## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2015

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

**Annual Report Identification Information** For calendar plan year 2015 or fiscal plan year beginning and ending x a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) **C** Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1b Three-digit 1a Name of plan plan number WILLIAM F JOHNSTON MD INC PS PROFIT SHARING PLAN AND TRUST 001 (PN) • 1c Effective date of plan 02/01/1979 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 91-1056491 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) **2c** Sponsor's telephone number WILLIAM F JOHNSTON MD INC PS 425-827-6100 2d Business code (see instructions) 1410 MARKET ST 1410 MARKET ST KIRKLAND, WA 98033-5409 KIRKLAND, WA 98033-5409 621111 **3a** Plan administrator's name and address XSame as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 5a Total number of participants at the beginning of the plan year...... 5b **b** Total number of participants at the end of the plan year ...... Number of participants with account balances as of the end of the plan year (defined benefit plans do not 5c complete this item) ..... 5d(1) d(1) Total number of active participants at the beginning of the plan year ..... 5d(2) d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less 5e than 100% vested..... Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule

SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

belief it is true correct and complete

Donor, it is	true, correct, and complete.					
SIGN HERE	Filed with authorized/valid electronic signature.	07/29/2016	PHILIP MAXEINER			
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN HERE						
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponso			
Preparer's	name (including firm name, if applicable) and address (include	r )	Preparer's telephone number			
PHILIP S MAXEINER CPA				425-827-6100		

PHILIP S MAXEINER CPA

ELITE ACCOUNTING AND FINANCIAL SERV

1410 MARKET ST

KIRKLAND, WA 98033-5409

Form 5500-SF 2015		Page <b>2</b>								
<ul> <li>Were all of the plan's assets during the plan year invested in elig</li> <li>Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility of your answered "No" to either line 6a or line 6b, the plan care</li> </ul>	of an independ y and condition	dent qualified public a	ccount	ant (IQ	PA)			X Ye		
c If the plan is a defined benefit plan, is it covered under the PBGC	insurance pr	ogram (see ERISA se	ection 4	021)?		Yes	No	Not dete	ermined	
Part III Financial Information										
7 Plan Assets and Liabilities		(a) Beginning	of Ye	ear (b)				) End of Year		
<b>a</b> Total plan assets		3211742			3140965					
<b>b</b> Total plan liabilities		321174						04.44	2005	
Net plan assets (subtract line 7b from line 7a)  Income. Expenses. and Transfers for this Plan Year	7с	(a) A	742			(1.)	3140965			
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amou	ınt				(D)	Total		
(1) Employers	8a(1)									
(2) Participants	8a(2)									
(3) Others (including rollovers)	1 '									
<b>b</b> Other income (loss)			-70	777						
Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)      Benefits paid (including direct rollovers and insurance premiums	8c							-/(	)777	
to provide benefits)	8d									
e Certain deemed and/or corrective distributions (see instructions).	8e									
f Administrative service providers (salaries, fees, commissions)	8f									
g Other expenses	8g									
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h									
Net income (loss) (subtract line 8h from line 8c)								-70	)777	
j Transfers to (from) the plan (see instructions)  Part IV Plan Characteristics	··· 8j									
9a If the plan provides pension benefits, enter the applicable pension 2G 3E  B If the plan provides welfare benefits, enter the applicable welfare Part V Compliance Questions										
10 During the plan year:				Yes	No	N/A		Amoun	t	
Was there a failure to transmit to the plan any participant contril described in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary Fi	duciary Correction	10a		X			7	•	
<b>b</b> Were there any nonexempt transactions with any party-in-interereported on line 10a.)			10b		X					
C Was the plan covered by a fidelity bond?				Х					220000	
<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused								320000	
by fraud or dishonesty?  • Were any fees or commissions paid to any brokers, agents, or			10d		X					
carrier, insurance service, or other organization that provides so the plan? (See instructions.)	ome or all of t	he benefits under	10e		X					
	Has the plan failed to provide any benefit when due under the plan?				X					
g Did the plan have any participant loans? (If "Yes," enter amount	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				Χ					
·	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X					
If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10i							
j Did the plan trust incur unrelated business taxable income?			10j			X				
Part VI Pension Funding Compliance			•							
11 Is this a defined benefit plan subject to minimum funding require 5500) and line 11a below)								. Ye	es X No	
11a Enter the unpaid minimum required contribution for all years fro						11a				
12 Is this a defined contribution plan subject to the minimum funding	ng requireme	nts of section 412 of t	he Cod	e or se	ction 3	302 of El	RISA?	Y6	es X No	

	F	orm 5500-SF 2015 Page <b>3</b> - 1							
	(If "Ye	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	e date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter th	he minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		nct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			. 00	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Ye	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		. 13a					
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough		ontrol	trol Yes X No				
С		ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi assets or liabilities were transferred. (See instructions.)	fy the plan(s) to						
1	1 <b>3c(1)</b> N	lame of plan(s):	13c(2)	EIN(s)		13c(3) F	<b>3c(3)</b> PN(s)		
Part	VIII	Trust Information							
14a	Name o	of trust		14b ⊺	Γrust's ΕΙΙ	N			
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	S	X No			
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	<b>5c</b> If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					Yes No			
	16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):				Ratio Average benefit				
<b>16b</b> Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?				X Ye	s	No			
17a Has the plan been timely amended for all required tax law changes?				Ye	s	No	X N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instruction for tax law changes and codes).									
17c	17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter/ and the letter's serial number								
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18		Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin		Yes	3	X No			
19	Were in-service distributions made during the plan year?			Ye	S	× No			
	If "Yes," enter amount			19					
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?				s	X No	N/A		