## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to Public Inspection

Per	nsion Benefit Guaranty Corporation	▶ Complete all entries in	accordance with the instructions to the Form 5	500-SF	:.					
Part I Annual Report Identification Information										
For c	alendar plan year 2015 or fi	scal plan year beginning 01/01/2	20 <u>15</u> and ending 12	2/31/20	)15					
<b>A</b> TI	his return/report is for:	a single-employer plan  a one-participant plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions)  a foreign plan							
<b>B</b> Th	is return/report is	the final return/report a short plan year return/report (less than 12 m	onths)							
<b>C</b> c	heck box if filing under:	Form 5558	automatic extension	DFVC program						
		ription)								
Par	t II Basic Plan Info	rmation—enter all requested in	formation							
1a Name of plan BENEFITGUARD RETIREMENT INCOME SECURITY PLAN-DNA RESPONSE, INC.					Three-digit plan number (PN)	003				
				1c	Effective date of plan 03/01/2012					
N	Plan sponsor's name (emplo Mailing address (include root		<b>2b</b> Employer Identification Number (EIN) 90-0715307							
	ESPONSE, INC	tal code (if foreign, see instructions)	<b>2c</b> Sponsor's telephone number 206-995-8078							
UITE	RST AVENUE SOUTH 205 LE, WA 98104	2d Business code (see instructions) 519100								
<b>3a</b> F	Plan administrator's name ar	nd address Same as Plan Spon	sor.	<b>3b</b> Administrator's EIN						
ENEF	TTGUARD, LLC	877 EAS	ST 1200 SOUTH #1272 JT 84097	20-5354793 <b>3c</b> Administrator's telephone number						
					877-860-2664					
	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.  a Sponsor's name				EIN					
					4c PN					
5a -	Total number of participants at the beginning of the plan year				3	11				
<b>b</b> -	Total number of participants	5k	<b>o</b>	13						
C	Number of participants with complete this item)	50	<b>c</b> 7							
d(1	Total number of active pa	5d(		9						
d(2	2) Total number of active pa	ar	5d(	2)	13					
		e plan year with accrued benefits that were less	56	•	0					
Cauti	ion: A penalty for the late	or incomplete filing of this retur	n/report will be assessed unless reasonable car							
SB or		nd signed by an enrolled actuary, a	ctions, I declare that I have examined this return/re as well as the electronic version of this return/repor							

	Filed with authorized/valid electronic signature.	07/25/2016	SPENCER BARCLAY			
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN						
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			
Preparer's	name (including firm name, if applicable) and address (include r	er ) Preparer's telephone number				

Form 5500-SF 2015		Page <b>2</b>							
<ul> <li>Were all of the plan's assets during the plan year invested in eligib</li> <li>Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second t</li></ul>	an indepen and conditi ot use For	dent qualified public a ons.)rm 5500-SF and mus	ccount	ant (IQ	PA)  <b>Form</b>	5500.			Yes No
C If the plan is a defined benefit plan, is it covered under the PBGC in	surance pr	rogram (see ERISA se	ection 4	021)? .		Yes	No	Not de	etermined
Part III   Financial Information	1								
7 Plan Assets and Liabilities		(a) Beginning					(b) End	of Yea	
a Total plan assets	7a		80	0					63093
b Total plan liabilities	7b 7c		80						63093
Net plan assets (subtract line 7b from line 7a)      Income, Expenses, and Transfers for this Plan Year	76	(a) Amou	80455			/b)	(b) Total		
a Contributions received or receivable from:		(a) Alliot	4111				(15)	IOtai	
(1) Employers	8a(1)			0					
(2) Participants	8a(2)		12	338					
(3) Others (including rollovers)	8a(3)			0					
<b>b</b> Other income (loss)	8b		-	801					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c								11537
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		27	349					
e Certain deemed and/or corrective distributions (see instructions)	8e			0					
f Administrative service providers (salaries, fees, commissions)	8f		1	550					
g Other expenses	8g			0					
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								28899
i Net income (loss) (subtract line 8h from line 8c)	8i							-	-17362
j Transfers to (from) the plan (see instructions)	8j			0					
Part IV Plan Characteristics									
B If the plan provides welfare benefits, enter the applicable welfare for Part V Compliance Questions	eature code	es from the List of Pla	n Chara	acterist	ic Cod	les in the	e instruc	tions:	
10 During the plan year:				Yes	No	N/A		Amou	unt
Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary Fi	duciary Correction	10a		X				(
<b>b</b> Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
C Was the plan covered by a fidelity bond?			10c	X					500000
by fraud or dishonesty?	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X				
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of t	the benefits under	10e		X				
f Has the plan failed to provide any benefit when due under the pla			10f		Χ				
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X				(
h If this is an individual account plan, was there a blackout period?	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				
i If 10h was answered "Yes," check the box if you either provided the	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
j Did the plan trust incur unrelated business taxable income?			10j						
Part VI Pension Funding Compliance			,		<u> </u>				
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)									Yes No
11a Enter the unpaid minimum required contribution for all years from						11a			
12 Is this a defined contribution plan subject to the minimum funding						302 of E	RISA?		Yes X No

	F	orm 5500-SF 2015 Page <b>3</b> - 1							
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol Yes X No					
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		<b>13c(3)</b> F	PN(s)		
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d Trustee's or custodian's					
Name of trustee of custodian					telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		. Yes No					
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					Yes No			
16a	6a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					Ratio Avera percentage benef			
16b	<b>16b</b> Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					No			
17a	Has the	e plan been timely amended for all required tax law changes?		Ye	S	No	N/A		
17b		ne last plan amendment/restatement for the required tax law changes was adopted//law changes and codes).	plicable	code	(See ins	tructions			
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter and the letter's serial number									
17d	17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/								
18		Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?				No			
19	Were in-service distributions made during the plan year?			Ye	s	No			
	If "Yes	If "Yes," enter amount							
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?					No	N/A		