Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2014

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

	fined planting the significant of 104/04/0		and ending 12	2/24/2044				
For calendar plan year 2014 or	2/31/2014							
A								
A This return/report is for:		_ ' ' " '	oyer information in accor	dance with the form	nstructions)			
_	a one-participant plan	a foreign plan						
B This return/report is	the first return/report	the final return/report						
	an amended return/report	an amended return/report a short plan year return/report (less than 12 months)						
C Check box if filing under:	X Form 5558	automatic extension X DFVC program						
	special extension (enter desci	ription)						
Part II Basic Plan In	formation—enter all requested in	formation						
1a Name of plan	enter an requested in	iomation		1b Three-digit				
GRAND STREET MEDICAL 401(K) PROFIT SHARING PLAN AND TRUST			plan number (PN)	001				
				1c Effective date	e of plan 02/2010			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)					ntification Number			
GRAND STREET MEDICAL			(EIN) 14-	1757037				
27 GRAND STREET					ephone number 338-1535			
KINGSTON, NY 12401					e (see instructions)			
3a Plan administrator's name	and address X Same as Plan Spons	cor		621111 3b Administrator's EIN				
Ja Flan auministrator s name	and address Asame as Flan Spons	501.		3D Administrator's EIN				
				3c Administrator	's telephone number			
4				41				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.			4b EIN					
a Sponsor's name			4c PN					
5a Total number of participants at the beginning of the plan year					74			
b Total number of participants at the end of the plan year					77			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not								
complete this item)			5c	68				
d(1) Total number of active p	participants at the beginning of the pl	an year		5d(1)	43			
d(2) Total number of active participants at the end of the plan year			5d(2)	47				
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0				
	e or incomplete filing of this return other penalties set forth in the instruc				dicable a Schedule			
	and signed by an enrolled actuary, a							
belief, it is true, correct, and co	* * * * * * * * * * * * * * * * * * * *		1					
31014	d/valid electronic signature.	09/07/2016	TEJAS SHAH	vidual signing as plan administrator				
HERE Signature of plan	administrator	Date	Enter name of individ					
SIGN								
HERE O				dual signing as employer or plan sponsor				
Signature of emp	lover/plan sponsor	Date	Enter name of individ	dual signing as emplo				
Signature of emp	oloyer/plan sponsor n name, if applicable) and address (ir	Date nclude room or suite numb						
Signature of emp					yer or plan sponsor			
Signature of emp					yer or plan sponsor			
Signature of emp					yer or plan sponsor			

	Form 5500-SF 2014		Page 2							
b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes No				
С	f the plan is a defined benefit plan, is it covered under the PBGC in	surance p	orogram (see ERISA section 40	21)?		Yes	No	Not dete	rmined	
Par	t III Financial Information	1	<u> </u>							
7	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End			
	Total plan assets	7a	39150)/1				4254	480	
	Total plan liabilities	7b	39150	71				1251	480	
	Net plan assets (subtract line 7b from line 7a)	7c		77 1	1			4254480		
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount		(b) Total					
	(1) Employers	8a(1)	1129							
	(2) Participants	8a(2)	3543	354359						
	(3) Others (including rollovers)	8a(3)	4046	240						
	Other income (loss)	8b	1219	940				500	256	
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						589	250	
	to provide benefits)		2440	244083						
е	Certain deemed and/or corrective distributions (see instructions)	8e		5114						
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f	6	650						
	Other expenses	8g						0.40	0.47	
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						339		
	Net income (loss) (subtract line 8h from line 8c) Transfers to (from) the plan (see instructions)	8i						339	403	
Par	, , , , , , , , , , , , , , , , , , , ,	8j								
	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions									
10	During the plan year:			1	Yes	No		Amount		
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
<u>c</u>	Was the plan covered by a fidelity bond?			10c	X				392000	
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				Χ				7530	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									
	Part VI Pension Funding Compliance									
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
	Enter the unpaid minimum required contribution for current year fr					11a	<u>l</u> ,			
12										
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, If a waiver of the minimum funding standard for a prior year is being			ctions	and a	enter #	l ne date of th	e letter r	uling	
а	granting the waiver	-			, and 6	Day		Year	<u>-</u>	

	Form 5500-SF 2014	Page 3 - 1			
lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For	m 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year		12b		
С	Enter the amount contributed by the employer to the plan for this plan year		12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount)	-	1 124		
е	Will the minimum funding amount reported on line 12d be met by the funding	g deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		🔲 Y	′es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer the	his year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC?		inder the control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this pla which assets or liabilities were transferred. (See instructions.)	an to another plan(s), identify th	e plan(s) to		
1	3c(1) Name of plan(s):		13c(2) EI	N(s)	13c(3) PN(s)

14b Trust's EIN

Part VIII Trust Information (optional)

14a Name of trust