Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to **Public Inspection**

Part I	Annual Report	Identification Information							
For caler	ndar plan year 2015 or f	iscal plan year beginning 01/01/2	2016 and ending 05	5/31/2	016				
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer)					, ,				
B This re	eturn/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 m	onths))				
C Chec	k box if filing under:	DFVC program							
Part II	Basic Plan Info	ormation—enter all requested in	formation						
	ne of plan NSOLIDATED 401(K) 40	01(K) PROFIT SHARING PLAN		1b	Three-digit plan number (PN)	001			
				1c	Effective date of 01/0	f plan 1/1968			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					2b Employer Identification Numbe (EIN) 91-1391897				
	SOLIDATED	al code (if foreign, see instructions)	2c Sponsor's telephone number 253-922-2700						
	I STREET CT E 98424-3700			2d	Business code (,			
3a Plan	administrator's name a	nd address XSame as Plan Spons	SOF.	3b	Administrator's I	ΞIN			
				3c	Administrator's t	elephone number			
	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				EIN				
a Spor	nsor's name			4c	PN				
5a Tota	al number of participants	s at the beginning of the plan year		5	a	58			
b Tota	al number of participants	s at the end of the plan year		5	b	0			
			the plan year (defined benefit plans do not	5	С	0			
d(1) ⊤	otal number of active pa	articipants at the beginning of the pl	lan year	5d	(1)	47			
			ar	5d	(2)	0			
	mber of participants that	t terminated employment during the	e plan year with accrued benefits that were less	5	е	0			

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	09/28/2016	CONNIE ROSI			
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN	Filed with authorized/valid electronic signature.	09/28/2016	CONNIE ROSI			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			
Preparer's	name (including firm name, if applicable) and address (included)	er) Preparer's telephone number				

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 Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second	an independ and condition ot use For	dent qualified public a ons.) m 5500-SF and mus	ccount	ant (IQ	PA) Form	5500.	<u> </u>	Yes No
C If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No No	t determined
Part III Financial Information	1 -							
7 Plan Assets and Liabilities		(a) Beginning					(b) End of Y	
a Total plan assets	7a		1490					0
b Total plan liabilities	7b		4.400	0				0
C Net plan assets (subtract line 7b from line 7a)	7c		1490	1791				0
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amou	ınt				(b) Total	
(1) Employers	8a(1)		3	078				
(2) Participants	8a(2)		30	778				
(3) Others (including rollovers)	8a(3)			0				
b Other income (loss)	8b		41	615				
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							75471
Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		40	473				
Certain deemed and/or corrective distributions (see instructions)	8e			0				
f Administrative service providers (salaries, fees, commissions)	8f		4	157				
g Other expenses	8g			0				
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							44630
i Net income (loss) (subtract line 8h from line 8c)	8i							30841
j Transfers to (from) the plan (see instructions)	8j		-1521	632				
Part IV Plan Characteristics								
9a If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2S 2T 3D	feature coo	des from the List of Plant	an Cha	racteris	stic Co	des in th	e instruction	S:
B If the plan provides welfare benefits, enter the applicable welfare for	eature code	es from the List of Pla	n Chara	acterist	ic Cod	les in the	instructions	:
Part V Compliance Questions								
10 During the plan year:				Yes	No	N/A	An	nount
Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary Fig	duciary Correction	10a		X			
b Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X			
C Was the plan covered by a fidelity bond?			10c	Х				300000
d Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X			
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of the	he benefits under	10e		X			
f Has the plan failed to provide any benefit when due under the pla			10f		X			
g Did the plan have any participant loans? (If "Yes," enter amount ah If this is an individual account plan, was there a blackout period?		,	10g	X				0
2520.101-3.)	•		10h		X			
	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3							
j Did the plan trust incur unrelated business taxable income?			10j					
Part VI Pension Funding Compliance				•				
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes No
11a Enter the unpaid minimum required contribution for all years from	Schedule S	SB (Form 5500) line 4	0			11a		
12 Is this a defined contribution plan subject to the minimum funding	requiremen	nts of section 412 of t	he Cod	e or se	ction 3	302 of EF	RISA?	Yes X No

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	(If "Ye	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Day_		rcar			
b	Enter t	he minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
	Subtra	act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l	eft of a	12d					
		ve amount)			Yes	No	N/A		
Part		e minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets			163	140	IN/A		
	13a Has a resolution to terminate the plan been adopted in any plan year?								
		s," enter the amount of any plan assets that reverted to the employer this year	 						
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the cof the PBGC?					control V ves I No			
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifiassets or liabilities were transferred. (See instructions.)			1				
	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		13c(3) F	N(s)		
RPM	INTER	NATIONAL INC. 401K PLAN AND TRUST	02-0642224			001			
_									
Part		Trust Information		14b Trust's EIN					
144	Name o	or trust		140	i iusi s Ei	IN			
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	s	No			
15b		"," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and ng contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?	ba	Design- based safe ADP/AC harbor test method					
15c	5c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					11			
		method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4		Ye	ethod s	11			
	2(a)(2) Check	method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4 (ii))?	on 410(b):	Ye	ethod	lest	rage efit test		
	2(a)(2) Check Does t	method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4 (ii))?	on 410(b):	Ye	ethod es atio ercentage st	lest	rage		
16b	2(a)(2) Check Does t this pla	method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4 (ii))? the box to indicate the method used by the plan to satisfy the coverage requirements under sections at the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by comparison.	on 410(b):	Ye	ethod es atio ercentage st	No Ave	rage		
16b 17a 17b	2(a)(2) Check Does to this plan Has the Doate the for tax	method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4 ((ii))? the box to indicate the method used by the plan to satisfy the coverage requirements under section he plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by come an with any other plans under the permissive aggregation rules? e plan been timely amended for all required tax law changes? ne last plan amendment/restatement for the required tax law changes was adopted//	bining	R pe te	ethod ess atio ercentage st ess ess ecode	No Ave ber No No (See ins	rage efit test		
16b 17a 17b	2(a)(2) Check Does to this plan Has the plane to the plane the for tax If the plane advisor	method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4 (ii))? the box to indicate the method used by the plan to satisfy the coverage requirements under sections at satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by company with any other plans under the permissive aggregation rules? e plan been timely amended for all required tax law changes? ne last plan amendment/restatement for the required tax law changes was adopted//law changes and codes). lan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plary letter, enter the date of that favorable letter/ and the letter's serial near the serial prototype (M&P).	on 410(b):bining Enter the ap an that is subjecumber	m Ye	ethod atio ercentage st ss code avorable	No Ave ber No No No See ins	rage efit test		
16b 17a 17b	2(a)(2) Check Does t this pla Has th Date th for tax If the p adviso	method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.4 (ii))? the box to indicate the method used by the plan to satisfy the coverage requirements under section the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by company with any other plans under the permissive aggregation rules? e plan been timely amended for all required tax law changes? ne last plan amendment/restatement for the required tax law changes was adopted//law changes and codes). lan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plans.	on 410(b):bining Enter the ap an that is subjecumber	m Ye	ethod atio ercentage st ss code avorable	No Ave ber No No No See ins	rage efit test		
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