Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information	1						
For calenda	ar plan year 2015 or fis	scal plan year beginning 01/01/2	2015	and ending 1	2/31/2015				
A This ret	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions) a one-participant plan a foreign plan								
B This retu	urn/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 months)						
C Check I	box if filing under:	Form 5558	automatic extension DFVC program						
		special extension (enter desc	cription)						
Part II	Basic Plan Info	rmation—enter all requested in	nformation						
1a Name of plan NICHOLSON KOVALCHICK ARCHITECTS 401(K) PLAN						er 001			
					1c Effective date of plan 01/01/2007				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) NICHOLSON KOVALCHICK ARCHITECTS PS					2b Employer Identification Number (EIN) 58-2680214				
					2c Sponsor's telephone number 206-494-9733				
310 FIRST AVENUE SOUTH SUITE 4S SEATTLE, WA 98104					2d Business code (see instructions) 541310				
3a Plan administrator's name and address XSame as Plan Sponsor.				3b Administrator's EIN					
					3c Administrat	or's telephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b EIN				
a Sponsor's name						4c PN			
5a Total i	number of participants	at the beginning of the plan year.			. 5a 3				
b Total number of participants at the end of the plan year					5b	40			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5c				
d(1) Total number of active participants at the beginning of the plan year				5d(1)					
d(2) Total number of active participants at the end of the plan year					5d(2)				
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e 0				
		or incomplete filing of this retur							
SB or Sche		ner penalties set forth in the instrund signed by an enrolled actuary, ablete.							
SIGN	Filed with authorized/	valid electronic signature.	07/29/2016	07/29/2016 KURT WONG					
HERE	Signature of plan a	dministrator	Date	Enter name of individual signing as plan administrator					
SIGN									
HERE	Signature of emplo		Date		individual signing as employer or plan spo				
Preparer's	Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number								

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 Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second	an indepen and condition	dent qualified public a	ccount	ant (IQ	PA)				Yes No
C If the plan is a defined benefit plan, is it covered under the PBGC in	surance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No	Not d	etermined
Part III Financial Information	1				-				
7 Plan Assets and Liabilities		(a) Beginning	•			(b) End of Year			
a Total plan assets	7a		804	651				8	885719
b Total plan liabilities	7b		00.4	0					0
C Net plan assets (subtract line 7b from line 7a)	7c		804	651					885719
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amou	ınt				(b)	Total	
(1) Employers	8a(1)		2	952					
(2) Participants	8a(2)		111	601					
(3) Others (including rollovers)	8a(3)								
b Other income (loss)	8b		-33	305					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c								81248
Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d			0					
e Certain deemed and/or corrective distributions (see instructions)	8e		0						
f Administrative service providers (salaries, fees, commissions)	8f			180					
g Other expenses	8g								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								180
i Net income (loss) (subtract line 8h from line 8c)	8i								81068
j Transfers to (from) the plan (see instructions)	8j			0					
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature cod	des from the List of PI	an Cha	racteris	stic Co	des in t	he instru	ictions:	
B If the plan provides welfare benefits, enter the applicable welfare fe	eature code	es from the List of Pla	n Chara	acterist	ic Coc	les in th	e instruc	tions:	
	odiaio oodi	oo nom the List of Fia	T Onarc	20101101			o monde		
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amo	unt
Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary Fi	duciary Correction	10a		X				
b Were there any nonexempt transactions with any party-in-interest					V				
reported on line 10a.)			10b		X				
C Was the plan covered by a fidelity bond?			10c	X					88000
d Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X				
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides some	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			X					4163
f Has the plan failed to provide any benefit when due under the plan			10f		Х				1100
	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)								20000
	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			X					20000
2520.101-3.)	•		10h	X					
If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i	Χ					
j Did the plan trust incur unrelated business taxable income?			10j						
Part VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)									Yes X No
11a Enter the unpaid minimum required contribution for all years from	Schedule S	SB (Form 5500) line 4	0			11a			
12 Is this a defined contribution plan subject to the minimum funding	requireme	nts of section 412 of t	he Cod	e or se	ction 3	302 of E	RISA?	$\perp \square^{-}$	Yes X No

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	_ `	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		. 13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol	Yes X No				
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s) 13c(3) PN(s)			PN(s)		
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d Trustee's or custodian's					
140 Name of trustee of custodian				telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	S	No			
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	5c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					Yes No			
16a	6a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					Ratio percentage benefit test			
16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?				Ye	s	No			
17a	Has the	e plan been timely amended for all required tax law changes?		Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instruction for tax law changes and codes).						tructions			
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter and the letter's serial number									
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18	Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?				5	No			
19	Were in-service distributions made during the plan year?				s	No			
	If "Yes," enter amount								
20		equired minimum distributions made to 5% owners who have attained age 70 $\frac{1}{2}$ (regardless of w), as required under section 401(a)(9)?		Ye	s	No	N/A		