Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to Public Inspection

Parti		identification information									
For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015											
A This rot	turn/ranart in far.	x a single-employer plan			ployer) (Filers checking this box must attach a on in accordance with the form instructions)						
A mis rei	turn/report is for:	a one-participant plan	a foreign plan								
B This retu	urn/report is	the first return/report									
		an amended return/report	onths)								
C Check I	box if filing under:	Form 5558	automatic extension		X DF	FVC program					
			<u> </u>								
Part II	Basic Plan Info	prmation—enter all requested in	formation								
1a Name					1b Three-	digit					
LEGENDS PUB AND GRUB LLC 401 K PROFIT SHARING PLAN TRUST						umber					
					(PN)	001					
			1c Effectiv	ve date of plan 01/01/2014							
		yer, if for a single-employer plan)			2b Employer Identification Number						
		m, apt., suite no. and street, or P.0		tructions)	(EIN) 46-5290448						
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) LEGENDS PUB AND GRUB LLC						or's telephone number 401-623-1775					
						ss code (see instructions)					
1458 PARK AVE UNIT 1 CRANSTON, RI 02920						812990					
3a Plan administrator's name and address XSame as Plan Sponsor.					3b Administrator's EIN						
					3c Admini	strator's telephone number					
					JC Admini	strator's telephone number					
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					4b EIN						
	, EIN, and the plan nul or's name	mber from the last return/report.			4c PN						
5a Total number of participants at the beginning of the plan year						1					
_		at the end of the plan year			5b	2					
C Numb	er of participants with	account balances as of the end of	the plan year (defined be	nefit plans do not	5c	4					
complete this item)					5d(1)	1					
d(1) Total number of active participants at the beginning of the plan year					5d(1)	2					
 d(2) Total number of active participants at the end of the plan year e Number of participants that terminated employment during the plan year with accrued benefits that were less 											
than 100% vested					5e 0						
		or incomplete filing of this return her penalties set forth in the instru									
SB or Sche		nd signed by an enrolled actuary,									
SIGN HERE		/valid electronic signature.	09/06/2016	RICHARD HIGHAM J	IIGHAM JR						
	Signature of plan a	ndministrator	Date	Enter name of individual signing as plan administrator							
SIGN HERE											
	Signature of emplo	yer/plan sponsor	Date	Enter name of individ	ne of individual signing as employer or plan spons						
Preparer's		name, if applicable) and address (i	nclude room or suite numb		Preparer's telephone number						

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If you answered "No" to either line 6a or line 6b, the plan ca	of an indepen ity and conditi annot use For	f an independent qualified public accountant (IQPA) and conditions.)					<u> </u>	Yes No	
c If the plan is a defined benefit plan, is it covered under the PBGC	C insurance pi	rogram (see ERISA se	ection 4	.021)? .	📙	Yes	No	X No	t determined
Part III Financial Information					ı				
7 Plan Assets and Liabilities	7.	(a) Beginning	of Ye				(b) E	nd of Y	<u>ear</u> 624
a Total plan assets				16					024
C Net plan assets (subtract line 7b from line 7a)				16					624
8 Income, Expenses, and Transfers for this Plan Year		(a) Amou	ınt				(b) Total	
a Contributions received or receivable from:		(4,7						,	
(1) Employers				281					
(2) Participants			351						
(3) Others (including rollovers)				-24					
b Other income (loss)				-24					608
d Benefits paid (including direct rollovers and insurance premiums									000
to provide benefits)	8d			0					
e Certain deemed and/or corrective distributions (see instructions)				0					
f Administrative service providers (salaries, fees, commissions)				0					
g Other expenses				0					0
h Total expenses (add lines 8d, 8e, 8f, and 8g) i Net income (loss) (subtract line 8h from line 8c)									608
Net income (loss) (subtract line 8h from line 8c) Transfers to (from) the plan (see instructions)				0					000
Part IV Plan Characteristics	····· 8j			0					
9a If the plan provides pension benefits, enter the applicable welfar benefits, enter the applicable pension benefits, enter the applicable welfar benefits and benefits benefits and benefits benefits benefits benefits and benefits ben									
10 During the plan year:				Yes	No	N/A		Am	ount
described in 29 CFR 2510.3-102? (See instructions and DOL'	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X				
b Were there any nonexempt transactions with any party-in-inter	•		400		X				
reported on line 10a.)			10b						
<u> </u>	Was the plan covered by a fidelity bond?				X				
by fraud or dishonesty?			10d		X				
carrier, insurance service, or other organization that provides s	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)				X				
f Has the plan failed to provide any benefit when due under the			10f		Χ				
Q Did the plan have any participant loans? (If "Yes." enter amour	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				Χ				
h If this is an individual account plan, was there a blackout period	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X				
If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									
j Did the plan trust incur unrelated business taxable income?			10j						
Part VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requir 5500) and line 11a below)									Yes X No
11a Enter the unpaid minimum required contribution for all years from	om Schedule	SB (Form 5500) line 4	0			11a		1 -	, –
12 Is this a defined contribution plan subject to the minimum fund	ling requireme	nts of section 412 of t	he Cod	le or se	ction 3	302 of E	RISA?	·	Yes X No

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	_ `	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol	rol Yes X No				
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	13c(3) P			PN(s)		
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c Name of trustee or custodian					14d Trustee's or custodian's				
140 Name of trustee of custodian				telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		. Yes No					
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	5c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					Yes No			
16a	6a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					Ratio Average benefit te			
16b	16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?				s	No			
17a Has the plan been timely amended for all required tax law changes?				Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instruction for tax law changes and codes).						tructions			
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter / and the letter's serial number									
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18	Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?				5	No			
19	Were in-service distributions made during the plan year?			Ye	s	No			
	If "Yes," enter amount								
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?				s	No	N/A		