Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to **Public Inspection**

▶ Complete all entries in accordance with the instructions to the Form 5500-SF. **Annual Report Identification Information** For calendar plan year 2015 or fiscal plan year beginning and ending x a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) **C** Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1b Three-digit 1a Name of plan plan number CAPITAL FENCE COMPANY INC 401 K PROFIT SHARING PLAN TRUST 001 (PN) • 1c Effective date of plan 01/01/2003 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 16-6482906 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 2c Sponsor's telephone number CAPITAL FENCE COMPANY INC. 716-691-7438 2d Business code (see instructions) 42 N ELLICOTT CREEK RD AMHERST, NY 14228-2316 812990 3b Administrator's EIN **3a** Plan administrator's name and address XSame as Plan Sponsor. 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 13 5a Total number of participants at the beginning of the plan year...... 5b 13 **b** Total number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c 8 complete this item) 14 5d(1) d(1) Total number of active participants at the beginning of the plan year 5d(2) 11 d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less 0 5e than 100% vested..... Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

| BOHOT, ICTO | true, correct, and complete. | | |
|--------------|--|-----------------------------------|--|
| SIGN HERE | Filed with authorized/valid electronic signature. | 07/29/2016 | SYLVIA RAYMOND |
| | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN HERE | | | |
| | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |
| Preparer's | name (including firm name, if applicable) and address (include | per) Preparer's telephone number | |
| | | | |
| | | | |
| | | | |

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|--|---|--|----------|----------|------------------------|------------|-----------|---------|-----------|
| Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second | an indepen and conditi ot use For | dent qualified public a ons.)rm 5500-SF and mus | ccount | ant (IQ | PA) Form | 5500. | | | Yes No |
| C If the plan is a defined benefit plan, is it covered under the PBGC in | surance pr | rogram (see ERISA se | ection 4 | 021)? . | | Yes | No | Not d | etermined |
| Part III Financial Information | | | | | | | | | |
| 7 Plan Assets and Liabilities | | (a) Beginning | | | | | (b) End | of Yea | |
| a Total plan assets | 7a | | 159 | 825 | | | | 1 | 117968 |
| b Total plan liabilities | 7b | | 150 | 0 | | | | | 0 |
| Net plan assets (subtract line 7b from line 7a) Income, Expenses, and Transfers for this Plan Year | 7c | (-) A | | 825 | | | (1-) | | 117968 |
| 8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: | | (a) Amou | ınt | | | | (b) | Total | |
| (1) Employers | 8a(1) | | 0 | | | | | | |
| (2) Participants | 8a(2) | | 909 | | | | | | |
| (3) Others (including rollovers) | 8a(3) | | | 0 | | | | | |
| b Other income (loss) | 8b | | 1 | 637 | | | | | |
| C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | | 5546 |
| Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | 47 | 273 | | | | | |
| e Certain deemed and/or corrective distributions (see instructions) | | | | 0 | | | | | |
| f Administrative service providers (salaries, fees, commissions) | 8f | | | 130 | | | | | |
| g Other expenses | 8g | | | 0 | | | | | |
| h Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | | | 47403 |
| i Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | | | -41857 |
| j Transfers to (from) the plan (see instructions) | 8j | | | 0 | | | | | |
| Part IV Plan Characteristics | | | | | | | | | |
| 9a If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2T 3D | feature co | des from the List of Plant | an Cha | racteris | stic Co | des in t | he instru | ctions: | |
| B If the plan provides welfare benefits, enter the applicable welfare fe | eature code | es from the List of Pla | n Char | acterist | ic Cod | les in the | e instruc | tions: | |
| | oataro ooa | oo nom the List of Fra | ii Onait | 20101101 | 10 000 | | o monde | | |
| Part V Compliance Questions | | | | | | | | | |
| 10 During the plan year: | | | | Yes | No | N/A | | Amo | unt |
| | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction | | | | X | | | | |
| b Were there any nonexempt transactions with any party-in-interest reported on line 10a.) | | | 10b | | X | | | | |
| | | | | | | | | | 20000 |
| d Did the plan have a loss, whether or not reimbursed by the plan's | | | 100 | X | | | | | 20000 |
| by fraud or dishonesty? | | | 10d | | X | | | | |
| Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.) | ne or all of t | the benefits under | 10e | | X | | | | |
| f Has the plan failed to provide any benefit when due under the plan | | | 10f | | Χ | | | | |
| g Did the plan have any participant loans? (If "Yes," enter amount a | | | | X | | | | | 42650 |
| h If this is an individual account plan, was there a blackout period? | | , | 10g | ^ | | | | | 43658 |
| 2520.101-3.) | • | | 10h | | X | | | | |
| | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | | | | | | |
| j Did the plan trust incur unrelated business taxable income? | | | 10j | | | | | | |
| Part VI Pension Funding Compliance | | | | - | | | | | |
| 11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) | | | | | | | | | Yes X No |
| 11a Enter the unpaid minimum required contribution for all years from | Schedule \$ | SB (Form 5500) line 4 | 0 | <u></u> | | 11a | | | |
| 12 Is this a defined contribution plan subject to the minimum funding | requireme | nts of section 412 of t | he Cod | e or se | ction 3 | 302 of E | RISA? | | Yes X No |

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|--|--|---|--------|------------------|------------------|---|-----------|--|--|--|
| | _ ` | s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | |
| а | | aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver | | enter the Day | date of t | he letter rul Year | ing | | | |
| lf | | mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line | | Duy_ | | 1 oui | | | | |
| b | Enter t | ne minimum required contribution for this plan year | | 12b | | | | | | |
| С | Enter th | ne amount contributed by the employer to the plan for this plan year | | 12c | | | | | | |
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a | | | | | | | | | | |
| | | ve amount)e minimum funding amount reported on line 12d be met by the funding deadline? | | П | Yes | No 🗌 | N/A | | | |
| Part | | Plan Terminations and Transfers of Assets | | | 100 | 110 | 1471 | | | |
| | | resolution to terminate the plan been adopted in any plan year? | | | Yes | s X No | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | | | | | 13a | | | | |
| b | | | | | | rol Yes X No | | | | |
| С | C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | | | |
| • | 13c(1) N | lame of plan(s): | 13c(2) |) EIN(s) 13c | | | PN(s) | | | |
| | | | | | | | | | | |
| Part | : VIII | Trust Information | | | | | | | | |
| 14a | Name o | f trust | | 14b Trust's EIN | | | | | | |
| | | | | | | | | | | |
| 14c Name of trustee or custodian | | | | | | 14d Trustee's or custodian's | | | | |
| 140 Name of trustee of custodian | | | | | telephone number | | | | | |
| | | | | | | | | | | |
| Par | t IX | IRS Compliance Questions | | | | | | | | |
| 15a | Is the | plan a 401(k) plan? | | Ye | S | No | | | | |
| 15b | 15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)? | | | | | Design- based safe ADP/ACP harbor test method | | | | |
| 15c | ic If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))? | | | | | No | | | | |
| 16a | 6a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b): | | | | | Ratio Average benefit to | | | | |
| 16b | 16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? | | | | s | No | | | | |
| 17a | Has the | e plan been timely amended for all required tax law changes? | | Ye | S | No | N/A | | | |
| 17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instruction for tax law changes and codes). | | | | | | | tructions | | | |
| 17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter/ and the letter's serial number | | | | | | | | | | |
| 17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/ | | | | | | | | | | |
| 18 | | Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin | | Yes | ; | No | | | | |
| 19 | Were in | n-service distributions made during the plan year? | | Ye | s | No | | | | |
| | If "Yes | " enter amount | | 19 | | | | | | |
| 20 | | equired minimum distributions made to 5% owners who have attained age 70 $\frac{1}{2}$ (regardless of w), as required under section 401(a)(9)? | | Ye | s | No | N/A | | | |