Form	5500-SF	Short Form Annu	ual Return/Repo Benefit Pla	•	oyee	OMB Nos. 1210-0110 1210-0089			
	nt of the Treasury Revenue Service	This form is required to be fill	-	2015					
Department of Labor Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Employee Employee Benefits Security Administration Revenue Code (the Code).						This Form is Open to Public Inspection			
	Guaranty Corporation			structions to the Form 5	500-SF.				
		dentification Information al plan year beginning 01/01/		and ending 12	2/31/2015				
A This return,		a single-employer plan a one-participant plan	a multiple-employe	er plan (not multiemployer) employer information in ac	(Filers check	-			
B This return/	report is	the first return/report an amended return/report	the final return/repo	ort eturn/report (less than 12 m	onths)				
C Check box	if filing under:	Form 5558 special extension (enter desc							
Part II E	Lasic Plan Infor	mation—enter all requested in							
1a Name of p	lan	UTIONS, INC. 401(K) PLAN			1b Three- plan n (PN) 1c Effecti	umber	001 Ilan		
2a Plan spon	sor's name (employe	er, if for a single-employer plan)			2b Emplo	01/01/			
Mailing ad City or tow	dress (include room,	, apt., suite no. and street, or P. country, and ZIP or foreign pos		nstructions)	2b Employer Identification Number (EIN) 20-5374333 2c Sponsor's telephone number				
					914-747-8855 2d Business code (see instructions)				
200 WHITE PLAINS RD. STE. 330 TARRYTOWN, NY 10591					517000				
3a Plan admi	nistrator's name and	address XSame as Plan Spor	isor.		3b Admin	istrator's El	N		
					3c Admin	istrator's tel	ephone number		
		plan sponsor has changed since	the last return/report file	ed for this plan, enter the	4b EIN				
name, Ell a Sponsor's		per from the last return/report.			4c PN				
5a Total num	ber of participants at	t the beginning of the plan year.			5a		10		
		t the end of the plan year			5b		9		
		ccount balances as of the end of			5c	6			
d(1) Total n	umber of active partie	cipants at the beginning of the p	lan year		5d(1)		5		
		cipants at the end of the plan ye			5d(2)		7		
than 100	% vested	erminated employment during th			5e	iched	0		
Under penaltie SB or Schedul	s of perjury and othe	r incomplete filing of this return or penalties set forth in the instru- l signed by an enrolled actuary,	ctions, I declare that I ha	ave examined this return/re	port, including	g, if applical			
SIGN File		alid electronic signature.	07/25/2016	JOSEPH DENNIS					
	ignature of plan adı	ministrator	Date	Enter name of individ	idual signing as plan administrator				
SIGN HERE S	ignature of employe	er/plan sponsor	Date	Enter name of individ	ual signing as	s employer	or plan sponsor		
Preparer's nan	ne (including firm nar	me, if applicable) and address (i	nclude room or suite nur	nber)	Preparer's t	elephone n	umber		
For Paperwork	Reduction Act Notice	and OMB Control Numbers, see ti	ne instructions for Form 5	500-SF.		F	orm 5500-SF (2015)		

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Part VI

Form 5500-SF 2015		Page 2								
Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes No			
	Are you claiming a waiver of the annual examination and report of an independent qualified public a under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes 🗌 No			
If you answered "No" to either line 6a or line 6b, the plan can						_				
C If the plan is a defined benefit plan, is it covered under the PBGC	insurance p	program (see ERISA se	ection 40	021)?		Yes	No Not determined			
Part III Financial Information										
7 Plan Assets and Liabilities		(a) Beginning	g of Yea	ear (b) End of Year						
a Total plan assets	a Total plan assets			670		295205				
b Total plan liabilities	7b			0		0				
C Net plan assets (subtract line 7b from line 7a)	7c		502	670		295205				
8 Income, Expenses, and Transfers for this Plan Year		(a) Amou	unt				(b) Total			
 a Contributions received or receivable from: (1) Employers 	8a(1)		0							
(2) Participants	8a(2)		17638							
(3) Others (including rollovers)	8a(3)			0	_					
b Other income (loss)				904						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				_		16734			
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		220	746						
e Certain deemed and/or corrective distributions (see instructions).	8e			0						
f Administrative service providers (salaries, fees, commissions)	8f		3	453	_					
g Other expenses	8g			0						
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				_		224199			
i Net income (loss) (subtract line 8h from line 8c)	Net income (loss) (subtract line 8h from line 8c)						-207465			
j Transfers to (from) the plan (see instructions)	··· 8j			0						
Part IV Plan Characteristics										
9a If the plan provides pension benefits, enter the applicable pensio 2G 2J 2T 3D	on feature co	odes from the List of Pla	an Chai	racteri	stic Co	odes in t	the instructions:			
B If the plan provides welfare benefits, enter the applicable welfare	feature coo	des from the List of Pla	n Chara	cterist	ic Coo	des in th	ne instructions:			
Part V Compliance Questions										
10 During the plan year:				Yes	No	N/A	Amount			
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction				X		0			
					х		0			
C Was the plan covered by a fidelity bond?							50000			
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				Х		0			
e Were any fees or commissions paid to any brokers, agents, or c carrier, insurance service, or other organization that provides so	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)						2023			
Has the plan failed to provide any benefit when due under the plan?					Х		0			
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				Х			0			
	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				х					
	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									

11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sched 5500) and line 11a below)	ule SB (Form	Yes	X
11a	Enter the unpaid minimum required contribution for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 3	302 of El	RISA?	Yes	X

Did the plan trust incur unrelated business taxable income?

Pension Funding Compliance

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No

No

Form 5500-SF 2015

Page **3** - 1

	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
b Enter the minimum required contribution for this plan year									
C Enter the amount contributed by the employer to the plan for this plan year									
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)									
е	Will	the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No	N/A		
Part	Part VII Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted in any plan year?			Yes X No				
		es," enter the amount of any plan assets that reverted to the employer this year		13a					
h		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou							
	of th	e PBGC?	-						
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi th assets or liabilities were transferred. (See instructions.)	fy the plan(s) to						
1	13c(1)	Name of plan(s):	13c(2)	EIN(s) 13c(3) PN(s)					
Part	VIII	Trust Information	-						
14a	Name	e of trust		14b Trust's EIN					
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is th	e plan a 401(k) plan?		Ye	es				
15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					esign- ased safe arbor nethod	P/ACP			
15c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					Yes No				
16a	16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):						Average benefit test		
16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?				Ye	es	No			
17a Has the plan been timely amended for all required tax law changes?				Ye	es	No	N/A		
	17b Date the last plan amendment/restatement for the required tax law changes was adopted/ Enter the applicable code (See instructions for tax law changes and codes).								
17c		plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter placory letter, enter the date of that favorable letter/ and the letter's serial r		t to a f	avorable	IRS opinion	or		
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18	B Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?				/es No				
19 Were in-service distributions made during the plan year?					es 🗌 No				
If "Yes," enter amount									
20					es	No	N/A		