | Yes | X No |

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|---|--|--|------------------|------------------------------|------------------|-----------------------|---------------------|--|--|--|
| (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | | | |
| а | a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver | | | | | | | | | |
| If | | ng the waiver | | Day_ | | Τσαι | | | | |
| b | Enter ti | he minimum required contribution for this plan year | | 12b | | | | | | |
| С | Enter th | ne amount contributed by the employer to the plan for this plan year | | 12c | | | | | | |
| | Subtra | act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the | left of a | 12d | | | | | | |
| | | ve amount) | | | Yes | No | N/A | | | |
| Part | | e minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets | | | 163 | NO | IN/A | | | |
| | | resolution to terminate the plan been adopted in any plan year? | | | X Ye | sПNo | | | | |
| | | s," enter the amount of any plan assets that reverted to the employer this year | | . 13a | | | | | | |
| b | Were | all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou PBGC? | ght under the co | ontrol | X Yes No | | | | | |
| С | C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | | | |
| • | 13c(1) N | lame of plan(s): | 13c(2) | EIN(s) | | 13c(3) F | 13c(3) PN(s) | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| Part | | Trust Information | | | | | | | | |
| 14a | Name o | f trust | | 14b Trust's EIN | | | | | | |
| | | | | | | | | | | |
| 14c | Name | of trustee or custodian | | 14d Trustee's or custodian's | | | | | | |
| | | | | | telephone number | | | | | |
| Par | t IX | IRS Compliance Questions | | | | | | | | |
| 15a | Is the | plan a 401(k) plan? | | ☐ Ye | s | No | | | | |
| | 10 110 | | | Design- | | | | | | |
| 15b | 15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)? | | | | | based safe ADP/ACP | | | | |
| 15c | | DP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "c | | Yes No | | | | | | |
| testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))? | | | | | | | | | | |
| 16a | 16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b): | | | | | Ratio Average benefit | | | | |
| 16b | 16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? | | | | | No | | | | |
| 17a Has the plan been timely amended for all required tax law changes? | | | | Ye | S | No | N/A | | | |
| 17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the a for tax law changes and codes). | | | | | | (See ins | tructions | | | |
| 17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter / and the letter's serial number | | | | | | | | | | |
| 17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter | | | | | | | | | | |
| 18 | Is the I | Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)? | | | | No | | | | |
| 19 | Were in | Vere in-service distributions made during the plan year? | | | S | No | | | | |
| | If "Yes | If "Yes," enter amount | | | | | | | | |
| 20 | | Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)? | | | | No | N/A | | | |