## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

SIGN HERE

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

2015

OMB Nos. 1210-0110

This Form is Open to Public Inspection

Ρ	art I	Annual Report	<u>t Identification Information</u>	1								
For	calenda	ır plan year 2015 or f	fiscal plan year beginning 01/01/2	2015		and ending 12	2/31/20	015				
Α	This retu	urn/report is for:	a single-employer plan     a one-participant plan	list of participating employer information in accordance with the								
В	This retu	rn/report is	the first return/report an amended return/report	H	eturn/report	/report (less than 12 mo	port (less than 12 months)					
С	Check b	ox if filing under:	X Form 5558 Special extension (enter desc	ш	c extension		DFVC program					
Pa	art II	Basic Plan Info	ormation—enter all requested in	nformation								
1a	Name of plan NDI PRODUCTS 401(K) PLAN						1b	Three-digit plan number (PN)	001			
							1c	f plan 1/2012				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)							<b>2b</b> Employer Identification Number (EIN) 01-0605232					
BLAN	City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)  ANDI PRODUCTS, INC							<b>2c</b> Sponsor's telephone number 212-755-9300				
		VENUE 3RD FL NY 10022					2d Business code (see instructions)  551112					
за	Plan ac	lministrator's name a	and address XSame as Plan Spon	isor.			3b Administrator's EIN					
							30	Administrator's t	elephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.							4b EIN					
a Sponsor's name							4c					
5a	5a Total number of participants at the beginning of the plan year							a	9			
<ul> <li>b Total number of participants at the end of the plan year</li> <li>c Number of participants with account balances as of the end of the plan year (defined benefit plans do not</li> </ul>							5b 11					
complete this item)							5c 6 5d(1) 9					
d(2) Total number of active participants at the end of the plan year												
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested							5d(2) 8 5e 0					
Cai			or incomplete filing of this return				ise is	established.				
Und SB	der pena or Sche	lties of perjury and o	other penalties set forth in the instru and signed by an enrolled actuary, a	ictions, I decla	re that I have o	examined this return/rep	ort, ir	ncluding, if applic				
SIG	SN	Filed with authorized	d/valid electronic signature.	08/0	8/2016	MUKESH SAXENA						
HERE	RE	Signature of plan	administrator	Date		Enter name of individual signing as plan administrator						

Date

Preparer's name (including firm name, if applicable) and address (include room or suite number )

Signature of employer/plan sponsor

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

Form 5500-SF 2015		Page <b>2</b>							
<ul> <li>Were all of the plan's assets during the plan year invested in eligib</li> <li>Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility of you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second to</li></ul>	an indepen and condition	dent qualified public a	ccount	ant (IQ	PA)				Yes No
<b>c</b> If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)?		Yes	No	Not de	etermined
Part III Financial Information	, ,								
7 Plan Assets and Liabilities		(a) Beginning				(b) End of Year			
a Total plan assets	. 7a		33	3998					53303
b Total plan liabilities	7b		22	2008					53303
Net plan assets (subtract line 7b from line 7a)      Income, Expenses, and Transfers for this Plan Year	7c	(a) Amou	33998 (a) Amount				(b) Total		
a Contributions received or receivable from:		(a) Alliot	ant				(b)	IOlai	
(1) Employers	8a(1)			9188					
(2) Participants	8a(2)		10	)850					
(3) Others (including rollovers)	8a(3)			700					
b Other income (loss)	8b			-733					10205
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)      d Benefits paid (including direct rollovers and insurance premiums	8c								19305
to provide benefits)	. 8d								
e Certain deemed and/or corrective distributions (see instructions)	8e								
f Administrative service providers (salaries, fees, commissions)	. 8f								
g Other expenses	8g								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								0
Net income (loss) (subtract line 8h from line 8c)	. 8i								19305
j Transfers to (from) the plan (see instructions)  Part IV Plan Characteristics	8j								
B If the plan provides welfare benefits, enter the applicable welfare f  Part V Compliance Questions	eature code	es from the List of Pla	n Chara	acterist	ic Cod	les in the	e instruc	tions:	
10 During the plan year:				Yes	No	N/A		Amou	unt
<b>a</b> Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	/oluntary Fi	duciary Correction	10a		X				
<b>b</b> Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
			10b	X					1000
									4000
by fraud or dishonesty?			10d		Χ				
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of t	he benefits under	10e		X				
f Has the plan failed to provide any benefit when due under the pla			10f		Χ				
					Χ				
h If this is an individual account plan, was there a blackout period?	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				
· · · · · · · · · · · · · · · · · · ·	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
j Did the plan trust incur unrelated business taxable income?			10j						
Part VI Pension Funding Compliance			<b>.</b>			· ·			
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								. 🔲	Yes No
11a Enter the unpaid minimum required contribution for all years from	Schedule S	SB (Form 5500) line 4	0			11a		T -	
12 Is this a defined contribution plan subject to the minimum funding	requireme	nts of section 412 of t	he Cod	le or se	ction 3	302 of El	RISA?		Yes 🛚 No

	F	orm 5500-SF 2015 Page <b>3</b> - 1							
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol	Yes X No				
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)	EIN(s) <b>13c(3)</b> PN(s)				
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d	14d Trustee's or custodian's				
	rianio	of tubics of suctorial			telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	Yes No				
15b		"how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals an ng contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?	Design- based safe ADP/ACP harbor test method						
15c	testing	DP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "c method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4(ii))?	Yes No						
16a	Check	the box to indicate the method used by the plan to satisfy the coverage requirements under secti	U p∈	Ratio percentage Average benefit test					
<b>16b</b> Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?						No			
17a Has the plan been timely amended for all required tax law changes?						No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instrufor tax law changes and codes).									
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter and the letter's serial number									
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18		Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?				. No			
19	Were in	Were in-service distributions made during the plan year?				No			
	If "Yes	If "Yes," enter amount							
20		equired minimum distributions made to 5% owners who have attained age 70 $\frac{1}{2}$ (regardless of w ), as required under section 401(a)(9)?	Ye	s	No	N/A			