Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

Part I		t Identification Information							
For calen	For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015								
A This re	eturn/report is for:	X a single-employer plan ☐ a one-participant plan		r plan (not multiemployer) (Filers checking this box must attach a employer information in accordance with the form instructions)					
B This re	eturn/report is	片	the final return/report a short plan year returr	ort sturn/report (less than 12 months)					
C Check	box if filing under:	Form 5558	automatic extension		DFV	C program			
special extension (enter description)									
Part II		ormation—enter all requested inform	ation		-				
1a Name of plan MCDERMOTT NEWMAN PLLC 401 K PROFIT SHARING PLAN TRUST					1b Three-dig plan num (PN) ▶	·			
						date of plan 01/01/2009			
Mailir	ng address (include ro	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O. Bo	,		2b Employer Identification Number (EIN) 20-8191058				
	OTT NEWMAN PLLC	ce, country, and ZIP or foreign postal co	ode (ir foreign, see instr	uctions)	2c Sponsor's telephone number 206-749-9472				
1001 4TH AVE STE 3200 SEATTLE, WA 98154-1003					2d Business code (see instructions) 541110				
3a Plan administrator's name and address ⊠Same as Plan Sponsor.					3b Administrator's EIN				
					3c Administra	ator's telephone number			
		ne plan sponsor has changed since the lumber from the last return/report.	ast return/report filed fo	or this plan, enter the	4b EIN				
	sor's name	anisor from the last retain report.			4c PN				
5a Tota	I number of participant	s at the beginning of the plan year			5a	5			
		s at the end of the plan year		Г	5b	5			
Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				-	5c				
d(1) To	otal number of active pa	articipants at the beginning of the plan y	ear		5d(1)	5			
d(2) Total number of active participants at the end of the plan year				i i	5d(2)	3			
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	5e 1			
		or incomplete filing of this return/rep							
SB or Sch		other penalties set forth in the instruction and signed by an enrolled actuary, as wo nolete.							
SIGN		d/valid electronic signature.	07/25/2016	ERIC NEWMAN					
HERE	Signature of plan		Date	Enter name of individua	name of individual signing as plan administrator				
SIGN									
HERE		oyer/plan sponsor	Date		mployer or plan sponsor				
Prenarer'	s name (including firm	name if applicable) and address (include	le room or suite numbe	ur)	Preparer's tale	nhone number			

	Form 5500-SF 2015		Page 2								
b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes [] No					
	f the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)? .		Yes	No	X N	lot dete	rmined
Par	t III Financial Information		<u> </u>			-					
	Plan Assets and Liabilities		(a) Beginning			-		(b) E	nd of		
	Fotal plan assets	. 7a		29	0646					35	548
	Fotal plan liabilities	. 7b		0			35548				
	Net plan assets (subtract line 7b from line 7a)ncome, Expenses, and Transfers for this Plan Year	. 7c	(a) Ama-	29646							340
	Contributions received or receivable from:		(a) Amou	ınt				(1)) Tota	aı	
	1) Employers	. 8a(1)		3	3138						
	2) Participants	. 8a(2)		4679							
	3) Others (including rollovers)	. 8a(3)			0						
	Other income (loss)	. 8b		-1	915						
	Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c								5	902
	Benefits paid (including direct rollovers and insurance premiums o provide benefits)	. 8d		0							
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0							
f_	Administrative service providers (salaries, fees, commissions)	. 8f		0							
g	Other expenses	. 8g			0						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h									0
	Net income (loss) (subtract line 8h from line 8c)							5902			
_ J _	Fransfers to (from) the plan (see instructions)	8j			0						
Par											
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2S 2T 3D	feature co	odes from the List of Pla	an Cha	racteris	stic Co	des in t	the inst	ructio	ns:	
В	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	ic Coc	les in th	e instr	uction	ns:	
Part	V Compliance Questions				1	1	ı				
10	During the plan year:				Yes	No	N/A		Α	mount	!
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	Fiduciary Correction			X					
b	Were there any nonexempt transactions with any party-in-interest										
	reported on line 10a.)			10b		X					
C	Was the plan covered by a fidelity bond?			10c	X						20000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides some	her person ne or all of	s by an insurance the benefits under			X					
	the plan? (See instructions.)			10e 10f							
						X					
<u>g</u>				10g		X					
n	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10i							
j	Did the plan trust incur unrelated business taxable income?			10i							
Part	VI Pension Funding Compliance			,			ı				
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)									Ye	s X No
11a	Enter the unpaid minimum required contribution for all years from						11a				
12	Is this a defined contribution plan subject to the minimum funding		, ,				302 of E	RISA?	·	Ye	s X No

	F	orm 5500-SF 2015 Page 3 - 1							
	_ `	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol	ntrol Yes X No				
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		13c(3) F	13c(3) PN(s)		
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d Trustee's or custodian's					
140 Name of trustee of custodian					telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Yes No					
15b		"how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals an ng contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?	Design- based safe ADP/ACP harbor test method						
15c	C If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					Yes No			
16a	6a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					Ratio Avera percentage benefit			
16b	16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					No			
17a	Has the	e plan been timely amended for all required tax law changes?		Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instruction for tax law changes and codes).							tructions		
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter/ and the letter's serial number									
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18	Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?				5	No			
19	Were in-service distributions made during the plan year?				s	No			
	If "Yes," enter amount								
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?					No	N/A		